

PSG COLLEGE OF ARTS & SCIENCE
(AUTONOMOUS)
BCom DEGREE EXAMINATION DECEMBER 2023
(Fifth Semester)

Branch – COMMERCE (ACCOUNTING & FINANCE)

FINANCIAL MARKETS AND REGULATIONS

Time: Three Hours

Maximum: 50 Marks

SECTION-A (5 Marks)

Answer ALL questions

ALL questions carry EQUAL marks

(5 x 1 = 5)

- 1 Financial institutions are also known as _____.
(i) Financial organization (ii) Financial intermediaries
(iii) Financial system (iv) Any of the above
- 2 Which of the following is not a quantitative tool of money supply used by RBI?
(i) Bank Rate (ii) Repo Rate
(iii) Open market operation (iv) Margin Requirement
- 3 The capital market is organized in India by?
(i) RBI (ii) NABARD (iii) SEBI (iv) IRDA
- 4 Secondary market comprises of _____ platform for purchase and sale of securities
(i) Stakeholders (ii) Commodities
(iii) Merchant bankers (iv) Stock exchange
- 5 Commercial Banks are regulated under the _____.
(i) Companies Act, 1850 (ii) Reserve Bank of India Act, 1934
(iii) Banking Regulation Act, 1949 (iv) English Companies Act, 1844

SECTION - B (15 Marks)

Answer ALL Questions

ALL Questions Carry EQUAL Marks

(5 x 3 = 15)

- 6 a Discuss the functions of financial market.
OR
b What do you mean by Capital Market? What are the features Indian Capital Market?
- 7 a What are the differences between Money market and Capital Market?
OR
b "Money Market is essentially a Market for short term funds." Discuss.
- 8 a Write a short note on Advantages and Drawbacks of Mutual Funds
OR
b What are the eligibility norms for Public issue?
- 9 a What are the regulatory requirements specified by SEBI for corporate debt securities?
OR
b What do you understand by Depositories?
- 10 a What are the importance of Regulated Markets?
OR
b Explain SEBI.

Cont...

SECTION -C (30 Marks)

Answer ALL questions

ALL questions carry EQUAL Marks (5 x 6 = 30)

- 11 a What do you mean by Financial Markets? Explain the structure of Financial Markets in India.
OR
b Explain the importance of Capital Market.
- 12 a Explain the features of commercial paper.
OR
b Explain the terms "Treasury bills" and "Certificate of Deposits."
- 13 a Explain the Capital Market reforms in India.
OR
b What does capital market mean? How does the company raise funds in capital market?
- 14 a What is Secondary Market and What are the products dealt in the secondary market?
OR
b Explain in brief the part played by Stock Exchange in Financial matters in business.
- 15 a What are the malpractices in Securities Market?
OR
b What are the SEBI's Regulation in Indian Capital Market?

Z-Z-Z

END