

Plasma Physics and Applications

Prof. MV Sunil Krishna

Department of Physics

Indian Institute of Technology Roorkee

Week :10

Lecture 48:Invalidity of Plasma Approximation

Hello dear students. In this lecture, we will continue our discussion on ion acoustic waves. In the last class, we have seen that we can derive the dispersion relation between ω and k like this and the ω by k which is the phase velocity of the ion acoustic wave was derived like this. So, we will take this expression ahead. We will write ω by k the angular frequency divided by the wave vector is equals to kB_e plus $\gamma_i k B_i$ divided by m_i . The wave kB is the Boltzmann's constant, γ is the ratio of specific heats for ions, T_e and T_i are the temperatures of electrons and ions respectively, m_i is the mass.

Now more importantly, this will be the speed of an acoustic wave travelling in plasma. Now one very important conclusion is from this expression we can realize that V_P will be equal to V_G which will be equal to a constant. Both the velocities will be the same. This is the unique characteristic of the acoustic wave that is travelling through plasma.

The phase velocity and group velocity will be constants. So, we can also write this expression in a more general fashion where ω by k is if electron is assumed to be travelling very fast and not making any assumption that the plasma is isothermal, we can write a generalized expression as $\gamma_e k B_e$ plus $\gamma_i k B_i$ divided by m_i . So, this is the speed of the acoustic wave travelling in the plasma. So, the dispersion curve for the ion waves if you see has fundamentally a different nature in comparison to the electron plasma wave. So, in electron plasma wave we have seen that the ω versus k was something like this and this was ω_P .

$$-i\omega m_i n_0 u_{i1} = -e n_0 (ik) n_{i1} \frac{k_B T_e}{n_0 e} - \gamma_i \frac{k_B T_i}{n_0 e} n_0 \frac{e \phi_1}{k_B T_i} ik$$

$$-i\omega m_i n_0 u_{i1} = -ik n_{i1} k_B T_e - \gamma_i n_0 e \phi_1 ik \quad \text{--- (e)}$$

$$n_{i1} (-i\omega) + n_0 u_{i1} (ik) = 0$$

$$\underline{n_{i1} \omega = n_0 u_{i1} k}$$

$$\frac{n_0 e \phi_1 \omega}{k_B T} = n_0 u_{i1} k$$

$$\underline{u_{i1} = \left(\frac{e \phi_1}{k_B T_e} \right) \frac{\omega}{k}} \quad \text{--- (f)}$$

Using (f) in (e)

The minimum frequency above which only above which electron plasma waves can exist in the plasma and omega P is nothing but the plasma frequency. Now if you say this ion acoustic wave, the dispersion relation will look something like this. So, this is Vs and this is k and this is omega. Like I said velocity will be constant. So for the ion waves exist only when there are thermal motions and in electron plasma we take the ions to be remaining at rest.

But in plasma waves electrons are not fixed and they are moving very fast. Now what is the mechanism by which this compression of the medium which you call as the propagation of the acoustic wave is being transported from one point to another point. It is basically the ions which are coming together and expanding as the pressure pattern is moving into the space. Now one very important assumption that we made while deriving this is that we took the number of electrons to be equal to the number of ions which is also called as the plasma approximation. So, you consider any plasma the total number of electrons and ions will be the same or we assume it to be the same.

$$-i\omega m_i n_0 \left(\frac{e\phi}{k_B T_e} \right) \frac{\omega}{k} = -ik n_0 \left(\frac{e\phi_i}{k_B T} \right) k_B T - \gamma_i n_0 e\phi i k_1$$

$$-i\omega m_i \frac{\omega}{k} = -ik k_B T - \gamma_i i k_1 (k_B T)$$

$$-\frac{\omega^2}{k^2} = \frac{-k_B T_e - \gamma_i k_B T_i}{m_i}$$

$$v_p = \frac{\omega}{k} = \sqrt{\frac{k_B T_e + \gamma_i k_B T_i}{m_i}} = v_s$$

Now if you make a condition saying that the validity of plasma approximation is not imposed on the plasma for the treatment of ion acoustic wave. So, we will discuss what is called as the validity of plasma approximation. Plasma approximation is when number of electrons equal to the number of ions. So in deriving the dispersion relation for the ion acoustic wave we have made an assumption that the electron number density is equal to the ion number density. While working out the electron plasma wave we have considered the electron density not equal to the ion density where.

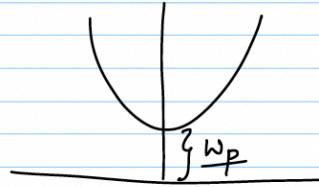
So when we were discussing the electron plasma wave we have seen that within the small volume from this small volume electrons were displaced out. And while these electrons were displaced out the ions remained at rest because of their heavier mass and it is the electrons which were taken out. Now with these electrons immediately after being moved from this region will constitute an electric field and the electrons motion will be to nullify this electric field they will immediately boom back because of their mass inertia or the thermal energy if you allow it. They will execute oscillations back and forth. And this oscillation frequency is referred to the plasma frequency.

Now this is the initial picture that we have taken that is basically displacing the electrons away from this region where you are actually creating number of ions much greater than the number of electrons. This is the initial condition only this gave you a possibility to work with the Poisson equation where you have the electric field or $\text{div } E$ written as N_i minus N_e times the charge. If you do not have a valid plasma approximation what is bound to happen in this electric field will not exist this will be 0. This electric field will only exist when there is unequal number of electrons and ions.

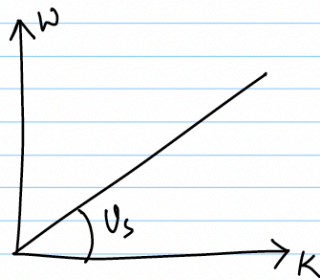
You have created it.

$$\frac{\omega}{k} = \sqrt{\frac{k_B T_e + \gamma_i k_B T_i}{m_i}} = \underline{v_s}$$

$$- v_p = v_g = \underline{\text{constant}}$$



$$\frac{\omega}{k} = \sqrt{\frac{\gamma_e k_B T_e + \gamma_i k_B T_i}{m_i}} = \underline{v_s} \quad \underline{n_e = n_i}$$



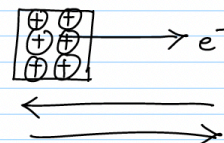
Now you realize that after doing this plasma frequency was of course there it was there plasma the oscillations were existing but we realize these oscillations when you discuss the dispersion relation so you have realized that ω_p is N_e square by $m \epsilon_0$ naught. What does it mean? This is the plasma frequency we have seen it many number of times. The important message here is that there is no dependence on the wave vector which means there is no information passage from one point to another point. There is no energy transfer from one point to another point by the means of wave activity. The electrons are oscillating but these oscillations or the information about these oscillations is not going into the layers of plasma.

If you consider plasma let us say this is a plasma system and you have different layers for just understanding I am drawing this. If the oscillations are happening here this information is not being transported into the all the layers that are lying here. Then we made a altered picture where we allowed the temperature of electron to be non-zero where the electron is now allowed to have thermal motion in addition to this vibrations. Then we realized when we derived the expression for ω we have seen it to be a function of k . What it means is that the electron now travels into the adjacent layers of plasma to tell the story of the vibration that are happening in the first layer.

Invalidity of Plasma approximation ($n_e \neq n_i$)

$$\epsilon_0 (\nabla \cdot E) = (n_i - n_e) e$$

1) $n_i > n_e$



$$\epsilon_0 \frac{\partial E}{\partial x} = e (n_i - n_e)$$

$$\left. \begin{aligned} n &= n_0 + n_{\perp} \\ E &= E_0 + E_{\perp} \end{aligned} \right\}$$

$$\epsilon_0 \frac{\partial E_{\perp}}{\partial x} = e (n_{i\perp} + n_{oi} - [n_{e\perp} + n_{oe}])$$



$$\frac{\omega_{pe}}{\omega} = \sqrt{\frac{n_e z}{m \epsilon_0}}$$

2) $T_e \neq 0$ $\omega(k)$

$$\epsilon_0 \frac{\partial E_{\perp}}{\partial x} = e (n_{i\perp} - n_{e\perp})$$

$$-\epsilon_0 \frac{\partial^2 \phi_{\perp}}{\partial x^2} = e (n_{i\perp} - n_{e\perp})$$

$$E = -\nabla \phi$$

This is the modified picture. In this modified picture one thing is very clear number of ions is greater than the number of electrons at least in the small region. In the total plasma of course not but in the small region number of ions is greater than the number of electrons. Now if you go back and see the ion acoustic wave we have imposed a condition that number of electrons will be equal to the number of ions. We have removed the possibility of existence of an electric field because of this condition.

Now what we are going to do is we are going to see if we allow the number of electrons not equal to the number of ions how will it affect the propagation of an acoustic wave through the plasma. This is the basic understanding about the invalidity of plasma approximation and how it is relevant for understanding the wave propagation especially the acoustic wave propagation in the plasma. Now let us start let us say we have this unequal number densities. So obviously we will have some electric fields. Now let us say we start with the basic is equation which is the Poisson equation.

$$\epsilon_0 \frac{\partial^2 \phi_1}{\partial x^2} = e(n_{i1} - n_{e1}) \quad \text{--- (1)}$$

$$\phi_1 = \phi_0 e^{i(kx - \omega t)} \quad \text{--- (2)}$$

Substituting this (2) in (1)

$$\frac{\partial \phi_1}{\partial x} = \phi_0 e^{i(kx - \omega t)} (ik)$$

$$\frac{\partial^2 \phi_1}{\partial x^2} = \underbrace{\phi_0 e^{i(kx - \omega t)}}_{\phi_1} (ik)^2$$

$$-\epsilon_0 (ik)^2 \phi_1 = e [n_{i1} - n_{e1}]$$

$$\boxed{\epsilon_0 k^2 \phi_1 = e [n_{i1} - n_{e1}]} \quad \text{--- (a)}$$

Let us say we have $\epsilon_0 \frac{dE}{dx} = e(N_i - N_e)$. Now let us define the perturbation variables $N_i = N_0 + N_1$ the electric field $E = E_0 + E_1$ and then we have to let us substitute these perturbations into the Poisson equation. So which we will get $\epsilon_0 \frac{dE_1}{dx} = e(N_{i1} - N_{e1})$. It has to be $N_{i1} - N_{e1}$ plus N_0 . So this is also supposed to be $\frac{dE_1}{dx} = \frac{e}{\epsilon_0} (N_{i1} - N_{e1})$ plus E_0 .

Because E_0 is a constant we have removed that term or we have not considered that term. So at equilibrium we can assume the electron density and ion density to be equal. So we can say these two terms gets cancelled. So what will be remaining is $\epsilon_0 \frac{dE_1}{dx} = e(N_{i1} - N_{e1})$. So these are the equilibrium terms.

This one and this one. So minus goes and these two terms gets cancelled. Now we can write the electric field in terms of a potential $\frac{d^2 \phi_1}{dx^2} = \frac{e}{\epsilon_0} (N_{i1} - N_{e1})$ which is $\epsilon_0 \frac{d^2 \phi_1}{dx^2} = e(N_{i1} - N_{e1})$ which is equals to $\epsilon_0 \frac{d^2 \phi_1}{dx^2} = e(N_{i1} - N_{e1})$.

Ne1. So we can take ϕ_1 the perturbed potential. See what is ϕ_1 ? ϕ_1 is responsible for the creation of that electric field which is E_1 also because of the disparity in the number of electrons and ions that you have imposed. So ϕ_1 is let us say ϕ_0 e to the power of $i k x$ minus ωt .

$$n_{e1} = n_0 \frac{e \phi_1}{k_B T} \quad \text{--- (b)}$$

Using (b) in (a)

$$\epsilon_0 k^2 \phi_1 = -e n_{e1} + e n_{i1}$$

$$\epsilon_0 k^2 \phi_1 = -\frac{e^2 n_0 \phi_1}{k_B T_e} + e n_{i1}$$

$$\phi_1 \left[\epsilon_0 k^2 + \frac{e^2 n_0}{k_B T_e} \right] = e n_{i1}$$

$$\epsilon_0 \phi_1 \left[k^2 + \frac{e^2 n_0}{k_B T_e \epsilon_0} \right] = e n_{i1}$$

$$\lambda_D^2 = \frac{\epsilon_0 k_B T_e}{e^2 n_0}$$

So substituting this, let us say we call this equation as 2 and this as 1. So I am doing every step just so that you follow the mathematics while I am doing it and it will be easy for you to understand the implication of this mathematical steps. So when you substitute this into that so $\epsilon_0 k^2 \phi_1$ by $\epsilon_0 k^2 \phi_1$ will be so $\epsilon_0 k^2 \phi_1$ by $\epsilon_0 k^2 \phi_1$ will be ϕ_0 e to the power of $i k x$ minus ωt times $i k$ $\epsilon_0 k^2 \phi_1$ by will be ϕ_0 e to the power of $i k x$ minus ωt times $i k$ whole square. Substituting this, we will write $\epsilon_0 k^2 \phi_1$ all of this will still be ϕ_1 ϕ_1 is equals to E . E is the charge in the Poisson equation times N_1 minus N_{e1} .

So while writing the electric field in terms of potential I forgot to write a minus here because E is equals to minus $\nabla \phi$. So using that minus here so we will have this

equation as minus $\epsilon_0 k^2 \phi_1$ is equals to $E n_{i1} - n_{e1}$ or removing the i we can write it as $\epsilon_0 k^2 \phi_1$ is the charge times perturbed number of ions minus perturbed number of electrons. This is a very important equation that we are going to use further. So let us say we call this equation as equation A and from the last class actually we need to recall an equation n_{e1} the number of perturbed electrons is equals to equilibrium number N_0 times $E \phi_1$ by $k_B T$. Let us say we call this equation as so this resulted from approximating the exponential saying that $E \phi_1$ will be much less than $k_B T$.

So using now B in equation A what is equation A? equation A is the Poisson equation basically it is written in terms of the potential ϕ_1 . We have to write we have to substitute B into that equation. So we will write the Poisson equation first $\epsilon_0 k^2 \phi_1$ is equals to minus $E n_{e1} + E n_{i1}$ $\epsilon_0 k^2 \phi_1$ is equals to minus $E^2 N_0 \phi_1$ by $k_B T$ plus $E n_{i1}$ just this N_0 all of this $N_0 E \phi_1$ by $k_B T$ so we get ϕ_1 into this. So E will multiply $E^2 N_0 \phi_1$ by $k_B T$ because it is electron we are writing T_e electron temperature. So we can take ϕ_1 common and write $\epsilon_0 k^2$ plus $E^2 N_0$ by $k_B T$ is equals to $E n_{i1}$ or $\epsilon_0 \phi_1$ times k^2 plus $E^2 N_0$ by $k_B T$ ϵ_0 is equals to $E n_{i1}$.

$$\epsilon_0 \phi_1 \left[k^2 + \frac{1}{\lambda_D^2} \right] = e n_{i1}$$

1) C.E ✓ ✓

2) M.E ✓ ✓

$$\epsilon_0 \phi_1 \left[k^2 \lambda_D^2 + 1 \right] = e n_{i1} \lambda_D^2 \quad \text{--- } \textcircled{C}$$

3) P.E ✗ ✓

$$n_{i1} \neq n_{e1}$$

$$\begin{aligned} \rightarrow \frac{\partial n_{i1}}{\partial t} + n_0 \nabla \cdot u_{i1} &= 0 \\ \rightarrow n_{i1} &= n_{i1} e^{i(kx - \omega t)} \\ \rightarrow \frac{\partial n_{i1}}{\partial t} &= n_{i1} (-i\omega) e^{i(kx - \omega t)} \end{aligned}$$

Now we know that the Debye s length λ_D square is $\epsilon_0 k_B T / e^2 N_0$. So this is the factor that appears here as the second term within the bracket seems to be resembling the Debye s length. So using this into this equation we can write $\epsilon_0 \phi_1$ times k^2 plus 1 by λ_D^2 is equals to $E n_{i1}$ or for convenience we can rearrange the terms $\epsilon_0 \phi_1$ is equals to $k^2 \lambda_D^2$ plus 1 is equals to $E n_{i1} \lambda_D^2$. Let us say we call this equation as C.

So what is A, what is B, what is C? Let us go back and see.

Equation A is the Poisson equation in terms of ϕ_1 . Equation B is the number density of perturbed electrons which we have derived in the last class. After finding it too difficult to accept this relation you can go back and see how this was derived. So we have used equation number B into this Poisson equation and then we have obtained equation number C. Now this is still the Poisson equation it carries the same information but now it carries the information in terms of the λ_D the Debye's length.

Debye's length is a characteristic parameter which conveys a lot of information about the plasma about the nature of plasma or about the constituents of plasma. Now considering what is the change that we have made from the earlier picture we have seen that number of ions is not equal to number of electrons. The perturbed number of electrons and number of ions are not equal. Now will this change the any other equation? See we have three equations. We have the continuity equation, we have the momentum equation and then we have the Poisson equation.

In the first picture the Poisson equation was not relevant because we assumed the plasma approximation to be perfectly valid so we removed it. The momentum equation was taken and the continuity equation was taken. In the second picture when the plasma approximation is not valid the Poisson equation was included and it was modified in terms of λ_D and the continuity equation will it change because of the invalidity of plasma approximation? Of course not. So it can be taken as it is and the momentum equation has to be seen how it will be modified in the in the presence of an a of an a of a electric field which is created just by not accepting the plasma approximation. We can use the momentum equation as it is so which is $\frac{dN}{dt} + N_0 \nabla \cdot U$ is equal to 0 for the ion fluid.

So we know that number of perturbed electrons, number of ions can be written as $N_1 e^{-i(kx - \omega t)}$ to the power of $i(kx - \omega t)$ assuming sinusoidal solution for the perturbed ions $N_1 e^{-i(kx - \omega t)}$ to the power of $i(kx - \omega t)$. So $\frac{dN_1}{dt}$ is equal to $N_1 (-i\omega)$ to the power of $i(kx - \omega t)$. What have we done? We have just taken the sinusoidal solution took a derivative with respect to time. Now we are going to substitute this back into this equation. We will continue this discussion in the next class. Thank you.