

PSG COLLEGE OF ARTS & SCIENCE
(AUTONOMOUS)

BCom DEGREE EXAMINATION MAY 2023
(Fifth Semester)

Branch – COMMERCE (PROFESSIONAL ACCOUNTING)

DISCIPLINE SPECIFIC ELECTIVE – I
FINANCIAL SERVICES

Time: Three Hours

Maximum: 75 Marks

SECTION-A (10 Marks)

Answer ALL questions

ALL questions carry EQUAL marks (10 x 1 = 10)

- 1 _____ includes all activities involved in the transformation of savings into Investment.
(i) Financial System (ii) Financial Service
(iii) Economic System (iv) Saving System
- 2 Fee based Financial Services are also known as
(i) Fund based (ii) Capital based
(iii) Non-Fund based (iv) Cash based
- 3 _____ variety of mutual fund is known as “Nest Eggs”.
(i) Balanced funds (ii) fund of funds
(iii) Money market mutual fund (iv) Growth oriented funds
- 4 _____ act as an intermediary to link up the sources of ideas and the sources of fund.
(i) Venture capital (ii) Merchant banking
(iii) leasing (iv) None of these
- 5 Factoring is a _____ financial service.
(i) Capital-based (ii) Finance-based
(iii) Fund-based (iv) Factor-based
- 6 The first Indian Bank to set up merchant Banking division in India is _____.
(i) UCO Bank (ii) State Bank of India
(iii) ICICI (iv) Panjab National Bank
- 7 Name of the first Credit Rating Agency of India
(i) CRISIL (ii) SBI (iii) SEBI (iv) IRDI
- 8 A market for existing financial securities that are Currently traded between investors is called the _____ market.
(i) Fundamental (ii) Efficient
(iii) Secondary (iv) Primary
- 9 Stock Holding Corporation of India Ltd (SHCIL) was established in 1986 as?
(i) Private Limited (ii) Public limited
(iii) Unlimited (iv) Limited Liability Partnership
- 10 SEBI is
(i) Regulatory Authority (ii) Statutory Authority
(iii) Both (i) and (ii) (iv) None of these

Cont...

SECTION - B (35 Marks)

Answer **ALL** Questions

ALL Questions Carry **EQUAL** Marks (5 x 7 = 35)

- 11 a) Explain the Significances of Financial Services.
(OR)
b) Briefly explain the Components of Financial Environment.
- 12 a) What are the Kinds of Exchange Traded Funds in India? Explain.
(OR)
b) Explain the Structure of Mutual Funds Organization.
- 13 a) List out the Categorizes of Merchant Bankers in India.
(OR)
b) What are the Regulations framed by SEBI on Merchant Bankers? Explain.
- 14 a) Explain the Challenges of Investment Banking.
(OR)
b) Briefly explain the Uses of Credit Rating.
- 15 a) Explain the Types of Stock Brokers.
(OR)
b) What are the Duties of Share Transfer Agents? Explain.

SECTION - C (30 Marks)

Answer any **THREE** Questions

ALL Questions Carry **EQUAL** Marks (3 x 10 = 30)

- 16 Enumerate the Types of Financial Services in India.
- 17 Describe the Classifications of Venture Capital.
- 18 Explain the Functions of Merchant Banks.
- 19 Discuss the Major Steps Involved in the Credit Rating.
- 20 Explain the Obligations and Responsibilities of Custodial Services.

Z-Z-Z

END