

Quantum Electronics
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Module No. # 03
Second Order Effects
Lecture No. # 10
Non- Linear Optics – Quasi Phase Matching

So, we continue with our discussion on quasi phase matching. So before I start, do you have any questions from the earlier lectures?

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QUASI PHASE MATCHING (QPM)

$$\frac{dE_2}{dz} = i \frac{\omega d}{c n_2} e^{-i \Delta k z} E_1^2$$

$$\Delta k = k_2 - 2k_1 = \frac{2\omega}{c} n(2\omega) - 2 \frac{\omega}{c} n(\omega)$$

$$= \frac{2\omega}{c} (n_2 - n_1)$$

$L_c = \frac{\lambda}{\Delta k}$

$P_2(z)$
 η

z

$0 \quad L_c \quad 2L_c$

So, let us continue with our discussion on quasi phase matching also called Q P M. So, let us recall the electric field at the second harmonic, satisfies an equation given by $i \frac{\omega d}{c n_2} \exp(-i \Delta k z) E_1^2$, where Δk is equal to $k_2 - 2k_1$, which is equal to $\frac{2\omega}{c} n(2\omega) - 2 \frac{\omega}{c} n(\omega)$, which we had also written as $\frac{2\omega}{c} (n_2 - n_1)$.

So, Δk is phase mismatch between the waves at ω and 2ω , and depends on refractive indices of the medium at frequency ω and frequency 2ω ; n_1 is n of

ω , the refractive index of the medium at frequency ω , and n_2 is $n(2\omega)$, the refractive index of the medium at frequency 2ω . What we also saw is that because of this term, so there is an E_1^2 square, because of this term, the amplitude of the second harmonic field does not grow continuously, but oscillates.

So, let us recall; we have a curve looking like this; this is z and I can write here, either the power in the second harmonic or the efficiency it goes up and down periodically. This distance was called L_c ; this is $2L_c$, L_c is the coherent length of this interaction process and is given by L_c is equal to $\pi / \Delta k$.

So, what is actually happening is, as we discussed last time, the second harmonic electric field grows until some distance L_c , at which point the phase difference between the non-linear polarization at frequency 2ω and the electric field at 2ω becomes π , and beyond this point the non-linear polarization actually feeds energy into the second harmonic, which is out of phase with existing electric field resulting in a drop in the electric field of the second harmonic.

The electric field of the second harmonic becomes 0 at $2L_c$ and then again starts to grow. We also saw that, if you take typical values of refractive indices at frequency ω and 2ω , because of dispersion the peak efficiency that you can get is extremely small.

So, it is extremely important to make sure that Δk is 0 or very close to 0; so that the efficiencies can be reasonably high. Now, if you can make Δk is equal to 0, we get what is called birefringence phase matching, which we have seen earlier. But I can actually use another technique to achieve, what is called as quasi phase matching. So, in this equation what we would like to do is to make d , a function of z and a periodic function of z .

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$$d(z) = d_0 \sin Kz$$

$$K = \frac{2\pi}{\lambda}$$

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QUASI PHASE MATCHING (QPM)

$$\frac{dE_2}{dz} = i \frac{\omega d}{cn_2} e^{-i\Delta k z} E_1^2$$

$$\Delta k = k_2 - 2k_1 = \frac{2\omega}{c} n(2\omega) - 2 \frac{\omega}{c} n(\omega)$$

$$= \frac{2\omega}{c} (n_2 - n_1)$$

$P_2(\omega)$

$L_c = \frac{\lambda}{\Delta k}$

So, last time we saw that if I take d of z is equal to $d_0 \sin k z$; let k is the spatial frequency of this variation and given by 2π by λ , where capital λ is the period of the variation of d , then what happens actually is that, at this point instead of the second harmonic decreasing in amplitude it grows; again, it continues to grow up to this point and then again, instead of dropping down, it continues to grow.

So, there is an increase of the efficiency of the second harmonic generation, because every time you have a phase difference of π between the non-linear polarization and the

electric field, you introduce a change of \sin of d , which is essentially a change of phase of π of the non-linear polarization term. So, this way you can actually overcome this decrease in efficiency and this technique is called quasi phase matching.

So, remember, what we had done was, we substituted this expression for d in this equation, **and** which you write \sin in terms of two exponentials; what you find is, you have one exponential term, which is exponential i capital K minus $\Delta k z$, and you have a another exponential term, exponential minus $i k$ plus $\Delta k z$.

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$$d(z) = d_0 \sin Kz$$

$$K = \frac{2\pi}{\lambda}$$

$$K = \Delta k$$

$$\frac{2\pi}{\lambda} = \frac{2\omega}{c} [n(2\omega) - n(\omega)]$$

$$\lambda = \frac{\pi c}{\omega [n(2\omega) - n(\omega)]} = \frac{\pi c}{\omega [n_2 - n_1]}$$

So, if I can make capital K is equal to Δk ; one of these terms has no phase terms and that term, when I integrate this equation gives me an increasing electric field of the second harmonic. So, what is the spatial frequency required for phase matching or quasi phase matching k is equal to Δk . This implies that 2π by λ is equal to Δk , which is 2ω by c into n of 2ω minus n of ω or λ is equal to πc by ω into n of 2ω minus n of ω , which is equal to πc by ω into n_2 minus n_1 .

This period of quasi phase matching depends on the refractive indices at the frequency ω and 2ω , and also of course the frequency ω itself. We had seen last time that if you take a typical wavelength of eight hundred nanometers, we can calculate what is the capital λ required in the case of Lithium Niobate and we found it is of

the order of three point three microns or so. So, it is a very small period required which means you need to exchange; you need to change the direction or the sign of the d coefficient every 3.3 microns or so.

Also note that this particular period depends on the frequency or the wavelength. So, if you take second harmonic generation of 800 nanometer wavelengths to generate 400 nanometers, I need to know the refractive index of the medium at 800 nanometers n_1 ; I need to know the refractive index of the medium at 400 nanometers, which is n_2 and then I can substitute into this equation and get the period.

Now, if you change this wavelength slightly say from 800 to I change it to 810 nanometers, then note that the frequency will change, the refractive indices will change and so the required d will also change. So, what this implies is that if you take a particular fundamental wavelength, calculate the corresponding grating period required for quasi phase matching and make a device with that particular period, that device will work perfectly at the chosen frequency at which you have designed the substrate.

So, at 800 nanometers if you calculate the period and make a periodic domain periodic reversal of sign of d at the period corresponding to 800 nanometers, it will work perfectly at 800 nanometers. Now, if you change this input wavelength, the period that you have in your crystal does not correspond to the period required for the new wavelength. In this case, what will happen is the efficiency will drop down, because this K corresponding to what you have made in the crystal, does not correspond to what is required to quasi phase match the new frequency.

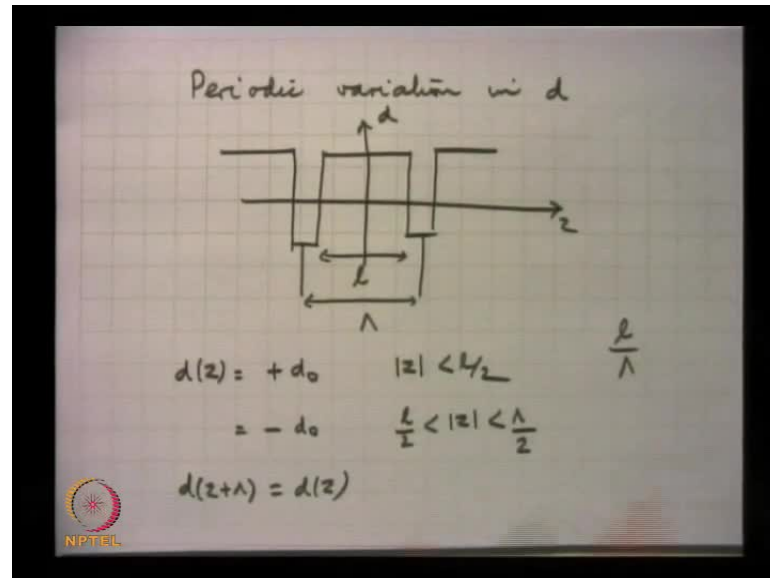
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$$d(z) = d_0 \sin Kz$$
$$K = \frac{2\pi}{\lambda}$$
$$K = \Delta k$$
$$\frac{2\pi}{\lambda} = \frac{2\omega}{c} [n(2\omega) - n(\omega)]$$
$$\lambda = \frac{\pi c}{\omega [n(2\omega) - n(\omega)]} = \frac{\pi c}{\omega [n_2 - n_1]}$$

So, this is the frequency dependent period here; so for any particular input frequency, if you want to generate second harmonic, you need to calculate the corresponding quasi phase matching period and make a device with that period and of course, as you will notice that this will have a certain bandwidth, which means that if your frequency deviates from the chosen frequency at the input, then the efficiency will drop down. I will come back to this point a little later.

Now what happens is to create a sinusoidally varying d coefficient is not very easy, because remember, materials have a certain non-linear coefficient and how do I make it sinusoidally varying along the propagation direction?

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So, what is done? Now, instead of having a sinusoidal variation in d , I choose a periodic variation in d **periodic variation in d** , and actually I can have crystals with d positive and negative. Remember, d is the one of the elements of the non-linear tensor, the sign of the d coefficient depends on the orientation of the axis; so you can actually change the sign of d by changing the orientation to crystal axis.

So, it is possible to have d variation, which is either plus or minus, and so let me consider a situation where I have, if I plot d versus z , let me assume I have a variation like this. So, this is plus d and this is minus d ; this is the period and this is length l . So, in one period, I have positive value of d over a length l , and over a length $\lambda - l$, I have a negative value of d . So, d of z is plus d_0 for $|z| < l/2$ and is equal to minus d_0 for $l/2 < |z| < \lambda/2$.

That's the periodic function with a period λ and this ratio l/λ is called the duty cycle. So, overall length l , the non-linear coefficient is positive and overall length $\lambda - l$, the non-linear coefficient is negative and the function is periodic.

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Handwritten mathematical derivation on a grid background:

$$d(z) = d_0 \sum_{m=-\infty}^{+\infty} G_m e^{imKz}$$

$$G_m = \frac{2}{m\pi} \sin\left(\frac{m\pi L}{\lambda}\right)$$

$$d(z) = d_0 \left[G_0 + G_1 e^{iKz} + G_{-1} e^{-iKz} + G_2 e^{2iKz} + G_{-2} e^{-2iKz} + G_3 e^{3iKz} + G_{-3} e^{-3iKz} + \dots \right]$$

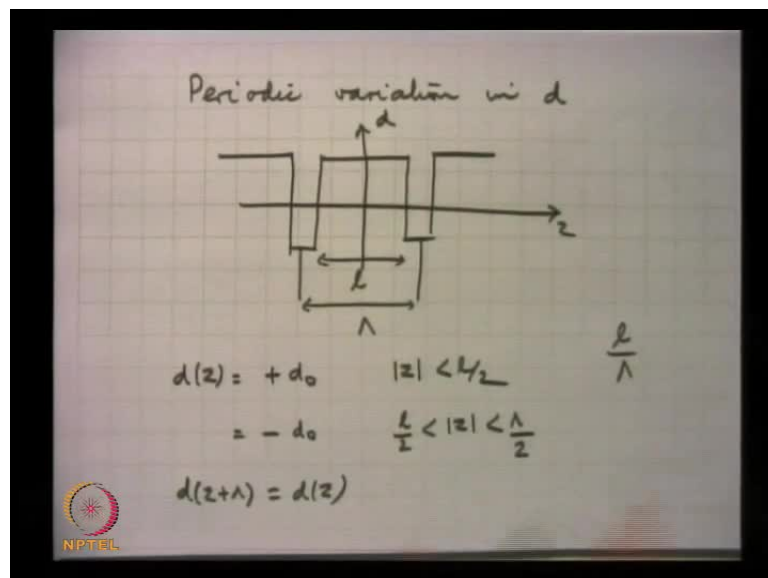
Below the equations, the following values are given:

$$\frac{L}{\lambda} = \frac{1}{2} \quad G_1 = \frac{2}{\pi}, \quad G_{-1} = -\frac{2}{\pi}, \quad G_2 = 0, \quad G_{-2} = 0 \dots$$

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So, d of z plus λ is equal to d of z . Now, because it is a periodic function, I can always make a Fourier series expansion. So, if I want to write a Fourier series expansion, I can write d of z as d_0 sigma G_m exponential $i m k z$.

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This is a periodic function with a fundamental period capital λ and so fundamental spatial frequency 2π by capital λ . So, when I make a Fourier series expansion of this d as a function of z , I leave it as a problem to you; that you can actually show that

this is $d_0 \sum_{m=-\infty}^{\infty} G_m \exp(i m k z)$ with m going from minus infinity to plus infinity.

This is an exponential Fourier series; instead of, writing sin and cosine Fourier series, I am using an exponential Fourier series and what I would like you to do is, take this periodic function and calculate the Fourier coefficient G_m , and I would like you to show that G_m is equal to $\frac{2}{\lambda} \sin \frac{m \pi l}{\lambda}$. These are the Fourier coefficients corresponding to various values of m . So, actually d of z can be written as d_0 times G_0 plus $G_1 \exp(i k z)$ plus $G_{-1} \exp(-i k z)$ plus $G_2 \exp(2 i k z)$ plus $G_{-2} \exp(-2 i k z)$ plus G_3 , and so on.

These are the various Fourier terms in the expansion and the various coefficients- Fourier coefficients are given by the value of G_m which is written here. So and of course this depends on the duty cycle $\frac{l}{\lambda}$. So, if you take for example, a duty cycle of half that means over a length λ by 2, I have a positive value of d and over a length λ by 2; again, I have a negative value of d .

So, duty cycle of 50 percent, which means **half the** half the period of the non-linear coefficient is positive; the other half it is negative with the same magnitude. Then what you can see here is, from here G_1 is equal to $\frac{2}{\lambda} \sin \frac{\pi l}{\lambda}$, G_{-1} is $-\frac{2}{\lambda} \sin \frac{\pi l}{\lambda}$, what is the value of G_2 ? Note, if $\frac{l}{\lambda}$ is half, G_2 will have a sign π term that will be 0; so G_2 is 0; G_{-2} is 0. In fact, you can show that all even series - even terms in the expansion are 0, giving you only the odd terms in the expansion.

Similarly, G_3 will be $\frac{2}{\lambda} \sin \frac{3 \pi l}{\lambda}$ which is $\frac{2}{\lambda} \sin \frac{3 \pi l}{\lambda}$ which is $\frac{2}{\lambda} \sin \frac{3 \pi l}{\lambda}$, and so on. So, **you can have** you can find out all the expansion coefficients in Fourier series; so please do derive this equation here, find out what are the Fourier terms in the expansion.

So, instead of having a periodic sinusoidal variation in the non-linear coefficient, we have a periodic variation and that periodic variation can be written as a Fourier series and this series contains many exponential terms here.

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$$\frac{dE_2}{dz} = i \frac{\omega d_0}{c n_2} E_1^2 e^{-i \Delta k z}$$

$$= i \frac{\omega d_0}{c n_2} E_1^2 \sum G_m e^{i (m K - \Delta k) z}$$

$$= i \frac{\omega d_0}{c n_2} E_1^2 \left[G_0 + G_1 e^{i (K - \Delta k) z} + G_{-1} e^{-i (K + \Delta k) z} + G_2 e^{i (2K - \Delta k) z} + G_{-2} e^{-i (2K + \Delta k) z} + \dots \right]$$

So, let see what happens to this expansion; so let me write again the equation for E_2 , dE_2/dz is $i \omega d_0 / c n_2 E_1^2 e^{-i \Delta k z}$. Now, dE_2/dz is you need to substitute here; so dE_2/dz is a function of z exponential minus $i \Delta k z$, which I write as $i \omega d_0 / c n_2 E_1^2 \sum G_m e^{i (m K - \Delta k) z}$.

So, if I want to write the first few terms, so I have $\omega d_0 / c n_2 E_1^2$ into G_0 plus $G_1 e^{i (K - \Delta k) z}$ plus $G_{-1} e^{-i (K + \Delta k) z}$ plus $G_2 e^{i (2K - \Delta k) z}$ plus $G_{-2} e^{-i (2K + \Delta k) z}$, and so on.

Now, I can integrate this equation **sorry** there is a exponential minus $i \Delta k z$ here; G_0 there is no exponential $i k z$ here, I still have an exponential minus $i \Delta k z$. So, I can actually integrating this equation assuming again like we did before, that the change in the energy of the fundamental frequency ω is negligibly small, which means the efficiency small, and that case I can assume E_1^2 to be a constant and I can immediately integrate this equation you get the following expression.

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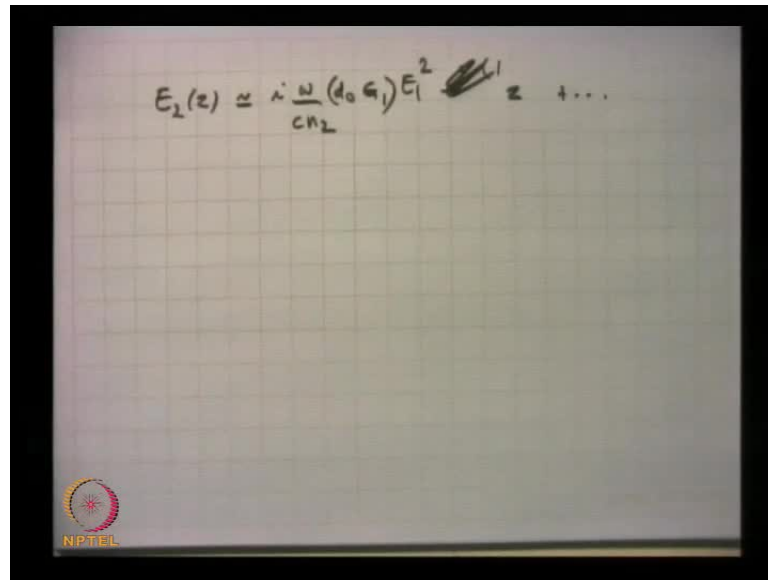
$$E_2(z) = i \frac{\omega d_0}{c n^2} E_1^2 \left[G_0 e^{-i \frac{\delta k z}{2}} \frac{\sin(\frac{\delta k z}{2})}{(\frac{\delta k}{2})} + G_1 e^{i \frac{(K - \delta k) z}{2}} \frac{\sin(\frac{(K - \delta k) z}{2})}{(\frac{K - \delta k}{2})} + G_{-1} e^{-i \frac{(K + \delta k) z}{2}} \frac{\sin(\frac{(K + \delta k) z}{2})}{(\frac{K + \delta k}{2})} + \dots \right]$$

$K = \delta k$

So, E_2 of z is equal to $i \omega d_0 / c n^2 E_1^2$. So, let me now skip a few steps; I can actually integrate, and from 0 to z and what you will get is G_0 exponential minus $i \delta k z / 2$ into $\sin \delta k z / 2$ by $\delta k / 2$. I have integrated and simplified exactly like we did before plus I will have G_1 exponential $i k$ minus $\delta k z / 2$ $\sin K$ minus $\delta k z / 2$ by K minus $\delta k / 2$ plus G_{-1} minus $i K$ plus $\delta k z / 2$ into $\sin K$ plus $\delta k z / 2$ by K plus $\delta k / 2$, and so on.

So, I can actually first integrate this equation, because if I assume E_1 square is a constant, then the only term I need to integrate are these terms here and I get this $\sin \delta k z / 2$ by $\delta k / 2$, and so on. Now, what can I do is, in quasi phase matching what I try to do is, I choose a value of capital K such that **one of these sin terms** one of these terms **becomes** just disappears from here; which means for example, if I choose capital K is equal to δk , then this term will **give me...** what will be the value of this term? When capital K is equal to δk , this will be z ; this factor remember, here it is $\sin \delta k$ minus $\delta k z / 2$ by K minus $\delta k / 2$. So, if I multiply and divide by z this becomes a sinc function and at 0 argument, the sinc function is 1 and I get z .

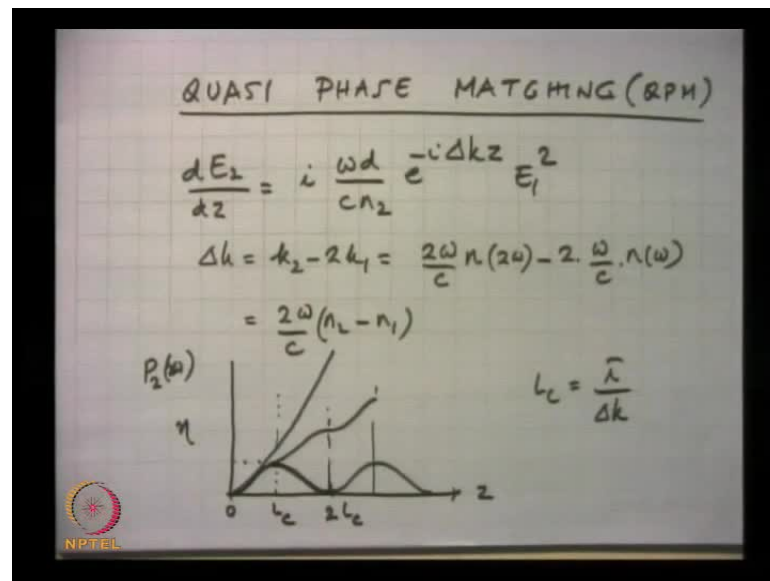
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$$E_2(z) \approx i \frac{\omega}{c n_2} (d_0 G_1) E_1^2 e^{i k z} + \dots$$

But all the other terms will still have a finite sin term setting here, and so I can neglect the contribution for of all the other terms and what I will be left with is E_2 of z will be **approximately given by...** If I can neglect all the other terms and if I choose capital K is equal to δk , I will have $i \omega$ by $c n_2 d_0 G_1 E_1^2$ into exponential i .

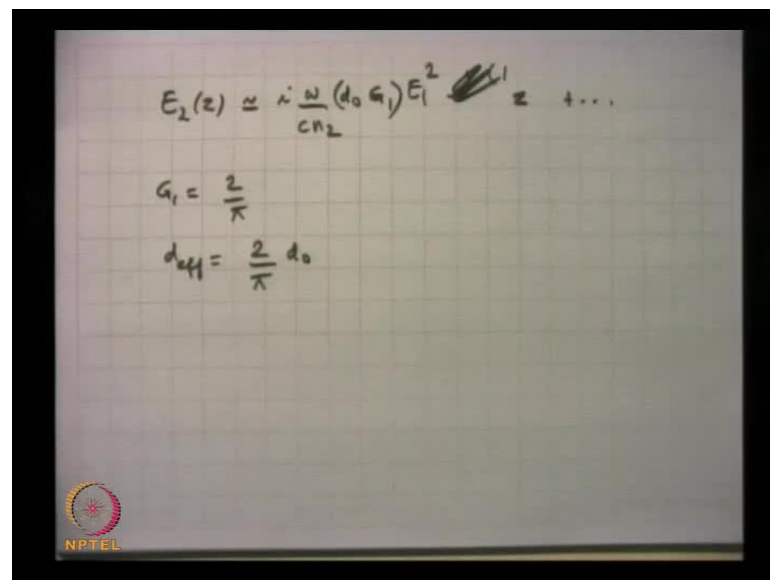
So, k is equal to δk ; so this goes off and I have simply z plus the other terms, which are neglected. So, note here, that the expression that I get is very similar to the situation when δk was 0. Except that, now, the non-linear coefficient, instead of being d_0 is now, d_0 times G_1 , where G_1 is the Fourier amplitude of the exponential $i \delta k$ in the $i k z$ term. The first Fourier expansion coefficient and it is proportional to length here; so as the length increases, as I showed you before, the second harmonic field will keep on increasing.

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This is exactly what the thing shown here; it just keep on increasing, because of quasi phase matching. Remember, if there was perfect phase matching this should have gone like this.

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It would I mean continuously increasing, but it is increasing much slowly because the effective non-linear coefficient now becomes d_0 times G_1 . So, if you choose the first this capital K is equal to Δk , G_1 is equal to $2/\pi$; so the effective non-linear coefficients becomes $2/\pi d_0$. And if I can neglect other terms **what you can see** that

the second harmonic field now grows with z and I will have much higher efficiencies, than if I did not have phase matching or if I did not have quasi they phase matching.

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$$E_2(z) = i \frac{\omega d_0}{c n_2} E_1^2 \left[G_0 e^{-i \Delta k z/2} \frac{\sin(\Delta k z/2)}{(\Delta k/2)} \right. \\ \left. + G_1 e^{i(K-\Delta k)z/2} \frac{\sin(K-\Delta k)z/2}{(K-\Delta k)/2} \right. \\ \left. + G_{-1} e^{-i(K+\Delta k)z/2} \frac{\sin(K+\Delta k)z/2}{(K+\Delta k)/2} \right. \\ \left. + \dots \right]$$

$K = \Delta k$

So, by choosing capital K is equal to delta k what I am essentially doing is, making one of these **sin terms...** give me z here, instead of an oscillatory solution and my second harmonic field grows rather than being oscillatory. Because I am choosing the first Fourier coefficient capital K is equal to delta k , this is called first order quasi phase matching.

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$$E_2(z) \approx i \frac{\omega}{c n_2} (d_0 G_1) E_1^2 z + \dots$$

$$G_1 = \frac{2}{\pi}$$

$$d_{\text{eff}} = \frac{2}{\pi} d_0$$

$K = \Delta k$	First order QPM
$2K = \Delta k$	Second order QPM
$3K = \Delta k$	Third order QPM

So, if I choose k is equal to Δk , this is first order Q P M; if I choose $2k$ is equal to Δk , this is called second order Q P M, and if I choose $3k$ is equal to Δk , it is called third order Q P M. So, what I have calculated is, for a first order Q P M, **if you took** if you took a second order Q P M, I will have d_0 times G_2 here; if I choose a third order Q P M, I will have d_0 times G_3 here.

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$$d(z) = d_0 \sum_{m=-\infty}^{+\infty} G_m e^{imKz}$$

$$G_m = \frac{2}{m\pi} \sin\left(\frac{m\pi L}{\lambda}\right)$$

$$d(z) = d_0 \left[G_0 + G_1 e^{iKz} + G_{-1} e^{-iKz} + G_2 e^{2iKz} + G_{-2} e^{-2iKz} + G_3 e^{3iKz} + G_{-3} e^{-3iKz} + \dots \right]$$

$$\frac{L}{\lambda} = \frac{1}{2} \quad G_1 = \frac{2}{\pi}, \quad G_{-1} = -\frac{2}{\pi}, \quad G_2 = 0, \quad G_{-2} = 0..$$

Now, please note that as you go to higher Fourier coefficients, the amplitude of the Fourier term keeps on dropping down. Because I have just calculated G_m is $\frac{2}{m\pi} \sin m\pi l$ by λ , and if you have a larger values of m , you have an increasing denominator here; so G_1 is $\frac{2}{\pi}$, G_3 is $\frac{2}{3\pi}$, G_5 is $\frac{2}{5\pi}$, and so on.

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$$\begin{aligned} 1. \quad k &= \Delta k = \frac{2\pi}{\lambda} \\ \lambda &= \frac{2\pi}{\Delta k} = 2L_c \end{aligned}$$
$$\begin{aligned} 2. \quad 3k &= \Delta k = \frac{6\pi}{\lambda} \\ \lambda &= \frac{6\pi}{\Delta k} = 6L_c \end{aligned}$$

But what is advantage of going to higher order Q P M? So, for example, if I were to use first order Q P M, I need to choose k is equal to Δk , which is equal to k is equal to 2π by λ ; so the period required is 2π by Δk . And what is π by Δk ? π by Δk is the coherence length; so this is twice L_c that is visible from here; that I need to have the period to be twice L_c .

I need to change sign of the d coefficient after half the coherence length here, and then I get quasi phase matching and so if the coherence length 3.3 microns, I need to have a periodicity of 6.6 microns. Every 3.3 microns, I need to reverse the direction or change the sign of d . If I were to use third order Q P M, then I need $3k$ is equal to Δk , which is equal to 6π by λ ; so λ is equal to 6π by Δk , which is equal to 6 times L_c .

I gain in terms of the period required for quasi phase matching. Remember, to fabricate this is not so easy; so I need to worry about fabrication problems. So, if I need to reverse the sign of d coefficient every 3.3 microns, it is much more difficult than to do at $600 L_c$, which is about 19 microns or so. So, it is much easier to make third order quasi phase matching than first order quasi phase matching, but **what is it** what is the price I pay?

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$$E_2(z) \approx i \frac{\omega}{cn_2} (d_0 G_1) E_1^2 z + \dots$$
$$G_1 = \frac{2}{\pi}$$
$$d_{\text{eff}} = \frac{2}{\pi} d_0$$

$K = \Delta k$ First order QPM
 $2K = \Delta k$ Second order QPM
 $3K = \Delta k$ Third order QPM

You see here, that the non-linear coefficient - effective non-linear coefficient, instead of being $2/\pi d_0$ for first order QPM becomes $2/3\pi d_0$ for third order QPM. And because the efficiency of second harmonic generation depends on the square of the non-linear coefficients, note that the second harmonic power is proportional to E_2^2 , and E_2^2 is proportional to $d_0 G_1^2$; it is proportional to G_1^2 or G_3^2 , G_m^2 .

So, if I go to higher order quasi phase matching, the corresponding Fourier coefficients have smaller magnitudes and the effective non-linear coefficient will be smaller; and so the efficiency will decrease as you go to higher order QPM.

So, already if you have perfectly phase matched, the effective non-linear coefficient will be d_0 . If you have first order QPM, the effective non-linear coefficient is $2/\pi d_0$ and because the efficiency goes up as G_1^2 , it will be $4/\pi^2$ times the efficiency, if you had perfect phase matching. And π^2 is of the order of 10; so $4/\pi^2$ is about 0.4, that is, 40 percent. So, if you have perfect phase matching for certain efficiency, if you go to first order QPM, your efficiency drops on by 40 percent.

If you go to third order QPM it becomes another 1/9 times smaller, and that means another 10 times factor 9 times decrease in efficiency. And so, of course, it is easier to make third order QPM structures, but the price you pay is in terms of efficiency.

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$$d(z) = d_0 \sum_{m=-\infty}^{+\infty} G_m e^{imKz}$$
$$G_m = \frac{2}{m\pi} \sin\left(\frac{m\pi L}{\lambda}\right)$$
$$d(z) = d_0 \left[G_0 + G_1 e^{iKz} + G_{-1} e^{-iKz} + G_2 e^{2iKz} + G_{-2} e^{-2iKz} + G_3 e^{3iKz} + G_{-3} e^{-3iKz} + \dots \right]$$
$$\frac{L}{\lambda} = \frac{1}{2} \quad G_1 = \frac{2}{\pi}, \quad G_{-1} = -\frac{2}{\pi}, \quad G_2 = 0, \quad G_{-2} = 0..$$

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$$1. \quad K = \Delta k = \frac{2\pi}{\lambda}$$
$$\lambda = \frac{2\pi}{\Delta k} = 2L_c$$
$$2. \quad 3K = \Delta k = \frac{6\pi}{\lambda}$$
$$\lambda = \frac{6\pi}{\Delta k} = 6L_c$$

Now, I did not talk about G_2 , because you note here, that if you choose a duty cycle of half; if L by λ is half, then all even order coefficients are 0; so there is no G_2 ; so there is no G_2 . So, in this expansion here, if your duty cycle is half, G_2 is 0; so there is no... You may be achieving second order quasi phase matching, but the effective non-linear coefficient is 0. See you will not generate second harmonic; if you choose a duty cycle of half.

So, I **would not** want to leave another problem to you; please find out, what is the best duty cycle that I must use, so that I am able to use the second order quasi phase matching term? So, **what should be the value of...** If I go back, look at this expansion here, what should be the value of l by λ ?

What should be the value of l by λ here, so that I can have a finite value of G_2 and the maximum G_2 that is possible? So, I leave this problem to you, and then correspondingly calculate what is the drop in efficiency, if I do second order of QPM in comparison to the phase matched interaction process?

Now, so, for example, if I were to take the first order QPM, then I can calculate; I had this expression here; let me write it again. So, this is the expression for E_2 of z ; so from here, I can actually calculate the second harmonic power.

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The image shows a handwritten derivation on a grid background. The equations are as follows:

$$P_2(z) = \frac{n_2}{2c\mu_0} |E_2(z)|^2 L$$

$$= \frac{n_2}{2c\mu_0} \frac{\omega^2}{c^2 n_2^2} d_0^2 G_1^2 |E_1|^4 \left(\frac{\sin((K-\Delta k)z/2)}{(K-\Delta k)/2} \right)^2$$

$$P_1 = \frac{n_1}{2c\mu_0} |E_1|^2 L$$

$$\eta = \frac{P_2(z)}{P_1}$$

Below the equations, there are two substitutions:

$$\Delta k \rightarrow (K - \Delta k); \quad d_0 \rightarrow d_0 G_1$$

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So, P_2 of z is equal to n_2 by $2c\mu_0$ mod E_2 of z whole square into the area; so this is equal to n_2 by $2c\mu_0$ ω^2 square by $c^2 n_2^2$ square d_0 square G_1 square E_1 mod $4z$ square. If I do not take exactly **delta k is equal to** capital K is equal to Δk , I will have essentially the term like, instead of, z square I will have $\sin K$ minus Δk z by 2 by K minus Δk by 2 whole square.

If k is equal to If capital K is equal to Δk exactly, then this becomes z square; otherwise, there is a sine term, which comes here. I can replace E_1 square in terms of

P_1 ; remember, the fundamental power is $n_1^2 c \mu_0 \text{mod } E_1^2$ into area. So, I can substitute into this equation and calculate the corresponding efficiency of second harmonic generation P_2 of z by P_1 . P_1 is assumed to be independent of z , because I am neglecting the amount of power that goes from the fundamental to second harmonic; I am neglecting the changes in E_1 as a function of z . Please remember that, I cannot generate second harmonic, **unless I will** unless I lose power from the fundamental.

So E_1 , if E_2 changes, E_1 has to change, but I am neglecting the change in E_1 **to be able to different able** to integrate the equation; so that I get an expression for efficiency. So, you again substitute in terms of P_1 and calculate; so the only difference term perfect matching you will see is, instead of, $\sin(\Delta k z)$ by Δk by 2 whole square, I am getting $\sin(K - \Delta k z)$ by $K - \Delta k z$ by 2 .

So, Δk gets replaced by $K - \Delta k$, and the non-linear coefficient gets replaced by d_0 times G_1 for first order QPM. So, I can use this process, quasi phase matching to cancel the effects of Δk by an appropriate period of a reversal of the sign of the d coefficient. In birefringence phase matching, I needed to have a first medium, which has a birefringence; there must be an ordinary wave, there must be an extraordinary wave.

And the fundamental in second harmonic are to have orthogonal polarization states, but here please note, that I am not using any birefringence if there is a finite value of Δk between the fundamental and second harmonic. For example, if you have enter the same polarization, then it is medium is anisotropic and if they have the same polarization Lithium Niobate, let me assume, the ω frequency is extraordinary wave; the 2ω frequency in an extraordinary wave; obviously, I cannot have birefringence phase matching, because the extraordinary refractive index at ω and the extraordinary refractive index at 2ω are not equal.

So, Δk is not equal to 0, but if I can change the sign of capital as small d periodically with a period capital λ having a spatial frequency capital K , **then if** which is equal to Δk , then I will have this for becoming z^2 and have a very good efficiency.

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$$P_2(z) = \frac{n_2}{2c\mu_0} |E_2(z)|^2 L$$

$$= \frac{n_2}{2c\mu_0} \cdot \frac{\omega^2}{c^2 n_2^2} d_0^2 G_1^2 |E_1|^4 \left(\frac{\sin((K-\delta k)z/2)}{(K-\delta k)/2} \right)^2$$

$$P_1 = \frac{n_1}{2c\mu_0} |E_1|^2 L$$

$$\eta = \frac{P_2(z)}{P_1}$$

$\delta k \rightarrow (K - \delta k); \quad d_0 \rightarrow d_0 G_1$

So that is the advantage of quasi phase matching; that I do not depend on the birefringence of the crystal and I can actually have a crystal, which is isotropic or in an isotropic medium, I can have interaction from omega to 2 omega for waves having the same polarization states and so on.

So that is the advantage of Q P M and this is now, a very standard technique for most third order non-linear processes. Now, I want to mention to you one thing, that suppose, I were to choose first order Q P M.

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$$K = \frac{2\pi}{\lambda} = \Delta k = \frac{2\omega}{c} [n(2\omega) - n(\omega)]$$

$$\lambda = \frac{\pi c}{\omega [n(2\omega) - n(\omega)]}$$

ω

χ

$\omega_0 - \Delta\omega$ $\omega_0 + \Delta\omega$

$\Delta\omega \ll \omega_0$

So, K is equal to $2\pi/\lambda$ is equal to Δk ; so this is equal to $2\omega/cn$ of 2ω minus n of ω . So, the period required is πc by ω into n of 2ω minus n of ω . So, as I mentioned to you before, the spatial period required for quasi phase matching depends on the fundamental frequency.

So, if I take crystal and if I launch certain ω , the sign reversal of d positive negative, positive negative depends on the frequency. If I vary the input frequency slightly the required period is different from the period that exists in the medium and the efficiency will drop down.

So, in fact if you were to plot the efficiency as the function of frequency, what you will get is a curve like this; this is the frequency ω_0 for which you have chosen the capital λ , and as certain frequency separated from here by $\Delta\omega$, assuming $\Delta\omega$ much less than ω_0 , deficiency will drop to 0.

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The image shows a handwritten derivation on a grid background. The equations are as follows:

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$$= \frac{n_2}{2c\mu_0} \cdot \frac{\omega^2}{c^2 n_2^2} d_0^2 G_1^2 |E_1|^4 \left(\frac{\sin((K-\Delta k)z/2)}{(K-\Delta k)/2} \right)^2$$

$$P_1 = \frac{n_1}{2c\mu_0} |E_1|^2 L$$

$$\eta = \frac{P_2(z)}{P_1}$$

Below the equations, there are two substitutions:

$$\Delta k \rightarrow (K - \Delta k); \quad d_0 \rightarrow d_0 G_1$$

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In fact, you can look at this equation and tell me what is the value of Δk at which deficiency will drop to 0? Deficiency will drop to 0, when the sine function becomes 0 that is K minus Δk into $1/2$. If the crystal length is L , K minus Δk into $L/2$ is equal to π ; so I can immediately calculate.

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$$(K - \Delta k) \frac{L}{2} = \pm \pi \Rightarrow \eta = 0$$

$$\Delta k = K \pm \frac{2\pi}{L}$$

$$\Delta k(\omega) = K \pm \frac{2\pi}{L}$$

So, when K minus Δk into L by 2 is equal to π deficiency becomes 0 , and that means Δk is equal to K minus, actually plus minus π ; so Δk is equal to K plus or minus 2π by L .

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$$K = \frac{2\pi}{\lambda} = \Delta k = \frac{2\omega}{c} [n(2\omega) - n(\omega)]$$

$$\lambda = \frac{\pi c}{\omega [n(2\omega) - n(\omega)]}$$

ω → [Diagram of a wave packet]

$\Delta \omega \ll \omega_0$

η vs ω graph showing a peak at ω_0 with points $\omega_0 - \Delta\omega$ and $\omega_0 + \Delta\omega$.

And this K , Δk is the function of ω ; please note that Δk is a function of ω . So, in this curve what I have drawn here is, this is the frequency at which I have designed my quasi phase matching period for which capital K is equal to Δk .

As I move away from here, delta k changes and when delta k becomes k of plus minus 2 pi by L, the corresponding efficiency becomes 0. So, I can man-width of this interaction process, which is approximately delta omega over which the second harmonic, this quasi phase matching crystal will work efficiently.

So, if you were to deviate your input frequency by more than of the order delta omega, then the efficiencies of second harmonic generation will become very poor. The longer the crystal, the narrower is the bandwidth of second harmonic interaction process. So, if you take a long crystal with the domain reversed crystal, then the bandwidth is much narrower than if you have a shorter crystal.

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$$(K - \Delta k) \frac{L}{2} = \pm \pi \Rightarrow \eta = 0$$

$$\Delta k = K \pm \frac{2\pi}{L}$$

$$\Delta k(\omega) = K \pm \frac{2\pi}{L}$$

$$\text{At } \omega = \omega_0 \quad \Delta k(\omega_0) = K = \frac{2\pi}{\lambda}$$

$$\text{At } \omega = \omega_0 + \Delta\omega \quad \Delta k(\omega) = K \pm \frac{2\pi}{L}$$

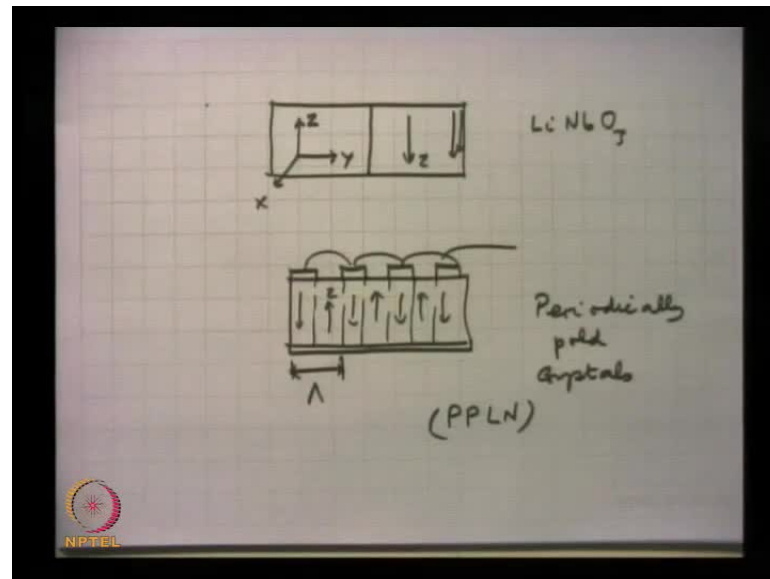
$$\underline{\underline{\Delta\omega \ll \omega_0}} \quad \Delta k(\omega) = \Delta k(\omega_0 + \Delta\omega)$$

So, again, what I would like you to do is, please note that, let me give you a problem. The problem is at omega is equal to omega 0, delta k of omega 0 is equal to K; this is equal to 2 pi by lambda. At omega is equal to omega 0 plus delta omega delta k of omega is equal to K plus minus 2 pi by L plus or minus you find out.

So, if delta omega is much less than omega 0; from this expression here, you can write delta k of omega is equal to delta k of omega 0 plus delta omega. Make a Taylor series expansion, use this equation here and calculate delta omega, an expression for delta omega. What is the bandwidth of the interaction process in terms of the refractive indices or in terms of whatever it is?

So, I will leave it as a problem to you to calculate, what is the bandwidth of this interaction process **how does** in terms of frequency $\Delta\omega$ or $\Delta\lambda$? So, this is important in a practical device, because I need to know, how precisely I need to control the frequency of the input laser, so that I keep having high efficiency second harmonic generation.

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Now, obviously, the question arises, how do I change the sign of d ? Now, there is a standard procedure now, which is employed. So, let me take an example of Lithium Niobate LiNbO_3 , this crystal is a ferroelectric crystal; so it has a spontaneous polarization.

And for example, let me call this as z axis of the crystal; so x may be here, y may be here; so these are the three principle axis of a crystal x y z. It is a uniaxial crystal; so the optic axis is along the z axis and what happens in this crystal is, there is a spontaneous polarization, that is, **take that is** there along the z axis.

Now, what I can do is, if we apply very strong electric field in the reverse direction, suppose, I apply a strong electric field in the downward direction, I can actually reverse the direction of the z axis by flipping the atoms within the crystal. And what happens is for example, if I take this half of the crystal and if I apply very strong electric field in the downward direction, then I can change the orientation z axis from pointing up to pointing down.

It is just like in magnets; you have north pole, south pole and you can flip it out into make it south pole, north pole by applying a very strong magnetic field in the reverse direction. So, you can actually change the orientation of the spontaneous polarization direction by applying a very strong electric field in the opposite direction.

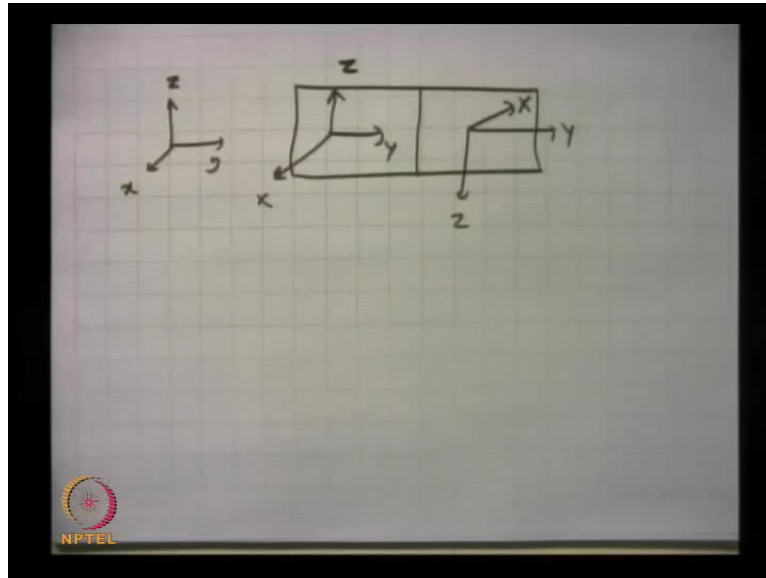
So, one of the techniques, it is called the electric field poling. So, what you do is, you apply; you put in periodic electrodes. So, this is a crystal having say z axis like with originally; so you apply; you connect all this and apply electric field in the downward direction; when you apply a strong enough electric field, what happens is the optic axis in this position reverses its direction.

So, here, there is a strong electric field in the downward direction, which changes the z axis from pointing up to pointing down. And similarly, here, again there is a strong electric field from pointing up to pointing down and this is the period of domain reversible.

So, this is called periodic poling, that means you are poling the crystal orientation periodically and so this is are called periodically poled crystals. And in fact, this is called periodically poled Lithium Niobate; if it is the Lithium Niobate also called in short form as (()) that means these are Lithium Niobate crystal in which the direction of the spontaneous polarization is periodically reversing in the crystal. But please note, that the linear property of the medium is the same along the z direction, along this direction, but its optic axis reversing its orientation.

So, what is the effect of this direction change of optic axis? Again, please note, that the d tensor we have written d_{ijk} ; it is a tensor and it is written in the principle axis system. So, in the principle axis system these coefficients - d coefficients are all written in the principle axis system.

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So, if I were to consider a crystal with 2 parts, for example, in one part let me assume the z axis was here; this is x and this is y, and in the other part, I had z like this, y like this and x like this; I have changed the direction of z, I have to keep the right handed coordinate system. So, in this coordinate system here, the d tensor, which I have written is valid in this coordinate system; the d tensor, which I have written is also valid; provided, I choose this coordinate axis. But please note, that the equation which I am writing are in a laboratory coordinate system. So, they could be like this for example, this could be x y z.

Let me call this as capital Z, capital X, Capital Y; this is the principle axis system; this is the laboratory coordinate system. So in this part of the crystal, the laboratory coordinate system and the crystal principle axis exactly match; so the d tensor written in the principle axis system is also the d tensor in the laboratory coordinate system. In the second part of the crystal, the laboratory coordinate system and the principle axis system do not coincide. The d tensor is written in the principle axis system; so I need to transform the d tensor from the principle axis system to the laboratory coordinate system. So, what do I? I use the transformation properties of tensors.

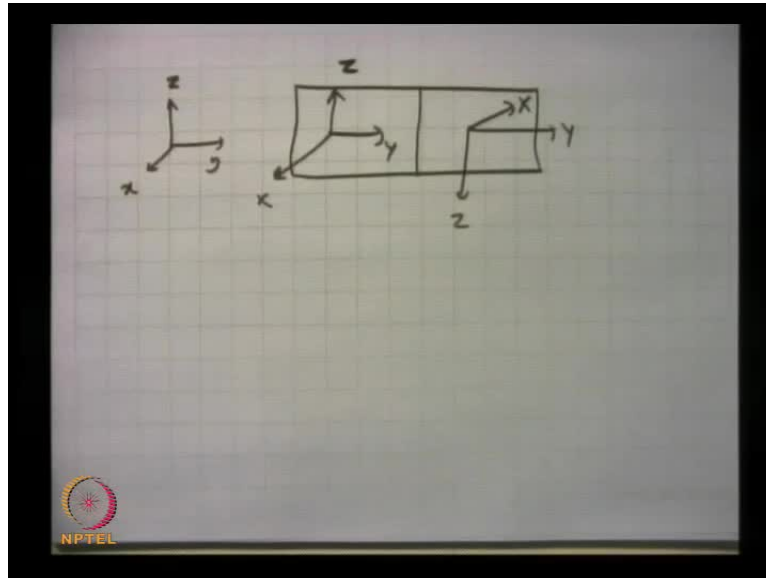
Note that, between these two, all I have done is to change x to minus x, z to minus z and keep y the same; so this system and this system are related through x to minus x and z to minus z and y to plus y.

So, I leave it as a problem to you that please calculate, when you rotate the principle axis system around the y axis by 180 degrees, which are the elements of the d tensors, which change sign; which are the elements of the d tensor, which do not change sign. That means I need to write the d tensor of this part of the crystal in the laboratory coordinate system and compare that with the d tensor of this half, and **you will find** you may find that some of the tensor elements do not change sign; some of them would have changed sign. So, those elements which have changed sign, I can use them for quasi phase matching; if the particular non-linear coefficient does not change sign obviously, I cannot use that for quasi phase matching.

Please go back and look at your earlier notes on tensor analysis; find out, how to transform tensors it is very simple; it is not a general angle, it is just x to minus x, z to minus z, y to plus y. And so, you can actually use this transformation properties of tensors to find out, which d i j k elements here are negative of here, and which d i j k elements do not change sign. And you will see for example, d 3 3 is the largest non-linear coefficient Lithium Niobate and that changes sign. So, you can actually use d 3 3 for quasi phase matching.

So, please go back and do. In case, you have a problem; we can discuss that in the class and analyze this little more carefully. Do you have any questions in quasi phase matching? So, let me **let me** just recollect what you have done in the equation for second harmonic. There is an exponential minus I delta k z, when I integrate over length, this exponential factor gives me an oscillatory solution and to remove this oscillatory solution, I must make delta k is equal to 0. If I choose delta k is equal to 0 the second harmonic power grows quadratically with length and I have very high efficiencies.

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And the way to achieve this is called birefringence phase matching. Now, the problem with birefringence phase matching is, **I need...** the crystal has to be anisotropic, and the polarization states of the ω and 2ω are orthogonal to each other, which means there are some d elements - some d tensor elements, which are responsible for this interaction and they may not be the largest.

So, to overcome this problem we have discussed quasi phase matching in which I do not take a crystal with a uniform d value, but I periodically reverse the sign of d , the non-linear coefficient. By doing that I can use this periodic variation in d to cancel the effects of exponential minus $i\delta k z$, and I can achieve quasi phase matching. And then I do not **have to** have a birefringence crystal; I can use the same polarization state for ω and 2ω and achieve very good conversion efficiency.

So, the period required for this quasi phase matching depends on the frequency of which you want to do second harmonic generation, and it also depends on the refractive indices of the medium at ω and 2ω .

You can do first order quasi phase matching; you can do third order quasi phase matching, and so on. And of course, you pay a price compared to perfect phase matching and the price is in terms of efficiencies. Because there is an effective non-linear coefficient now, which is less because of the Fourier expansion term and so you have a

dropped efficiency. So, if you take a 50 percent duty cycle, I showed you that the drop in efficiency is about 40 percent. And so, but you can still have phase matching **and may be you can use** actually you can use higher non-linear coefficient of the d tensor to achieve increased efficiencies, and this is now, the standard technique, which is conventionally used for most of the chi two processes.

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The slide contains the following content:

QUASI PHASE MATCHING (QPM)

$$\frac{dE_2}{dz} = i \frac{\omega d}{cn_2} e^{-i\Delta k z} E_1^2$$

$$\Delta k = k_2 - 2k_1 = \frac{2\omega}{c} n(2\omega) - 2 \frac{\omega}{c} n(\omega)$$

$$= \frac{2\omega}{c} (n_2 - n_1)$$

A graph shows the power $P_2(z)$ and phase η as a function of distance z . The power curve shows a series of peaks and troughs, with the first peak at $z = L_c$ and the second at $z = 2L_c$. The phase curve shows a linear increase with a slope of $\frac{\pi}{\Delta k}$.

$L_c = \frac{\pi}{\Delta k}$

NPTL

Now, if there are no questions, what we would like to next do is, to look at exact solutions of the problem. Remember, we have solved the equation for E 2 by assuming that the conversion from omega to 2 omega is of low efficiency, which means that I have assumed that E 1 as constant, when I integrated this equation either in perfect phase matching either with no phase quasi phase matching or with quasi phase matching.

And so that expression, which I get can be used only for low efficiencies, may be 5 percent, may be 8 percent, but what will happen if my efficiency becomes larger? I cannot use those solutions; so we need to look for solutions and what I will like to do is, to look at the solutions for a perfect phase match situation and get exact solutions to the coupled equation problem.

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$$\frac{dE_1}{dz} = i \frac{\omega d}{c n_1} E_2 E_1^* \quad \underline{\underline{\Delta k = 0}}$$

$$\frac{dE_2}{dz} = i \frac{\omega d}{c n_2} E_1^2$$

$$E_1(z) = u_1(z) e^{i\phi_1(z)}$$

$$E_2(z) = u_2(z) e^{i\phi_2(z)}$$

$$\frac{dE_1}{dz} = \frac{du_1}{dz} e^{i\phi_1} + i \frac{d\phi_1}{dz} u_1 e^{i\phi_1} = i \frac{\omega d}{c n_1} u_2 e^{i\phi_2} u_1 e^{-i\phi_1}$$

So, let me recall the 2 equations; so dE_1/dz is equal to $i \omega d / c n_1 E_2 E_1^*$ and dE_2/dz is equal to $i \omega d / c n_2 E_1^2$. I am assuming Δk is equal to 0. What we did was we solved these equations assuming E_1 is a constant; actually including the phase mismatched term and we got a solution.

Now, I want to solve these 2 coupled equations and not to make this approximation of neglecting variation E_1 . So, let me give you a few steps here and we will go to the complete solution in the next class. So, what I do is please note that E_1 and E_2 are the complex dielectric fields.

So, let me write E_1 of z is equal to u_1 of z exponential $i\phi_1$ of z ; E_2 of z is equal to u_2 of z exponential $i\phi_2$ of z ; u_1, u_2, ϕ_1, ϕ_2 are all real terms. So that is the amplitude of the electric field; that is the phase of the electric field, the amplitude of the second harmonic, the phase of the second harmonic.

So, I want to substitute this into this equation. So, for example, let me calculate dE_1/dz is equal to $du_1/dz E_1$ to the power $i\phi_1$ plus $i d\phi_1/dz u_1 E_1$ to the power $i\phi_1$, which is equal to $i \omega d / c n_1 E_2 E_1^*$. So, $u_2 E_2$ to the power $i\phi_2$ into E_1^* , which is $u_1 E_1$ to the power $i\phi_1$; I am substituting this into this equation; so $E_1 dE_1/dz$ is equal to $i \omega d / c n_1 E_2$ into E_1^* .

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$$\frac{d u_1}{d z} + i \frac{d \phi_1}{d z} u_1 = i \frac{\omega d}{c n_1} u_1 u_2 e^{i \theta(z)}$$

$$\theta(z) = \phi_2 - 2 \phi_1$$

$$\frac{d u_1}{d z} = - \frac{\omega d}{c n_1} u_1 u_2 \sin \theta(z)$$

$$u_1 \frac{d \phi_1}{d z} = \frac{\omega d}{c n_1} u_1 u_2 \cos \theta(z)$$

$$\frac{d u_2}{d z} = - \frac{\omega d}{c n_2} u_1^2 \sin \theta(z)$$

$$u_2 \frac{d \phi_2}{d z} = \frac{\omega d}{c n_2} u_1^2 \cos \theta(z)$$

So, if I take exponential $i \phi_1$ on the other side, what I will get is $d u_1$ by $d z$ plus $i d \phi_1$ by $d z$ into u_1 is equal to $i \omega d$ by $c n_1 u_1 u_2$ exponential $i \theta$ of z ; let be call this, but θ of z is ϕ_2 minus $2 \phi_1$.

Now, I can equate the real and imaginary parts on both sides and I can get 2 equations; so $d u_1$ by $d z$ is the real part; so I have minus ωd by $c n_1 u_1 u_2 \sin \theta$ of z and $d \phi_1$ by $d z$ is equal to into $u_1 \omega d$ by $c n_1 u_1 u_2 \cos \theta$ of z .

So, what I have done is, I have written the electric field as a product of the amplitude term and a phase term here; substitute it into this equation and this one equation here, gets replaced by 2 equations here; similarly, I can substitute for E_2 and E_1 in the second equation and I get two more equation.

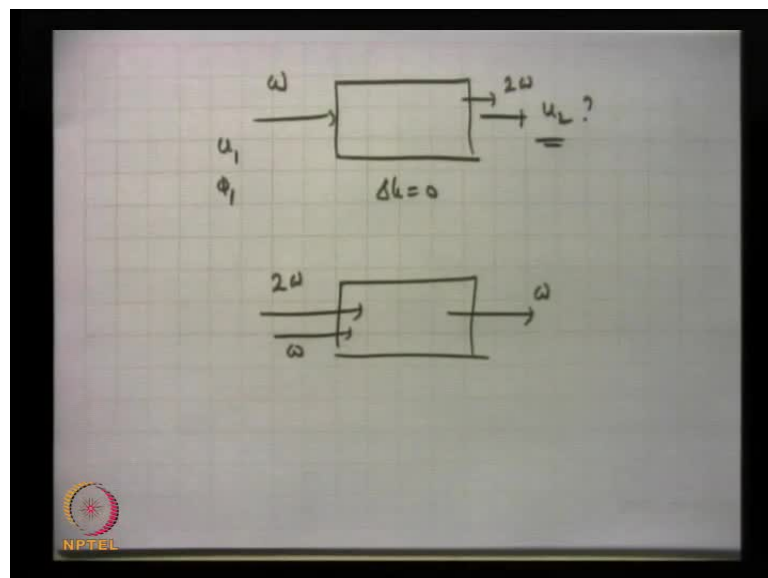
So, let me write those two equations; please check it yourself $d u_2$ by $d z$ is equal to minus ωd by $c n_2 u_1^2 \sin \theta$ of z , and $u_2 d \phi_2$ by $d z$ is equal to ωd by $c n_2 u_1^2 \cos \theta$ of z .

Four equations instead of, 2 complex coupled equations, I have 4 coupled equations; now, all real equations here in terms of u_1 , u_2 , ϕ_1 and ϕ_2 and how they vary with z ?

So, what we will do in the next class is to solve these 4 equations and get the solutions and I will show you that I can get the exact solution of these equations; these are under phase matching conditions only.

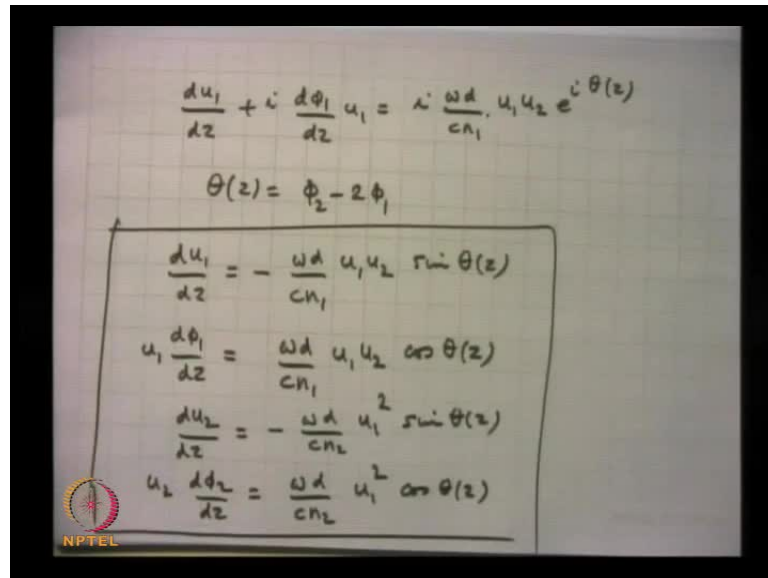
So, effectively what you will analyze is, here is my crystal Δk is equal to 0 and I have a frequency ω incident here with amplitude u_1 and with a phase ϕ_1 , what is it that is get, what is the u_2 here that is getting generated? So, this is the frequency 2ω that comes out. So, we will be able to obtain a solution to the second harmonic exact solutions under phase matching conditions.

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And later on I am going to use the same set of equations for the reverse problem of generating ω from 2ω , actually this problem, where instead of going from ω to 2ω , I go from 2ω to ω .

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$$\frac{du_1}{dz} + i \frac{d\phi_1}{dz} u_1 = i \frac{\omega d}{cn_1} u_1 u_2 e^{i\theta(z)}$$
$$\theta(z) = \phi_2 - 2\phi_1$$

$$\frac{du_1}{dz} = - \frac{\omega d}{cn_1} u_1 u_2 \sin \theta(z)$$
$$u_1 \frac{d\phi_1}{dz} = \frac{\omega d}{cn_1} u_1 u_2 \cos \theta(z)$$
$$\frac{du_2}{dz} = - \frac{\omega d}{cn_2} u_1^2 \sin \theta(z)$$
$$u_2 \frac{d\phi_2}{dz} = \frac{\omega d}{cn_2} u_1^2 \cos \theta(z)$$

 NPTEL

This is called the parametric amplification and I will use the same set of equations, and these equations are very interesting, because they give me a complete analytical solution to the problem of second harmonic generation under phase matched operation condition.

Do you have any questions? So, what we will do next class is to solve these equations and get complete solutions.

Thank you very much.