

PSG COLLEGE OF ARTS & SCIENCE  
(AUTONOMOUS)

BCom DEGREE EXAMINATION MAY 2018  
(Fourth Semester)

Branch - COMMERCE (FINANCIAL SYSTEMS)

PRIMARY MARKET AND SECONDARY MARKET

Time : Three Hours

Maximum : 75 Marks

SECTION-A (20 Marks)

Answer ALL questions

ALL questions earn EQUAL marks

(It) x 2 = 20)

- 1 What is new issue market?
- 2 What is a Right Issue?
- 3 What are Warrants?
- 4 What is a Debenture?
- 5 How is SEBI managed?
- 6 What is meant by 'Security'?
- 7 Who are Brokers?
- 8 Write a short note on Intermediaries.
- 9 What is a 'Stock Market Index'?
- 10 Write a short note on 'BSE\

SECTION - B (25 Marks)

Answer ALL Questions

ALL Questions Carry EQUAL Marks ( 5 x 5 = 25)

- 11 a Bring out the essential steps involved in an IPO.  
OR  
b Explain briefly the features of Book - building.
- 12 a What are the conditions prescribed by the SEBI for the registration of Lead Managers?  
OR  
b State the features of Equity Shares.
- 13 a Explain the legal significance of listing.  
OR  
b State the objectives for which NSE was setup.
- 14 a Explain the different types of speculative dealings.  
OR  
b What are the features of Online trading?
- 15 a Explain the role of SEBI.  
OR  
b How is 'S & P CNX Nifty' compiled and maintained?

SECTION - C (30 Marks)

Answer any THREE Questions

ALL Questions Carry EQUAL Marks ( 3 x 10 = 30)

- 16 Mention the SEBI guidelines pertaining to making of right issue.
- 17 Enumerate the features of various Capital market Instruments.
- 18 Elaborately discuss the power and functions of SEBI.
- 19 Discuss the shortcomings of demat system.
- 20 Distinguish between 'S & P CNX Nifty' and 'BSE - SENSEX'.

Z-Z-Z

END