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SECTION - B (35 Marks)

Answer ALL questions

ALL questions carry EQUAL Marks (5 × 7 = 35)

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Module No.	Question No.	Question	K Level	CO
1	11.a.	Explain the benefits of Secretarial Audit.	K2	CO1
	(OR)			
	11.b.	Demonstrate the various objectives of Secretarial Standard Board.		
2	12.a.	Discuss the professional responsibility and penalty for incorrect audit report under the Companies Act, 2013.	K4	CO2
	(OR)			
	12.b.	Illustrate the various Early Warning Signals of the fraud.		
3	13.a.	You have been approached by a financial institution to obtain a status report on the borrowings made and charges created by A L Ltd. to whom the financial institution wishes to grant loan. Discuss the work involved in this regard.	K5	CO3
	(OR)			
	13.b.	Enumerate the contents of status/search report prepared by a company secretary in practice.		
4	14.a.	Describe the importance of the compliance chart in the managing compliances of the company.	K2	CO4
	(OR)			
	14.b.	Discuss the methodology which can be adopted for accessing the compliance mechanism of the company.		
5	15.a.	Examine the significance of compliance management.	K4	CO5
	(OR)			
	15.b.	Highlight the Benefits of Corporate Compliance Management.		

SECTION - C (30 Marks)

Answer ANY THREE questions

ALL questions carry EQUAL Marks (3 × 10 = 30)

Module No.	Question No.	Question	K Level	CO
1	16	Enumerate the Powers and duties of secretarial auditor.	K4	CO1
2	17	"Fraud is a knowing misrepresentation of the truth or concealment of a material fact to induce another to act to his or her detriment." With reference to the above context, Explain the procedure for reporting of fraud.	K4	CO2
3	18	Enumerate the important points to be considered while preparing a search and status report. State the documents to be prepared in connection with search reports.	K5	CO3
4	19	"A strong compliance training and education program reinforces Company compliance culture" Comment.	K2	CO4
5	20	Construct the process of corporate compliance reporting.	K6	CO5

Z-Z-Z END