

Plasma Physics and Applications

Prof. MV Sunil Krishna

Department of Physics

Indian Institute of Technology Roorkee

Week :10

Lecture 50: Electromagnetic Waves in Plasma

Hello dear students. In today's class we will discuss about the propagation of electromagnetic waves in plasma. So far in our discussions we have seen electron oscillations, electron plasma frequency, dispersion relation for electron plasma waves, acoustic waves and ion acoustic waves we have derived the dispersion relation and we have discussed what are the characteristic features of such wave propagation in plasma. So, in today's class we know very well that electromagnetic waves such as radio waves propagate through plasma. So, propagation so we are discussing plasma and waves and the sub topic for today's discussion is propagation of electromagnetic waves in plasma. Electromagnetic waves in plasma.

So, for our discussion we will consider the nature of plasma to be stationary then we will assume the plasma to be cold that means there are no thermal motions of electrons and ions. We will assume the plasma to be unmagnetized what does it mean? There are no intrinsic magnetic fields but the electric field generated magnetic fields can still be present. The plasma is assumed to be homogeneous and collisionless plasma. And furthermore we will assume that the electrons and ions to be at rest.

We are considering a plasma which is stationary cold unmagnetized homogeneous collisionless and in which electrons and ions are assumed to be at rest. Now we have to see how the if the electromagnetic wave has to pass through this plasma what will be the dispersion relation for that and what are the characteristic features that will can be derived from this dispersion relation. So, let us say we can use the electron fluid equation can be expressed in the following way which is $\text{div}(\text{div} T \text{ of Ne} + \text{div} \cdot \text{Ne} U_e)$ is equals to 0. What is this? This is the continuity equation for electrons. Then we have the momentum equation which is $\text{mass} \times \text{div}(\text{div} T \text{ the convective derivative} + U \cdot \text{del} \times U_e)$ is equals to $-\text{E} \times \text{E} + U \text{ cross } B$.

Plasma ξ waves

EM waves in Plasma

$$\frac{\partial}{\partial t} n_e + \vec{\nabla} \cdot (n_e \vec{u}_e) = 0 \quad \text{--- (1)}$$

$$m \left[\frac{\partial}{\partial t} + (\vec{u} \cdot \vec{\nabla}) \right] \vec{u}_e = -e (\vec{E} + \vec{u} \times \vec{B}) \quad \text{--- (2)}$$

$$\vec{\nabla} \times \vec{E} = -\frac{\partial \vec{B}}{\partial t} \quad \text{--- (3)}$$

$$\vec{\nabla} \times \vec{B} = \mu_0 \left(\vec{J} + \epsilon_0 \frac{\partial \vec{E}}{\partial t} \right) \quad \text{--- (4)}$$

$$\vec{J} = -ne\vec{u}$$

1) Stationary

2) Cold

3) Unmagnetized $\vec{E} \Rightarrow \vec{B}$

4) Homogeneous

5) Collisionless

6) $e^- \xi$ ions to be at rest

Now these two equations are from the electron fluid equations which describe how the electromagnetic force will act on the plasma and how the continuity is maintained the law of conservation of mass is maintained inside the plasma. Now that we are considering the propagation of electromagnetic waves inside the plasma we should also use the Maxwell equations in this picture or in combination with this equation. So, Maxwell equations which are relevant for this discussion is del cross E is minus dou B by dou T and del cross B is equals to mu naught times the current density plus epsilon naught dou E by dou T. So, these two are the Maxwell equation that we require. The other Maxwell equations are del dot B is equals to 0 already made the magnetic field not existing in this picture and the other Maxwell equation is del dot E is equals to rho by epsilon naught.

So, let us say we call this equation as 1, 2, 3, 4. Now we can write the current density J is generally written as N e v or for electrons we will write minus e N e say u. Now what is the general approach of doing perturbation theory? We take the perturbed variables which are going to be affected by the propagation of electromagnetic wave and then we define them to be a sum of equilibrium part plus the perturbation part and then using those we will linearize the equations. So, the set of equations the set of perturbed variables are u is equals to u naught plus u 1 n the number density is n naught plus n 1 the electric field is E naught plus E 1. Now the task is simple we can use it in equation 1, 2, 3 and 4 and write down the linearized equations.

wp) (-u)

$$\vec{u} = \vec{u}_0 + \vec{u}_1$$

$$n = n_0 + n_1$$

$$\vec{E} = \vec{E}_0 + \vec{E}_1$$

$$1) \quad n = n_0 + n_1$$

$$2) \quad n_1 = n_1 e^{i(k \cdot r - \omega t)}$$

$$u_1 = u_1 e^{i(k \cdot r - \omega t)}$$

$$E_1 = E_1 e^{i(k \cdot r - \omega t)}$$

$$E_1 = E_1 e^{i(k \cdot r - \omega t)}$$

(5)

linearised
governing equations
e- fluid equations
Maxwell equations

$$\frac{\partial n_1}{\partial t} + \nabla \cdot (n_1 \vec{u}_1) = 0 \quad (1a)$$

$$m \frac{\partial \vec{u}_1}{\partial t} = -e \vec{E}_1 \quad (2a)$$

$$\nabla \times \vec{E}_1 = -\frac{\partial \vec{B}_1}{\partial t} \quad (3a)$$

$$\nabla \times \vec{B}_1 = \mu_0 \left(\vec{J} + \epsilon_0 \frac{\partial \vec{E}_1}{\partial t} \right) \quad (4a)$$

$$\vec{J} = -n_0 e \vec{u}_1$$

Since we have done this earlier also where I am going to write the linearized equations directly $\frac{\partial n_1}{\partial t} + \nabla \cdot (n_1 \vec{u}_1) = 0$ or $m \frac{\partial \vec{u}_1}{\partial t} = -e \vec{E}_1$ here the convective part of the sorry edit here. Here the advection term is removed from the convection derivative so this is made to be 0. So, we have the remaining term here and the electric field is written as E_1 and the magnetic field is not taken into account because of the initial assumptions and $\nabla \times \vec{E}_1 = -\frac{\partial \vec{B}_1}{\partial t}$ and $\nabla \times \vec{B}_1 = \mu_0 \left(\vec{J} + \epsilon_0 \frac{\partial \vec{E}_1}{\partial t} \right)$ and the current density can still be written as $\vec{J} = -n_0 e \vec{u}_1$. Note this these are the linearized governing equations which are a combination of electron fluid equations and the relevant Maxwell equations. Now what is the second step? The first step being writing the perturbed part, the second step being taking a solution in the sinusoidal form $e^{i(k \cdot r - \omega t)}$ to the power of $i k \cdot r - \omega t$.

Substituting (5) in (1a) to (4a)

$$\vec{\nabla} = \hat{x} \frac{\partial}{\partial x}$$

$$-i\omega n_1 + i\vec{k} \cdot (n_0 \vec{u}_1) = 0 \quad \text{--- (a)}$$

$$-i\omega m \vec{u}_1 = -e \vec{E}_1 \quad \text{--- (b)}$$

$$i(\vec{k} \times \vec{E}_1) = i\omega \vec{B}_1 \quad \text{--- (c)}$$

$$i\vec{k} \times \vec{B}_1 = \mu_0 (-en_0 \vec{u}_1 - i\omega \epsilon_0 \vec{E}_1) \quad \text{--- (d)}$$

} Dispersion relation

Using (c)

$$i(\vec{k} \times \vec{E}) = i\omega \vec{B}_1$$

cross product with \vec{k} from left

$$\vec{k} \times (\vec{k} \times \vec{E}) = \omega (\vec{k} \times \vec{B}_1) \quad \leftarrow$$

from (d)

$$\vec{k} \times \vec{B}_1 = \frac{\mu_0}{i} (-en_0 \vec{u}_1 - i\omega \epsilon_0 \vec{E}_1)$$

$$\text{(a)} = \mu_0 (ien_0 u_1 - \omega \epsilon_0 E_1)$$

We have to take u_1 as $u_1 e^{i(\vec{k} \cdot \vec{R} - \omega t)}$ and e_1 as $e_1 e^{i(\vec{k} \cdot \vec{R} - \omega t)}$. So, using this sinusoidally varying perturbation variables we will substitute them into the governing equations and then we write the linearized equations without any derivatives. They will look like so let us say we maintain correspondence this is 1, 2, 1a, 2a, 3a and 4a and we will assume the set of equations as equation number 5 substituting equation number 5 in 1a to 4a. What we will get is this $-i\omega n_1 + i\vec{k} \cdot n_0 \vec{u}_1 = 0$. So, just for the sake of clarity let us say we substitute n_1 into this one n_1 by $n_1 e^{i(\vec{k} \cdot \vec{R} - \omega t)}$.

If you are talking about the time derivative the ω part will come it will be $-i\omega$ plus $\vec{\nabla} \cdot \vec{u}_1$ you have to take a derivative only along the x direction then you will get this term. So, now our $\vec{\nabla}$ operator is $\hat{x} \frac{\partial}{\partial x}$ that is it only along the x direction. The second equation which is the momentum equation will give you $-i\omega m \vec{u}_1 = -e \vec{v}_1$. Let us say we call this as equation a p the Maxwell equation is $i\vec{k} \times \vec{u}_1 = i\omega \vec{p}_1$. Then we have $\vec{k} \times \vec{v}_1 = \mu_0 n_0 (-en_0 \vec{u}_1 - i\omega \epsilon_0 \vec{u}_1)$.

Now we have to eliminate some variables from a b c and d to get the dispersion relation. So, these four equations will lead to the dispersion relation which is a characteristic of electromagnetic wave propagation in the plasma. So, using equation number C we can write $\vec{k} \times \vec{E} = i\omega \vec{B}_1$. Taking a cross product from the left with \vec{k} cross

product with \vec{k} from left we can write $\vec{k} \times (\vec{k} \times \vec{E})$ is equals to $\omega \mu_0 \vec{k} \times \vec{E}$. Now from equation number D we know that $\vec{k} \times \vec{B}$ is i times $\vec{k} \times \vec{E}$ is actually this.

$$\begin{aligned} \vec{k} \times (\vec{k} \times \vec{E}_1) &= \omega \mu_0 i (e n_0 u_1 + i \omega \epsilon_0 E_1) \\ \vec{k} \times (\vec{k} \times \vec{E}_1) &= \omega \mu_0 i e n_0 u_1 + i^2 \omega^2 \mu_0 \epsilon_0 E_1 \\ &= \left(\frac{e E_1}{m} \right) \mu_0 e n_0 + \left(\frac{\omega^2 i^2}{c^2} \right) E_1 \\ &= \frac{e E_1}{m} \mu_0 e n_0 - \frac{\omega^2}{c^2} E_1 \\ &= \frac{n_0 e^2}{m \epsilon_0} \epsilon_0 \mu_0 E_1 - \frac{\omega^2}{c^2} E_1 \\ \vec{k} (\vec{k} \cdot \vec{E}_1) - E_1 (\vec{k} \cdot \vec{k}) &= \frac{n_0 e^2}{m \epsilon_0} \frac{E_1}{c^2} - \frac{\omega^2}{c^2} E_1 \end{aligned}$$

$c^2 = \frac{1}{\mu_0 \epsilon_0}$
Using (b)
 $c = \frac{1}{\sqrt{\mu_0 \epsilon_0}}$

ω_{pe}^2

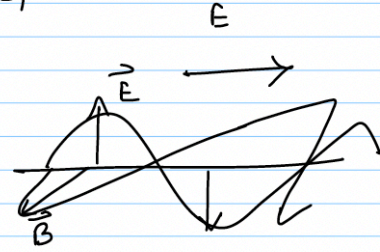
So, all of this let us say can be substituted into this equation directly. So, we will be able to write $\vec{k} \times (\vec{k} \times \vec{E}_1)$ is $\omega \mu_0 i e n_0 u_1 + i^2 \omega^2 \mu_0 \epsilon_0 E_1$. So, $\vec{k} \times \vec{B}$ is this. So, we have μ_0 which is appearing in the equation itself which is multiplying the hand side as it is. So, we have i which goes inside and we have i appearing here you see here.

Yeah $\vec{k} \times \vec{B}$ is i times $\vec{k} \times \vec{E}$ is this. So, you take i to this side and then you have which is appearing right outside. So, now we can rewrite this equation taking the terms outside $\vec{k} \times (\vec{k} \times \vec{E}_1)$ is equals to $\omega \mu_0 i e n_0 u_1 + i^2 \omega^2 \mu_0 \epsilon_0 E_1$. So, simplifying this on the right hand side. So, $\omega \mu_0 i e n_0 u_1 + i^2 \omega^2 \mu_0 \epsilon_0 E_1$ is written as $\frac{e E_1}{m} \mu_0 e n_0 + \frac{\omega^2 i^2}{c^2} E_1$ or $\frac{e E_1}{m} \mu_0 e n_0 - \frac{\omega^2}{c^2} E_1$ is equals to we will write $\frac{n_0 e^2}{m \epsilon_0} \epsilon_0 \mu_0 E_1 - \frac{\omega^2}{c^2} E_1$ here.

$$\vec{k}(\vec{k} \cdot \vec{E}_1) - \vec{E}_1(\vec{k} \cdot \vec{k}) = \omega_{pe}^2 \frac{\vec{E}_1}{c^2} - \frac{\omega^2}{c^2} \vec{E}_1$$

$$= \left[\frac{\omega_{pe}^2 - \omega^2}{c^2} \right] \vec{E}_1$$

$$-\vec{k}^2 \vec{E}_1 = \left(\frac{\omega_{pe}^2 - \omega^2}{c^2} \right) \vec{E}_1$$



$$-k^2 = \frac{\omega_{pe}^2 - \omega^2}{c^2}$$

$$\omega^2 = \omega_{pe}^2 + k^2 c^2$$

$\omega^2 = k^2 c^2$ in VACUUM

So, I have multiplied numerator and denominator with epsilon naught just to get this factor out n naught e square by m epsilon naught. So, which is n naught e square by m epsilon naught mu naught epsilon naught is 1 by c square. So, this n naught should be E 1 here it is minus omega square by c square E 1. On the left hand side we could have written k cross k cross E as k times k dot E 1 minus E 1 times k dot k. So, what how did you get this you get this by expanding the vector triple product.

So, let us just look at the algebra just to maintain some continuity. So, we have this a, b, c, d which are coming from these equations 1, 2, 3, 4, 1a, 2a, 3a, 4a. What are these equations? These are the linearized governing equations 1, 2, 3 and 4. One is continuity equation we have taken the momentum equation, we have taken the Maxwell equation for the curl of electric field and for the curl of magnetic field. Then we have used the perturbations U, N, E because we think when the electromagnetic wave passes or propagates through the plasma, these are the physical parameters which will be influenced or which will be affected.

We have substituted them into the governing equations, linearized the equations where we have gotten rid of the terms which will be the product of perturbed variables and which will be the time derivatives of the equilibrium variables. After doing that we have got this. Now, we have assumed sinusoidal solutions for the perturbed parts, substituted them into the perturbation equations and then we have got a, b, c and d. Now, these four

equations have to be coupled and to get the dispersion relation. In order to do that, I started by equation number c and taken a curl of that equation with \mathbf{k} from the left.

So, $\mathbf{k} \times \mathbf{k} \times \mathbf{e}$ becomes ω times \mathbf{i} is already cancelled ω times $\mathbf{k} \times \mathbf{b}_1$. Now, $\mathbf{k} \times \mathbf{b}_1$ from this equation from equation number d is μ_0 by $\mathbf{k} \times \mathbf{b}_1$ is μ_0 by \mathbf{i} times minus $\epsilon_0 \mu_0$ minus $\mathbf{i} \omega \epsilon_0 \mathbf{u}_1$. So, $\mathbf{k} \times \mathbf{b}_1$ is this, $\mathbf{k} \times \mathbf{b}_1$ can be substituted for the right hand side. So, ω times μ_0 by \mathbf{i} . So, using equation c, we are starting from equation c, \mathbf{i} times $\mathbf{k} \times \mathbf{e}$ is $\mathbf{i} \omega \mathbf{b}_1$, \mathbf{i} gets cancelled $\mathbf{k} \times \mathbf{e}$ is equals to $\omega \mathbf{b}_1$.

Now, $\mathbf{k} \times \mathbf{e}$ is the angular frequency ω times \mathbf{b}_1 . We take a cross product with \mathbf{k} from the left, $\mathbf{k} \times \mathbf{k} \times \mathbf{e}$ is equals to ω times $\mathbf{k} \times \mathbf{b}_1$. Now, $\mathbf{k} \times \mathbf{b}_1$ is appearing on the equation d on the left hand side. So, using this, so, we can write $\mathbf{k} \times \mathbf{b}_1$ is equals to μ_0 times μ_0 by \mathbf{i} minus $\epsilon_0 \mu_0 \mathbf{u}_1$ $\mathbf{i} \omega \epsilon_0 \mathbf{u}_1$. Now, we can simplify it as μ_0 times $\mathbf{i} \epsilon_0 \mu_0 \mathbf{u}_1$ minus $\omega \epsilon_0 \mathbf{u}_1$.

If I take \mathbf{i} out, we can write this equation, let us say we mark it as equation number 1 here. So, we can write this as μ_0 taking \mathbf{i} out, we have $\mu_0 \mathbf{i} \epsilon_0 \mu_0 \mathbf{u}_1$. So, there is no \mathbf{i} here, minus 1 can be written as plus \mathbf{i}^2 . So, we should be plus 1 \mathbf{i} has already gotten out of the bracket $\mathbf{i} \omega \epsilon_0 \mathbf{u}_1$. Now, this one, what is this equal to? This is equal to $\mathbf{k} \times \mathbf{k} \times \mathbf{e}$.

So, I have used this as it is here, $\mathbf{k} \times \mathbf{k} \times \mathbf{e}$ is $\omega \mu_0 \mathbf{i} \epsilon_0 \mu_0 \mathbf{u}_1$ plus $\mathbf{i} \omega \epsilon_0 \mu_0 \mathbf{u}_1$. Now, I have separated this term ω times \mathbf{i} times \mathbf{u}_1 from equation B, which is this $\omega \mathbf{i} \mathbf{u}_1$ is minus gets cancelled here, \mathbf{e} by $m \mathbf{e}_1$. Here it is \mathbf{e} by $m \mathbf{u}_1$. The rest of it is simple algebra, I have used the fact that C^2 is 1 by $\mu_0 \epsilon_0$ or C is 1 by square root of $\mu_0 \epsilon_0$. So, using this, what I have got is \mathbf{k} is the propagation constant \mathbf{k} or the wave vector, $\mathbf{k} \times \mathbf{k} \cdot \mathbf{e}_1$ minus \mathbf{e}_1 times $\mathbf{k} \cdot \mathbf{k}$ is equals to all that that appears on the right hand side.

Now, if you see the right hand side $\mu_0 \mathbf{e}^2$ by $m \epsilon_0$, this is something that is very familiar to us. This is the electron plasma frequency, ω_{pe}^2 times \mathbf{e}_1 by C^2 minus ω^2 by C^2 \mathbf{e}_1 . In terms of things that we know very well or we can slightly modify the equation as $\mathbf{k} \times \mathbf{k} \cdot \mathbf{e}_1$ minus \mathbf{e}_1 times $\mathbf{k} \cdot \mathbf{k}$ is equals to ω_{pe}^2 times \mathbf{e}_1 by C^2 minus ω^2 by C^2 \mathbf{e}_1 which is equals to ω_{pe}^2 minus ω^2 by C^2 multiplied by \mathbf{e}_1 . So, the propagation constant \mathbf{k} is this is this is a \mathbf{k} . Now, if you see the transverse wave which is like this, now where the particles vibrate, if the direction of the wave is this, the particles will vibrate in a perpendicular direction of the wave motion.

$$v_p = \frac{\omega}{k}$$

$$\omega^2 = \omega_{pe}^2 + k^2 c^2$$

$$v_p^2 = \frac{\omega^2}{k^2} = \frac{\omega_{pe}^2}{k^2} + c^2$$

$$v_p = c \sqrt{1 + \frac{\omega_{pe}^2}{k^2 c^2}}$$

$$> c$$

$$\omega > \omega_{pe}$$

$$v_g = \frac{d\omega}{dk} = \frac{c}{\sqrt{1 + \frac{\omega_{pe}^2}{k^2 c^2}}}$$

$$v_g < c$$

Now, in electromagnetic wave, if the wave is travelling in this direction, we know very well the electric field and the magnetic field will be perpendicular to each other like this. So, this is electric field and this one the perpendicular component will become the magnetic field. Both of them will be mutually perpendicular and at the same time these two will be perpendicular to the direction of wave propagation for an electromagnetic wave, electric field and magnetic field. So, which means if k denotes the direction of propagation of the wave, $k \cdot e$ this term has to be 0 because of the right angles. What will be left with is $-\mathbf{k} \cdot \mathbf{e}_1 = \omega_{pe}^2 - \omega^2$ multiplied by ϵ_0 .

So, let us say we get rid of ϵ_0 and what we have is $-\mathbf{k} \cdot \mathbf{e}_1 = \omega_{pe}^2 - \omega^2$. So, we have a relation between ω and k at the end. So, we can rewrite this equation in a familiar form as $\omega^2 = \omega_{pe}^2 + k^2 c^2$. Now, this is the dispersion relation that we were actually looking for. What is this? This is the dispersion relation which expresses the propagation of electromagnetic waves in plasma.

So, we have ω which is a frequency term, ω_{pe} which is the plasma frequency, k^2 is the wave vector square and C^2 is the speed of light square. Now, what are the features or characteristics of this equation? So, to begin with if there is no plasma ω_{pe} becomes 0. So, in vacuum we have $\omega^2 = k^2 c^2$ where ω/k is it in vacuum which is perfectly valid we know why ω/k or the

speed itself becomes the speed of light. Now, if we evaluate the phase velocity from this expression $v_p = \frac{\omega}{k}$, v_p is ω by k . So, if you use for reference ω^2 is $\omega_{pe}^2 + C^2 k^2$.

This dispersion relation carries all the information that is required for understanding electromagnetic waves propagation in the plasma. So, v_p can be written as or v_p^2 can be written as $\frac{\omega^2}{k^2}$ which is $\frac{\omega_{pe}^2 + C^2 k^2}{k^2}$ or v_p the phase velocity is $C \sqrt{1 + \frac{\omega_{pe}^2}{k^2 C^2}}$. So, this is the phase velocity. Now, if you look at this expression carefully or even at the first instance itself you will see that the phase velocity appears to be something which can be greater than the speed of light. Now, is it possible? Yes, it is possible for the phase velocity to have any value there is no limit that the phase can have the phase which is just representing a part of the wave can have any velocity which can be greater than C .

But if you go ahead and calculate the group velocity, group velocity is a more relevant parameter which expresses the speed at which the information is being transmitted. So, group velocity is $\frac{d\omega}{dk}$ which is $\frac{C^2 k}{\omega}$ or $\frac{C^2 k}{\omega_{pe} \sqrt{1 + \frac{\omega_{pe}^2}{k^2 C^2}}}$. So, here you will see for yourself that v_g is always less than C and even v_g can reach the limit of C only when you do not have plasma that is when the plasma frequency becomes 0 that means we do not have any plasma. From the dispersion relation we can say that the prop the electromagnetic wave can only pass or can propagate through the plasma only when ω is greater than ω_{pe} . This is the condition for the propagation of electromagnetic wave in the plasma this is the phase velocity which gave us a hint that phase velocity can exceed the speed of light and the group velocity calculated from the same dispersion relation tells you that group velocity can never be greater than C it has it should always be less than C and we already realized that in vacuum the velocity of electromagnetic wave is just C or for this group velocity to become equal to C we should have the plasma frequency ω_{pe} to be 0 ω_{pe} being 0 indicates that there is no plasma we are just talking about the vacuum.

So, as long as plasma is to be retained in this picture we have to make sure that the phase velocity can be anything and group velocity will always be less than the speed of light. So, we have now realized that the phase velocity can be anything even greater than C and the group velocity will always be less than C or equal to C when the wave is propagating in vacuum. you