

**Tapestry of Field theory: Classical & Quantum, Equilibrium & Nonequilibrium  
Perspectives**

**Prof. Mahendra K. Verma**

**Department of Physics**

**Indian Institute of Technology, Kanpur**

**Week - 08**

**Lecture – 46**

So, we will do bit of this symmetry breaking is a very important concept in particle physics as well as in condense matter physics. In fact, all sorts of physics we will symmetry breaking. So, I will explain what that is and Goldstone mode. So, this is what I will have three modules, but we start with this this module first. So, discrete symmetry. So, there are many examples, but the one which I have done so far I will take that example which is Ising spin.

So, Ising spin Hamiltonian is without magnetic field. If there is no external magnetic field then it is minus  $j \sum_i S_i S_j$ ,  $j$  is a coupling constant which is coupling between two spins right. So, we have spins on a lattice and this spin interacts with neighbors. So, this spin interacts with four neighbors this is.

So, we compute total energy by summing over all spins, but interaction is only with the nearest neighbors. So, that is our Hamiltonian. Now, this is Hamiltonian is a scalar. Now, you can see that this object  $S_i S_j$  take value plus and minus 1 right or right it is up spin or down spin. Now, you can see that it is symmetric under if we change the spin to all I mean change the sign of a spin of every all the spins then the Hamiltonian remains unchanged right.

Of course, we have to change sign of all spins. So, symmetric under  $S_i$  to minus  $S_i$  right. So, it is called up down symmetry. So, that means, if I have Ising system where if spins some are up and some are down then you compute energy. Now, we just reverse the roles down becomes up and up becomes down the energy will still be the same right because this product is symmetric under my  $S$  to minus  $S$  ok.

So, Hamiltonian is symmetric, but what about the solution? Is the solution symmetric under  $S$  to minus  $S$ ? No because ferromagnet in this either they are under after phase transition either they are up or down right all of them are up or all of them are down. That means, the symmetry is broken right. So, the symmetry is broken in a ferromagnetic solution of course, paramagnetic solution is symmetric I mean half are up half are down,

but in a Ising spin the ferromagnetic solution has this symmetry. Symmetry is broken ok is that clear. So, this is called symmetry breaking your Hamiltonian respects symmetry, but solution does not of course, this is a discrete symmetry it is not a continuous symmetry because it is either 1 or minus 1 right there is no in between.

So, it is a discrete symmetry breaking ok. So, this is a simple example of course, there are many many examples, but like so there are this is a up down symmetry or you can have parity. Parity is always plus or minus 1 no. So, that that kind of aspects, but now let us look another example which is again discrete symmetry it is does not it is not continuous yet, but I this example is very important example. So, you take a scalar field  $\phi$  and I write down the Lagrangian.

So, this Lagrangian is a relativistic Lagrangian ok. So, let us stick to relativistic. So, this means  $d$  by  $dt$  of  $\phi$  squared minus  $\text{grad } \phi$  squared right this is Lorentz scalar ok. So, we will do a Higgs mechanism where we need this done for relativistic. So, I am just going to keep that.

So, and  $U$  is a potential with this function of  $\phi$  and we take  $\phi^4$  is  $\phi$  squared plus  $\phi^4$  right. So, this we have seen it for statistical physics example we did full theory renormalization group. Now, is this Lagrangian symmetric under  $\phi$  going to minus  $\phi$  yes symmetric no  $\phi$  going to minus  $\phi$  they are all quadratic or quartic. So, that unchanged. So, Lagrangian is symmetric, but what about the solution? Now, look I am also telling you that we are looking for a asymptotic solution we are not looking at time dependent solution when the system is reached equilibrium and we are looking at that state.

So, the potential so, we will look for constant solution ok. So, I can write down equation of motion and this equation of motion will have set  $d$  by  $dt$  to 0 ok. So, this is the potential. So, where will it like to go the system will go to minima. So, for constant solution this derivatives will go to 0 right and we set not putting and with it is not the  $u$   $\phi$  to 0, but derivative of  $u$   $\phi$  with  $\phi$  is 0 minima ok.

So, we want to set  $du$  by  $d\phi$  to be 0 ok. So, take the derivative of this. So, what is the derivative of  $du$  by  $d\phi$ ? So,  $\mu^2 \phi$  plus  $\lambda$  factorial 4 is 24  $\lambda$  derivative of  $\phi$  to the power 4 will be 4  $\phi^3$ . So, this becomes 6. So, this is the solution the equation not yet the solution.

So, now what is the solution for this equation  $\phi$  equal to 0 and  $\phi^2$  equal to minus  $\mu^2$  by  $\lambda$  or  $\phi$  equal to square root of this plus minus ok. So, this we have done it this is the phase transition Landau theory. So, when  $\mu^2$  is positive then both these terms are positive, but  $\lambda$  is always positive ok we assume  $\lambda$  to be

positive. So,  $u(\phi)$  is for both  $\mu^2$  and  $\lambda$  positive this is the potential, but when  $\mu^2$  become negative then it becomes inverted parabola and, but of course, for very large  $\phi$  it should go to infinity plus infinity. So, we get this and for this case the stable solution is this ok for the left case stable solution is  $\phi = 0$ .

In fact, the only one solution when is it  $\mu^2 > 0$ , but for  $\mu^2 < 0$  there are 3 solutions this one this one and this one, but among them which is stable or which are stable the one at the lower minima right they are stable. In fact, you can prove it these unstable ok. So, this proof I will not do, but you can do this stability analysis these solution is stable for  $\mu^2$  negative, but this is  $\phi = 0$  is unstable and that is the theory of Landau transition ok. So, these are now what does this example tell us my Lagrangian is symmetric under  $\phi \rightarrow -\phi$ , but my solution is not ok, but these again a discrete symmetry you are changing the sign ok. Now, let us look at continuous symmetry now.

So, here I need to make this expand this field  $\phi$  ok. So, this is a picture of what I want to do. So, I take 2 scalar fields  $\phi_1$  and  $\phi_2$ . So, so again Lagrangian has the time derivative component and the space derivative component, but we set it to 0 right we are looking for constant solution and  $u(\phi)$ . So, x axis is  $\phi_1$  and y axis is  $\phi_2$  ok.

So, earlier I was plotting  $\phi$  is a axis, but now I have 2 fields. So, I plot  $\phi_1$   $\phi_2$  now my potential my Lagrangian is this ok. So, these are the 2 they have 2 fields. So, I write down exactly same form as a scalar, but I add them. Now, my potential  $u$  is again similar form, but except instead of  $\phi^2$  I have  $\phi_1^2 + \phi_2^2$  and here it is  $\phi_1^2 + \phi_2^2$  ok and I put a minus sign ok.

So, I am following the book by this gifted amateur book. So, this minus sign is put. So, here for  $\mu^2$  positive we will get a nonzero solution ok, but that is up to you can choose either way. So, what is this how do I get the solution? So, by the way this system is better to use  $\phi$  I mean basically  $\phi_r$  which is square root of  $\phi_1^2 + \phi_2^2$  squared. This is assume with the symmetry you know.

So, this angle well I should call it  $\theta$  not  $y$ . So, this  $\theta$  if I choose some angle  $\theta$  for between this one this my potential is unchanged. So, it is better to use  $\phi_r$  as a variable then my potential is  $-\frac{1}{2}\mu^2\phi_r^2 + \frac{1}{24}\lambda\phi_r^4$  ok. So, the analysis is pretty similar except now  $\phi_r$  is we will get value for nonzero value for  $\phi_r$  and what is the value for  $\phi_r$  or nonzero value? So, that means, the radius. So, you can see this is a hill now you go down and the distance of hill from the minimum of that surface.

So, the surface is like a is called Mexican hat or any hat not any hat, but you in India also you can find this hat now. So, this is a hat where you have this circle for which where it is the function is minimum right that the circle is here. And what is the radius of the circle? The radius of circle is will be finding the minimum of this and  $\phi r$  will be pretty similar. So, that is what did I get? So, I take the derivative  $\mu^2 \phi r + 1 - 6 \lambda \phi r^3$ . So,  $\mu^2$  this is a minus sign  $6 \mu^2$  squared by  $\lambda$  ok.

So, that is the radius of the circle ok. So, but important point is now it has. So, when  $\mu^2$  is negative when this is this quantity becomes positive and this becomes positive  $\mu^2$  is negative will have both the quantities positive and this you do not know Mexican hat anymore it will be paraboloid not paraboloid is some paraboloid will be only this part ok. But it is a  $\phi^4$  plus  $\phi^4$ , but it is some function like that which is minimum at 0. But as soon as I make  $\mu^2$  positive for this example then I get solution which is unstable solution at the hilltop or any point on the circle right.

Any point in the circle is the solution the system can lie there and happily sit there forever agree. There is a solution I mean. So, my solution is independent of this angle  $\theta$ . In fact, that is a stable solution any point on the circle ok. So, this is called continuous symmetry ok.

So, my solution right now has a well first again before going to that. So, what is the continuous symmetry of the Lagrangian? So,  $\phi r$  ok. So, this part I would write. So, here this angle  $\theta$  my Lagrangian is independent of this angle  $\theta$  right. So, if I write in terms of this angle.

So,  $\phi_1$  will be  $\phi r \cos \theta$  and  $\phi_2$  will be  $\phi r \sin \theta$  ok. So, when I sum the squares is become any amount of  $\theta$ . So, that is a continuous symmetry this Lagrangian is independent of  $\theta$  ok. And by the way my solution will pick one  $\theta$  when I look for the asymptotic solution it would not be everywhere it is not a quantum system it is a classical system. It will find some solution some some  $\theta$  ok that is where it will it will find itself ok.

So, my solution for this case will be  $\phi r$  and you can choose any angle and  $\theta$  I may choose  $\theta$  equal to 0 the stable solution ok. Now, here my symmetry is broken why? Because my solution was my Lagrangian is symmetric under  $\theta$  transformation ok independent of  $\theta$ , but my solution is  $\phi r \cos \theta$  ok. So, this is symmetrically ok it is alright. So, this is another example which is given in mechanics books.

So, we keep a vertical rod ok. Now, you apply force at the top if you apply small force it will remain there no problem, but if you apply too much force then it will bend along some

direction right. So, that direction will this point the symmetry the vertical rod has symmetry with is equal to symmetry it can be anywhere. Well it is it is not function of  $\phi$ , but after it is bent it has symmetry is broken ok. So, I hope you understand that this continuous symmetry for the Lagrangian, but the solution breaks the symmetry ok. So, and one more point that if I take a solution at this point somewhere here then if I shift the particle along the circle.

So, this is circle of minimum  $u$  and is not looking a circle. So, let us make a slightly better circle. So, if I start from here and I shift it here either change in potential energy no right this is the same potential. So, here in fact, there is when I go along the azimuthal direction there is no change in energy. So, you can equivalently write you can write down the Lagrangian in fact, by the way in terms of the new variables and here for this part ok.

So, I must say that ok. So, for this part mass is 0. So, which is a mass term here in in our Lagrangian? So, I think it is important to make this emphasis this is a mass term ok. So,  $\mu^2 \phi^2$  the coefficient of  $\phi^2$  is mass term. Now, I can rewrite this equation into the  $\phi_r$  and  $\theta$  right.

So, I in fact, I rewrote like that. So, you can see that  $\phi_r^2$  has a  $\mu^2$  coefficient in front, but  $\theta$  is a new variable. So,  $\theta^2$  has nothing in front in fact, is 0. So, what is the coefficient in front  $\theta^2$  which is 0? So, that means, the mass corresponding to this azimuthal rotation in the Lagrangian is 0 ok. So, this was 0 mass along the azimuthal direction and this is called Goldstone mode ok breaking a continuous symmetry leads to massless excitation ok. So, my solution is now here and this excitation is massless and this is called Goldstone mode and particle associated with this symmetry is called Goldstone boson.

It has a 0 mass that is what you should remember it is no mass like photon, photon has a 0 mass ok. Now, let us look at another field which in fact, we need it for our later slides complex scalar field. Right now what I should have 2 fields  $\phi_1 \phi_2$ , but I am going to combine them and I say well let us make a field  $\psi$  and  $\psi^\dagger$  is for one component object will be just complex conjugate right. So, instead of  $\phi_1$  this is  $\phi_1^2 + \phi_2^2$  in the past, but now I just write a  $\psi^\dagger \psi$ , but in practice we write a  $\psi^\dagger \psi$  ok. So, it could be multi component right I mean it could be a vector.

So,  $\psi^\dagger \psi$  and we can also generalize it easily to fields rather not complex quantum fields ok. So, this is a pretty similar except now this is  $\psi$  another is a  $\psi^\dagger$ . Now, complex field has  $\psi_{\text{real}} + i \psi_{\text{imaginary}}$  ok. So, these are the 2 components you may think or you can say  $\psi$  and  $\psi^\dagger$  you do not need to write this  $\psi_{\text{real}} + i \psi_{\text{imaginary}}$   $\psi$  and  $\psi^\dagger$  are 2 independent fields. In fact, we done that kind of thing in

the past when we derive the equation of motion we can use derivative with  $\psi^*$  and get equation for  $\psi$  ok.

So, either you can treat  $\psi_r$  and  $\psi_{\text{imaginary}}$  as independent variables which is more complicated I mean you have to rewrite this is  $\psi_{\text{real}}$  plus  $\psi_{\text{imaginary}}$  or treat  $\psi$  and  $\psi^*$  as a independent variables ok. Now, what is the solution for this object? So, first this Lagrangian is symmetric under this transformation  $\psi \rightarrow \psi e^{i\alpha}$  yes. Because look at this term. So, we will get first  $\psi e$  to the power  $i\alpha$  and what about  $\psi^\dagger$  I get  $\psi^* e$  to the power  $-i\alpha$  and what about  $\psi^\dagger e$  to the power  $-i\alpha$ . So,  $e$  to the power  $-i\alpha$   $e$  to the  $i\alpha$  will cancel  $\alpha$  is a function  $\alpha$  is function of  $x$ , but it is a it is a single object function not a matrix ok.

So, they will cancel similarly here also this is symmetric under this transformation then this also will be symmetric what about these guys you can look at it here. So,  $\nabla \mu \psi e$  to the power  $i\alpha$ . So, it will become  $\nabla \mu \psi e$  to the power  $i\alpha$  plus now  $\mu$  will act on that  $\psi e$  to the power  $i\alpha$   $\nabla \mu \alpha$  ok. So, what do I get it the  $i\alpha$  will be there and I get  $\nabla \mu \psi$  plus  $\nabla \mu \alpha$  ok there this part is problem ok. Now, so  $\alpha$  has to be constant for this case  $\alpha$  has to be constant because this will respond the symmetry.

So, I think let us start this part from scratch from beginning. So, let us take this Lagrangian which is generalization of last example not generalization it is a re rewording I mean change a variable here it is  $\psi^\dagger \psi$  and  $\psi^\dagger \psi^2$ . So, this Lagrangian is symmetric under this transformation, but  $\alpha$  is a number ok. So, you can see that  $\psi^\dagger$  will be  $e$  to the power  $-i\alpha$  and this is  $e$  to the power  $i\alpha$  they will cancel if you rotate by an angle of the every field all the fields. So, it is a global transformation ok and that is symmetric, but what about the solution whether this  $\alpha$  is like that azimuthal angle know the with example of  $\theta$  I was giving you is similar to that that angle, but solution will be well this is the our potential is very in fact is identical to what the Mexican had what we got there.

So, here I will have to write  $\psi_{\text{real}}$  and  $\psi_{\text{imaginary}}$  ok and my minimum will be on a circle and whose radius will be  $\sqrt{\mu^2 / \lambda}$  ok what is  $\sqrt{\mu^2 / \lambda}$  ok. So, that is identical I do not need to do that in detail, but we get similar results for this case. Thank you.