

Introduction to Mechanical Vibration
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Lecture - 13
Rotating Unbalance

Welcome to the lecture on forced vibration of a system having rotating unbalance. So we have already studied the forced vibration of a single degree of freedom system that is subjected to harmonic force having amplitude F_0 and frequency ω . And we have already discussed the frequency response curves and phase angle curves. Now, we will take case of rotating unbalance.

Usually the rotating machineries that rotates about certain axis like turbines or motors or some shaft. So they have some unbalanced mass. It could be due to some problem or some weakness in design or due to some manufacturing error. So when there is some unbalance mass, this unbalanced mass when the system will rotate about certain axis will apply certain force and due to that force in addition to the rotation the machinery will also have some vibration in the transverse direction.

So, therefore we will study today the forced vibration of a system that has some rotating unbalance. So let us show a system and then write the equations of motion and then the solutions and then we discuss the response and then we will carry out some numerical examples.

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$m \ddot{x} + c \dot{x} + kx = (m_0 e \omega^2) \sin \omega t$ — (i)
 $m \ddot{x} + c \dot{x} + kx = F_0 \sin \omega t$
 $x = X \sin(\omega t - \phi)$
 $X = \frac{F_0}{\sqrt{(k - m\omega^2)^2 + (c\omega)^2}}$
 $F_0 = m_0 e \omega^2$
 $X = \frac{m_0 e \omega^2}{\sqrt{(k - m\omega^2)^2 + (c\omega)^2}}$
 $= \frac{\frac{m_0 e}{k} \omega^2}{\sqrt{\left(1 - \frac{m\omega^2}{k}\right)^2 + \left(\frac{c\omega}{k}\right)^2}}$
 $= \frac{\left(\frac{m_0 e}{m}\right) \frac{\omega^2}{\omega_n^2}}{\sqrt{\left(1 - \gamma^2\right)^2 + (2\zeta\gamma)^2}}$
 $\gamma = \frac{\omega}{\omega_n}$
 $\zeta = \frac{c}{2\sqrt{km}}$
 $\tan \phi = \frac{2\zeta\gamma}{1 - \gamma^2}$
 $\gamma < 1 \Rightarrow \omega < \omega_n$
 $\frac{X}{\left(\frac{m_0 e}{m}\right)} \rightarrow 1, \gamma \text{ large}$
 $\phi \rightarrow 180^\circ$
 $\left(\frac{X}{\frac{m_0 e}{m}}\right) \phi = 90^\circ$

$m = \text{total mass of the system including the unbalanced mass } m_0$
 $m_0 = \text{unbalanced mass}$
 $e = \text{distance of the C.G. of unbalanced mass from the axis of rotation}$

$(m - m_0) \frac{d^2 x}{dt^2} + m_0 \frac{d^2}{dt^2} (x + e \sin \omega t) = -c \dot{x} - kx$
 $m \frac{d^2 x}{dt^2} - m_0 \frac{d^2 x}{dt^2} + m_0 \frac{d^2}{dt^2} (x + e \sin \omega t) = -c \dot{x} - kx$

So here you can see a system. So here is the element that is rotating and this is the complete system that so it can be a machine that is resting on some elastic pad. So that has some stiffness and damping. Now this machine is rotating, so this is rotating with certain frequency omega. So this is rotating with omega and here is the unbalanced mass M0. Here M is the total mass.

Total mass means it includes, so it total mass of the system including the unbalanced. Unbalanced mass M0 and M0 is the unbalanced mass. So this is the access of rotation about which our machine is rotating and from this access our rotation there is this distance E the center of gravity of this unbalanced mass. So E is, it is we call it eccentricity also. So it is the distance between the axis of rotation, distance of the CG or center of gravity of unbalanced mass from the axis of rotation.

Okay, so let see, I mean this system you rotating and there is a situation this is the difference axis, from here we assume that the system is vibrating in x direction and at particular instant of time here this is omega T because we measure time from here and this is omega T and my mass is at this portion. Of course when it will, the time it will rotate it will go here and so on. Now we have to write the equations of motion.

So here we have mass, M - M0 that is balanced mass. So balanced mass is the total mass - unbalanced mass and these mass center is here. So we can directly right the equations of motion. So that is D square XY DT square. Okay so, MX double dot. Now for the unbalanced mass M0 we have this distance X + E and this is omega T so this is sine omega T. So M0 D

square by DT^2 $X + E \sin \omega T$. And this is equal to, so these are the forces and the system due to whispering and damper.

So they are $-C\dot{X} - KX$. So now we can see here, we can write $M\ddot{X}$ by DT^2 $- M\ddot{X}$ by $DT^2 + M\ddot{X}$ by $DT^2 + M\ddot{X}$ by DT^2 square into $\sin \omega T$. So it is $-\omega^2 X$ if it differentiates twice, so it is $\cos \omega T$ then again $-\sin \omega T = -C\dot{X} - KX$.

So these will cancel out so our equation will be $M\ddot{X}$ by $DT^2 +$, so here we can write $M\ddot{X}$ by DT^2 we can write $M\ddot{X} + C\dot{X} + KX =$, so this term we take to the right hand side, so it is $M\ddot{X} = E \omega^2 \sin \omega T$. So this is the equation motion of the system. Now, we have already perform the derivation of the amplitude response of a system subjected to harmonic force.

That is we have already if our system is $M\ddot{X} + C\dot{X} + KX = F_0 \sin \omega T$. So for this system we have the solution $X = X \sin \Omega T$ and for which $X = \frac{F_0}{K - M\omega^2 + C\omega}$. So this already we have derived. Now here if you see here F_0 we can put $F_0 = M U \omega^2$ in this equation and we can find the amplitude response of this equation number 1.

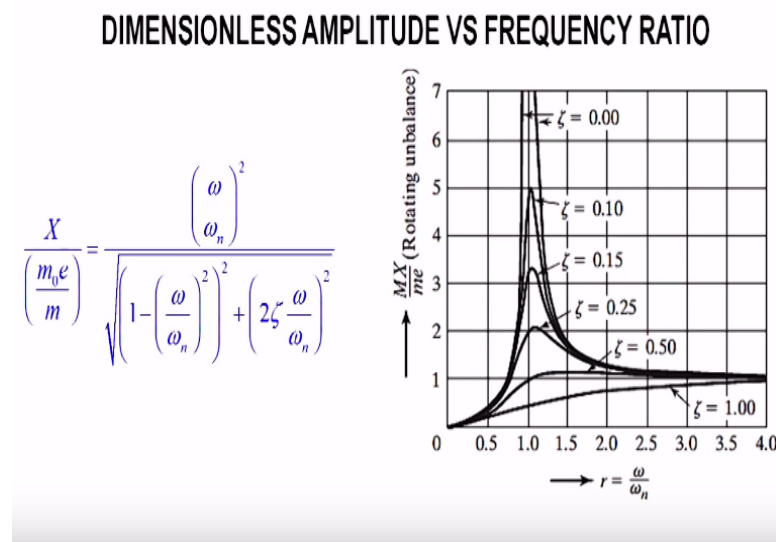
So from this equation we can see that due to this, on this unbalanced mass there is some centrifugal force that is proportional to ω^2 is working acting on this unbalanced mass. So it is $M U \omega^2$ acting the centrifugal force and $M U \omega^2$ and its component in the X direction is $M U \omega^2 \sin \omega T$. So this same force here is represented.

So if we write $X = \frac{M U \omega^2}{K - M\omega^2 + C\omega}$ whole square under root. Now, here we can write, we can take K outside from this so we can write $1 - \frac{M}{K} \omega^2 + \frac{C}{K} \omega$ whole square under root and K will be here so $M U \omega^2$ and 2ω S^2 . Now we here multiply and divide by M so, we will get $M U \omega^2$ by M into ω^2 and K by M . So K by M is nothing but natural frequency square.

So ω^2 upon and here $1 - \omega^2$ again K by $M \omega^2$ whole square + $C \omega$ by K we have already derived that is $2 \zeta \omega$ by ω^2 whole square that is an under root. So from here we can find $X = M_0 E$ by M multiplied R^2 upon $1 - R^2$ whole square + $2 \zeta R$ whole square under root or we can write X upon $M_0 E$ by $M = R^2$ upon $1 - R^2$ whole square + $2 \zeta R$ whole square under root.

So this equation let say equation 2, this gives the amplitude of the vibration of the system due to the unbalanced mass. Now the phase angle ϕ , so here we can write ϕ that is $2 \zeta R$ upon $1 - R^2$. Now again we can plot the curves for X versus the frequency ratio R and we can see that what are the properties of this kind of vibrations, vibrating system at different frequency and damping.

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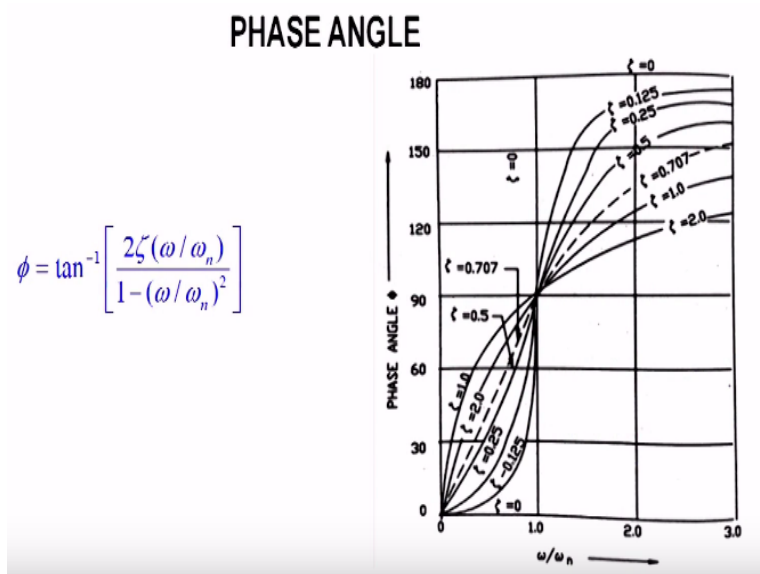
So here we have plotted, so this is the dimension less amplitude because E has the same unit as the X and M_0 and M has the same. So this is dimension less and this curve can be plotted here and we see that when R is very less than 1, it means that ω is much less than ω_n . So we have this X by $M_0 E$ by $M =$ about R^2 that is M_0 . So here we have the X by $M_0 E$ by M very less.

Here when we have R very less it starts from 0. Okay when ω is 0 this is 0 because here ω by ω and ω is 0. So it starts from 0 this response curve and as soon as we approach to $R = 1$ that is the resonance condition. When $\omega = \omega_n$, the amplitude is increasing. Moreover, if we increased the damping even at the resonance the amplitude is lower.

And therefore if our operating speed is we should divide the operating speed at as soon as however, if we have somehow to pass through the resonance speed which would increase the damping. so that the amplitude is lower. However, when we increase the R the response amplitude is tending to 1. So X by M0 E by M is tending to 1 as R 10 to R is larger. So when R is large this X by M0 E by M is tending to 1.

However, when at resonance and here if we see the phase angle. So, in the next slide we can see the phase angle.

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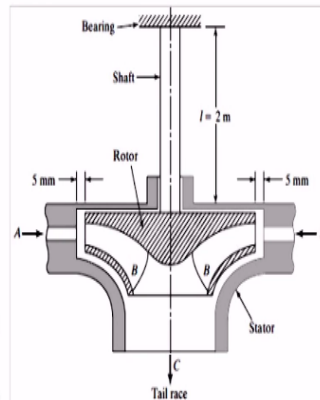
So phase angle when the R is very large it is tending to 180 degree. So if phi is tending to 180 degree. It means that when R is large the unbalanced and balanced mass vibrating out of phase. So if M0 - M - M0 that is balanced mass is vibrating in upward the unbalanced mass will be in the downwards. So, they are out of phase.

At the resonance condition phi is 90 degree and we can see the phase is 90 degree when R = 1 irrespective of the value of the damping and at this situation the X by M0 E by M. If we put R = 1 here. So this is 0 and here it is 2 theta and here R = 1. So it is 1 by 2 zeta. Okay so this is the amplitude at resonance. So, now we will take 1 example to numerical example to understand this concept in a better way and to apply on some practical problem.

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NUMERICAL EXAMPLE

Figure shows a schematic diagram of a Francis water turbine, in which water flows from A into the blades B and down into the tail race C. The rotor has a mass of 250 kg and an unbalance (me) of 5 kg-mm. The radial clearance between the rotor and the stator is 5 mm. The turbine operates in the speed range 600 to 6000 rpm. The steel shaft carrying the rotor can be assumed to be clamped at the bearings. Determine the diameter of the shaft so that the rotor is always clear of the stator at all the operating speeds of the turbine. Assume damping to be negligible.



So here we can see the numerical example. It says that there is a figure of turbine that is Francis turbine and here is the bearing and there is shaft and on the shaft this turbine is installed. So here is this rotor and blades so from the A site here the water is flowing it passes through the blades and it gives its energy to the blades, I mean they rotate and so they generate the power.

Now we can say, so this is the stator that is the static part and this is rotor, so it is rotating part. You can see the length of the shaft is 2 meter and this is the terrace, so the water falls down from this in this opening. So it says that the rotor has the mass of 255 kg and an unbalance of 5 kg mm. So it means the rotor, so rotor has the mass. So this is the total mass of the system. So it is M, $M = 250\text{Kg}$ and an unbalanced ME.

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$$\begin{aligned}
 m &= 250 \text{ kg} \\
 m_0 e &= 5 \text{ kg-mm} \\
 x &= 5 \text{ mm} \\
 600 - 6000 \text{ rpm} \\
 \omega_1 &= 600 \times \frac{2\pi}{60} = 20\pi \\
 \omega_2 &= 6000 \times \frac{2\pi}{60} = 200\pi \\
 \xi &= 0 \\
 \frac{x}{\left(\frac{m_0 e}{m}\right)} &= \frac{r^2}{\sqrt{(1-r^2)^2 + (2\zeta r)^2}} \\
 \zeta &= 0 \\
 \frac{x}{\frac{m_0 e}{m}} &= \frac{r^2}{1-r^2} \\
 r &= \frac{\omega}{\omega_n} = \frac{\omega}{\sqrt{k/m}} \\
 r_1 &= \frac{\omega_1}{\sqrt{k/m}} = \frac{20\pi}{\sqrt{k/250}}
 \end{aligned}$$

$$\begin{aligned}
 \frac{\omega_1}{5} &= \frac{(20\pi)^2 / (k/250)}{1 - \frac{(20\pi)^2}{k/250}} \\
 \Rightarrow k &= 10.04 \times 10^4 \text{ N/m} \\
 \omega_2 &= 200\pi \\
 k &= 10.04 \times 10^6 \text{ N/m} \\
 r &= \frac{\omega}{\omega_n} \text{ large} \\
 \omega_n &\rightarrow \text{small} \\
 \omega_n &= \frac{\sqrt{k}}{m} \Rightarrow k \text{ small} \\
 k &= 1004 \times 10^4 \text{ N/m} \\
 k &= \frac{3EI}{L^3} \\
 I &= \frac{\pi d^4}{64} \\
 d &= ?
 \end{aligned}$$

So here we took M_0 as the unbalanced mass and E the distance from the axis of rotation. So $M_0 E = 5$, so M_0 into $E = 5 \text{ kg mm}$. The radial clearance between the rotor and the stator is 5 mm. So there is the 5 mm gap between the rotor and the stator. So one thing we should understand that there is some unbalance in this rotor system. So due to this unbalance the system will vibrate. So if I have this system and here is some unbalance, so this is my rotor and here is some unbalance let say and this is the center.

So it will vibrate in the transverse direction and due to this vibration it is possible that the rotor may heat the stator and the gap is 5 mm. So it means that our X is 5 mm. So X is the amplitude of the vibration and the turbine operates in the speed range if 600 to 6,000. So it is operating in 600 to 6,000 rpm range. So it means let say $\omega_1 = 600$. So it is RPM, so we can convert it into 18 per second 2π by 60 and $\omega_2 = 6,000$ into 2π by 60.

The still shaft carrying the rotor can be assumed to be clamped at the bearing. So it is the shaft is clamped and so when it is vibrating we can assume it as a cantilever beam and I have already derived the how to find the stiffness how to find the natural frequency of cantilever beam. So we can refer that. So now we have to find the diameter of the shaft so that the rotor is always clear of the stator at all the operating speed of the turbine.

So what is required is the diameter, we have to find the diameter so that the rotor is always clear of the stator. So it does not touch the, does not heat the stator, so it means the maximum X is 5 mm or less. Maximum x is 5 mm so it could be less also. So assume damping to be negligible. So here we have to assume $\zeta = 0$. And so let us this is 20π and this is 200π .

So now we have this formula $X = \frac{M_0 E}{M \sqrt{R^2 - R^2 \cos^2 \theta + 2 R \cos \theta}}$. Now $\zeta = 0$, so we can reduce this equation $R^2 - R^2 \cos^2 \theta + 2 R \cos \theta$. Now for, so what is the, so we have $R = \frac{\omega}{\omega_n}$ and $\omega_n = \sqrt{\frac{K}{M}}$. So here, we have 2 cases of ω , so we have some lower range 20π and higher range 200π .

So we can have let say $R_1 = \frac{\omega_1}{\omega_n} = \frac{20\pi}{\sqrt{\frac{K}{M}}}$. So that is equal to $20\pi \sqrt{\frac{M}{K}}$. let say K is K_1 here, $K_1 = \frac{K}{M}$. So K by M , so M is 250 and here $R_2 = \frac{\omega_2}{\omega_n} = \frac{200\pi}{\sqrt{\frac{K}{M}}}$. So it $200\pi \sqrt{\frac{M}{K}}$. Now we put in this equation. So X is 5 mm and $M_0 E$ is 5

kg mm, point M is $250 = RS$ square. So let say first we are solving for the R1. So R1 is square, so that is 20π square by 250 upon $1 - 20 \pi$ square upon K by 250.

So from here we can get K and if we calculate K, so we get 10 point 04 into 10 power in 2 π square in MK 78. Now we take the R2 and here MH 250 and this for omega equal 20π that is omega 1 and here omega 2 = 200π . So we get K if you solve this equation for putting R2 with K get $K = 10.04$ into 10 power 6 π square in MK's unit. So here it is newton per meter. Now we have 2 stiffness's which one we should select? We can select only one stiffness.

So here the stiffness because according to the frequency response curve R should be larger. So $R = \omega$ by ωN is large, so it may omega and should be smaller and $\omega N = \sqrt{K}$ by M. This implies that K is smaller. So K should be small. To make omega any small and so the R larger, so we have to select these smaller ones. So we select this so our $K = 10.04$ into 10.04π square newton per meter. Now we have to find the diameter and we know that I said that this is like a cantilever beam.

So for a cantilever we have $K =$ so $K = P$ by δ and δ is PL^3 cube point 3 E I. So $3 E I$ by L^3 . And from here we know that $I = \pi D^4$ for by 64 for a circular shaft and E is the elasticity of the material and L is the length given here = 2 meter. So we know E we can take if it is steel it is 200 giga Pascal, we can take we know L and I we know πD^4 by 64, so we can replace $I = \pi D^4$ by 64. From here we can get the diameter.

So, we see that how the theory that is based the frequency curve that we already understood helps us to take the decision of the design and to select the correct stiffness and finally we can get the diameter of this shaft that is used in this turbine. So we understand today, we study the forced vibration of system that has the unbalanced mass, rotating unbalanced mass and we found out the amplitude and phase and we understood a problem based on this concept.

So thank you for attending the lecture and see you in the next lecture, thank you.