

PSG COLLEGE OF ARTS & SCIENCE
(AUTONOMOUS)

BVoc DEGREE EXAMINATION MAY 2024
(Sixth Semester)

Branch – BANKING, STOCK AND INSURANCE

INVESTMENT MANAGEMENT

Time: Three Hours

Maximum: 50 Marks

SECTION-A (5 Marks)

Answer ALL questions

ALL questions carry EQUAL marks (5 x 1 = 5)

1. What type of financial institution pools money from multiple investors to invest in a diversified portfolio of securities?
(i) Hedge Fund (ii) Pension Fund
(iii) Mutual Fund (iv) Exchange-Traded Fund (ETF)
2. Which of the following is not a money market instrument?
(i) Treasury Bonds (ii) Banker's Acceptance
(iii) Repurchase Agreements (iv) Commercial Paper
3. What is the primary function of a stock exchange?
(i) Lending money to companies
(ii) Providing liquidity for long-term investments
(iii) Facilitating the buying and selling of securities
(iv) Issuing government bonds
4. SEBI is responsible for monitoring and regulating:
(i) Only stock exchanges (ii) Only mutual funds
(iii) Only listed companies (iv) All entities related to the securities market
5. What does the term 'DEMAT' stand for in financial services?
(i) Digital Market Transactions (ii) Dematerialized
(iii) Deposit Management (iv) Direct Equity Market Access

SECTION - B (15 Marks)

Answer ALL Questions

ALL Questions Carry EQUAL Marks (5 x 3 = 15)

6. a Explain the concepts of Investment.
OR
b Write a short note on Bank deposits, Mutual funds, Post office schemes.
7. a Bring out the functions of financial markets.
OR
b Explain the types of Mutual funds.
8. a Distinguish between Stock Exchange and Commodity Exchange.
OR
b List out the Listing Agreement.
9. a Summarize the functions and powers of SEBI.
OR
b Explain the significance of investor protection measures in financial markets.
10. a Explain the concept of a stock exchange and its role in the economy.
OR
b Determine the role of Central Depository Services Limited (CDSL) in the Indian securities market.

Cont...

SECTION -C (30 Marks)

Answer ALL questions

ALL questions carry EQUAL Marks

(5 x 6 = 30)

11. a Justify the various elements of investment.
OR
b Compare and contrast investments in government securities and shares in terms of risk and return profiles.
12. a Distinguish between Primary and secondary markets.
OR
b Explain the classification of Capital market.
13. a Discuss the importance of listing agreements between companies and stock exchanges.
OR
b List out the merits and demerits of Listing Agreements.
14. a Discuss the role and significance of regulatory rules in ensuring the stability and integrity of financial markets.
OR
b Elaborate the features, objectives and regulatory role of SEBI.
15. a Explain the impact of market volatility on investor behavior in stock market.
OR
b Discuss about the BSE Sensex.

Z-Z-Z

END