

PSG COLLEGE OF ARTS & SCIENCE
(AUTONOMOUS)

BCom DEGREE EXAMINATION DECEMBER 2025
(Sixth Semester)

Branch – CORPORATE SECRETARYSHIP
SECURITIES LAWS & FINANCIAL MARKETS

Time: Three Hours

Maximum: 50 Marks

SECTION-A (5 Marks)

Answer ALL questions

ALL questions carry EQUAL marks

(5 x 1 = 5)

1. The following one is a financial asset -----.
(a) Gold (b) Silver (c) Share (d) Land.
2. ----- is the market where the existing securities of companies are traded.
(a) Primary market (b) Secondary market (c) Money market (d) None of these
3. Sensex is the index of -----.
(a) BSE (b) NSE (c) Cochin stock exchange (d) None of these
4. ----- is a professional independent broker who deals in securities on his own behalf..
a) Arbitrage (b) Jobber (c) Odd lots (d) None of these.
5. Depository system leads to -----.
a) Scrip less system b) Online system c) Office system d) None of these

SECTION - B (15 Marks)

Answer ALL Questions

ALL Questions Carry EQUAL Marks

(5 x 3 = 15)

- 6.a). Describe the components of Financial System?
(Or)
b). Difference between Money market and Capital market?
- 7.a) Explain the functions of SEBI.
(Or)
b) How does SEBI protect the interest of investors? Explain.
- 8.a). Discuss SEBI guidelines for Insider Trading.
(Or)
b). Discuss the role of intermediaries in Secondary Market.?
- 9 a) .Describe Trading and settlement at BSE.
(Or)
b) Difference between NIFTY and SENSEX.
- 10.a) Describe the process of Dematerialisation.
(Or)
b) Discuss the rating methodology in Credit rating.

Cont...

SECTION -C (30 Marks)

Answer any **Three** questions

ALL questions carry **EQUAL** Marks

(3 x 10 = 30)

11. Briefly explain about central government powers in Securities Contract Regulation Act .
12. What is mean by Capital market, and explain about its Instruments?
13. Explain briefly about SEBI guidelines for Merchant Bankers..
14. Describe the SEBI guidelines for Depositories Act.
15. Discuss the different Credit rating agencies in India.

Z-Z-Z

END