

PSG COLLEGE OF ARTS & SCIENCE
(AUTONOMOUS)

BCom DEGREE EXAMINATION MAY 2025
(Sixth Semester)

Branch – COMMERCE (ACCOUNTING & FINANCE)

FINANCIAL MARKETS AND REGULATIONS

Time: Three Hours

Maximum: 50 Marks

SECTION-A (5 Marks)

Answer ALL questions

ALL questions carry EQUAL marks (5 x 1 = 5)

- 1 The money market where debt and stocks are traded and maturity period is more than a year is known as -----
(i) Long-term market (ii) Counter market
(iii) Capital market (iv) Shorter term market
- 2 What is commercial paper?
(i) Short - term borrowings from a financial institution
(ii) Notes secured by inventories
(iii) Short - term promissory notes sold in public markets
(iv) Short - term promissory notes issued to institutional investors
- 3 What is the lock-in period for promoters after an IPO as per SEBI regulations?
(i) 6 months (ii) 1 year
(iii) 3 years (iv) 5 years
- 4 What is the unique identification number assigned to investors in NSDL?
(i) Permanent Account Number (PAN)
(ii) Unique Client Code (UCC)
(iii) DEMAT Account Number
(iv) International Securities Identification Number (ISIN)
- 5 Which among the following is not an objective of SEBI?
(i) To regulate securities market
(ii) To protect interests of inventors
(iii) To promote individual businesses
(iv) To promote the development of the market

SECTION - B (15 Marks)

Answer ALL Questions

ALL Questions Carry EQUAL Marks (5 x 3 = 15)

- 6 a Bring out the objectives of Money Market.
OR
b Describe the features of Capital market.
- 7 a Explain the features of Commercial Papers.
OR
b Narrate the Advantages of Call Money Market.
- 8 a Outline the Book Building Process.
OR
b State the two groups of Capital Market Participants.

Cont...

- 9 a Sketch the steps in listing Procedure in Stock Exchange.
OR
b State the Advantages of NSDL.
- 10 a Explain the objectives of SEBI.
OR
b Organize the main functions of the Controller of Capital Issues (CCI).

SECTION -C (30 Marks)

Answer any Three questions

ALL questions carry EQUAL Marks

(3 x 10 = 30)

- 11 Analyze the various functions of financial market.
- 12 Examine the recent developments in Indian Money Market.
- 13 Enumerate the different methods of floating New Issues.
- 14 Summarize the Functions of Stock Exchange.
- 15 Elucidate the Functions and Powers of SEBI.

Z-Z-Z

END