

Optical Sensors
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Lecture – 07
Basic Optics for Optical Sensing – V
Total Internal Reflection – Sensors

Welcome to the 7th lecture of Optical Sensors course. In the last couple of lectures, we discussed propagation of an electromagnetic wave at an interface and there we solved for the Fresnel coefficients. And, from there we saw that when the angle of incidence is equal to the Brewster angle, we get total transmission in p-polarized light and there is no reflection. We discussed the reasons behind that, and we also discussed how to use it for sensing applications.

That was the case when the wave was travelling from medium of a smaller refractive index to the medium with larger refractive index - like rarer to denser medium, say air to water. Now, we will discuss what happens when the electromagnetic wave travels otherwise. To say, that it moves from a denser medium to rarer medium, say water to air. So, today we are going to discuss what is called Total Internal Reflection and we will try to use them for sensing applications.

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Handwritten derivations on the slide:

$$r_p = \frac{n_1 \cos \theta_2 - n_2 \cos \theta_1}{n_1 \cos \theta_2 + n_2 \cos \theta_1}$$

$$r_p = 0 \Rightarrow n_1 \cos \theta_2 = n_2 \cos \theta_1$$

$$\Rightarrow \tan \theta_{B_2} = \frac{n_2}{n_1}$$

For $\theta = 0$:

$$r_p = \frac{n_1 \cos \theta_2 - n_2 \cos \theta_1}{n_1 \cos \theta_2 + n_2 \cos \theta_1} = \frac{n_1 - n_2}{n_1 + n_2}$$

Conditions for $r_p \geq 0$ and $r_p < 0$:

- I $r_p > 0$
- II $r_p < 0$

Diagram showing an interface between two media with refractive indices n_1 and n_2 . Incident ray E_i , reflected ray E_r , and transmitted ray E_t are shown. The angle of incidence is θ .

Small video inset showing Prof. Sachin Kumar Srivastava.

But, before we move ahead, I want to say that what we derived was r_p is equal to $n_1 \cos \theta_2 \sin \theta_1 - n_2 \cos \theta_1 \sin \theta_2$ divided by $n_1 \cos \theta_2 \sin \theta_1 + n_2 \cos \theta_1 \sin \theta_2$ - that is what we derived and we saw that r_p was equal to 0 when $n_1 \cos \theta_2$ was equal to $n_2 \cos \theta_1$ and from that we came to the conclusion that $\tan \theta_B$ - that was the Brewster angle, n_2 by n_1 . So, we have arrived until here and now, we see that sometimes r_p can be greater than 0 or r_p can be smaller than 0. What does it mean? See, if it is greater than 0; that means, that for the electric field, the phase has not changed. When it is smaller than 0; that means, the direction of the electric field has changed. So, if my wave was incident at an angle θ with electric field pointing in this direction, this was E_i .

In the reflected wave, if it is the same direction like this, then this case is valid. If r_p is smaller than 0; that means, so this is case first, and in the second case, when it is smaller than 0, you will have electric field vector here. So, that is the meaning. I mean, the electric field changes its direction when you have r_p less than 0. What it physically means? To understand it let us say that θ is equal to 0.

So, r_p will be $n_1 - n_2$ divided by $n_1 + n_2$ for θ is equal to 0. If n_1 is greater than n_2 , then r_p will be equal to greater than 0; if n_1 is less than n_2 , r_p will be less than 0. It means that the electric field changes its direction when it is moving from a medium of smaller refractive index to a medium of larger refractive index. If the electromagnetic wave is moving from a medium of high refractive index to low refractive index, then r_p is greater than 0 and there is no change in the sign of the electric field.

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Handwritten notes on a whiteboard:

$$\vec{E}_i = E_0 e^{i\phi}$$

$$\vec{E}_r = \Gamma \vec{E}_i$$

$\phi = \pi$

$\Gamma < 0$

$e^{i\pi} = -1$

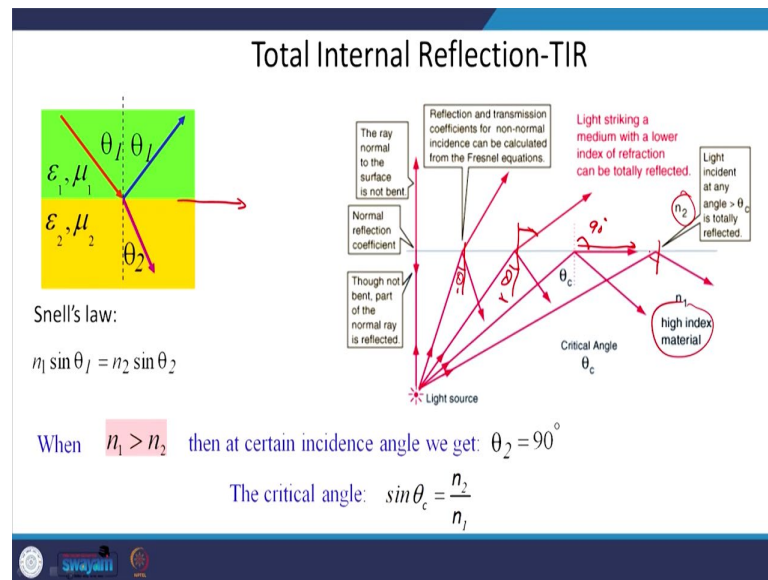
Diagram showing incident wave E_i moving right and reflected wave E_r moving left.

Small video inset showing a person in a lab setting.

What happens to the electromagnetic wave? So, if you have an electromagnetic wave E is equal to $E_0 e^{i\phi}$, if it is E_i and if E_r is, say, minus of a function E_i ; why is this minus coming - because ϕ is equal to π . So, it is like this. - this is an interface, wave is going in this direction moving here. So, the reflected one for r smaller than 0, what you have is that if the electric field is in this direction the reflected one will be having it in the other direction it is like this. So, if it is E_i you have E_r . So, this phase difference of π is happening - $e^{i\pi}$ is equal to minus 1; if it is moving in the other way then it will be positive.

So, we know until here. Now let us see what happens when we have a medium of high refractive index and the wave moves to a medium of low refractive index.

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So, let us say that we have an interface where a wave incident at angle θ_1 gets refracted at angle θ_2 and it has dielectric constants $\epsilon_1 \mu_1$ and $\epsilon_2 \mu_2$. So, we see here - in this particular picture, that this is a high index material and this is a low index material and what happens. When the light source is here, a ray normal to the surface will not bend. So, it will go into the medium second medium, that is that angle θ is equal to 0 to the interface.

Now, if it goes at some angle, say θ_1 , then what happens that a part of it will get reflected into the same medium and a small part will go into the other medium that is reflection and refraction both happening there. Now, if you keep on increasing the angle say from θ_1 to θ_2 , what happens that the ray bends more away from the normal. So, when you start increasing the angle of incidence, the ray refracted into the higher medium - this angle gets larger. So, it is moving away from the normal.

We arrive some particular condition of θ_c , a critical angle - that is called critical angle; for this particular angle of incidence, all the rays which are incident at this interface will have an angle of 90 degree is refraction. But, it will be travelling along the interface into the second medium not in the first medium - it will be travelling into the second medium. When you further increase the angle of incidence greater than θ_c then it comes back to the same medium - this is called total internal reflection or TIR.

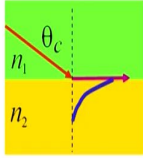
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$$\begin{aligned}
 n_1 \sin \theta_1 &= n_2 \sin \theta_2 \\
 \text{for } \theta_2 &= 90^\circ, \quad \theta_1 = \theta_c \\
 n_1 \sin \theta_c &= n_2 \\
 \boxed{\sin \theta_c} &= \frac{n_2}{n_1} \\
 \underline{\theta > \theta_c} &\Rightarrow \underline{\text{TIR}}
 \end{aligned}$$

So, if you want to calculate critical angle, we know that the formula was $n_1 \sin \theta_1$ was equal to $n_2 \sin \theta_2$ and when for θ_2 is equal to 90 degree, θ_1 is equal to θ_c . So, what we get is $n_1 \sin \theta_c$ is equal to n_2 and $\sin \theta_c$ is equal to n_2 by n_1 . This is the condition for critical angle. So, if θ is greater than θ_c , this implies that there will TIR - total internal reflection. We will see happens to the that. So, we arrive to this condition when we have critical angle.

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For $\theta_i > \theta_c$ the refraction angle is imaginary

$$\cos \theta_2 = \sqrt{\left[\frac{n_1 \sin \theta_i}{n_2} \right]^2 - 1}$$


We get a decaying wave across the surface

Evanescent Wave! $E \propto \exp(-x/d)$

Penetration Depth $d = \lambda_0 / (2\pi \sqrt{n_1^2 \sin^2 \theta_i - n_2^2})$

And, for theta greater than theta c the reflection angle is imaginary. How? Let us try to see it.

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$$\theta_1 > \theta_c$$

$$\Rightarrow \frac{n_1 \sin \theta_2}{n_2} = \frac{n_1 \sin \theta_1}{n_2} > 1$$

$$\Rightarrow \cos \theta_2 = \sqrt{1 - \sin^2 \theta_2}$$

$$= \pm i \sqrt{\sin^2 \theta_2 - 1}$$

$$r_p = \frac{n_1 \cos \theta_2 - n_2 \cos \theta_1}{n_1 \cos \theta_2 + n_2 \cos \theta_1}$$

$$r_p = \frac{n_1 (i \sqrt{\sin^2 \theta_2 - 1}) - n_2 \cos \theta_1}{n_1 (i \sqrt{\sin^2 \theta_2 - 1}) + n_2 \cos \theta_1}$$

Handwritten notes on the right side of the slide:

$$n_1 \sin \theta_c = n_2 \sin \theta_0$$

$$\frac{n_1}{n_2} \sin \theta_1 = \sin \theta_c$$

We have arrived here. So, let us put $n_1 \sin \theta_1$ greater than θ_c . This implies that $n_1 \sin \theta_2$ is equal to n_1 by $n_2 \sin \theta_1$ is greater than 1, because this should be larger than 1. Here we do not have n_1 because n_2 is here. So, $\cos \theta_2$ will be under root 1 minus sin square theta 2 that is equal to plus minus i sin square theta 2 minus 1.

So, in this case when $n_1 \sin \theta_1$ was $n_2 \sin 90$, θ_1 was equal to $\theta_c \sin 90$. So, $\sin 90$ is 1. So, if I write n_1 by $n_2 \sin \theta_1$ that is equal to $\sin \theta_2$; so, it was 90 for 90 it was 1. For angles more than 90, means if θ_2 is larger, then it has to be larger. If it has to be larger, then it is greater than 1. But we know that the value of $\sin \theta$ cannot be greater than 1, but in this case, it is it - means that θ_2 is imaginary. So, that is what we arrive here.

So, you have θ_2 - this value, which is imaginary. What happens to the electric field? Let us try to see. So, this happened to the angle. The reflection coefficient r_p was equal to $n_1 \cos \theta_2$ minus $n_2 \cos \theta_1$ divided by $n_1 \cos \theta_2$ plus $n_2 \cos \theta_1$. Let us try to see what happens to each of these. So, r_p becomes equal to n_1 into plus minus i under root sin square theta 2 minus 1 minus $n_2 \cos \theta_1$ divided by n_1 into plus minus i under root sin square theta 2 minus 1 plus $n_2 \cos \theta_1$.

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$$\begin{aligned}
 \vec{E}_t &= \vec{E}_{t0} e^{i(\omega t - k_2 \cos \theta_2 \cdot x - k_2 \sin \theta_2 \cdot z)} \\
 &= \vec{E}_{t0} e^{i k_2 (\pm i \sqrt{\sin^2 \theta_2 - 1}) x} \times e^{i(\omega t - k_2 \sin \theta_2 \cdot z)} \\
 &= \vec{E}_{t0} e^{\mp k \sqrt{\sin^2 \theta_2 - 1} x} \times e^{i(\omega t - k_2 \sin \theta_2 \cdot z)}
 \end{aligned}$$

$\left. \begin{array}{l} \text{+ve sign} \Rightarrow \text{Electric field grows exponentially.} \\ \text{This is not feasible} \end{array} \right\}$
 $\text{-ve sign} \Rightarrow \vec{E} \text{ field decays exponentially}$



Then, this term is complex - refraction coefficient is complex. What it means, we will come to that. Let us try to see what happens to the transmitted field. E_t , if you remember, was $E_{t0} e^{i(\omega t - k_2 \cos \theta_2 \cdot x - k_2 \sin \theta_2 \cdot z)}$ - this was our electric field. You put the value of $\cos \theta_2$, you will have $E_{t0} e^{i(\omega t - k_2 \sin \theta_2 \cdot z)}$; you can take k_2 - both are k_2 so, I can take k_2 common; we will have $\pm i \sqrt{\sin^2 \theta_2 - 1} x$ into $e^{i(\omega t - k_2 \sin \theta_2 \cdot z)}$. We can separate it, $i(\omega t - k_2 \sin \theta_2 \cdot z)$.

So, we have two components, since this has plus minus i , I separated it out and now you have one term which is similar to the previous case and then you have different term. Let us simplify it further: $E_{t0} e^{i(\omega t - k_2 \sin \theta_2 \cdot z)}$ - that is minus 1. So, it will be $\pm k \sqrt{\sin^2 \theta_2 - 1} x$ into this thing - $e^{i(\omega t - k_2 \sin \theta_2 \cdot z)}$.

Out of these two signs - it can have a positive sign or negative sign, what it means? Positive sign means that the electric field is growing exponentially, which means - this is not feasible. Why? because, the electric field you have incident - it can happen only in a gain medium while what you have is a simple medium and you do not have a medium where the electric field is growing exponentially like that. So, it is not feasible. So, what is feasible?

The negative sign; this means the E field decays exponentially. So, there are two conditions one like it is can grow or it can decay, but this is not feasible. So, you will not take this into consideration, you take only this into consideration.

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$$\vec{E}_t = \vec{E}_{t0} e^{-k_z \sqrt{\sin^2 \theta_2 - 1} x} e^{i(\omega t - k_2 \sin \theta_2 z)}$$

Amplitude

qf $x = \frac{1}{k_2 \sqrt{\sin^2 \theta_2 - 1}}$

$$E_t = \frac{\vec{E}_{t0}}{e}$$

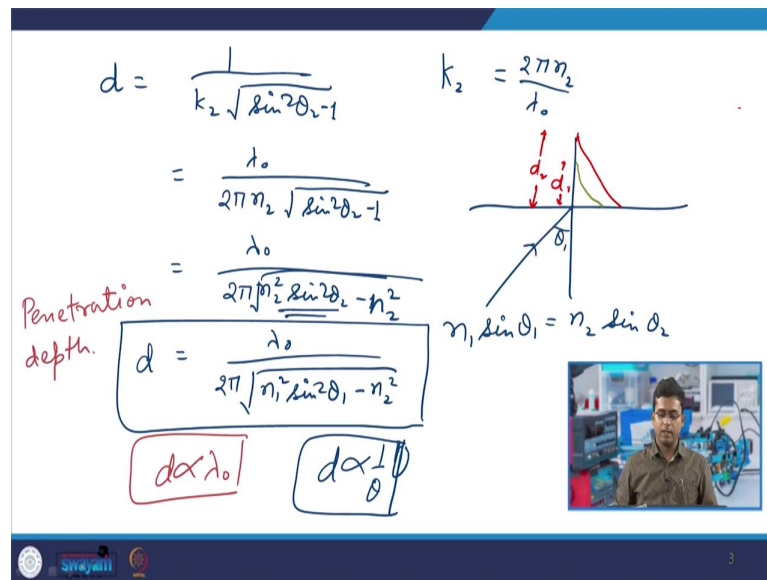
x is called penetration depth (d)

So, what we get is E_t is equal to $E_{t0} e^{-k_2 \sqrt{\sin^2 \theta_2 - 1} x}$, $e^{i(\omega t - k_2 \sin \theta_2 z)}$. So, we get this solution. If you remember, our consideration was like this. Here it was x , and this was z , and what we see is that now we have a wave which is a plane wave. So, you have a plane wave traveling in the z direction which has an amplitude - this is amplitude, which is decaying exponentially.

So, it means that we have a wave which travels like this and its amplitude decays. So, its amplitude if you plot the amplitude with x the electric field E it decays exponentially. So, here you will have E_{t0} value and then it starts decreasing. So, if x is equal to $1 / (k_2 \sqrt{\sin^2 \theta_2 - 1})$ then E_t is equal to E_{t0} / e ; then x is called penetration depth that we represent as 'd' or 'lambda'.

So, we get a wave that is called evanescent wave. So, we get a wave which is traveling along the interface and when it travels along the interface its amplitude decays exponentially into the media and the penetration depth is given by this relation. We will arrive to this relation. We arrived here that to the value of x where the amplitude decays to $1/e$ is called penetration depth.

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The slide shows a handwritten derivation of the penetration depth d and a diagram illustrating the physical context.

Derivation:

$$d = \frac{1}{k_2 \sqrt{\sin^2 \theta_2 - 1}}$$

$$= \frac{\lambda_0}{2\pi n_2 \sqrt{\sin^2 \theta_2 - 1}}$$

$$= \frac{\lambda_0}{2\pi \sqrt{n_2^2 \sin^2 \theta_2 - n_2^2}}$$

Penetration depth.

$$d = \frac{\lambda_0}{2\pi \sqrt{n_1^2 \sin^2 \theta_1 - n_2^2}}$$

$d \propto \lambda_0$ $d \propto \frac{1}{\theta}$

Diagram: A diagram showing a light ray incident from medium 1 (refractive index n_1) at angle θ_1 onto the boundary of medium 2 (refractive index n_2). The ray is refracted into medium 2 at angle θ_2 . The penetration depth d is indicated as the distance from the interface into medium 2. The diagram also shows the wave vector k_2 and the wavelength λ_0 .

And, this is given by d is equal to 1 upon k_2 under root sin square theta 2 minus 1. Let us try to solve it further. I have k_2 is equal to $2\pi n_2$ by λ_0 . So, we will have λ_0 upon $2\pi n_2$ sin square theta 2 minus 1. You take n_2 inside, you will have λ_0 by 2π under root n_2 square sin square theta 2 minus n_2 square.

Now, you know that we do not need to know what is theta 2, because if the wave is going like this at theta 1 and you want to know the penetration depth you do not care what is theta 2. So, what we do is that $n_1 \sin \theta_1$ is equal to $n_2 \sin \theta_2$ - we substitute here. Here you have n_2 square. So, you get λ_0 by 2π under root n_1 square sin square theta 1 minus n_2 square. This is called penetration depth.

You see here that d is proportional to λ_0 - that is first thing; second thing is d is inversely proportional to f (theta). So, there are two important implication of this relation. One is that d is proportional to λ_0 , what does it mean? It means that the penetration depth will increase for light of larger wavelengths..

So, if you have the penetration depth like this and if you take a red one which has larger wavelength, the penetration depth will be larger for the same angle of incidence. So, if it was d_1 for green one, d_2 will be for red one. So, for red one it will be larger, that way you can penetrate more and more into the medium when you increase the wavelength.

This can also happen with theta. So, for smaller value of theta when you are very close to the critical angle you will have large penetration depth - close to infinite. As you increase theta from critical angle to 90 degrees, it will start decreasing slowly. So, since we arrived here, now let us see the what we can do with it.

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Fresnel Equations at Total Internal Reflection

Using Fresnel formulae we get:

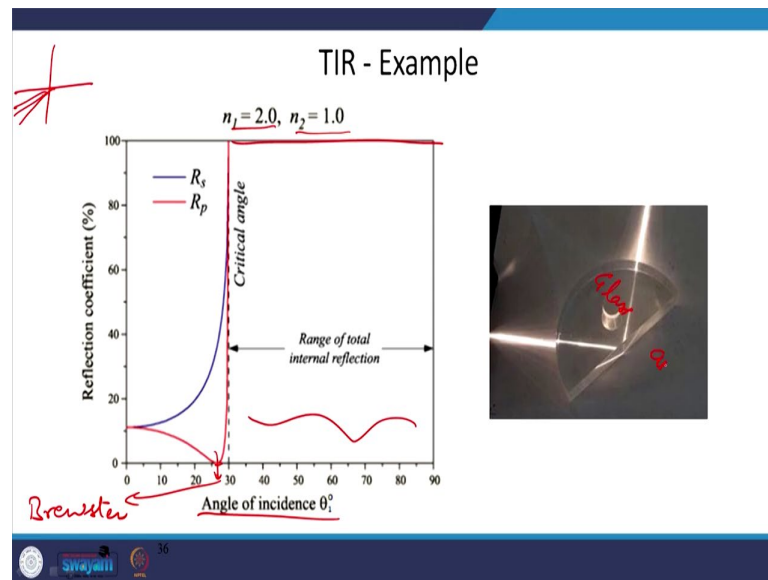
$$r_p = \frac{n_2^2 \cos \theta_1 - i \sqrt{\sin^2 \theta_1 - n_{12}^2}}{n_2^2 \cos \theta_1 + i \sqrt{\sin^2 \theta_1 - n_{12}^2}} \quad r_s = \frac{\cos \theta_1 - i \sqrt{\sin^2 \theta_1 - n_{12}^2}}{\cos \theta_1 + i \sqrt{\sin^2 \theta_1 - n_{12}^2}}$$

The phase:

$$\left\{ \begin{array}{l} \tan \frac{\delta_p}{2} = -\frac{\sqrt{\sin^2 \theta_1 - n_{12}^2}}{n_2^2 \cos \theta_1} \\ \tan \frac{\delta_s}{2} = -\frac{\sqrt{\sin^2 \theta_1 - n_{12}^2}}{\cos \theta_1} \end{array} \right\} \tan \frac{\delta_p - \delta_s}{2} = \frac{\cos \theta_1 \sqrt{\sin^2 \theta_1 - n_{12}^2}}{\sin^2 \theta_1}$$

Also, the Fresnel equations at the total internal reflection change. So, you can always calculate what is r_p that we did already, and you can also see what happens to r_s - that is the s-polarized light; you can also what happens to the phase of the wave, how it changes. So, these are the relations you should derive at home - that is the homework for you.

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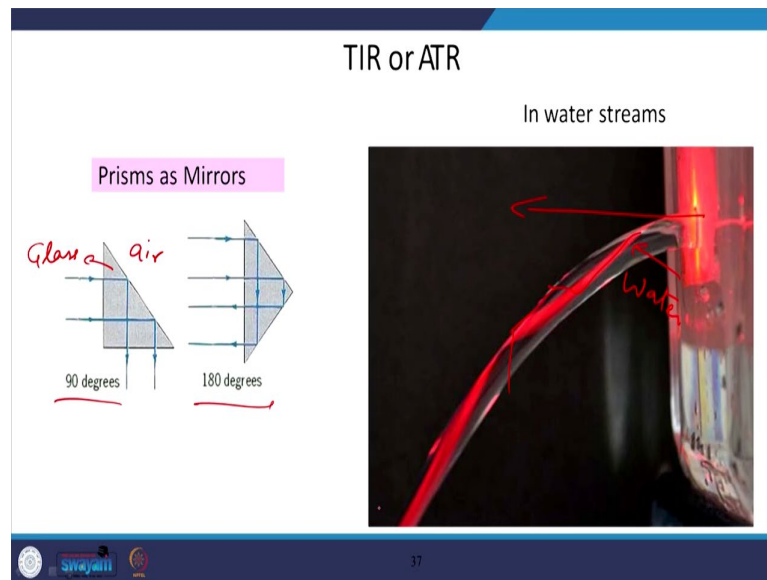


Let us see what happens. So, if you plot it - the reflection coefficient with an increase in angle of incidence, if you keep on increasing the angle of incidence, what happens in total? We saw that for r_s , there was no dip - that there was no Brewster angle and when we arrive to p-polarized light, we see that there is a dip and that is due to Brewster angle. And, we keep on increasing the angle of incidence then there arrives the condition that is called total internal reflection.

Critical angle – this is the critical angle for this configuration. So, when n_1 is equal to 2 and n_2 is equal to 1 and after the critical angle there is no light. So, there is 100 percent reflection. There is no transmission. It is like this, you get 100 percent. So, the reflection becomes maximum.

This is this is a region, which you call the region of total internal reflection. So, you can see, for example in this image, that when the light is falling on this, this is because of total internal reflection from, this is suppose a glass and this is air, and you can see that the change it all gets reflected for angles larger than the critical angle.

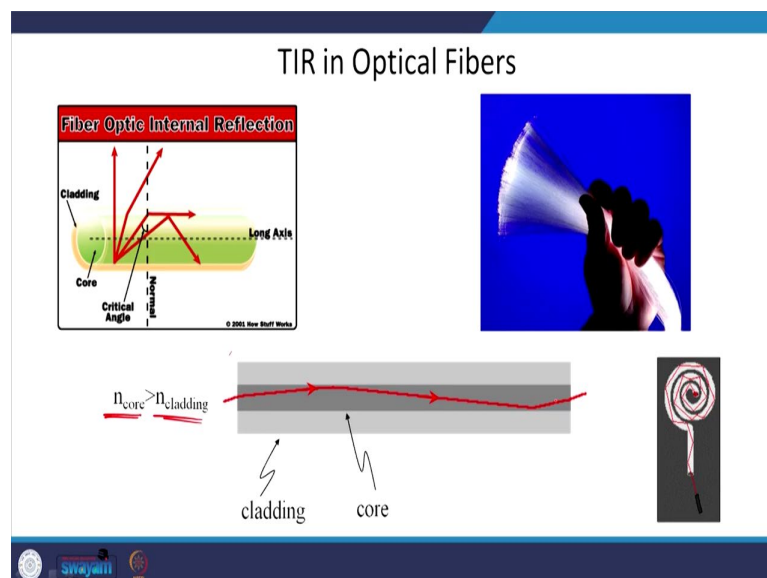
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It can also be used as mirror. You know this interface is - again this is glass and this is air and you can see that if it comes to ninety degrees it can have a phase change of 180 degrees. In water streams also, light gets trapped because of the total internal reflection.

This is a stream of water and then you have air surrounding this water and a laser light is incident from the back side and it rather penetrating like this, it gets bent, again and again and again and again. So, this is called total internal reflection or attenuated total reflection. Why attenuated I will come to that.

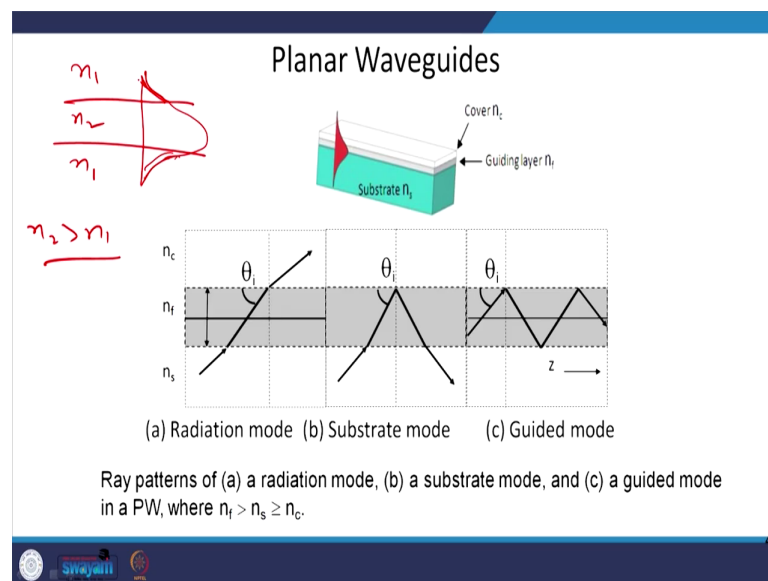
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It can also be used in optical fibers. An optical fiber is basically a cylinder of glass which is surrounded by another glass which has a refractive index slightly smaller than the refractive index of the core glass. For example, this is a core and then surrounding it is a cladding and, the refractive index of the core is slightly larger than the refractive index of the cladding. One can see that the rays again which have an angle larger than the critical angle because of this slight change in the refractive index from higher to lower, they get total internally reflected.

All the rays other than this are just radiated out. So, these are called radiation modes of the fiber, while those which get guided into it are called guided modes of the optical fiber. So, light can travel through an optical fiber because of this basic principle of total internal reflection. You can see a bundle of optical fiber which can send light from here to remote places and it can also be bent. So, that is a very beautiful use of optical fibers in communication these days.

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We can also make planar wave guides where because of the total internal reflection suppose it is a waveguide where you have n_1 and n_2 , n_2 is greater than n_1 . So, for angles larger than the critical angle, you can get the light in it and then it will have an evanescent field which is travelling and here you will have a mode while here you will have a field which is decaying exponentially because of the evanescent wave.

So, that is all for the present lecture. We will see how to use it for sensing in the next lecture.

Thank you.