

SECURITIES LAWS AND FINANCIAL MARKETS

Time : Three Hours

Maximum : 75 Marks

SECTION-A (20 Marks)

Answer ALL questions *

ALL questions carry EQUAL marks (10x2 = 20)

- 1 . What is capital market? What are its types?
- 2 • What are the objectives of money market?
- 3 State the objectives of SEBI.
- 4 What is insider trading?
- 5 Write a note on “secondary market”.
- 6 Who is a Jobber?
- 7 What is Book Closure and Record Data?
- 8 What is market making?
- 9 State the activities of depository.
- 10 What is meant by credit rating?

SECTION - B (25 Marks)

Answer ALL Questions

ALL Questions Carry EQUAL Marks (5 x 5 = 25)

- 11 a What are features of money market?
OR
b Distinguish between money market and capital market.
- 12 a What are the advantages of listing?
OR
b Who should be member of recognized stock exchanges.
- 13 a What do you mean “by public issues?
OR
b State the need for investors protection.
- 14 a Explain the settlement procedure of stock exchange.
OR
b Describe the compulsory loading system. *
- 15 a State the objectives of depository Act.
OR
b Explain the significance of credit rating.

SECTION - C (30 Marks)

Answer any THREE Questions

ALL Questions Carry EQUAL Marks (3 x 10 = 30)

- 16 Discuss the importance of capital market.-
- 17 Explain the powers and functions of SEBI.
- 18 Bring out the measures taken by SEBI to protect the interest of investors.
- 19 Briefly explain the clearing system in NSE.
- 20 Describe the benefits derived by rated companies.