

Fiber Optics
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Lecture – 16
Electromagnetic Analysis of Waveguides- VI

After having evaluated the modal fields and propagation constants of the modes of asymmetric planar dielectric waveguide, now in this lecture let us find out how much power is associated with a mode, how much energy these modal fields carry as they propagate along the waveguide.

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Power Associated with Modes

TE-modes
 Non-vanishing components: E_y, H_x, H_z

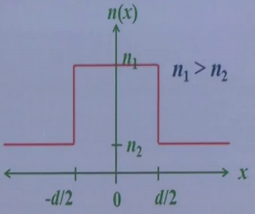
Intensity of an em wave \rightarrow **Poynting vector**

Poynting vector $\vec{S} = \vec{E} \times \vec{H}$

Average Intensity $\langle \vec{S} \rangle = \langle \vec{E} \times \vec{H} \rangle$

While calculating the intensity we must take the real part of \vec{E} and \vec{H}

$\therefore \epsilon_y = E_y(x) \cos(\omega t - \beta z)$



So, we will do the analysis for TE-modes we are talking about. And for this waveguide, this refractive index profile and propagation direction is Z the non-vanishing components of electric and magnetic field for TE-modes are so E_y, H_x and H_z .

We know that the intensity of an em wave is given by pointing vector. So, we now need to find out what is the pointing vector corresponding to these fields; the modal fields. The pointing vector is given by S is equal to E cross H . And since we are talking about electromagnetic waves in optical frequency range, so E is fluctuating with a frequency of something like 10^{15} hertz and so the magnetic field that is. Therefore, S is also fluctuating at a very rapid rate. Any detector even though it is very fast detector

cannot record such rapid fluctuations and so our eye. So, what we record is basically the average value- time averaged value.

So, we will find out what is the average intensity by taking the average of E cross H; time average of E cross H. And since intensity is a real quantity, so while calculating intensity we must take the real parts of E and H. So, for TE-modes I know that E y is defined as E y of x e to the power i omega t minus beta Z. So, its real part would be E y of x cosine omega t minus beta Z.

So, I have E y what is left is H x and H z so that I can find out the pointing vector and therefore, the intensity.

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TE-modes : non-vanishing components E_y, H_x, H_z

$$\bar{E}_y = E_y(x) \cos(\omega t - \beta z)$$

$$\therefore \nabla \times \bar{E} = -\mu_0 \frac{\partial \bar{H}}{\partial t} \rightarrow \begin{bmatrix} \hat{x} & \hat{y} & \hat{z} \\ \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \end{bmatrix} = -\mu_0 \frac{\partial}{\partial t} \begin{bmatrix} \mathcal{H}_x \\ \mathcal{H}_y \\ \mathcal{H}_z \end{bmatrix}$$

$$\frac{\partial \bar{E}_y}{\partial z} = -\mu_0 \frac{\partial \mathcal{H}_x}{\partial t} \rightarrow \frac{\partial \mathcal{H}_x}{\partial t} = \frac{\beta}{\mu_0} E_y \sin(\omega t - \beta z) \rightarrow \mathcal{H}_x = -\frac{\beta}{\omega \mu_0} E_y \cos(\omega t - \beta z)$$

$$\frac{\partial \bar{E}_y}{\partial x} = -\mu_0 \frac{\partial \mathcal{H}_z}{\partial t} \rightarrow \frac{\partial \mathcal{H}_z}{\partial t} = -\frac{1}{\mu_0} \frac{dE_y}{dx} \cos(\omega t - \beta z) \rightarrow \mathcal{H}_z = -\frac{1}{\omega \mu_0} \frac{dE_y}{dx} \sin(\omega t - \beta z)$$

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So E y is this, how do I find out corresponding H x and H z? Well, I know how H and E are related through Maxwell's equations. It is del cross E is equal to minus mu naught del H over del t. So, if I expand this in matrix form it would look like this. And in E I know E x is equal to 0 and E Z is equal to 0 only E y is non-vanishing for TE-modes. So, from here I can find out what is H x H y and H z. H y is not there of course, in case of TE-modes so H x and H Z I can get from here. So, from here I get if I take the x component del E y over del Z is equal to with a negative sign is equal to minus mu naught del H x over del t, and E y is given by this. This gives me del H x over del t is equal to beta over mu naught E y sin omega t minus beta Z.

If I integrate this I can get H x; integrate this with respect to time so I get H x is equal to minus beta over omega mu naught E y cosine of omega t minus beta Z. Similarly, if I take Z component from here then I get del E y over del x is equal to minus mu naught del H Z over del t. And if I differentiate this with respect to x I get del H Z over del t is equal to minus 1 over mu naught d E y over d x cosine omega t minus beta Z integrating it over time will give me H z; which comes out to be minus 1 over omega mu naught d E y over d x sin omega t minus beta Z.

So, I have all the three components in place corresponding to TE-modes. So now, we are ready to calculate the pointing vector.

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Poynting Vector

$$\vec{S} = \vec{E} \times \vec{H} = \begin{bmatrix} \hat{x} & \hat{y} & \hat{z} \\ \mathcal{E}_x & \mathcal{E}_y & \mathcal{E}_z \\ \mathcal{H}_x & \mathcal{H}_y & \mathcal{H}_z \end{bmatrix} \rightarrow \begin{bmatrix} \mathcal{S}_x \\ \mathcal{S}_y \\ \mathcal{S}_z \end{bmatrix} = \begin{bmatrix} \hat{x} & \hat{y} & \hat{z} \\ 0 & \mathcal{E}_y & 0 \\ \mathcal{H}_x & 0 & \mathcal{H}_z \end{bmatrix}$$

$$\langle \mathcal{S}_x \rangle = \langle \mathcal{E}_y \mathcal{H}_z \rangle = \left\langle -\frac{1}{\omega\mu_0} E_y(x) \frac{dE_y}{dx} \cos(\omega t - \beta z) \sin(\omega t - \beta z) \right\rangle = 0$$

$$\langle \mathcal{S}_y \rangle = 0$$

$$\langle \mathcal{S}_z \rangle = \langle -\mathcal{E}_y \mathcal{H}_x \rangle = \left\langle \frac{\beta}{\omega\mu_0} E_y^2(x) \cos^2(\omega t - \beta z) \right\rangle = \frac{\beta}{2\omega\mu_0} E_y^2(x)$$

Pointing vector is given as E cross H, so I fill in the values here now. So, S x S y S Z in matrix form I can write it like this; these are the non-vanishing components of electric and magnetic fields for TE-modes E y H x and H z. And from here I can find out what is S x S y and S z; that is x y and Z components of the pointing vector. From here I get S x is equal to E y H Z minus 0. So, average value of S x would be average value of E y H z. And if I put E y H Z as I had calculated in the previous slide so this comes out to be like this. And in this, what I see of vector here cosine omega t minus beta Z multiplied by sin omega t minus beta Z, ok.

So, this is something like half of sin 2 omega t minus 2 beta Z. So, this is fluctuating and if I average it over a complete cycle for any value of Z the average value would be 0; the

time average would be 0. So, this gives me 0. So, x component of pointing vector; the average value of x component of pointing vector comes out to be 0.

Now let me evaluate S y: S y is clearly 0 from here itself and S Z if I calculate S z, S Z will give you minus E y H x. So, now I substitute for E y and H x. So, I get beta over omega mu naught E square of x cosine square omega t minus beta Z. I know for any value of Z the time average of cosine square omega t would be equal to half. So, this gives me beta over 2 omega mu naught E y square of x.

So, what do I see? I see that for TE-modes the average value of S x and S y is 0 and I get the average value of only Z component. And this is obvious also, this is understandable, because my mode is propagation propagating in Z direction so it should carry energy along Z direction. So, this is the intensity. So, if I know the modal field that is E y of x then I can find out the intensity. If I integrated over the entire area then I can get the power associated with the mode.

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Intensity $\bar{S}_z = \frac{\beta}{2\omega\mu_0} E_y^2(x)$

Power (per unit length in y-direction)

$$P = \frac{\beta}{2\omega\mu_0} \int_{-\infty}^{\infty} E_y^2(x) dx$$

Let us consider the case of symmetric modes

$$E_y(x) = \begin{cases} A \cos kx, & |x| < d/2 \\ C e^{-\gamma|x|}, & |x| > d/2 \end{cases}$$

$$\therefore P = \frac{\beta}{2\omega\mu_0} 2 \int_0^{\infty} E_y^2(x) dx = \frac{\beta}{2\omega\mu_0} 2 \left[\int_0^{d/2} A^2 \cos^2 kx dx + \int_{d/2}^{\infty} C^2 e^{-2\gamma x} dx \right]$$

The diagram shows a refractive index profile $n(x)$ versus x . It features a central core of width d with refractive index n_1 , and cladding regions with refractive index n_2 . The condition $n_1 > n_2$ is noted. The x-axis is marked at $-d/2$, 0 , and $d/2$.

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So, intensity is this, ok. To find out power I should integrate it over the area, over the transverse cross section. The mode is propagating in Z direction so the transverse plane is x y plane, but why is also extended to infinity. So I cannot integrate it over y, I can integrate it only over x. So, in the case of planar waveguide I cannot have power in terms of watts, but I can have only power per unit length in y direction, because I can integrate it only over x.

So, I get P is equal to beta over 2 omega mu naught integration E y square x d x from minus infinity to plus infinity. And this will give me power per unit length in y direction in the units of watts per meter. So, now, if I know E y of x in the entire region I can find this out. So, let us consider the case of symmetric modes to evaluate this. The modal field for symmetric modes is given by A cosine kappa x for mode x less than d by 2 and C e to the power minus gamma mode x for mode x greater than d by 2.

So, let me substitute this into this expression. So I get, and I also make use of the fact that this is symmetric mode. So, this integral from minus infinity to plus infinity can be written as 2 times 0 to infinity. So, I make use of that and then substitute E y of x, then I get beta over 2 omega mu naught 2 times 0 to d by 2 A square cosine square kappa x d x plus d by 2 to infinity C square e to the power minus 2 gamma x d x.

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$$P = \frac{\beta}{2\omega\mu_0} 2 \left[\int_0^{d/2} A^2 \cos^2 \kappa x \, dx + \int_{d/2}^{\infty} C^2 e^{-2\gamma x} \, dx \right]$$

$$= \frac{\beta}{2\omega\mu_0} 2A^2 \left[\int_0^{d/2} \frac{1 + \cos 2\kappa x}{2} \, dx + \frac{C^2}{A^2} \int_{d/2}^{\infty} e^{-2\gamma x} \, dx \right]$$

$$= \frac{\beta}{2\omega\mu_0} A^2 \left[\frac{d}{2} + \frac{\sin \kappa d}{2\kappa} + \frac{1}{\gamma} \cos^2 \frac{\kappa d}{2} \right] \quad \left[\because A \cos \frac{\kappa d}{2} = C e^{-\gamma d/2} \right]$$

$$= \frac{\beta}{2\omega\mu_0} \frac{A^2}{2} \left[d + \frac{2}{\gamma} + \frac{2 \cos \frac{\kappa d}{2} \sin \frac{\kappa d}{2}}{\gamma \kappa} \left(\gamma - \kappa \tan \frac{\kappa d}{2} \right) \right]$$

$$P = \frac{\beta}{2\omega\mu_0} \frac{1}{2} A^2 \left[d + \frac{2}{\gamma} \right]$$

So, let me evaluate this integral while using the boundary conditions also, because I need to relate C to A. So, here I simplify this as- I take A square outside and cosine square kappa x can be written as 1 plus cosine 2 kappa x by 2 and the C square comes out to C square over A square integral d by 2 to infinity e to the power minus 2 gamma x d x.

And since, from boundary conditions I know A cosine kappa d by 2 would be equal to C times e to the power minus gamma d by 2. So, from here I will get C over A which I substitute here and evaluate this integral which is very simple e to the power minus 2 gamma x divided by minus 2 gamma and then I put the limit. So, when I simplify this

what I get; I get d by 2 from here and $\sin \kappa d$ over 2κ from here and 1 over $\gamma \cos^2 \kappa d$ by 2 from this term. This I can further simplify. So, I take this vector to outside, so this becomes d and this becomes 2 over γ ; $\cos^2 \kappa d$ by 2 can be written as $1 - \sin^2 \kappa d$ by 2 . So, this 2 over γ which is associated with 1 comes out here and the rest of the terms I can write as $\sin \kappa d$ as $2 \cos \kappa d$ by $2 \sin \kappa d$ by 2 , and this I take common.

So, in the bracket inside I will be left with the term which goes as $\gamma - \sin^2 \kappa d$ by 2 . It would be clear if you do this little mathematics. And why I have done in this fashion because I can see this is nothing but the transcendental equation. So this has to be 0 , because γ is equal to $\kappa \tan \kappa d$ by 2 . So, if this is 0 this whole thing goes out and I get a very neat expression for power associated with the symmetric modes. And it comes out to be β over $2 \omega \mu_0$ naught half A^2 times d plus 2 over γ .

I can remember it in a very interesting way. And it is interesting to see that this term comes out to be the area of triangle under this curve. So, how you see that P is equal to β over $2 \omega \mu_0$ naught times integral E_y^2 of x $d x$.

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$$P = \frac{\beta}{2\omega\mu_0} \int_{-\infty}^{+\infty} E_y^2(x) dx$$

$$e^{i\omega t - i\Delta\beta z}$$

$$z = \frac{\pi}{\Delta\beta}, \quad e^{-i\Delta\beta z} = e^{-i\pi}$$

So, P is β over $2 \omega \mu_0$ naught integral minus infinity to plus infinity E_y^2 of x $d x$. So, if I find out the area under this E_y^2 of curve then let us see what do I get. So, if this is E_y^2 as a function of x then this is nothing but A^2 , this is

waveguide width. And field extends into n_2 regions by distance $1/\gamma$ on either side. So, if I make a triangle which has height A^2 and base as $d + 2/\gamma$, then the area of this triangle is simply half A^2 times $d + 2/\gamma$. So, this is interesting that this comes out to be like this.

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TM-modes

$$P = \frac{\beta}{2\omega\epsilon_0 n^2} \frac{1}{2} A^2 \left[d + \frac{2(n_1 n_2)^2 k_0^2 (n_1^2 - n_2^2)}{\gamma (n_2^4 k^2 + n_1^4 \gamma^2)} \right]$$

For TM-modes I can do the same analysis and find out the power associated with TM-modes and it is given by this. Although, I had found out the power by taking the example of symmetric modes this expression, this expression, and this expression, these expressions are valid for antisymmetric modes also. This can be proved and this can be evaluated. So, this is how I can get power associated with the mode and these powers are in watts per meter; power per unit length in y direction.

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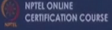
Example
 [adapted from: Introduction to Fiber Optics, Ghatak and Thyagarajan, Cambridge University Press]

Planar symmetric waveguide
 $n_1 = 1.5, n_2 = 1.48, d = 3.912 \mu\text{m}$
 $\lambda_0 = 1 \mu\text{m}, \beta(\text{TE}_0) = 9.4058 \mu\text{m}^{-1}$ and $\beta(\text{TE}_1) = 9.3525 \mu\text{m}^{-1}$
 At $z = 0$, the electric field in the guiding film is given by
 $E_y(x) = 1.375 \times 10^4 \cos \kappa_0 x e^{i\omega t} + 1.309 \times 10^4 \sin \kappa_1 x e^{i\omega t}$ V/m
 What is the power carried by each mode? [take $\mu_0 = 4\pi \times 10^{-7}$ MKS units]

$$P = \frac{\beta}{2\omega\mu_0} \frac{1}{2} A^2 \left[d + \frac{2}{\gamma} \right] \quad \omega = 2\pi c/\lambda_0 = 1.885 \times 10^{15} \text{ rad/s}$$

$$\gamma = \sqrt{\beta^2 - k_0^2 n_2^2} \quad \gamma_0 = 1.4126 \mu\text{m}^{-1}, \gamma_1 = 0.9979 \mu\text{m}^{-1}$$

$\rightarrow P_0 = 1 \text{ W/m}$ and $P_1 = 1 \text{ W/m}$

Let us work out some examples. This is the example adopted from introduction to fiber optics by Ghatak and Thyagarajan. Where I have a planar symmetric waveguide with n_1 is equal to 1.5, n_2 is equal to 1.48, and d is equal to 3.912 micrometer. At λ_0 is equal to 1 micrometer β for TE 0 mode is this and β for TE 1 mode is this; it supports two modes at 1 micrometer wavelength. If at Z is equal to 0 the electric field in the guiding film is given by this.

So, you see I have TE 0 mode and TE 1 mode, added Z is equal to 0 I excite both the modes with different amplitudes. This mode is excited with this amplitude, TE 0 mode is excited with this amplitude and TE 1 mode is excited with this amplitude. So, the total field at Z is equal to 0 is this much volts per meter.

Then what is the power carried by each mode? You can take μ_0 is equal to this. So, I know the power carried by a mode is given by this. So, what I need to know; I need to know what is the β for that mode, what is the amplitude of that mode, what is γ for that mode. And of course, I need to know what is ω and ω is nothing but $2\pi c$ over λ_0 , since λ_0 is given to you so you can immediately calculate the value of ω .

Then you find out γ β is already given to you, A is already given to you. γ you can find out from square root of β^2 minus $k_0^2 n_2^2$. So, for TE 0 mode this γ comes out to be 1.4126 micrometer inwards, for TE 1 mode

gamma comes out to be 0.9979 micrometer inwards. And if you put these values into this expression you will find that for TE 0 mode the power associated comes out to be 1 watt per meter and for TE 1 mode also you find out that the power comes out to be 1 watt per meter. In fact, these amplitudes have been adjusted in such a way that both the modes carry unity power.

When the amplitudes are adjusted in such a way then they are power normalized. If you remember that when we found out the modal fields we had retained A only and v related C to A and said that A can be found out by normalization. This is one way of normalization that you find out the value of A in such a way that that the modal field carries unity power. So, these are power normalized modes.

Let me take another interesting example of again a symmetric planar waveguide which supports two modes: TE 0 and TE 1. Their propagation constants are beta 0 and beta 1 and electric field amplitudes are A 0 and A 1.

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Example

A symmetric planar waveguide supports TE₀ and TE₁ modes with corresponding propagation constants β_0 and β_1 , and electric field amplitudes A_0 and A_1 , respectively.


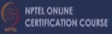
If $\Delta\beta = \beta_0 - \beta_1$, and z is the direction of propagation calculate total intensity in the guiding film

(a) at $z = 0$ (b) at $z = \pi / \Delta\beta$ (c) at $z = 2\pi / \Delta\beta$

Solution

(a) at $z = 0$ $E_y(x) = A_0 \cos \kappa_0 x + A_1 \sin \kappa_1 x$ V/m $I(x) = \alpha [A_0 \cos \kappa_0 x + A_1 \sin \kappa_1 x]^2$
↪ constant

(b) at $z = \pi / \Delta\beta$ the two modes are π out of phase $\therefore I(x) = \alpha [A_0 \cos \kappa_0 x - A_1 \sin \kappa_1 x]^2$



9

If the difference in their propagation constants is defined by delta beta and Z is the direction of propagation, then what would be the total intensity in the guiding film at different values of z. First one at Z is equal to 0, second Z is equal to pi over delta beta, and third Z is equal to 2 pi over delta beta. So, what do I see here at Z is equal to 0 the total field would be A 0 cosine kappa 0 x, where kappa 0 you can find from beta 0 plus A

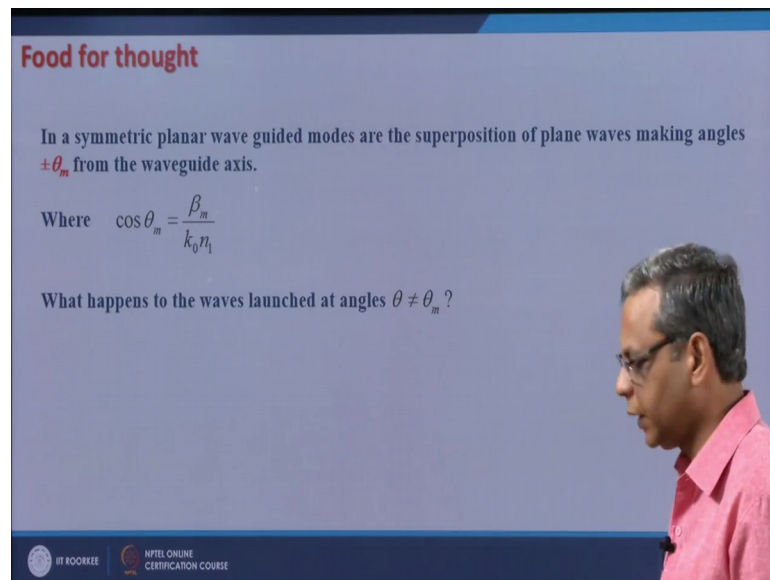
$\sin \kappa_1 x$ where κ_1 can be found out from β_1 . So, at Z is equal to 0 this would be the total field.

As these fields propagate it will go with propagation constant e to the power $i \beta_0 z$, this will go as e to the power $i \beta_1 z$. So, as they propagate in Z direction they would be a phase shift accumulated between them; a phase difference accumulated between them. And that phase difference would be $\Delta \beta Z$. So, you will have e to the power $i \Delta \beta Z$. And I know that at Z is equal to π over $\Delta \beta$ then this e to the power $i \Delta \beta Z$ would simply be e to the power $i \pi$; which means that these two modes would be π out of phase. And if we are doing in the way e to the power $i \omega t$ plus then it should be minus, so we can have this form of expression. So, instead of plus $i \beta Z$, because I am doing it in such fashion so I can retain the same convention.

So, the thing is that the two modal fields will be π out of phase when they traverse this distance. The intensity is nothing but the field square, so intensity would be some α times E_y square. So, this would be at Z is equal to 0, but at Z is equal to π over $\Delta \beta$ the total field would be this minus this, this minus this because they are π out of phase. So, the intensity would be this much. While at Z is equal to 2π over $\Delta \beta$ it would be 2π phase shifted 2π phase shifted means there is no phase shift. So, your intensity would again be this.

And the analysis of guided modes of a planar symmetric waveguide with food for thought I had said that.

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Food for thought

In a symmetric planar wave guided modes are the superposition of plane waves making angles $\pm\theta_m$ from the waveguide axis.

Where $\cos \theta_m = \frac{\beta_m}{k_0 n_1}$

What happens to the waves launched at angles $\theta \neq \theta_m$?

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In a symmetric planar waveguide the guided modes are the superposition of plane waves making angles plus minus theta m from the waveguide axis. Where the angles are given by $\cos \theta_m$ is equal to β_m over $k_0 n_1$. So, if I launched two plane waves at angles plus minus theta 0 then I excite the TE 0 mode; then TE 0 mode is excited and the pattern corresponding to TE 0 mode is found and it goes along the waveguide and it sustains its shape.

Similarly, if I excited plus minus theta 1 TE 1 mode is there. The question is what happens if the waves are launched at angles which do not correspond to these guided modes; the angles corresponding to these guided modes please think about it.

And in the end I complete this analysis of planar symmetric waveguide by briefly mentioning the radiation modes.

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RADIATION MODES

$n_{\text{eff}} = n_2$ or $\beta < k_0 n_2$

TE-modes

$$\frac{d^2 E_y}{dx^2} + [k_0^2 n^2(x) - \beta^2] E_y = 0$$

For $|x| < d/2$, $\frac{d^2 E_y}{dx^2} + \underbrace{[k_0^2 n_1^2 - \beta^2]}_{\kappa^2} E_y = 0$

For $|x| > d/2$, $\frac{d^2 E_y}{dx^2} + \underbrace{[k_0^2 n_2^2 - \beta^2]}_{\delta^2} E_y = 0$

- ✓ oscillatory solutions in all the regions
- ✓ energy is carried up to infinity in x-direction
- ✓ energy radiates out in the n_2 region
- ✓ continuum of modes

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I have seen that if beta lies between $k_0 n_2$ and $k_0 n_1$ or $n_{\text{effective}}$ lies between n_2 and n_1 then I have guided modes. If beta is less than $k_0 n_2$ then $n_{\text{effective}}$ is less than n_2 or beta is less than $k_0 n_2$ then what will happen. If I write down the wave equation for TE-modes and then I write it down in both the regions, in this region and in this region then for mode $|x| < d/2$ I have this equation $\beta < k_0 n_2$ and hence beta is also less than $k_0 n_1$. So, κ^2 is positive.

Now, in the region for mode $|x| > d/2$ I would have $\frac{d^2 E_y}{dx^2} + [k_0^2 n_2^2 - \beta^2] E_y = 0$. And since beta is smaller than $k_0 n_2$ then if I define this as δ^2 and δ^2 would be positive. And what I will have? I will have oscillatory solutions here as well as here. So, everywhere I will have oscillatory solutions; which means that energy is carried up to infinity in x direction that is energy radiates out in the n_2 region. And these kinds of modes are known as radiation modes because the energy radiates out corresponding to these modes, and they form continuum they are not discrete modes. So, they form continuum of modes.

So, with this finish the analysis of planar waveguide, symmetric planar waveguide, whatever we have learned about the modes, modal fields, and the procedure of finding

out the modes would be very useful when we will do a more complicated structure such as optical fiber.

Thank you.