

Exam Date & Time: 30-Sep-2020 (02:00 PM - 05:45 PM)



PSG COLLEGE OF ARTS AND SCIENCE

Note: Writing 3hrs: Checking & Inserting Image : 30mins+ Grace Time : 15mins

BCom(CS) DEGREE EXAMINATION MAY 2020
(Sixth Semester)

Branch - CORPORATE SECRETARYSHIP
SECURITIES LAWS AND FINANCIAL MARKETS [14CSU26]

Marks: 75

Duration: 225 mins.

SECTION A

Answer all the questions.

- 1) What is capital market? (2)
- 2) What is commercial paper? (2)
- 3) What is listing of securities? (2)
- 4) What is the role of SEBI in financial market? (2)
- 5) What do you mean by merchant bankers? (2)
- 6) What is BSE? (2)
- 7) What do you mean by margin trading? (2)
- 8) Write a note on margin trading. (2)
- 9) What is meant by credit rating? (2)
- 10) State the meaning of depository. (2)

SECTION B

Answer all the questions.

- 11) What are the significance of capital market? (5)
 - a)
- [OR] Bring out the features of money market. (5)
 - b)
- 12) What are the functions of SEBI? (5)

- a)
[OR] What are the services of stock exchanges? (5)
b)
- 13) What are the roles of intermediaries in primary market? (5)
- a)
[OR] Distinguish between primary market and secondary market. (5)
b)
- 14) Write short note on NSCCL. (5)
- a)
[OR] Distinguish between settlement and compulsory rolling settlement. (5)
b)
- 15) Explain the advantages of credit rating agencies. (5)
- a)
[OR] Enumerate the role of depository. (5)
b)

SECTION C

Answer 3 out of 5 questions.

- 16) Describe money market and its various instruments. (10)
- 17) Explain the securities contract regulations Act, 1956. (10)
- 18) Discuss the role of intermediaries of a secondary market. (10)
- 19) What do you mean by trading mechanism and its functions? (10)
- 20) Explain the process and working of various credit rating agencies in India. (10)

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