

PSG COLLEGE OF ARTS & SCIENCE  
(AUTONOMOUS)  
BCom(CS) DEGREE EXAMINATION MAY 2018  
(Sixth Semester)

Branch – CORPORATE SECRETARYSHIP  
SECURITIES LAW & FINANCIAL MARKETS

Time : Three Hours

Maximum : 75 Marks

SECTION-A (20 Marks)

Answer ALL questions

ALL questions carry EQUAL marks

(10 x 2 = 20)

Write short answers:

- 1 What is capital market?
- 2 What do you know about certificate of deposit?
- 3 State any two functions of SEBI.
- 4 Define a stock exchange.
- 5 Who is a jobber?
- 6 What do you mean by public issues?
- 7 What is margin trading?
- 8 Write a note on clear settlement.
- 9 What do you mean by central depository?
- 10 Define credit rating.

SECTION - B (25 Marks)

Answer ALL Questions

ALL Questions Carry EQUAL Marks (5 x 5 = 25)

- 11 a Define debentures and state the different types of debentures.  
OR  
b What are the advantages of commercial papers?
- 12 a State the objectives of SEBI.  
OR  
b What are the procedure for listing of securities?
- 13 a How does a primary market differ from secondary market?  
OR  
b Explain the role of brokers in stock market.
- 14 a Briefly explain the settlement system of NSC.  
OR  
b Write short note on (a) Initial margin (b) Daily margin.
- 15 a State the objectives of depository.  
OR  
b Mention the credit rating symbols of CRISIL.

SECTION - C (30 Marks)

Answer any THREE Questions

ALL Questions Carry EQUAL Marks (3 x 10 = 30)

- 16 List down the various money market instruments and state their features in brief.
- 17 Explain the power of SEBI.
- 18 How does SEBI protect the interest of investors in India?
- 19 Describe in detail method of trading in stock exchange.
- 20 Explain the Role and functions of depository.