

PSG COLLEGE OF ARTS & SCIENCE
(AUTONOMOUS)

BVoc DEGREE EXAMINATION MAY 2023
(Sixth Semester)

Branch – BANKING STOCK & INSURANCE

INVESTMENT MANAGEMENT

Time: Three Hours

Maximum: 75 Marks

SECTION-A (10 Marks)

Answer ALL questions

ALL questions carry EQUAL marks (10 x 1 = 10)

- 1 Investors buy
(i) High Grade Securities (ii) Low grade Securities
(iii) Securities for short- term Purposes (iv) Face Value
- 2 Gambling is
(i) An intelligent speculation (ii) Based on rumours
(iii) Successful speculation (iv) Face value
- 3 The open-ended scheme of mutual funds is
(i) Open on a continuous basis (ii) Open on a continuous basis
(iii) Having a fixed period of maturity (iv) Interest earning scheme
- 4 ----- consists of stock exchange where existing securities are bought and sold.
(i) Primary market (ii) Secondary market
(iii) Listing (iv) Stock exchange
- 5 Mr.X buys 100 shares of Ponds India Ltd., from Mr.Y. This is a -----.
(i) Primary market activity (ii) Secondary market activity
(iii) Money market activity (iv) Market Analysis
- 6 A ----is an exchange, or market, where various commodities are traded.
(i) Stock exchange (ii) Commodities exchange
(iii) Trade exchange (iv) Money exchange
- 7 Securities and Exchange Board of India was established in the year ----.
(i) 1995 (ii) 1995
(iii) 2005 (iv) 2017
- 8 BSE stands for ----.
(i) Bombay Stock Export (ii) Bombay Survey Exchange
(iii) Bombay Stock Exchange (iv) Business Stock Exchange
- 9 NSDL was established in the year ----.
(i) 1996 (ii) 2008
(iii) 2016 (iv) 2009
- 10 -----is an account that is used to hold shares and securities in electronic format.
(i) Demat Account (ii) Savings bank account
(iii) Current Account (iv) Company Account

Cont...

SECTION - B (35 Marks)

Answer **ALL** Questions

ALL Questions Carry **EQUAL** Marks (5 x 7 = 35)

- 11 a. Outline the Elements of investment.
OR
b. Describe the types of Risk in investment.
- 12 a. Differentiate capital market and money market.
OR
b. State the capital market instruments.
- 13 a. Distinguish between stock exchange and commodity exchange.
OR
b. State the consequences of non- listing of securities.
- 14 a. Describe the powers of SEBI.
OR
b. List out the rights of investors.
- 15 a. State the role of NSDL.
OR
b. Describe the features of Depository participant.

SECTION - C (30 Marks)

Answer any **THREE** Questions

ALL Questions Carry **EQUAL** Marks (3 x 10 = 30)

- 16 Enumerate the types of mutual funds.
- 17 Explain the functions of financial markets.
- 18 Outline the powers of stock exchange.
- 19 Discuss the objectives of SEBI.
- 20 Elucidate the features of stock market index.

Z-Z-Z

END