

FOUNDATIONS OF QUANTUM THEORY: NON-RELATIVISTIC APPROACH

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Open Quantum Systems: Quantum Trajectory Approach

In this lecture, we will talk about the quantum trajectory approach to solve the Lindblad master equation. This is a very powerful technique when we want to solve a system which is very large in dimension, so that it's difficult to solve it in the form of density matrices. So, this provides the alternate way of solving the master equation and it goes like this. Start with the Lindblad master equation. It is $\dot{\rho} = -i[H, \rho] + \sum_n \gamma_n L_n \rho L_n^\dagger - \frac{1}{2} \sum_n \gamma_n (L_n^\dagger L_n + L_n L_n^\dagger) \rho$ and numerator.

So, here H is the system Hamiltonian. I'm not writing the subscript S . L_n are the Lindblad operators. γ_n are the coefficients of the Lindblad operators. And ρ is the state of the density matrix of the system. So, first we notice that $\dot{\rho}$, the time derivative of the density matrix.

By definition, the derivative can be written as $\lim_{\Delta t \rightarrow 0} \frac{\rho(t + \Delta t) - \rho(t)}{\Delta t}$. That is, by definition, the derivative of a function or operator can be written in this form, where Δt tends to 0. But as an approximation, we can say that $\dot{\rho}$ that is the time derivative is approximately equal to $\frac{\rho(t + \Delta t) - \rho(t)}{\Delta t}$ if Δt is chosen small enough. That small enough will come with experience we need to try different values of Δt and see if we are getting absurd answers or not if the answers which we are getting they are fine then probably Δt which we have chosen should be fine this way of dealing with the derivative of a function this is called finite difference method and this is used in numerical simulations very often. Now, using this, we can see that the Lindblad master equation can be written as $\frac{\rho(t + \Delta t) - \rho(t)}{\Delta t} = -i[H, \rho] + \sum_n \gamma_n L_n \rho L_n^\dagger - \frac{1}{2} \sum_n \gamma_n (L_n^\dagger L_n + L_n L_n^\dagger) \rho$ anti commutator. So, here when we say ρ this is a time t .

(Refer slide time: 3:11)

Quantum Trajectories

$$\dot{\rho} = -i[H, \rho] + \sum_n \gamma_n \left[L_n \rho L_n^\dagger - \frac{1}{2} \{L_n^\dagger L_n, \rho\} \right]$$

$$\rightarrow \dot{\rho} \equiv \frac{d\rho}{dt} = \lim_{\Delta t \rightarrow 0} \left(\frac{\rho(t+\Delta t) - \rho(t)}{\Delta t} \right)$$

$$\dot{\rho} \simeq \frac{\rho(t+\Delta t) - \rho(t)}{\Delta t}$$

$$\simeq \frac{\rho(t+\Delta t) - \rho(t)}{\Delta t} = -i[H, \rho] + \sum_n \gamma_n \left[L_n \rho L_n^\dagger - \frac{1}{2} \{L_n^\dagger L_n, \rho\} \right]$$

Okay we are choosing it to be at time t not t plus Δt , so, from here we can write ρ at t plus Δt as ρ of t plus Δt times the whole of the right hand side. Let me repeat first, what we have done is first we replace the time derivative with the finite difference method finite difference derivative and then we write ρ of t plus Δt in terms of ρ of t and Δt from here we can see that this right hand side can be written as ρ of t plus Δt that is minus $i \Delta t H$ commutator ρ of t , so, on the right hand side everything is ρ of t so we don't need to write it again and again this will just make the expression cumbersome so we just write ρ so on the right left hand side we have ρ of t plus Δt and on the right hand side we have ρ of t plus sum over n $\Delta t \gamma_n L_n \rho L_n^\dagger$ minus half $\Delta t L_n^\dagger L_n \rho$.

(Refer slide time: 5:46)

$$= \rho(t+\Delta t) = \rho(t) + \Delta t \left[-i[H, \rho] + \sum_n \gamma_n \left(L_n \rho L_n^\dagger - \frac{1}{2} \{L_n^\dagger L_n, \rho\} \right) \right]$$

$$= \rho - i \Delta t [H, \rho] + \sum_n \Delta t \gamma_n \left(L_n \rho L_n^\dagger - \frac{1}{2} \{L_n^\dagger L_n, \rho\} \right)$$

$$= \rho + \Delta t \left[(-iH - \frac{1}{2} \sum_n \Delta t \gamma_n L_n^\dagger L_n) \rho + \rho (-iH - \frac{1}{2} \sum_n \Delta t \gamma_n L_n^\dagger L_n) \right]$$

This thing can be written as ρ plus Δt minus $i H$ plus or minus half sum over n $\Delta t \gamma_n L_n^\dagger L_n \rho$ minus $i H$ minus ρ times minus $i H$ minus half sum over n

$\delta t \sum_n \gamma_n L_n^\dagger L_n$. Is that correct? No, there is a plus sign. So, plus and there is a minus sign here.

So, you see a minus $i H \rho$ and $\rho i H$ that is the commutator of H with ρ with minus i outside and minus half sum over $n \delta t \sum_n \gamma_n L_n^\dagger L_n \rho$ minus half $\rho \delta t \sum_n \gamma_n L_n^\dagger L_n$ is the anticommutator of ρ with $L_n^\dagger L_n$. Plus we have $\delta t \sum_n \gamma_n \rho L_n^\dagger L_n$. So, our ρ of t plus δt can be written in this form. And we can call this term here as minus i times H effective. So, that H effective becomes H plus or minus i over $2 \sum_n \delta t \sum_n \gamma_n L_n^\dagger L_n$.

This is a non-Hermitian Hamiltonian. This is a non-Hermitian Hamiltonian which characterizes the evolution of the open quantum system including the dissipation. Here in the Hamiltonian, this part is the imaginary part. This is the non-Hermitian part and this is causing the damping or the dissipation in the system. In the similar spirit, here we can say that this is a non-Hermitian Hamiltonian which characterizes the dissipative dynamics of the open quantum system.

With that we can write ρ of t plus δt as ρ of t minus $i \delta t H$ effective ρ minus $i \delta t \rho H$ effective dagger plus sum over $n \gamma_n L_n \rho L_n^\dagger$. So, in this way, our Lindblad master equation looks like this in the finite time step evolution, discrete time evolution. In fact, we can actually write ρ of t plus δt as sum over n , n is from 0 to capital N , $K_n \rho$ of t K_n^\dagger , where K_n depends on t and δt . So, at the time when we are applying this K_n , it depends on that time and the time step δt . So, in that way, we can write the evolution, the infinitesimal or small time evolution of the state as a in terms of operator sum representation. Operator sum representations, we have discussed it in In quantum maps also and in measurements also.

(Refer slide time: 8:11)

$$H_{\text{eff}} = H - \frac{i}{2} \sum_n \delta t \gamma_n (L_n^\dagger L_n)$$

→ non-Hermitian Hamiltonian.

$$\rho(t+\delta t) = \rho(t) - i \delta t H_{\text{eff}} \rho - i \delta t \rho H_{\text{eff}}^\dagger + \sum_n \gamma_n L_n \rho L_n^\dagger$$

So, this is a completely positive map and we can see one step of evolution, one discrete time evolution δt from t to t plus δt in terms of this K_n 's where K_0 is identity minus $i \delta t$ times H effective and K_n is square there is a δt also here square root

of $\delta t \gamma_n L_n$ and in this representation we are discarding the δt^2 term. So, what we have done now is we have represented the Lindblad master equation or the solution of that in terms of a small time step evolution and that evolution is governed by the cross operators K_n where n is from 0 to N , capital N and they are given by the effective Hamiltonian which is non-Hermitian Hamiltonian and the Lindblad operator. Now, how does this help us solve the dynamics of the quantum system? We can use it to solve the dynamics of a quantum system in a stochastic in a numerical method, in a numerical way as follows.

(Refer slide time: 11:37)

$$\rho(t + \delta t) = \sum_{n=0}^N K_n \rho(t) K_n^\dagger + O(\delta t^2)$$

$$K_n = K_n(t, \delta t)$$

$$K_0 = (1 - \delta t H_{\text{eff}})$$

$$K_n = \sqrt{\gamma_n} L_n$$

$$\{ |\psi_n\rangle \}_{n=0}^N$$

$$\rightarrow |\psi(0)\rangle \quad \rho(0) = |\psi(0)\rangle \langle \psi(0)|$$

$$\rightarrow T = M \delta t$$

$$\rho(T) = \sum_n K_n(T) \rho(0) K_n^\dagger(T)$$

Let us say our initial state is $\psi(0)$. It is a pure state. So, the $\rho(0)$ is $|\psi(0)\rangle \langle \psi(0)|$. Now, we have time, which is discretized. So, we have total time t , which is capital N or some m times δt , where m is some integer, large integer.

So, now we will just talk about time t , which is t_n , which is n times δt . So, state at time δt will be sum over n key n at zero $\rho(0)$ $K_n(0)$ $\rho(0)$ $K_n^\dagger(0)$ so we will find K_n for at time t equals zero since our L_n let us take a simple case where L_n is time independent γ_n is time independent so K_n s are constant throughout. And the H_{eff} also is time independent so K_0 is also independent of time in the whole dynamics. So, this K_n will not change. So, this is sum over N . K_n , there is no time dependence here, $\rho(0)$, K_n^\dagger .

And from there, we can have $K(2\delta t)$, which is sum over N , $K_n \rho(\delta t) K_n^\dagger$. Similarly, $\rho(n\delta t)$ will be sum over n , $m\delta t$ sum over n , $K_n \rho(m\delta t) K_n^\dagger$. In that way, we can evolve the state in the discrete time from the start to the end. But here still we are using the density matrix at every instance of

time. So, what we can do is let us say we have the initial state is the pure state ψ of 0, we have discretized the time, so we have t equals 0 1 2 3 4 5 and so on now in the beginning our state was ψ of 0

And at the time step 1, our state will be ρ 1, sum over n , k_n , ψ of 0, ψ of 0, k_n dagger. But what we can do is we can say that the ψ of 0 pure state goes to another pure state, ψ bar of Δt in this evolution and this state ψ of Δt can be any of those, any of the states, k_n acting on ψ of 0 and the probability of this. This is not normalized state, this is the probability. And the probability P_n is given by ψ of 0, k_n dagger, k_n ψ of 0. So, let me repeat again, instead of Solving or instead of evolving the density matrix of the system in this fashion, what we can do is we can say that our initial pure state goes to another pure state after one time step evolution.

(Refer slide time: 14:22)

$$\rho(2\Delta t) = \sum_n |k_n \psi(\Delta t)\rangle \langle k_n|$$

$$\rho(\Delta t) = \sum_n |k_n \psi(0)\rangle \langle k_n|$$

Timeline diagram showing $|\psi(0)\rangle$ at $t=0$ and subsequent steps $\Delta t, 2\Delta t, 3\Delta t, \dots$ along a horizontal axis.

$$\rho(\Delta t) = \sum_n |k_n \psi(0)\rangle \langle k_n| \rightarrow$$

$$|\psi(0)\rangle \rightarrow |\bar{\psi}(\Delta t)\rangle = \left\{ \frac{k_n |\psi(0)\rangle}{\sqrt{P_n}} \right\}$$

$$P_n = \langle \psi(0) | k_n^\dagger k_n | \psi(0) \rangle$$

And this pure state is one of the states given by $k_n \psi$ of 0 after normalization. And the probability of this happening is given by P_n , which is the expectation value of k_n dagger k_n . The numerical simulation algorithm from here can be derived as follows. We start with the state ψ of 0, initial state. And then from here, we calculate the probabilities p_n given by ψ of 0, k_n dagger k_n , ψ of 0.

Now, these ψ of 0s are such that, the p_n 's are such that sum over n , p_n is 1. So, if we have a line between 0 and 1, then up to some point we will have p_0 . So, this space will be p_0 and then next space we have will be p_1 and then we will have p_2 and p_3 and p_4 and p_5 and so on. We can divide the line between 0 and 1 into segments of size given by p_n . Next, what we do is we find the random number r in the range 0 and 1 uniformly distributed.

(Refer slide time: 17:25)

$$b_n = \langle \psi(t) | K_n^\dagger K_n | \psi(t) \rangle$$

$$\rightarrow |\psi(t)\rangle \quad b_n = \langle \psi(t) | K_n^\dagger K_n | \psi(t) \rangle$$

$$\sum_n b_n = 1$$

$$\rightarrow \mathcal{R} = [0, 1] \rightarrow |\psi(t)\rangle \rightarrow \frac{K_r |\psi(t)\rangle}{\sqrt{P_r}} = |\psi(t)\rangle$$

$$\rightarrow |\psi(t_1)\rangle \rightarrow |\psi(t_2)\rangle \rightarrow |\psi(t_3)\rangle \dots$$

So, we get a random number between 0 and 1 uniformly. And that random number will be somewhere on this line. And let us say this correspond to p of k , the segment corresponding to p of k , that means in this trial, we got the outcome k of i shouldn't say k , let me say r here, r also, yes that is better. p of r so it means we our state ψ of 0 went to k of r acting on ψ of 0 divided by sum of square root of p of r . So, in this attempt our state ψ of 0 went to ψ of r which is k r acting on ψ of 0 . We repeat this process and then we our ψ of Δt that was Δt we got and then we evolve it using the same method.

And we get ψ of 2 times Δt . In this also we will draw a random number. Our probability distribution will change because our initial state has changed now for this attempt. So, we will rewrite the probability distribution. We draw a random number. We get a random number uniformly between 0 and 1.

(Refer slide time: 18:24)

$$P(k_n) = \sum_n K_n |\psi(t)\rangle \langle \psi(t) | K_n^\dagger \rightarrow$$

$$|\psi(t)\rangle \rightarrow |\psi(t)\rangle = \left\{ \frac{K_n |\psi(t)\rangle}{\sqrt{P_n}} \right\}$$

We find which, it corresponds to which probability and accordingly we apply the cross operator or K , on the state and we get ψ of $2t$ from there we get ψ of $3t$ and so on. In

that way we will get a sequence of states. So, we started from psi of 0, we got some state then we got some other state then some other state some other state at each other time and then will be a trajectory because of this and we end up getting some state psi of capital T. If we repeat this again from the beginning, in the next time, we may not get the same trajectory, we might get some other trajectory and some other final state. Let me put outside the attempt, this is the first attempt, this is the second attempt, then we can have another trajectory starting from the beginning. And we get psi of capital T in the third attempt and so on.

We can repeat this thing for a large number of times. And what we do at the end is, we start from psi of zero we get psi of t in the nth attempt and we construct rho of n which is psi of capital t out of product psi of capital t and we sum over it so rho final will be sum over n, psi of t, psi of t and divide by n, that number of trials, total number of trials. Let us say we had r number of trials. So, n is from 1 to r, r is the number of trials we have.

So, our final state will be the mixture of all the possible outputs we got in all the trials. And the claim is if delta t is small enough and r is large enough then rho final will be rho of t, what we were expecting from the exact solution, from the proper solution of the Lindblad equation. Let me repeat that if our initial state is a pure state, then we can solve the Lindblad equation using the finite difference method by evolving the pure state to pure state probabilistically. The probability of the jump is probability of the delta t evolution is determined by the Kraus operators we are using KN. And that can be used in a computer program to evolve the state psi of t to psi of t plus delta t. And if we repeat this thing, each sequence of this step will result in a trajectory in the phase space, in the state space giving us a different state at every time and we have a final state in this process.

(Refer slide time: 19:57)

$|\psi(0)\rangle \rightarrow |\psi(T)\rangle_n \rightarrow P_n = |\psi(T)\rangle_n \langle\psi(0)|$
 $P_{\text{final}} = \frac{1}{R} \sum_{n=1}^R |\psi(T)\rangle_n \langle\psi(T)|_n$
 $\rightarrow \Delta t \rightarrow \text{small enough}$
 $R \rightarrow \infty$
 $P_{\text{final}} \rightarrow \rho(T)$

If we repeat this thing, this operation, this method multiple times starting from the same state, we will end up getting many, many final states. If we take the mixture of those final

states, then we will get a final density matrix. When we say mixture, we convert the pure state into a mixed state and then we add all the mixed states and divide by the total number of trials. Doing this thing you can see that our final state is positive, it has trace one, so it's a valid density matrix. Now if our Δt the time step is very small, ideally, if Δt tending to zero and r tending to infinity, the number of trials, then our final state will converge to the exact solution we were expecting.

If it is not chosen appropriately, if Δt is too large or r is too small, then there can be significant difference between the final state and $\rho(t)$. But in all this process, what we have done is we started with a pure state and we were getting pure state at the end. And if you want to calculate not the state but some other quantities like the average or expectation value of some operator, let us say we want to calculate expectation value of operator at time t . Then the idea, the expression for it is A times $\rho(t)$ and trace in our quantum trajectory method, it will be $1/r$, n is from 1 to r and ψ at time t , the n th attempt and ψ at time t at the n th attempt and this becomes $1/r$ n from 1 to r and ψ of t A ψ of t in the n th attempt. So, it means it is the expectation value of A , this is the average of the expectation value of A in each trajectory at every time, okay, so if you want to calculate the expectation value of observable A at every time t , then this can be written as the average of the expectation value of A in each trajectory at every time t . In that way, we do not need to store any state or anything. We can just keep track of all the expectation values of any observable we are interested in.

(Refer slide time: 23:25)

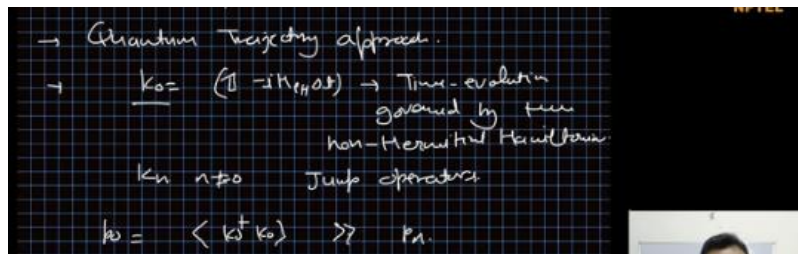
$$\begin{aligned}
 R &\rightarrow \infty \\
 \rho_{R,t} &\rightarrow \rho(t) \\
 \langle A(t) \rangle &= \text{Tr}[A \rho(t)] \\
 &= \text{Tr}\left[A \sum_{n=1}^R |\psi_n(t)\langle A(t) \rangle = \frac{1}{R} \sum_{n=1}^R \langle \psi_n(t) | A | \psi_n(t) \rangle = \frac{1}{R} \sum_{n=1}^R \langle A(t) \rangle
 \end{aligned}$$

So, this technique is the whole method of solving the master equation this way is called quantum trajectory approach. Here K_0 which is identity minus iH effective Δt , this corresponds to the time evolution governed by the non-unitary, non-Hermitian Hamiltonians. And K_n where n is not equal to 0, they are called jump operators. Jump operators are quantum jump operators. So, here generally the probability of getting K_0

that is p_0 , K_0 dagger K_0 is generally much, much larger than all the other p_n s in a typical time evolution.

So, generally the system experiences only the evolution caused by the non-Hermitian Hamiltonian. Once in a while, we see a jump happening. So, at that time, the whole process can be seen as we start from a state and we are getting some evolution because of the non-Hermitian Hamiltonian. And once in a while, there will be a jump. So, it will suddenly jump and it will go to some other state and then it will start the evolution again from there using the non-Hermitian Hamiltonian. Then again there will be some jump at some point and then it will start the evolution from there.

(Refer slide time: 25:08)



So, if you want to understand it, it will be like this that mostly the evolution of the system is governed by the non Hermitian Hamiltonian and once in a while there will be a quantum jump and the state abruptly will change and the evolution will start again from that point. And this can be a very powerful technique to find the evolution of a quantum system if the quantum system has a very large dimension.