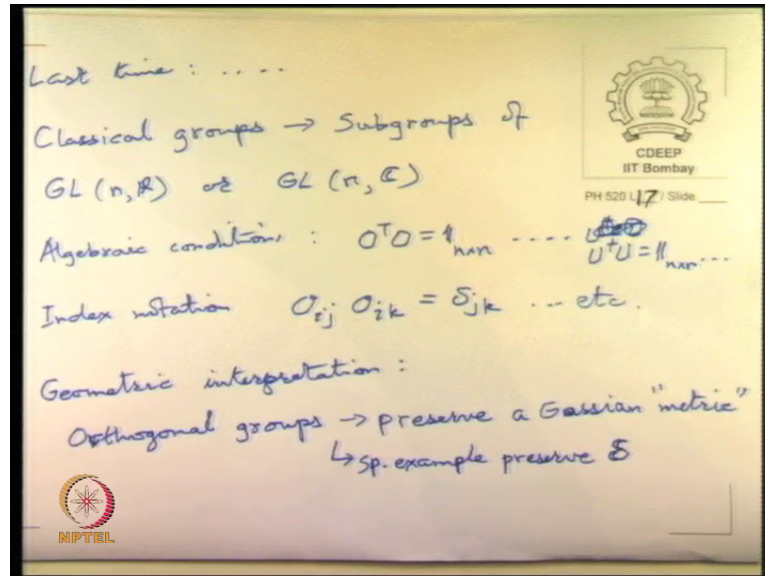


Theory of Group for Physics Applications
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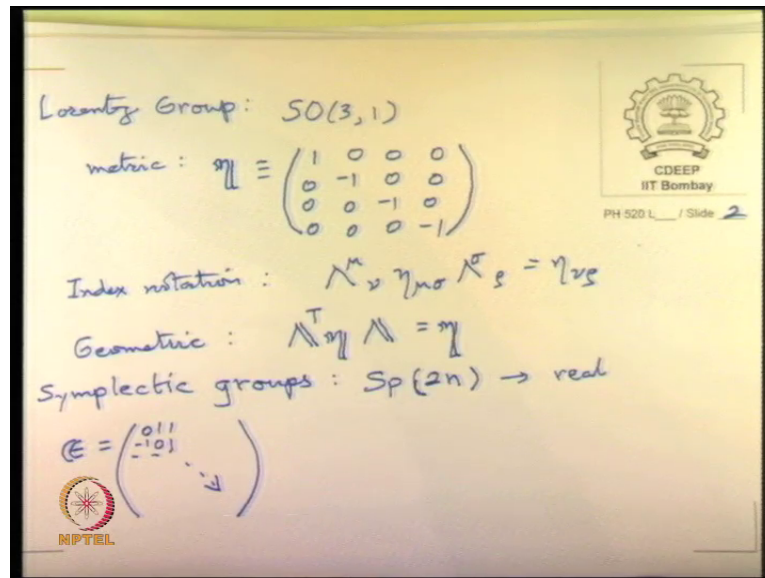
Lecture – 31
SO(3) and Matrix Exponent - I

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Which are subgroups of $GL(n, \mathbb{R})$ or $GL(n, \mathbb{C})$ and most of the classical subgroups have algebraic condition like saying that $O^T O = I$ etcetera or we had index notation which was that $O_{ij} O_{ik} = \delta_{jk}$ something like this etcetera because we had the unitary things also. So, if you want to I will write $U^\dagger U = I$ and most interestingly we also had a geometric interpretation which is for orthogonal groups. They preserve the Pythagorean metric or let us just say they preserve a Gaussian metric and a special example preserve δ metric. So, writing it as if it is some symbol metric δ a matrix which is simply Pythagorean.

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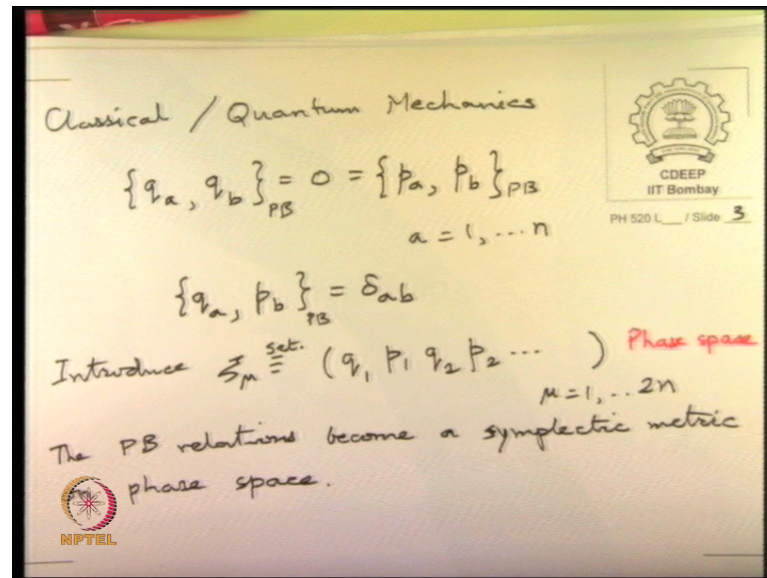
Lorentz Group: $SO(3,1)$
 metric: $\eta = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}$
 Index notation: $\Lambda^\mu_\nu \eta_{\mu\sigma} \Lambda^\sigma_\rho = \eta_{\nu\rho}$
 Geometric: $\Lambda^T \eta \Lambda = \eta$
 Symplectic groups: $Sp(2n) \rightarrow \text{real}$
 $\epsilon = \begin{pmatrix} 0 & 1 & & \\ -1 & 0 & & \\ & & \ddots & \\ & & & 0 \end{pmatrix}$

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For Lorentz group we introduce the metric 1 minus 1 minus 1 minus 1; it is $SO(3,1)$ in the algebraic sense it preserves matrix of 3 plus signs and 1 minus signs and we write the metric to be η and the algebraic or index notation is $\Lambda^\mu_\nu \eta_{\mu\sigma} \Lambda^\sigma_\rho = \eta_{\nu\rho}$. So, that is the index notation for the Lorentz group Λ matrices or abstract matrix notation geometric statement is that these Λ matrices times η sorry for it looking too (Refer Time: 05:28) but this and of course, we here there are many more certainty, it is not enough just to say determinant equal to plus 1 or minus 1, one has to worry about the sign of the time component versus signs of these little more interesting this group because one is phase inversion the other is time reversal and the discussion is little long.

So, for the time being we just write these things and finally, we did the symplectic groups which were $Sp(2n)$ and I think I did not write any R or C in it, we just call it $Sp(2n)$ for the time being we will not be interested in $Sp(2n, \mathbb{C})$. So, automatically R and we introduced (Refer Time: 06:35) ϵ which is of the form block diagonal plus and minus 1. It runs along the diagonal.

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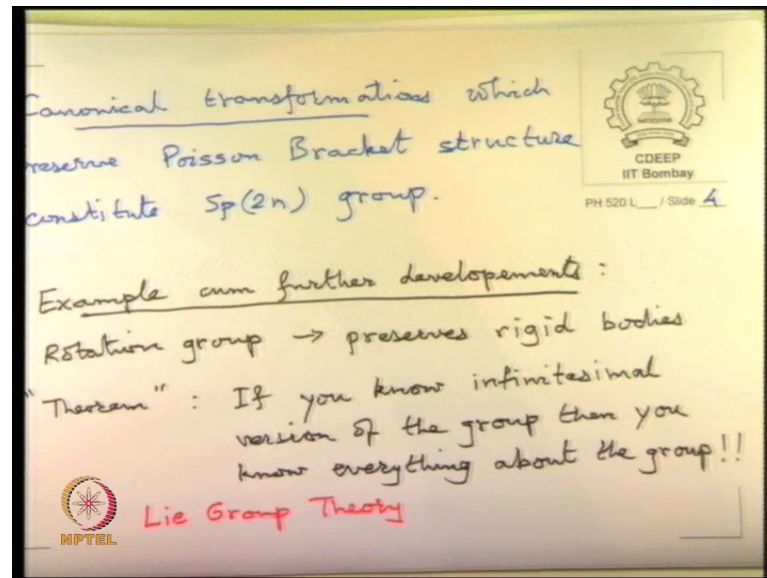


The classic example of this is that in classical mechanics well it could be either because structurally they are both the same. We have either the Poisson brackets which we say $\{q_a, q_b\} = 0 = \{p_a, p_b\}$ for $a, b = 1, \dots, n$, but we also have the requirement that $\{q_a, p_b\} = \delta_{ab}$.

So, this amongst to introducing ψ , which is equal to which is from the list, so as a set of coordinates equal to q_1 or p_1 or q_2 or p_2 and here μ will then go over 1 to $2n$. So, make these the new coordinates q_1, p_1, q_2, p_2 . Then essentially this Poisson brackets so, maybe I put here a this for clarity because curly brackets are used by lot of people. So as Poisson brackets so the Poisson bracket relations essentially become a symplectic metric on this so called Phase space.

And canonical transformations are the ones that preserve Poisson brackets. So, the canonical transformations are essentially they form the symplectic group. I think we wrote the detail last time in symbols, I will not repeat it just now.

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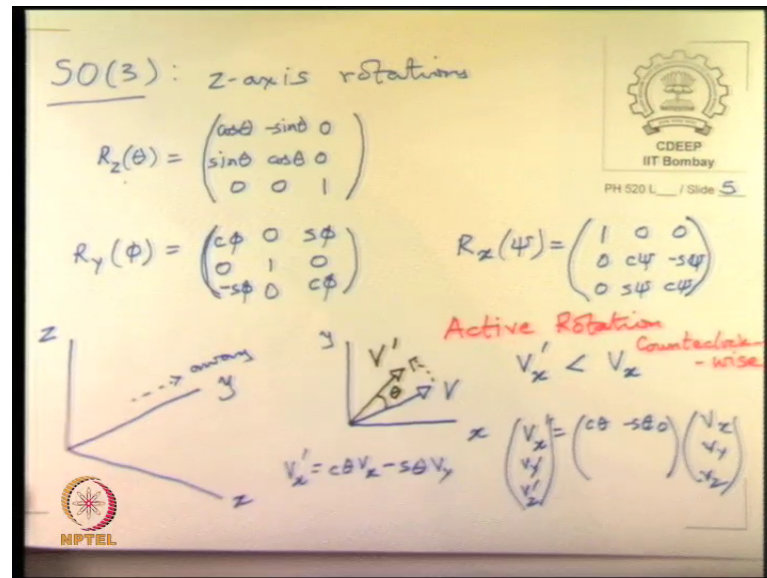
So, constitute $Sp(2n)$. So, that is what we were doing and this gives the example of the canonical transfer of the symplectic group we did last time.

So, now I will move on to something much more practical and direct before we come back to slightly more formal things. So now, we begin with $SO(n)$ or $SO(3)$, example cum further developments. So, let us begin with rotation group. So, sometimes we also call it rotation group instead of $SO(3)$, when we actually have the physical meaning in mind. Rotation group preserves the rigid length I do not know whether I wrote it here or not I spoke something like that.

So, you have preserve a Gaussian metric, but specifically it preserves the Pythagorean length, the distance between points. So, it rotates rigid rods into rigid rods. So, preserve rigid bodies. What we are now going to do is try to understand some interesting aspects of this group which is to show that if you know the infinitesimal version of the group then you know everything about the group.

So, this is a very powerful statement and I put it as a theorem in quote max right now because I am just saying something that is intuitively understandable, but not a precise statement. This is essentially what is called Lie Group Theory; this is at the heart of and that is essentially the second half of this course. It is Lie group theory and we will start with $SO(3)$ as an example and $SU(2)$.

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So, we start with SO 3, a typical example is rotation around the z-axis. So, z axis does not move. So, that remains 0 0 1 and here we introduce we write it out in terms of an angle minus sin theta sin theta and cos theta and so let us also so, let us call this R z theta. We can similarly write out R y phi and then R x psi this is all completely temporary notations I mean the angles we are using. So, what should we be writing here? Can you fill this out? That main point to remember is that by our conventions if we have minus sign in the upper diagonal for R z, we also written minus sign above the diagonal for R x, but for R y we have minus sign below ok.

So, this happens because of choice of right handed axis essentially choice of right handed axis. So, once you choose x so, several points I want to make here of mainly of conventions and how we think about these. So, so we should have a right hand screw rule working, so, we can put x y and z. So, that is the correct orientation of course, in this figure it is difficult to see thus this is going away from us ok.

So, if y is going away into the paper then this forms the right handed system and then there is a issue of active versus passive rotations ok. So, this writing minus sign for R z is one convention, the other convention is to write plus sign and minus sign for R z. Of course you have to do the same thing for R x and then for y it will flip. But let us think of what is active rotation and I am actually doing it in front of you. So, let us see what we

get? So suppose we restrict to x y axis and take a (Refer Time: 17:06) vector. So, we rotate a vector not the axis.

So, the difference between so called active rotations and passive rotations is whether you rotate the axis or you rotate the object. So, if I have this vector V and if I rotate it to a new position by an angle θ , then what I find is that x component. So, I do not want to clutter the diagram too much, but there is V_x V_y there is V_x planes. We can just say V and V' ok. This vector is V and its rotated version is V' . Then we can see that V'_x is less than V_x ; this is how I remember things ok.

So, I rotate then V'_x is less than V_x . Now I look at this matrix the R_x matrix and R scales the x component going to reduce or increase and sorry yeah. So, rotating about R a R_z , so I look at this matrix and ask whether the R_x component is going to reduce or increase. It reduces provided I put a minus sign here ok, so because I will never put here V_x right. So, V'_x is going to be found from $\cos \theta$ minus $\sin \theta$ acting on V_x V_y V_z . So, V'_x is going to be equal to $\cos \theta$ times V_x and minus $\sin \theta$ times V_y and the example vector we have taken is all in first quadrant. So, all components are positive to begin with.

So, this is going to reduce the V_x component and therefore, this is what I call active rotation, I have rotated a vector and one more matter of convention of course, it is an active counter clockwise rotation, somehow we have all accepted that counter clockwise is the correct thing. Apparently in England you can actually biclocks that (Refer Time: 20:11) counter clockwise which is which would be good to have on the wall because everyone confused.

So, but somehow counter clockwise is standard. So, active counter clockwise rotation would lead to this choice of signs. Now let us look at the infinitesimal version that is where all the interesting story begins and I am sure you are familiar with all of this.

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Infinitesimal version:

$$R_z(\delta\theta) = \begin{pmatrix} 1 - \frac{1}{2}(\delta\theta)^2 & -\delta\theta & 0 \\ \delta\theta & 1 - \frac{1}{2}(\delta\theta)^2 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$= I_{3 \times 3} + \delta\theta \begin{pmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} + O(\delta\theta^2)$$

Define

$$L_z = \begin{pmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad L_x = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -1 \\ 0 & 1 & 0 \end{pmatrix} \quad L_y = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ -1 & 0 & 0 \end{pmatrix}$$

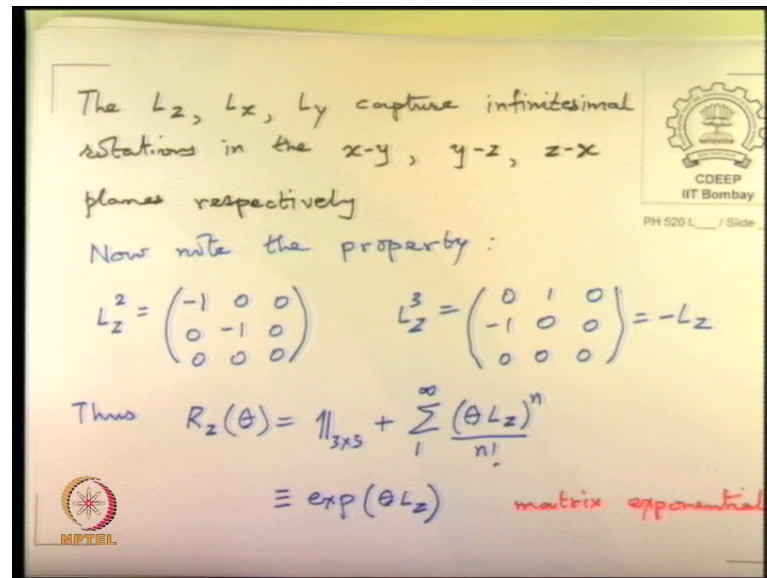
So, so R_z if I have a small angle $\delta\theta$ it becomes equal to 1 minus a half $\delta\theta$ squared right dot dot dot then the $\sin \theta$ which is a minus $\sin \theta$ becomes minus $\delta\theta$ plus dot dot dot 0 1 then $\delta\theta$ here, this remains the same 1 minus a half $\delta\theta$ squared dot dot dot and 1 0 0.

So, if we ignore the quadratic things then it is the identity 3 by 3 identity matrix and then plus $\delta\theta$ times 1 0 minus 1 1 0 0 0 0 0 0 plus ordered $\delta\theta$ square which we are going to ignore. So, the infinitesimal version; suggest this matrix which has no numbers in it, it is just 1's and minus 1's and it is anti symmetric like this.

Similarly, we can check that. So, this we call L_z and we can also write out L_x and L_y . So, you can try to write it in your book; to the x rotation then all this will be 0; it should have same sign as L_z and L_y will have 0 here. So, we designate our matrices L_x L_y L_z which capture the infinitesimal rotations in the $y z$, $z x$ and $x y$ plane respectively ok.

So, it is I think it is important to write this because later you will see some interesting matters of convention that come out of this.

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These capture infinitesimal rotations in the $x-y$ plane, here the order of this x is important. So $z-x-y$, $x-y-z$ and $y-z-x$; now if you restrict yourself to only z axis rotations or the $x-y$ plane rotations then the L_z matrix is enough to capture everything.

So, now we note the property well we probably need to look at it a little bit; what is L_z square? This row into this column equal to minus 1, the other things are 0. This row into this column is also minus 1; what about L_z cube? Well these are minus signs so it is like minus the identity matrix L_z square. So, if I cube L_z then I have to take L_z square times L_z . So, essentially put minus signs on that. So, it is 0 plus 1 minus 1 0 0 0 0 0 which is equal to minus of L_z , but now you are all experts to note that this is exactly what the trigonometric series does, square cube and with minus sign and so on.

So, so $R_z(\theta)$ is actually equal to 1 plus θ times L_z to the n and minus 1 to the sorry so θ times L_z to the n over n factorial. So, what am I saying? So, well so if you look at the full R_z , it has cosine θ and sine θ . So, what I am claiming is that this property of L_z that it oscillates in signs, it will become L_z ; L_z cube becomes minus L_z . So, L_z to the 4 will become just L_z because L_z square is anyway equal to minus 1 and 1.

So, L_z to the 4 will become sorry plus signs here. So, in the upper corner the alternating even powers are plus and minus ones in this corner and the odd powers are the same as L_z again fluctuating in sign as you go 1 3 5 etcetera right. So, if you just raise this to enough powers with θ supplied you will just recover cosine and sine θ series.

So, $R_z(\theta)$ is just equal to power series θI_z to the n over n factorial and a 3 by 3 identity matrix added. This so knowing the infinitesimal I_z so this we sometimes denote symbolically as exponent of θ times I_z ; where this is now a matrix exponent and the exponential of a matrix is defined by its power series and the power series is well defined because it just matrix multiplication n number of times.

So, this is a matrix exponential. So, at least as far as rotations in any one plane are concerned they are just exponentials of corresponding infinitesimal rotations.