

**Computational Physics**  
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**Lecture – 41**  
**Differential Equation for Quantum Mechanical Problems:**  
**Variational Principle Part 01**

So, in this module we are going to discuss about how using another numerical technique which is the Variational Principle, which we have already learned about in quantum mechanics in a basic quantum mechanics course, how we can solve the Schrödinger equation.


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Variational principle:

$$H\psi = E\psi$$
$$H = -\frac{\hbar^2}{2m}\nabla^2 + V(r_1, r_2, \dots, r_n)$$

- Exact solus are not possible
- Complex multidimensional potentials where grid based methods are expensive

Usage  
⇒ Approximate method



So, so if you recall it so what we are trying to solve is equations which are of this form  $H\psi = E\psi$ , where  $H$  is my Hamiltonian which has the following form minus  $\hbar^2$  cot square by twice  $m$  grad square, which is the kinetic energy plus a potential which depends on different functions of positions. And our unknowns are these wave functions which are among this  $\psi$  and this energy Eigen values.

So, in the numeral method which we seen so in the last module. So, there we solve the same equation for the one dimensional case where we numerically integrate this second order differential equation. However, when we go to multi dimensional problem or when

we go to very complex problems it is impossible to solve it in that fashion so that method. So, that grid based method which if you remember in the numeral principle what we had is we divided our position space the  $r$  into several small grids. So, these type of grid based methods also become very inefficient.

So, when do we use this variational principle; the one is when you do not have exact solutions are not possible. The second is for complex systems complex multi dimensional potentials, where grid based methods becomes inappropriate or expensive. So, the way to go around solving these type of problems is to use some approximate method. So, one uses approximate method and one such approximate method is a based on my variational principle. So, what is the basic idea of variational principle?

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The slide contains handwritten mathematical notes. At the top, it is titled "Variational principle". Below the title, the wave function is expressed as a linear combination of basis functions:  $\Psi(\vec{r}) = \sum_{i=1}^N p_i \phi_i(\vec{r})$ . A bracket to the right of this equation indicates that the expansion can be either "non-linear" or "linear". Below this, it is noted that " $p_i$ 's unknowns". The energy expectation value is given as  $E[\Psi_{\{p_i\}}] = \frac{\langle \Psi_{\{p_i\}} | H | \Psi_{\{p_i\}} \rangle}{\langle \Psi_{\{p_i\}} | \Psi_{\{p_i\}} \rangle}$ . Finally, the condition for the minimum energy is stated as  $\frac{dE[\Psi_{\{p_i\}}]}{dp_i} = 0$  for all  $p_i \Rightarrow$  Minimization w.r.t  $p_i$ .

So, if I if we remember our basic quantum mechanics course, so what we are interested to know this wave function  $\psi$ . So, what we do is we expand these wave functions in a particular basis functions  $\phi_i$  which are  $r$  and in some combination of the basis function  $i$  equals to 1 to  $n$ . So, where my  $p_i$  s are the unknowns, so what I have done here is I have this wave function  $\psi$  which is a function of  $r$  and I have done expansion of this wave function.

So, how the expansion is done is I expand them in a set of basis functions which are denoted by  $\phi_i$  here and  $p_i$  are the coefficients. So now, this expansion you can do a non-linear or a linear expansion, but if you do a non-linear expansion then this becomes

very difficult problem to solve. So, what people typically avoid the non-linear expansion and they do a linear expansion and of the wave functions.

So, once I have these wave functions, so what my variational principle still is that I can minimize my energy. So, I can write down my energy  $a$  in this fashion. So, my energy will be given by the expectation value of my Hamiltonian and with the normalization constant where the normalization is over  $\psi$ .

Now, each of this  $\psi$  it denotes parametrically on  $\pi$  which my  $\pi$  where my  $\pi$  are unknown. So, if I minimize this functional with respect to  $\pi$ . So, what I will get is so what basically mathematically, what it means is my  $dE_{\psi} / d\pi$  is equal to 0 for all  $\pi$  this is nothing but minimization with respect to  $\pi$  ok, so this from using this minimization.

So, what I can get is I can get the values of  $\pi$  s, now once I get the values of  $\pi$  s. So, then I can I know the form of the wave function and then I can solve I can find out the corresponding also the Eigen values and I can also get the ground state energy of my system.

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$\pi_i$ 's is finite in no.  
Energy calculated in this way  
 $[\min E[\psi_{\{\pi_i\}}] \text{ w.r.t } \{\pi_i\}]$   
 $E > E_0$  [Ground state energy]



However our  $\pi$  s now is finite in number because so since my  $\pi$  is finite in number. So, my the basis in which I am expanding, so that what this implies is that the basis in which

I am expanding my wave function is now a finite basis. So, it does not spend a complete infinitely large Hilbert space.

So, what this implies is that in this method the energy which we get calculated in this way that is minimizing  $E$  of  $\psi$  with respect to the  $\pi$  s this will if I call this energy as  $E$ . So, this will always be greater than my ground state energy where  $E_0$  is my ground state energy. So, what I can do is by increasing the number of  $\pi$  s in my expansion I can gradually converge towards the ground state.

So, this is the basic principle of the Schrödinger of the variational principle and what this leads into is this will soon show that this leads into writing the Schrödinger equation in a matrix form. So now, what we will do is we will see how we can write down the we will go to the matrix formulation of Schrodinger equation.

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Matrix formulation of SE.

- Use discrete basis to expand wavefn.


$\langle \vec{x} | \psi \rangle \longrightarrow$  Real space wavefn  $\psi(\vec{x})$

$|\psi\rangle = \int d\vec{x} \psi(\vec{x}) |x\rangle$

$|x\rangle \rightarrow$  a particle localized at  $x$

$\langle x|y\rangle = \delta(x-y)$

basis vector in position basis



So, SE stands for Schrodinger Equation, so to so in order to cast the. So, what you should also remember that here what we are talking about is to solve the time independent Schrodinger equation for my system. So, basically my wave functions are stationary wave functions. So, in order to find out the so the unknowns which are my stationary wave functions.

So, in order to find out these stationary wave functions we need to expand them now in a discrete basis. So, what we do is we used discrete basis to expand wave function instead

of using a continuous basis which is typically done when you solve it try to solve it analytically. So, if I if you recall so what I will use is I will use the bra-ket notation and I assume that you guys are familiar with bra-ket notations. So, in bracket notation wave functions  $\psi$  can be related to its real space wave function, which I denote as  $\psi(x)$  in using this following equation.

So, I have  $\psi$  is an integral over so it is a  $d$  dimension it can be it is valid in one dimension two dimension three dimension and multiple dimensions. So, right as  $x$  so if you recall, now this my  $x$  is my basis vector in the position basis. What  $x$  represents is so basically what  $x$  represents is a particle localized at position  $x$  and what this implies is that if we compute, if you if we take any other basis vector  $y$  and we take the projection of  $y$  on  $x$  that will give me a delta function.

So, what it means is that this will give on nonzero value or you will find the particle at the position where only when my  $x$  is equal to  $y$ . So, using this relationship what we will do is we will now see how we can find out this  $\psi(x)$ . So, if I want to try to find out  $\psi(x)$  what I can do is I can take this function and project it on to the basis function which is my  $x$  here this basis function. So, if I do that what I will get is the following.

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$$\begin{aligned} \langle \vec{x} | \psi \rangle &= \int d\vec{y}^d \psi(\vec{y}) \langle \vec{x} | \vec{y} \rangle |\psi\rangle = \int d\vec{x}^d \psi(\vec{x}) |\vec{x}\rangle \\ &= \int d\vec{y}^d \psi(\vec{y}) \delta(\vec{x} - \vec{y}) \\ \langle \vec{x} | \psi \rangle &= \psi(\vec{x}) \end{aligned}$$



So, what I am interested in is I will compute the projection of my  $\psi$  on  $x$  and this will be given by the integral of  $\psi$ . So, if I go back here ,so if I now try to compute this quantity. So, what I am computing is this quantity now in the next slide. So, if I try to

compute this quantity here, so what it will do is this vector will operate on this one. But since we are projecting it on  $x$ , so this integral we have to do it on  $y$ . So, we use a different position basis instead of use representing it is base  $x$ , we represent it as  $y$ .

So, if we do that then what we will get is the following so this is my  $x$ . So, my  $\psi_i$  need to now represent in  $y$  and then I it will operate in this. So, again these represent  $d$  dimensional functions. So, if I do this integral, so now what I know is this will give me a delta function. So, what I can do is I can write this as in this fashion  $\psi_y \delta(x - y)$  now if I complete this integral, so this is nothing but my  $\psi_x$ .

So what this tells me is that if I want to find out. So, if I have my wave function in the the relationship between my wave function in the bracket notation and in a continuous functional form if it is given by this expression, then if I want to find out this  $\psi_x$ . So, that will I can do by taking the projection of my wave function on the position on that particular basis function.

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Use discrete set of orthonormal basis  $\{|k\rangle\}$   
 expand  $|\Psi\rangle$  in the  $\{|k\rangle\}$  basis

$$|\Psi\rangle = \sum_k c_k |k\rangle$$

↑  
to be determined



So, what we are we are going to use discrete set of orthonormal basis, which I am the whole family of this basis I am denoted it by  $k$  and expand my  $\psi$  in the  $k$  basis. Again remember this expansion is this expansion which we are doing is a linear expansion. So, what I can write is my  $\psi$  I can write as a sum of a linear combinations of  $c_k$ s, where my  $c_k$ s these are to be determined. Also we need to worry about the normalization of the wave function.

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Use discrete set of orthonormal basis  $\{|k\rangle\}$   
expand  $|\psi\rangle$  in the  $\{|k\rangle\}$  basis

$$|\psi\rangle = \sum_k c_k |k\rangle$$

↑  
to be determined



So, need to normalize my psi. So, if we impose the normalization conditions, so what one can show is that the sum over the square modulus of the coefficients should give me 1. Because I have assumed that my basis vectors these this k basis vectors this discrete basis set this is also normalized. Now, let us consider a real space wave function corresponding to the state k.

So, real space wave function corresponding to k, if we denote this as; if we denote this as phi with a suffix k x, then as before we can write k is equal to integration d x d phi k x k and since k are orthonormal. So, if I have another wave function p in the same basis. So, the overlap of p and k will be a delta function ok. Using this so what we can also show as in the previous case. So, now, if you remember my unknowns are my ck, so just for recapitulation.

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$$\begin{aligned} |\psi\rangle &= \sum_k c_k |k\rangle \\ \langle k|\psi\rangle &= \sum_p c_p \langle k|p\rangle = c_k \underbrace{\langle k|k\rangle}_{=1} \\ c_k &= \langle k|\psi\rangle \end{aligned}$$

$\because \langle k|p\rangle = \delta_{kp}$



So, what we are trying to do is we have this form I am writing as sum over  $k$   $c_k$  and we want to find what are this  $c_k$  this is what we are going to find. So, as if you remember our one of the early examples where we were trying to find out the coefficient, so what we did is to find this unknown, we need to project it on my the basis set. So, what I will do is I will take any arbitrary vector which I call as  $k_p$  and then project it on that, but so if I do the same thing. So, what I do is I take a vector so I project it in this  $k$  basis.

So, what I will get is something like this, so this will be given by  $p$  say  $p$ . Now, if we look into this summation, so since my  $k$  and  $p$  this is a delta function as my vectors the basis vectors are orthonormal. So, this will survive only when for  $k$  equals to  $p$ . So, this will I will get  $c_k$  and since these are orthonormal, so this will be equal to 1 so what I get is  $c_k$ . So, my coefficients are nothing, but the projection of  $k$  on the wave function. So, this same thing I can write down in terms of wave functions of the scalar product, so which I will show in the next slide.



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$$\begin{aligned}
 \langle k | \psi \rangle &= \int d^d x \phi_k^*(\vec{x}) \langle \vec{x} | \int d^d y \psi(y) | y \rangle \\
 &= \int d^d x \int d^d y \phi_k^*(x) \psi(y) \langle x | y \rangle \\
 &= \int d^d x \phi_k^*(x) \psi(x)
 \end{aligned}$$



So, what I have is my  $k$  psi this I can write as integration  $dx$   $d$ . So, what I will do is I will plug in the value of  $k$ , I will plug in the value of psi. So, corresponding to this state  $k$  the wave function if you remember we had chosen as  $\phi_k$  and since it is the bra of that so I will take a complex conjugate.

So, hence I get  $\phi_k(x)$  in the position basis and then for my psi I can similarly write in  $dy$  psi  $y$  in the  $y$  position basis. So, if I walk out this algebra, so what I would get is let me just bring out the integrals together  $dy$ , then I will get  $\phi_k(x) \psi(y)$  and I will get  $\langle x | y \rangle$ . Now, if we club in this following terms together, so the once which I am marking with red color.

So, if I take this if I perform this integral here, so what I will get is  $\delta(x - y)$ . So, if I do that then what I have is  $\int dx \int dy \phi_k^*(x) \psi(y) \delta(x - y)$ . So, this will give me a delta function the expectation the overlap of  $x$  with  $k$  will give me a delta of  $x - y$  and if I do this whole integral what I will get is psi of  $x$ . So, I am integrating out the  $y$  degrees of freedom here. So, what I will be left with this psi  $x$ . So, this is what we can write this.

So, this thing if you remember this was my  $\langle k | \psi \rangle$ , the  $\langle k | \psi \rangle$  I can write in this form in the terms of the wave functions. So, this is nothing but the scalar product of the wave functions corresponding to the  $k$  vector and the psi vector. So, now what we will do is so

from there we will see how do we now we apply this idea to the case of the Schrodinger equation.

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Construct the SE  
 $H|\psi\rangle = E|\psi\rangle$   
 $|\psi\rangle = \sum_k c_k |k\rangle$   
 $H \sum_k c_k |k\rangle = E \sum_k c_k |k\rangle$  ①  
 Project onto  $|p\rangle$   $\{|k\rangle\}$   
 operate from LHS of eq. ① with  $\langle p|$


So, we will now construct the Schrodinger equation in the matrix form. So, remember we are looking into the matrix formulation of the Schrodinger equation. So, what we have is we start with  $\psi$ , so this is my Schrodinger equation now using the state the wave functions in the vector form  $\psi$  a earlier we had it in the functional scalar form.

So, this  $\psi$  you remember we have expand we are going to expand in a basis set  $c_k$ . So, if we plug in the value of  $\psi$  into this equation, so what I will get is  $H$  will act on  $\psi$  which is nothing, but  $k$  and some of our  $c_k \psi_k$  and on the right hand side we will be having  $E \sum_k c_k \psi_k$ . So, as so now if you note that; so here we are using this  $k$  vectors, here as my basis vectors and this  $c_k$  are my are the functions,  $c_k$  are sorry these are not functions these are rather numbers this can be complex or real number depending on the problem which we are solving and  $H$  is also operator, so this whole equation. So, this is the equation of functions.

So, the way to solve these equations, so in principle what I have if I think of them as equations as the conventional equations, where you have numbers which are the as numbers. So, in that case so this whole equation here this represents infinite number of equations ok. Because I can impose a condition that for all value of  $r$  what I can do is I can say that this equation should be satisfied for all values of position for example.

So, the way to solve this is what you do is you project your equation onto an arbitrary state  $p$ . So, this  $p$  remember belongs to one of the states which are contained in this family of states  $k$ . So, if we do the projection, so doing that what it means is if I call this as 1. So, we need to operate from left hand side of equation 1 with  $p$ .

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$$\langle p | \rightarrow \sum_k c_k H | k \rangle = E \sum_k c_k | k \rangle$$

$$\sum_k c_k \langle p | H | k \rangle = E \sum_k c_k \langle p | k \rangle$$

Let  $\langle p | H | k \rangle = H_{pk}$

$$\sum_k c_k H_{pk} = E c_p$$

only terms for  $p=k$  will survive


If we do that so let me just write down the equation once again we have  $c_k H$  will act on  $k$ , this gives me  $E \sum_k c_k k$ . Now, if I on this equation I act as  $p$ . So, what I will get is  $\sum_k c_k$  then I have  $p H k$  plus equals to so  $E$  is a number you would not act on it  $c_k$  is also a number; so we all get  $p k$ .

Now, let suppose let us call this thing as  $H$  of  $p k$  and on the right hand side of this equation that is this summation this summation only terms for  $p$  equals to  $k$  will survive, because my all the functions in the basis are orthonormal this is I have working this fault for an orthonormal basis. So, what we will get if we assume this, so this we can write in a slightly compact form which is given by  $c_k H p k$  equals to  $E c_p$  ok. So, look what does this mean?

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$$\begin{aligned} \{ |k\rangle \} &\rightarrow |1\rangle, |2\rangle, |3\rangle, \dots, |N\rangle \\ \langle 1| &= \langle 1|H|1\rangle c_1 + \langle 1|H|2\rangle c_2 + \dots + \langle 1|H|N\rangle c_N = E c_1 \\ \langle 2| &= \langle 2|H|1\rangle c_1 + \langle 2|H|2\rangle c_2 + \dots + \langle 2|H|N\rangle c_N = E c_2 \\ &\vdots \\ \langle N| &= \langle N|H|1\rangle c_1 + \langle N|H|2\rangle c_2 + \dots + \langle N|H|N\rangle c_N = E c_N \end{aligned}$$

*Set of N coupled differential eqn.*



Suppose if I have my  $k$  basis, so remember this was my  $k$  basis, suppose I represent this  $k$  basis in this form. So, I have these are my basis functions now and so on and so forth till  $N$ . So, if I go back so my recipe if you remember was I take any arbitrary basis vector which belongs to this family of basis vectors denoted by  $k$  and I project this whole equation on that.

So, suppose first I do with the same the projection taking this basis vector 1. So, if I project it using 1, so suppose my  $p$  is now equal to 1. So, what I will get is the set of numbers which are of this form  $\langle 1|H|1\rangle c_1$  plus  $\langle 1|H|2\rangle c_2$  and the expectation value of  $H$  between 1 and 2  $c_2$  and plus and this will go on till we get as  $\langle 1|H|N\rangle c_N$ . This is nothing but equals to  $\langle 1|H|N\rangle c_N$  equals to  $E c_1$ . Similarly for  $p$  equals to 2 we can write, so this will be  $\langle 2|H|1\rangle c_1$  plus  $\langle 2|H|2\rangle c_2$  plus so on and so forth plus  $\langle 2|H|N\rangle c_N$  equals to  $E c_2$ .

Similarly we can repeat this and what we can do is for the in its basis function what we will have is this one. So, what we have here now is a infant set off. So, these are a set of; so these are set of coupled differential equations. Since the size of my basis set is  $N$ , so I have set of  $N$  coupled differential equations ok.

So, and each of these equations you see these are linear in  $c_s$  in the  $c_s$  which are our unknowns. So I have  $N$  plus 1 unknown, so that is  $n$  values of  $c_s$  starting from  $c_1$   $c_2$  to  $c_n$  and then my energy Eigen values. So, the way to solve it is so you can write this whole thing in the matrix form.

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Handwritten notes showing an  $N \times N$  matrix  $H$  with elements  $H_{ij}$  and a column vector  $c$  with elements  $c_1, c_2, \dots, c_N$ . The equation is  $Hc = E$ . Below this, the energy  $E$  is given as  $E = \frac{\langle \psi | H | \psi \rangle}{\langle \psi | \psi \rangle} = \frac{\sum_{k,p} c_k^* c_p H_{kp}}{\sum_k c_k^* c_k}$ . A small photo of a person writing is visible in the bottom left corner of the slide.

So, basically we will have you can write it as a, so this will be my N cross N matrix and this is some something like this I can write and this will go as H ok. So, where my H ij are of a this from i H j ok. So, what I have is a matrix equation which I need to solve. So, this matrix H acting on this vector c will give me E this c. So, basically what I have done is now in order to find out this vector c and this energy Eigen value; Eigen values E, what I need to do is I need to diagonalize this matrix this matrix.

So, if I am able to diagonalize this matrix then I will be able to solve the unknown problems. So, in this formalism your energy of the total system can now be given by is given in this form. So, if you remember my E is equal to psi H psi and then the expectation value of psi star.

Now, my so the psi s I am now doing I am expanding in this using a basis and I am doing a linear expansion of the psi s. So, if I plug it in there so what I will get is this my energy Eigen value this will be given by sum over k p  $c_k^* c_p H_{kp}$  divided by sum over k c k stars c k. So, this is what my energy Eigen values will be in this formalism.

So, far we have been saying that we need to so we choose our basis function a set of basis functions to expand our wave function. But till now we have not talked about what a good choice of the basis functions.

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Choice of basis function

- Plane waves ①
- Atomic basis fn ②
- Mix ① & ②



So, I mean the choice of basic functions can be in principle you can choose any type of functions. So, you can choose say for example plane waves as your basis functions, you can choose atomic wave functions as your basis function. You can mix as if I call this as 1 and you call this as 2, we can mix 1 and 2 and as a change the basis function but in this. So, the example which we will do and in this lecture what I will restrict myself is to use momentum basis in a finite box.