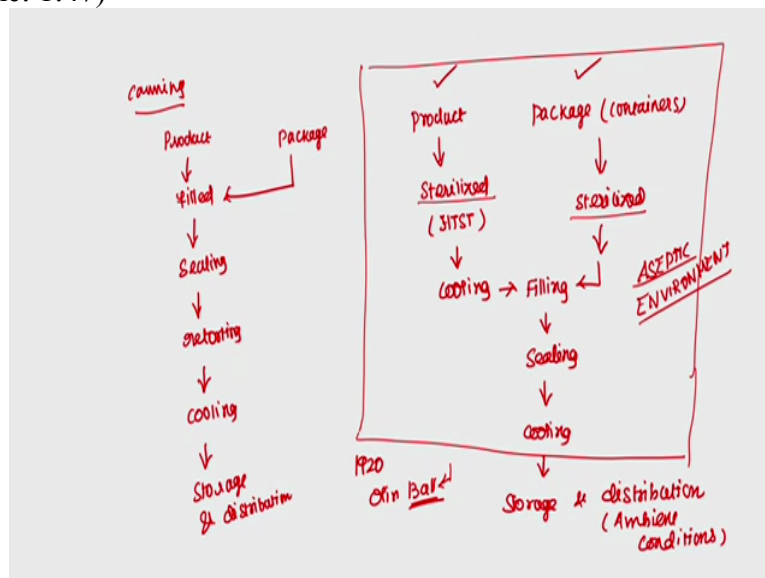


Thermal Processing of Foods
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Lecture No. 12
Fundamentals of Aseptic Processing

Good morning everyone, today we are going to discuss about fundamentals of aseptic processing. So if we go for little recap what we have done till now is basic food microbiology and basic introduction of blanching, pasteurization UHT as well as hot fill, and separately milk pasteurization and canning operations and thermal processing equipments and also we have done thermal process calculation which is nothing but how to calculate DT value, z value and F value for cumulative processing and also yesterday we have seen about the validation of thermal processes.

So, next three lectures will be on aseptic processing. So here we are going to see big detail about the equipments involved in aseptic processing and what are all the critical conditions and measures we need to ensure to have aseptic conditions in the plant and how to design a process as well as the equipment for aseptic processing.

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So, first we will start with the comparison of normal canning operations and the aseptic process. So, if you take any normal canning or sterilization operation the general flow chart goes like this, we will have a product and I have a packaging, so the product is filled and the

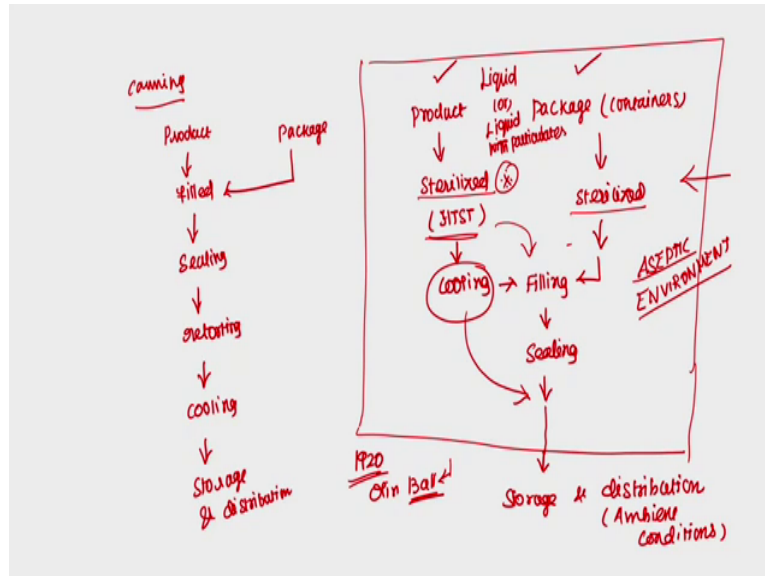
packaging is done then it goes for sealing operation, so then it goes for retorting then it is cooled cooling operation then it goes for storage and distribution. So, this is normal sterilization operation, the aseptic processing means, so I have a product here and I have a packaging system or most probably container, pouches so we have discussed detail (0)(2:48) in our previous lectures.

So, this is sterilized so the processing would be HTST. So then it is cooled so the same way packaging is also sterilized, so then it comes so then the filling the filling happens then it is sealing then cooling then go for storage and distribution. So, remember so this all done at aseptic environment, so this is very much important here. So, this is a basic difference between the normal sterilization operation and the aseptic processing. So here the main challenges is to maintain the aseptic environment and we are doing two way sterilization, one is separately for product and one separately for packaging system.

And one more thing is when it started early actually in 1920 the Olin Ball, we are now familiar with his name because thermal processing calculation instead of general method there is another method called Ball method, which calculates the time temperature relation from the basic energy balance equations. So, he introduced that method to calculate the process value F which request T as function of T. So, the same Olin Ball he came up with this idea my product as well as my packaging can be sterilized separately and it will be filled and sealed and cooled in the aseptic environment, then it goes for storage and distribution. So, this can be done here at ambient condition itself.

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So when after he came up with the idea the first thing was done in the juices, so in the juices this concept of aseptic processing was applied at first. So what they have done at first is so without this cooling, first they have taken the product which is nothing but the juice then they sterilized and the packaging is done then they sterilized separately and then they filled and then went for the cooling operation for here there is no cooling.

So, after sealing it directly goes to the storage so then this cooling was applied here when it started when the aseptic process was started in early 1920s. So, then they realized that, so the moment I fill my product at the hot condition, so what happens is it takes a longer time to cool and especially if you take the viscous product, so it takes more longer time to get cool. Then after that it will be filled and seal and go for storage and distribution.

So, to remove that long time cooling so the product is cooled here itself then it is filled in under aseptic environment in the sterilized package. So, then nowadays this general flow chart is being followed for aseptic processing. So, this is small introduction about and one more thing here we need to consider is the HTST processing, most of the product here is liquid or liquid with particulates.

So, that means we are going to handle only fluids and here the important thing is we need to better understand fluid characteristics as well as the heat transfer phenomena along with fluid flow to design a proper system for aseptic processing. So, that is why it is the process is HTST process here. So it is applicable for pumpable fluids, so that means the flow of fluids is involved along with heat transfer so fluid flow and heat transfer is very much important here. So we need to understand the product characteristics and the flow distribution along with heat

transfer. So, without which we cannot design a proper F value which is to be based on which the process is designed. So, we need to be bit careful about the fluid flow characteristics and heat transfer.

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Aseptic Processing

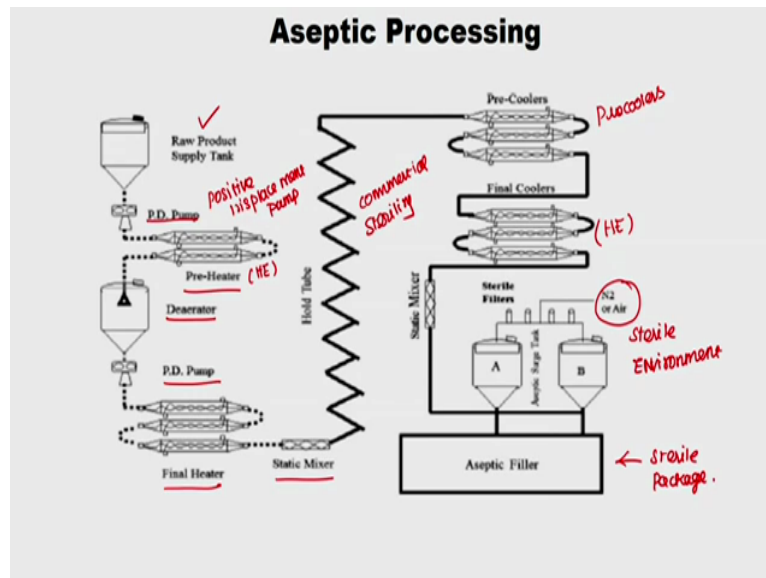
- A continuous thermal ^{process} in which the product and container are sterilized separately and brought together in a sterile environment ✓
- **Components:** Pump, deaerator, heat exchanger, hold tube, cooling unit, back pressure device, flow diversion device, fillers, surge tank ✓
- Temperature: 125-140°C (257-284 °F) 138°C ✓
- This results in a product which is shelf stable at ambient conditions ✓

So, the definition goes like this, aseptic processing means a continuous thermal in which the product thermal process a continuous thermal process in which the product and the container are sterilized separately and brought together in the sterile environment, so that main components are pump, deaerator, heat exchanger, holding tube and cooling unit, back pressure device, flow diversion valve, fillers and surge tank.

So, most of the devices are components you are already familiar with during almost 10, 15 lectures, so the flow diversion valve, back pressure device, fillers, surge tank everything we have discussed in the milk pasteurization HTST processing itself and the temperature employed is 125 to 140 degree centigrade. The most of the time they say 138 centigrade.

So, this results in the product which is shelf stable at ambient conditions so that we know already we need not store it in the refrigeration condition but remember once you open the pack then you need to store it in the refrigeration condition with minimal time so that you can use it for better, for example you are buying a UHT milk of 500 liter you cannot drink at one go. So, once you open the package so it needs to be stored at refrigeration conditions for further use that to limited period, you cannot use it for 6 months keep it in refrigerated and consume once the pack is opened.

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So this is the basic flow diagram for aseptic processing, so this is the raw product supply tank, so this is PD pump positive displacement pump, so this is pre heater and this is a deaerator, pre heater is also a heat exchanger and this is deaerator and this is again positive displacement pump, this is a final heater and this is a static mixing unit and this is a holding tube.

Here what, your commercial sterility is been taken care and this is a pre-cooler and this is a final cooler, these both are also heat exchangers and here what again one more static mixture and the product comes here, so here you are maintaining the N₂ or air it is a sterile environment. So, you have a packaging system here then it goes to filling section, so from here you will have the sterile packaging system, so in which it is filled under aseptic environment. So this is a surge tank from which your product is being feed into sterile packaging system.

So, if you refer my earlier lecture there is a one lecture on blanching sterilization UHT and hot fill, there also I have discussed about the flow diagram so the main part is heat exchanger as well as holding tube and surge tanks and filler as well as the pumps. So, we are going to see each and every equipment here after as just told, here after we are going to concentrate on what are all the equipments and which equipments I need to choose because till now almost 10 lectures you were introduced to what are all the various process and components involved.

So here after what we are going to do is mostly on the process design for every process unless otherwise it is a introduction of fundamental concept and here in the aseptic processing as I


just told the fluid flow characteristic and as well as the heat transfer is very much important to design a better system and to design a process value F to achieve the commercial sterility. So, we are going to do mostly problems to try to understand the characteristic better to further design the process as well as the components how do I choose, for example in the heat exchanger I have three types of heat exchanger, what are all the advantages and disadvantages of each heat exchanger and which heat exchanger is best for what condition.

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Characteristics of Specific Elements

- Flow control

✓ “A metering pump shall be located upstream from the holding tube and shall be operated to maintain the required rate of product flow. A means of preventing unauthorized speed changes shall be provided” (FDA)



Positive Displacement Pump
 less sensitive to pressure changes
 ✓ Variable Speed
 ✓ Fixed Rate.

Centrifugal pumps:
 water →
 CIP (clean in place)

Characteristics of Specific Elements: Pumps

- When the pressure drop in the system is less than 150 psig and the product is homogeneous or contains small particles, a rotary positive displacement pump is usually the most economical choice. ↓ 1/8 inch
- Variable speed or fixed rate ✓
- Reciprocating piston type for low to medium viscosity products or interlocking lobe type
- At higher pressure drop (over 2350 psig) with homogeneous products, a high-pressure piston-type pump would be required. ⊗
- The reciprocating piston pump for particulate solids in liquid. ↪ 3 inch

So that we are going to see separately, so that is what the characteristic of specific elements. The first one comes is, the flow control according to FDA regulations the a metering pump shall be located upstream from the holding tube and shall be operator to maintained the

required rate of product flow a means of preventing unauthorized speed changes shall be provided, so this is the way FDA regulations defines the metering pump.

So, this is very much important so in one of the lectures also I have mentioned you, so here we use positive displacement pump because positive displacement pump why because it is less sensitive to the pressure change to pressure change that is what I wanted because it is told that preventing unauthorized speed changes shall be provided. So, that means it can operate in via two mechanisms one is variable speed or fixed rate. So when you are choosing variable speed pump then we need to provide the measures to prevent unauthorized speed changes. So that is very much important so that is why we used positive displacement pump.

So, centrifugal pumps are not used yes, it is used when is centrifugal pumps at the initial stages because once the plant is established so instead of product we need to check with the water flow whether all the systems are working properly, so at the time centrifugal pump may be used by replacing positive displacement and one more thing is CIP process, CIP is this is clean in place, so at the time also centrifugal pumps are used. So when the pressure drop in the system is less than 150 psig so in the positive displacement pumps also there are various categories so when do I choose what, so when the pressure drop in the system is less than 150 psig and the product is homogenous or contains small particles, small particles I the sense 1 by 8 inches so around that approximately.

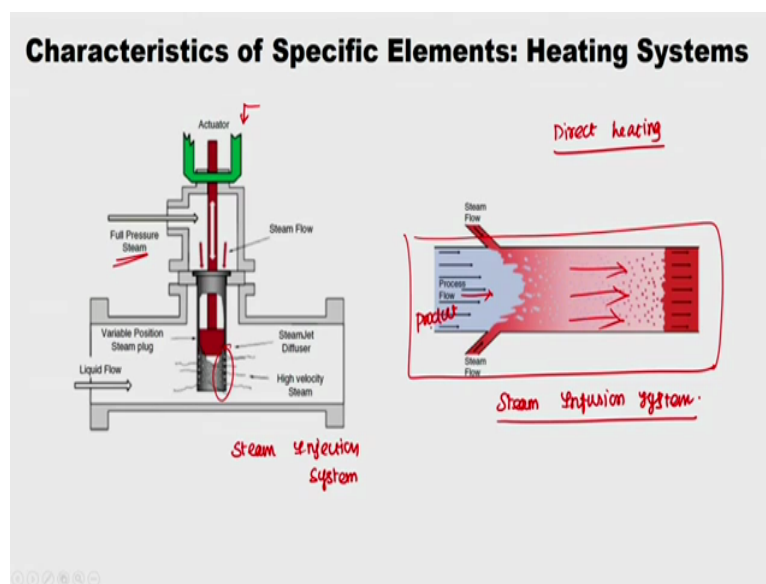
A rotary positive displacement pump is usually most economical choice when the pressure drop is less than 150 psig or we have homogenous liquid or the liquid contains small particles and the variable speed or fixed rate, so this I have already mentioned either you can choose one of the category reciprocating piston type for low to medium viscosity products or interlocking lobe type, inter locking lobe type is this one, so this is the interlocking mechanism, so kind of piston type pump is used when low to medium viscosity products are used.

And a high pressure drop over 2350 psig with homogeneous products, a high pressure piston type pump would be required. So this is the only available pump for this category because high viscosity products are very much usual but to handle that it is very much unusual, so at high pressure drop which is over 2350 psig with homogenous product where there is no particulates a high pressure piston pumps would be required. The reciprocating piston type

particulates solids in liquid so this may be of around 3 inch particle, so this is (0)(16:01) about the which pump to be used where.

So, if it a pressure drop less than 150 psig I would go for rotary positive displacement or if it is a reciprocating piston type that would be used for low to medium viscosity products with interlocking type and at high pressure drop as well as homogenous products are there then I would be using piston type pump. The reciprocating piston type pump for particulates solid in liquid, so this particulate will be of around approximately 3 inches size

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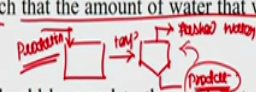
And, next one is heating system, heating system in this is there are two types one is direct heating and the indirect heating, so this system is direct heating so this also we have discussed very briefly in one of the lectures, this is steam injection system, so this is steam infusion system. So, direct heating in the sense your product as well as heating medium is seeing each other directly so in the steam injection system, so this is your steam in and this is a steam flow based on the actuator based on the flow rate and here you have a steam diffuser.

So from which the steam is fed into the normal pipe where your liquid is flowing. So, this means the steam is introduced into the product or injected into the products and there is another system which is steam infusion system. So normally in the pipe your steam is there, so your process flow that is nothing but your product is infused into the steam flow, this is a steam flow so this is entering into the normal pipe, so here you are introducing your product, so this is infused into the steam.

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Characteristics of Specific Elements: Heating Systems

- Approximately one pound of steam will condense for every 10 pounds of product heated by 100 °F. Thus, the dilution rate of the product is 10%. Added water must be accounted for in product formulation or it must be removed. (Thumb rule).
- Usually the water is removed by flash cooling the product. The hot product is dispersed into a vacuum vessel operating at a pressure such that the amount of water that was added during steam heating will flash evaporate.
- Product temperature leaving the flash cooler should be equal to the product temperature entering the steam infusion heater to avoid dilution of the product by the infused steam (Thumb rule)
- The desired temperature is achieved by maintaining a vacuum in the "flash cooler" where the absolute pressure on the product would give a product boiling point at the desired exit temperature.



So, this is a thumb rule it is not a proper regulation or something the problem in the direct heating system is when you are infusing or injecting steam into the product so when it condenses, the product volume increases due to the addition of water. So, one pound of steam will condense for every 10 pounds of products heated at 100 degree Fahrenheit, so thus the dilution rate of the product is 10 percentage, so when you are creating the product or when the product is there the water amount should be adjusted in accordingly the 10 percentage of dilution always will be there when you are heating it directly.

So this added water must be accounted for in product formulation or it must be removed either I need to remove the access water before it goes for storage and distribution or I need to take care for example the concentration of the particulates in the product, I will be taking care over there with 10 percentage less dilution rate so that when the steam is added in the heating system that will be taken care, the product formulation will be taken care. Usually the water is removed by flash cooling, so we already told that either it will be there in the product formulation or it must be removed, so the water is removed by flash cooling of the product.

The hot product is dispersed into a vacuum vessel operating at pressure such that amount of water that was added during steam heating will flash evaporate. So this also we have seen in one of the lectures, there is a vacuum vessel in which the extra water is flashed over at that particular pressure. And product temperature leaving the flash cooler should be equal to the product temperature entering the steam infusion heater to avoid dilution of the product by the infused steam, so this is also kind of thumb rule. So, I have a steam infusion or steam

injection system here then after that I have a vacuum tank, so in which the water is flashed, so then I have a product.

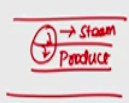
So the approximately the product in here before the heating system product in so this temperature and this temperature should be of same temperature, so that there is no addition of water in the system and also here what happens is the sensible heat of the product is used by the water to flash evaporate, so in that way the product temperature would be less than that of the temperature in by the in to the flash tank or vacuum vessel. So, the desired temperature is achieved by maintaining a vacuum in the flash cooler we have already told where the absolute pressure on the product would give a product boiling point at the desired exit temperature, so this is what we have just discussed the product sensible heat is used by the water to flash evaporate.

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Characteristics of Specific Elements: Heating Systems

Advantages

- ✓ Relatively low initial cost ✓
- ✓ Most rapid method of heating and cooling (nearly instantaneous) ✓
- ✓ Minimum burn-on (fouling) ✓
- ✓ Minimum floor space ✓
- ✓ No moving parts, and
- ✓ Deaeration of the product upon flash cooling ✓



Disadvantages

- ✓ Water from steam condensate dilutes the product ✓
- ✓ Culinary steam, free of non-condensable gases, is required ✓
- ✓ The product may be destabilized due to high shear during steam condensation and flashing
- ✓ Controls are required to insure no boiler chemicals carryover
- ✓ Volatile compounds may be stripped from the product resulting in flavor changes
- ✓ It may be difficult to control final temperature and solid content in the product

The advantages of the direct heating system is listed out here relatively low initial cost, more rapid method of heating and cooling because nearly instantaneous because if you take indirect system, so for example I am taking concentrated type so here your product is going, here your steam is employed, so your product in the indirect system so it has more resistance it has to first come through the valve of the heat exchanger then it has to come to the product, so this kind of resistance are avoided when it sees the heating medium directly.

So in that way more rapid method of heating and cooling and minimum burn-on or fouling and minimum floor space is required and no moving parts and deaeration of the products upon flash cooling. So, when you are doing flash cooling deaeration is also done along with

that and no moving parts are required in the heating system. Disadvantages wise, water from the condensate dilutes the products this I already told either it should be taken care in the product formulation or it has to be removed, culinary steam free of non-condensable this also I have told I one of the lecture when you are using the direct heating in the aseptic process you need to ensure the steam is produced from the drinkable water or free from any contamination this is very important point.

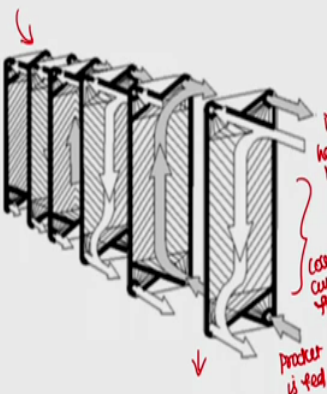
So normal boiler steam you cannot use with the non-condensable gases. The product may be destabilized due to high shear during steam condensation and flashing so the product destabilization occurs due to two process we are doing one is steam condensation as well as the flashing and controls are required to ensure no boilers chemicals carryover, this I already told the steam should be of high quality, so that means it should not have any contamination.

Volatile components may be stripped from the product resulting in the flavor changes, so when you are using directly steam with the product so there may be a volatile components that will also get vaporized, so because of which the flavor changes will happen. So all these flavors were of volatile, so when you are using steam directly to the product so that may be getting volatile and the flavor changes occur in the product. It may be difficult to control final temperature and solid content in the product because it is involving a direct heat system.

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Characteristics of Specific Elements: Heating Systems

Indirect system



- The pressure on the sterilized product side is at least 1 psig greater than the pressure on the nonsterilized product side (*Regeneration*)
- There must be an accurate differential pressure recorder-controller installed on the regenerator
- One pressure sensor must be installed at the sterilized product outlet and the other at the nonsterilized product inlet

The next one is indirect heating system, indirect heating system now also you are aware of by now indirect system, plate heat exchanger we have done the problem as well. The pressure on the sterilized products side is at least 1 psig greater than the pressure on the non-sterilized

product were it is in regeneration section, so we have already discussed the plate type heat exchanger the advantages here the plate type heat exchanger is nothing but it is a stalk up placed, so one side my heating medium is passing so another side my product is fed. So, this is in counter current flow, so here we can one way is directly you send the product and directly send the heating medium here and get it heat exchanged.

Another way is we already discussed the regeneration, regeneration is nothing but the sterilized product is used to preheat the raw product in. So at that time this is very important criteria because it avoids the mixing of two products which is nothing but a raw product as well as the sterilized product. So, the pressure drop is at least 1 psig greater than the pressure on the non-sterilized product side, and there must be an accurate differential pressure recorder controller installed on the regenerator, this is very much important otherwise the product gets mixed with the sterilized product.

And, one pressure sensor must be installed at the sterilized product outlet and the other at the non-sterilized product inlet, so this particular thing we need to take care, otherwise plate type heat exchanger are used to sterilize the homogenous liquid foods if there are particulates then it must be very difficult to handle in this system, so it is good for liquid product with homogenous particles sizes of very small size. So, if it particulate size of 3 inch or more then it will be very difficult to handle in this system.

So it is only best for liquid products and there are three sections one is the heating and cooling also the same exchanger can be used and in the heating it can be used for the regeneration as well as the proper heating as well. So, in the regeneration section if you are using the plate type heat exchanger you need to ensure these three main criterias and heating and cooling there would not be any problem because you just counter current fashion you send the product as well as the heating medium it gets heated, but in regeneration section your sterilized medium is involved so there should not be any mix between the product as well as sterilized product.

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Characteristics of Specific Elements: Heating Systems

Advantages

- ✓ Low initial cost with high efficiency for heat transfer ✓
- ✓ Small hold up volume in the heat exchanger ✓
- ✓ Usually easy inspection of the unit by disassembling ✓
- ✓ Flexibility of the system since it can be readily expanded or contracted to perform multiple duties ✓

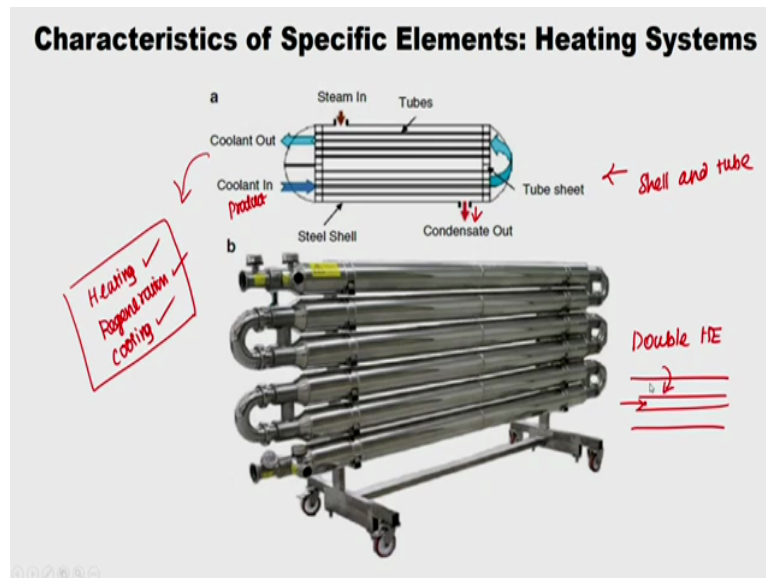
Disadvantages

- ✓ The plate exchanger is generally limited to low viscosity products ✓
- ✓ Re-gasketing costs can be high ✓
- ✓ Fouling tendencies exist for some products ✓

And the advantages wise, low initial cost at high efficiency because of regeneration and small hold up volume in the heat exchanger and usually easy inspection of the unit by disassembling, actually what happens here is I can remove the plates and I can reassemble them while reassemble them for example we have done one problem how many plates are required in the heating section or regeneration section or cooling section the same heat exchanger, after sometime I wanted to I using one heat exchanger at heating section then I wanted to shift it to cooling section.

So I just have to remove number of plates based on the number of plates I have to just remove or add plates and assemble them again, so this is the advantage. And flexibility of the system since it can be readily expanded or contracted to perform the multiple duties, so based on multiple duties I can add or remove the plates so that is the main advantage. And disadvantages wise, it is limited to low viscosity products, I already told it is best when you are having a liquid product or if there are any particulates there should be of very very very smaller size. And re-gasketing costs can be high because we already told the plates are assembled and data is constructed so regasketing cost are high. And fouling tendencies exist for some products.

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And, this is normal heat exchanger you must be aware, so this is nothing but a shell and tube the steam is fed in the shell side and tube side your product is going. So this is a coolant in and coolant out the steam is fed in to the shell side and the condensate is leaving in this side the coolant is nothing but here the product if it is a cooling section. So, remember the same heat exchangers can be used in the heating section, regeneration section as well as the cooling section.

So based on the requirement you can choose any one of the heat exchanger to perform the duty of heating, regeneration or cooling. So, the coolant in here is your product and your steam is fed, if you are using this for heating, the product in and product out through the tubes and steam is been fed in the shell side, and this is a double pipe heat exchanger, double pipe heat exchanger is nothing but concentric tube, so through which your product is fed and your steam is employed in the concentric outer tube.

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Characteristics of Specific Elements: Heating Systems

Advantages

- ✓ There are few gaskets, thereby minimizing maintenance costs ✓
- ✓ The units are compact ✓
- ✓ There are no moving parts ✓

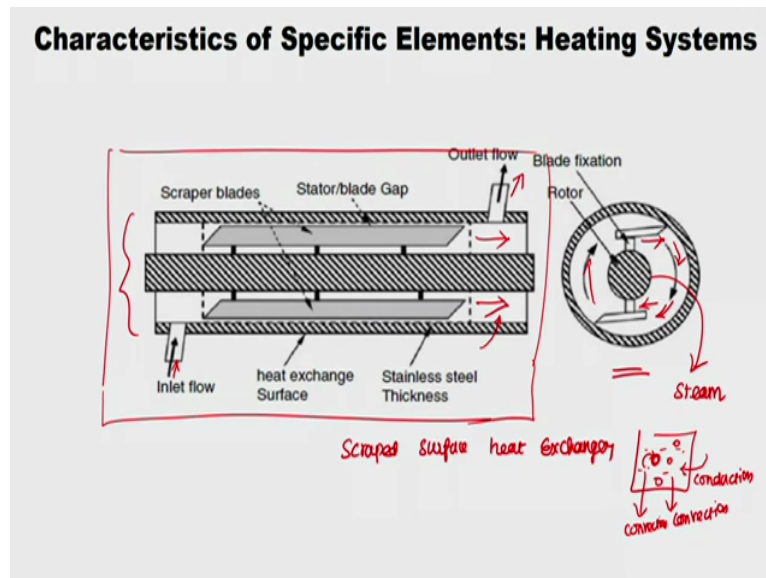
Disadvantages

- ✓ Excessive pressure drops ✓
- ✓ Inability to open and inspect the surfaces ✓
- ✓ Maximum regeneration of 70-75% which is lower than that for plate exchangers ✓
- ✓ Fouling tendencies because of low shear ✓
- ✓ Restriction of products by viscosity and particle size ✓

So, advantages wise there are few gaskets, gaskets problem, whatever the disadvantages in plate type heat type exchanger that are all advantages for this. The units are compact, there are no moving parts. And, excessive pressure drops because you have more and more tubes inability to open and inspect the surface so there we have the that flexibility that I can remove or add plates and easy to inspect but here that we lose that and maximum regeneration of 70 to 75 percentage which is lower than that of the plate heat exchanger. When I was discussing also I told for regeneration purpose plate type heat exchanger are best one.

The regeneration capacity what we get in the shell and tube heat exchanger is very much low. Fouling tendencies because of low shear and restriction of products by viscosity and particle size here also the particle size and viscosity of the product is of major concern, so viscosity is two way, one is the liquid itself having high viscosity are the particulates suspended in the liquid particle itself increases the viscosity. So, both of the exchangers one is double pipe or shell and tube or plate type heat exchanger all three of them will be best suitable for pure liquid products or the particulate which are having minimum sizes or minimum number of particles that situation only these three exchanges are best suitable.

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And the next one is scraped surface heat exchanger, so if you see this is a front view, so you have a one tube, so this is nothing but a rotor and your scraped blades are fixed in the rotor tube. So, this rotor tube rotates so when it rotates this blades scraped the particulates which are there in the liquid, and also here if you see there are two types one is the holding tube is here the solid, so there may be a situation inside the holding tube itself your product or heating medium is filled and outside the rotor part you can have the product either product or steam.

And in the rotor itself there may be a product or steam flow, so that way also it can be done, so as of now here it is a solid part so it is just a rotor with the scraped plates and your product is in here and your product will be out and the outside of it there may be a steam flow or any heating medium flow. And, the second design is this rotor itself a hollow tube with which your product or steam is flowing and outside should be the product because the blade is used to scrap the product. Scrap in the sense, it rotates so that the product is move quickly so that the better heat transfer coefficient will be obtained for the particular heating medium used.

So, here one thing is important so here if that is the case in the rotor tube you will have only the steel because the blades are attached in the rotor tube, so the blades are used to move the product with particulates. So, either it will be a holding tube will be a solid or it will be a hollow tube inside which the steam is being fed. So, here one more thing is remember, if it is a solid rotor tube the heat has to passed through this wall through the conduction and it sees the liquid product so there may be a convection between the walls and product.

And if there are particulates in the liquid, the particulate to liquid, for example this is my liquid product so in which the particulates are there so from the heating medium to product side there is one thing here it is a conduction. So then to the liquid product it is a convection and the liquid product to the particulate it is again convection and remember within the product the conduction happens so we had to do heat penetration test and this is the curtail heat transfer process from the liquid product to solid particle how the heat is transferring.

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Characteristics of Specific Elements: Heating Systems

Advantages

- ✓ The ability to process any pumpable product, including those containing particulate matter
- ✓ Flexibility to expand the system by adding surface area ✓
- ✓ Low pressure drops ✓
- ✓ Ability to process at very high temperatures without burn-on ✓

Disadvantages

- ✓ High cost per unit area ✓
- ✓ High operating costs ✓
- ✓ Large floor space requirement compared to other types ✓
- ✓ Disintegration of fragile particles ✓

The advantages wise the ability to process any pumpable product because which has the particulate of higher sizes as well so including those containing particulate matter flexibility to expand the system by adding the surface area, surface area in the sense, the plates and the low pressure drop and ability to process at very high temperature without burn-on, without fouling because the scraping blades scraps the product continuously and high cost per unit area, per unit area high operating cost, larger floor surface is required compared to other shell and tube are double pipe heat exchanger or plate type heat exchanger, disintegration of fragile particles, what happens is when the rotator tube is rotating the scraper always rotates and sometimes there may be a chance to disintegrate the fragile particles so that is disadvantages in this.

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Characteristics of Specific Elements

- If particles are present, they must be relatively small and resistant to shear ✓
- Acid foods such as fruits are subjected to steam heating in a continuous steamer ✓
- The operating system consists of a device to evenly feed the product to a chamber maintained slightly above atmospheric pressure by steam injection
- The product is gently conveyed in contact with steam-heated surfaces and in hot compatible liquids and juices ✓
- The product is held for the required time and is discharged through an aseptic pressure lock to the cooling vessel
- The cooling vessel is pressurized with sterile nitrogen, and the product is cooled with sterile cooling media such as syrup, water, juice, or brine. ✓

And if the particulates are present, they must be relatively small and resistant to shear so this is very much important criteria and there are two separate systems are used one is for acid foods and another for low acid foods and acid foods such as fruits are subjected to steam heating in a continuous steamer the operating system consist of a device to evenly feed the product, it is a feeding system to a chamber maintained slightly about the atmospheric pressure by steam injection.

How, the product is being fed? The product is evenly fed into the chamber the chamber is maintained above the atmospheric pressure so that the flow rate happens and the product is gently conveyed in contact with the steam heated surface in hot compatible juices, so this may be any heating medium or if you go to regeneration section it is a hard liquid process itself and the product is held for required time and this discharge through an aseptic pressure lock mechanism to the cooling vessel.

So, this we have seen in one of the lectures, so how the pressure lock mechanism helps the transfer of product from the hot side to cold side and the cooling vessel is pressurized with sterile nitrogen we have already told it should be done in proper sterile environment and the product is cooled with the sterile cooling media such as syrup, water, juice, or brine so if it is a regeneration section it may be the cooling media cold syrup or water or juice as well so it will heat the cooling media and it will cool the sterile product.

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Characteristics of Specific Elements

- These systems have generally consisted of a batch-type unit for heating and cooling the particulates, coupled to a continuous system for processing the sauce, water, or other liquids.
- In one system, the sterilized liquid is pumped into the vessel with the sterilized particles and, from there, the mixture is transferred to the filling machine.
- The major advantages of this system are the ability to process large particulates and to heat and cool the particulates without sauce or liquid, thereby eliminating over processing of the liquid.
- The major disadvantages include that it is a batch system, that it is very expensive, and that there are a number of transfers, which must be made aseptically.

For low acid food, it is consisted of a batch type unit for heating and cooling the particulates and the coupled to a continuous system for processing the sauce, water or other liquids, so for the low acid food what best suits is the particulates are processed separately and a liquid are processed separately because the low acid food are very much prone to contamination, so the processing steps also bit difficult. In one the system it is discussed that in one of the way it can be done separately, means the liquid product are process separately and the solids are processed in the batch unit.

Then the sterilized liquid is pumped into the vessel with sterilized particles and from there the mixture is transferred to the filling machine. So process separately the particulates as well as the liquid then it is mixed and it is going for further filling operation. The major advantages for this system is ability to process large particulates and to heat and cool the particulates, so the temperature and process time what I choose is it should be match for both liquid as well as the solid particles.

Solid particles if you see as I have just mentioned the heat penetration test should be of very much important because it is happening by the conduction and the slowest heating zone also should reach the particular temperature. So, in that way what I am doing is, I am doing a thermal processing operations for solid particles separately and liquid particles separately and mixing them, so in that way I will handle the particulates of larger size as well as I will eliminate the over processing of a liquid, because the time temperature combination what I choose is based on the coolest cold point in the solid.

That may be a over cooking temperature for the liquid so that particular disadvantage will be avoided, in this particular processing and major disadvantage include that is a batch system that is very expensive and also you are doing it separately and that also to be in the aseptic environment and you need to transfer the liquid into the particulate system, so that also cost bit a higher due to aseptic conditions maintained.

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Ultra High Temperature

- The term UHT is used interchangeably with Aseptic ✓
- All pathogenic and spoilage organisms (including spores of *C. botulinum*) are killed ✓
- Thermophilic organisms may survive ✓
- Commercially sterile product ✓
- 284 °F (140 °C) for 4 s ✓
- Shelf Life: 1-2 years ✓
- UHT/Aseptic processing covered under 21CFR108, 21CFR113, 21CFR114

So UHT, UHT is also aseptic and all pathogenic and spoilage microorganism would be killed, and thermophilic organisms may survive and commercial sterile products and 284 degree Fahrenheit or 140 degree centigrade for 4 seconds, the shelf life is 1 to 2 years. UHT or aseptic process covered under this is CFR code of federal regulations, so if you have time you can check this CFR regulations, what is a temperature and time to be maintained and what is the commercial sterility value everything is mentioned.

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Ultra High Temperature

Composition	Standardized Milk, Vitamin A&D ✓																																
Nutritional Information	<table border="1"><tr><td colspan="2">Nutritional Information*</td></tr><tr><td>Amount per 100 ml ✓</td><td></td></tr><tr><td>Energy, kcal</td><td>75</td></tr><tr><td>Energy from Fat, kcal</td><td>41</td></tr><tr><td>Total Fat, g</td><td>4.5</td></tr><tr><td>Saturated fat, g</td><td>2.8</td></tr><tr><td>Cholesterol, mg</td><td>12</td></tr><tr><td>Sodium, mg</td><td>50</td></tr><tr><td>Total Carbohydrate, g</td><td>5</td></tr><tr><td>Added Sugar, g</td><td>0</td></tr><tr><td>Protein, g</td><td>3.5 ✓</td></tr><tr><td>Calcium, mg</td><td>150</td></tr><tr><td>Vitamin A, mcg</td><td>75 ✓</td></tr><tr><td>Vitamin D, mcg</td><td>0.5 ✓</td></tr><tr><td colspan="2">Not a significant source of Dietary fiber, Vitamin C and Iron.</td></tr><tr><td colspan="2">*Approx. values</td></tr></table>	Nutritional Information*		Amount per 100 ml ✓		Energy, kcal	75	Energy from Fat, kcal	41	Total Fat, g	4.5	Saturated fat, g	2.8	Cholesterol, mg	12	Sodium, mg	50	Total Carbohydrate, g	5	Added Sugar, g	0	Protein, g	3.5 ✓	Calcium, mg	150	Vitamin A, mcg	75 ✓	Vitamin D, mcg	0.5 ✓	Not a significant source of Dietary fiber, Vitamin C and Iron.		*Approx. values	
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Shelf Life	180 days when stored in cool and dry place																																
Storage condition	Ambient ✓																																

Nutrient	Goat Milk (250ml)	Cows Milk (250ml)
Protein	9.18 g	8.12 g ✓
Energy	743 kJ	657 kJ
Calcium	945 mg	291 mg
Iron	0.13 mg	0.08 mg ✓
Potassium	526 mg	340 mg
Magnesium	36 mg	26 mg
Vitamin B-6	0.119 mg	0.093 mg
Vitamin C	3.4 mg	0 mg

<https://amul.com/products/amul-uhthgold-info.php>

And, this is just for one example I have taken this from the Amul gold the product specification, so if you see composition this is a standardized milk vitamin A and D and vitamin A is mentioned as 75 and vitamin D is around 0.5 after the sterilization and it says not a significant source of dietary fiber, vitamin C and iron and 180 days when stored in a cool and dry place, storage condition is ambient and if you see the protein content here it is 3.5 and this is also taken from another source.

So, it says the cow milk contains 8.12 grams, so here it is 3.5 so this is for 250 ml I think amount per 100 ml is given and protein is then 3.57 and around 8.12, so that nutrition value is also taken care. And it is not iron but normal cow milk you will have iron of 0.08 milligrams. So, this is just to compare what is a raw cow milk and after sterilization what should be your nutrition value. So all the values are not given but I would suggest you to go and refer the product specifications in the UHT milk as well as in the normal raw milk.

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Advantages and Disadvantages of Aseptic Processing

- Rapid heat treatment ✓
- Improved product quality ✓
- Long shelf life of product ✓
- No need for refrigeration ✓
- Flexible package type ✓
- Less overall energy requirement ✓
- Easy adaptability to automation ✓
- Fewer operators needed ✓
- Unlimited package size ✓
- Slower filler speed ✓
- Higher initial cost ✓
- Need for better control of raw ingredients ✓
- Need for better trained personnel ✓
- Need for better control of process variables ✓
- Stringent validation protocol ✓

So, aseptic processing the rapid heat treatment, product quality is improved and long shelf life product, no need for refrigeration, flexible package type, already told for the canning process you have a canning dimensions based on the sterilizer and there is a volume of product constraint also there but here you do not have that one and less overall energy requirement, easy adoptability to automation and fewer operations are needed, unlimited packaging size and slower fillers speed, so that is very much important and high initial cost due to aseptic conditions maintained, need for better control of raw ingredients and better trained personal and control of process variables and stringent validation protocol because of aseptic environment. These are all disadvantages and this is advantage

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Aseptically Processed Products

- Fruit juices ✓
- Milk ✓
- Coffee creamers ✓
- Purees ✓
- Puddings ✓
- Soups ✓
- Baby foods ✓
- Cheese sauces ✓

✓ Aseptic packaging of non-sterile products to avoid infection by micro-organism

And, what are all the aseptically processed products fruit juices, milks, coffee creamers, purees, puddings, soups, baby foods, cheese sauce, these are all few of the example and also the aseptic packaging of non-sterile products to avoid infection by microorganisms it is not like always I will use sterile product in the sterile packaging and there may be a products which are non-sterilized but packaging system will be of aseptic conditions to avoid further infection of microorganism.

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Conventional Operations

- The food is treated prior to filling
- Initial operations inactivate enzymes to prevent the product degradation during processing
- The package is cleaned, and the product is introduced into the package, usually hot
- Generally, air that can cause oxidative damage is removed from the interior
- The package is hermetically sealed and then subjected to heating
- The package must be able to withstand heat up to about 100°C for high acid products and up to 127°C for low acid products

And this is conventional operations, so this we have discussed in our starting itself.

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Aseptic Processing

- Sterilisation of the products before filling ✓
- Sterilisation of packaging materials or containers and closures before filling ✓
- Sterilisation of aseptic installations before operation (UHT unit, lines for products, sterile air and gases, filler and relevant machine zones) ✓
- Maintaining sterility in this total system during operation ✓

So, this is aseptic process again. The product is filled and the product filling is also sterilized and the containers are sterilized and the process is sterilized and total system during operation is also under sterile environment.

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Latest Methods of Sterilization

- Microwaves ✓
- Electrical resistance heating ✓
- High voltage discharge ✓
- Ultra high pressure ✓
- Sterilization of air by incineration ✓
- Moist heat: 121°C to 129°C
- Dry heat: 176°C to 232°C
- Chemical: Hydrogen peroxide (at concentrations of 30 to 35%) ✓
- Radiation: Gamma-radiation (1.5 Mrad) is used to decontaminate packaging materials to use in aseptic systems for packing acid and acidified food ✓
- Ultraviolet (UV-C) light has been used to decontaminate food contact surfaces ✓

} 138°C

So, the latest method of the sterilization includes either one of the heating medium anyway we have one lecture on all these either by microwave or electrical resistance heating or high voltage discharge or ultra-high pressure or sterilization of air by incineration, so these are all other methods and the moist heat is 121 to 129 and the dry heat if you are using dry heat, the

sterilization is 176 to 232, so this is sterilization. So, ultra-high temperature or aseptic process goes around 138 degrees centigrade

And sometimes chemical radiation and ultraviolet rays also used as a sterilizing media, the chemical hydrogen peroxide with concentration with 30 to 35 percentage and gamma radiation with 1.5 mega radians that is also used to sterilize the product and ultraviolet light has been used to decontaminate food contact surfaces, so this also one of the sterilization media.

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Examples

1. Clostridium botulinum spores suspended in phosphate buffer had $D_{121.1} = 0.37$ min. How long would it take to reduce a population of C. botulinum spores in phosphate buffer from 10^{12} spores to 10^0 spores (1 spore) at 121 °C?

$$F = D_T \log \left(\frac{N_0}{N} \right) = 0.37 \times 12 = 4.44 \text{ min}$$

2. Pectinesterase (PE) enzyme in orange juice pulp is a heat-resistant enzyme, and it is inactivated in the aseptic processing of orange juice with pulp. Its D and z values are available from the literature as, at 90 °C, $D_{90} = 0.53$ min (31.8 s), $z = 6.5$ °C. Calculate at 100.

$$D_{100} = ? \quad D_{90} = 0.53 \text{ min}$$

$$D_{100} = D_{90} \cdot 10^{\left(\frac{100-90}{6.5} \right)}$$

$$= 0.53 \times 10^{\frac{10}{6.5}} = 0.92 \text{ s}$$

$$F = D_T \log \left(\frac{N_0}{N} \right) \checkmark$$

$$LR = 10^{\left(\frac{T - T_{ref}}{z} \right)} \checkmark$$

So we will do just quickly just two examples, Clostridium botulinum spores suspended in phosphate buffer had D 121 is 0.37 how long would it take to reduce the population of C.bot spores in to from 10 to 12 to 10 to 0, so this we know already the F value is DT into log of N nought up on N so N nought up on N is around 12 log, so you have to just multiply 0.37 into 12 so which comes around 4.4 minute.

So, the second one is Pectinase enzyme in orange juice pulp is heat resistance enzyme, so yesterday we have seen amylase also alpha amylase from certain strains are also heat resistant enzyme and its inactivation in the aseptic processing of orange juice with pulp, so when you are doing aseptic processing so this gets inactivated and its D value and z value is given for 90 degree that is 0.53 minute or 31.8 second and the z is 6.5 calculate at 100. So, that means the D100 I need but I am given at D90 degree centigrade, so this is very much simple because the basic formula would be the F is nothing but DT, DT of log N nought by N.

So, that is one formula we are frequently using, the other one is the lethality rate which is nothing but the 10^{10} to the power of $T - T_{\text{reference}}$ upon z , so this is the formula we are going to use here so D_{10} is nothing but D_{90} degree into the 10 to the power of, so you have $T - T_{\text{reference}}$ but both for 90 as well as 100 this formula is same so I can directly substitute, so $90 - 100$ upon the z value is 6.5 so this is same for 90 degree as well as 100 degree.

So, what you suppose to do is this divided by this, the reference temperature will go so only this $T - T$ so that is nothing but $90 - 100$, the z value is same for both temperature that is nothing but 6.5 , so then you substitute D as 0.53 into this value 10 to the power of $90 - 100$ upon 6.5 so this comes around 0.92 seconds.

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So, these are reference and additional resources and you may would like to refer and we will see to tomorrow aseptic processes. Thank you.