

**PSG COLLEGE OF ARTS & SCIENCE
(AUTONOMOUS)**

**BCom(CS) DEGREE EXAMINATION MAY 2019
(Sixth Semester)**

Branch - CORPORATE SECRETARYSHIP

SECURITIES LAWS & FINANCIAL MARKETS

Time : Three Hours

Maximum : 75 Marks

SECTION-A (20 Marks)

Answer **ALL** questions

ALL questions carry **EQUAL** marks (10 x 2 = 20)

Write short answers :

- 1 Define Capital Market.
- 2 Point out any four features of money market.
- 3 Define SEBI.
- 4 What do you understand by membership of stock exchange?
- 5 Who is underwriter?
- 6 What are the regulations of insider trading?
- 7 What is margin trading?
- 8 What do you mean by clearing mechanism?
- 9 Point out three functions of depository.
- 10 State the significance of credit rating.

SECTION - B (25 Marks)

Answer **ALL** Questions

ALL Questions Carry **EQUAL** Marks (5x .5= 25)

- 11 a What are the characteristic features of financial instruments?
OR
b Explain the importance of money market.
- 12 a Explain the functions of SEBI.
OR
b Discuss the powers of central government under SEBI Act.
- 13 a What are the significance of primary market?
OR
b Explain the role of intermediaries.
- 14 a State the trading process at NSE.
OR
b Discuss the settlement procedure of stock exchange.
- 15 a What are the objectives of credit rating?
OR
b Point out the benefits of depository system.

SECTION - C (30 Marks)

Answer any **THREE** Questions

ALL Questions Carry **EQUAL** Marks (3 x 10 = 30)

- 16 What is money market? How it differ from capital market?
- 17 Explain the powers and functions of SEBI.
- 18 Discuss the functions of underwriters.
- 19 Briefly describe the clearing system in NSE.
- 20 List out the role and functions of depository system.