

PSG COLLEGE OF ARTS & SCIENCE
(AUTONOMOUS)
BCom (CS) DEGREE EXAMINATION DECEMBER 2017
(Sixth Semester)

Branch - CORPORATE SECRETARYSHIP

SECURITIES LAWS & FINANCIAL MARKETS

Time : Three Hours

Maximum : 75 Marks

SECTION-A (20 Marks)

Answer ALL questions

ALL questions carry EQUAL marks (10x2 = 20)

Write Short answer:

- 1 State the importance of capital market.
- 2 What is call money market?
- 3 What is book building?
- 4 What do you mean by listing of securities?
- 5 Explain primary market.
- 6 Who is an authorized clerk?
- 7 What do you mean by margin trading?
- 8 What is book closure?
- 9 Define a depository.
- 10 State any two function of credit rating.

SECTION , B (25 Marks!)

Answer ALL Questions

ALL Questions Carry EQUAL Marks (5 x 5 = 25)

- 11 a What is capital market? What are its major constituents?
OR
b What commercial paper? What are its advantages?
- 12 a What are the powers of SEBI?
OR
b Explain about SEBI and the central Government.
- 13 a What are the advantages of primary market?
OR
b Analyse the grievances of investors against listed companies.
- 14 a State the clearing procedure of stock exchange.
OR
b Describe basket trading system.
- 15 a Explain the process of depositors.
OR
b What are the objectives of credit rating.

SECTION - C 130 Marks!

Answer any THREE Questions *

ALL Questions Carry EQUAL Marks (3 x 10 = 30)

- 16 List down the various money market instruments and state their features.
- 17 What are the functions of SEBI?
- 18 Describe the listing procedure and bring its merits and demerits.
- 19 Describe the settlement system at BSE.
- 20 What are the functions of the depository? State the benefits of the