

Nonlinear Control Design

Prof. Srikant Sukumar

Systems and Control Engineering

Indian Institute of Technology Bombay

Week 7 : Lecture 35 : Backstepping method for control design: Part 2

Great, so this is what we want to do. We want to try this out as a control Lyapunov function for the new system, ok. And like I said, the purpose of backstepping is to come up with a control Lyapunov function. Everything else is too easy, right. After that you know what to do, ok. Great, so this was the claim, so I want to prove this claim.

How do I prove this claim? Basically, yeah, I mean just take derivatives and so on and so forth, ok. So you will usually do this, you know, $L_f v$ and $L_g v$ and all that. But you know the simpler way. Just take the directional derivative and whatever term is multiplying the control is the $L_g v$ and other term is the drift term, ok, $L_f v$, ok.

So I will actually compute, sorry, $v \cdot x$ comma ξ and this is what? This is partial of v_0 with respect to x . So I am taking, I am just taking the derivative piece by piece, yeah. So I get the partial of v_0 with respect to x and then I get what? f_x plus $g_x \xi$ which is \dot{x} , right. And then there is no partial of v_0 with respect to ξ because v_0 is independent of ξ . So done, so there is no partial with respect to ξ .

Now I take the partial of the second term, right. So this will give me ξ minus $k_0 x$ transpose, yeah. So this is, I am assuming this is the Euclidean norm, the 2-norm. So this is just ξ minus k_0 transpose ξ minus k_0 , right. So I am just taking the partial just like I would take the, you know, multi-variable, standard multi-variable calculus.

So this is partial of ξ . So this will give me, I am just going to write this as ξ dot minus, okay. Just like I take differentials, this is how we have defined $v \cdot$ anyway, okay. Alright, so what do I, I just carefully expand things here, continue to write this as f_x plus $g_x \xi$ plus ξ minus $k_0 x$ transpose and I know that this ξ dot is just u , right. So this is u minus $\Delta k_0 \Delta x$ times f_x plus $g_x \xi$, okay.

Everybody is convinced this is fine. Yeah, I have simply substituted for ξ dot and \dot{x} , okay. Great, great. Now we start playing our fun tricks, okay. As of now, what do I know from the previous page? I know that partial of v_0 with respect to x multiplied by f plus $g k_0$ gives me a negative definite term.

Yes? Okay, and I am going to use that. What am I going to do? I know that I have partial of v_0 with respect to x , f plus $g \xi$, I am going to write this as $g k_0$. So what do I get here? Partial of v with respect to x f_x plus $g_x k_0 x$ minus, sorry, plus partial of v_0 with respect to x

$g^T x - k_0 x$. This is just the first term broken into these two pieces. Yeah, why? Because I know that this is something nice, right.

So we always want to rely on something that is already nice, right. And then I have of course $\psi^T u - k_0 x^T u$ with respect to x plus $g^T \psi$. Yeah? Alright, great. So this I am going to use the previous page to say that this is less than equal to $-wx$. Yeah, that is the first thing.

And then you can see that this term also has $\sin k_0 x$, right. So I can combine it with this term, right. How? This is just a scalar. Notice this v_0 was a scalar. So every term in v_0^T dot is a scalar, right, just a scalar.

So transpose of the scalar is the same scalar. So we use these things regularly, by the way. Remember this, write it down in your notebooks. Transpose of a scalar is a scalar. I know this sounds ridiculous, but you will forget it.

You will think why these can be combined. Yeah? So every time I get a term with $\psi^T u - k_0 x$ in the end and the same term in the beginning with the transpose, all I have to do is take a transpose, right. So end and beginning, same thing, right. So I am going to, and we use these tricks very very frequently, okay. So I can combine these terms.

$u^T u - k_0 x^T u$ with respect to x plus $g^T \psi$ and plus $g^T \psi^T x - v_0^T x$ transpose. Yeah? Because I have just taken the transpose and that it all shows up inside, okay. So now in order to claim, so we already have, so this is, let me write it again, v_0^T dot is less than equal to this quantity, right. So v_0^T dot is essentially what you want to prove negative definite, right, in some sense. Now in order to claim that this is a control Lyapunov function, what do we need? Can anybody tell me? What do I need to now show in the right hand side? So v_0^T dot is obviously as you know that this is $Lf^T v$, I will say $L\bar{f}^T v$.

Yeah? So why I put the bars is because the drift is, you know, so in this case what would be f^T bar? f^T bar would be $f^T x + g^T x$ and 0 and g^T bar would be 0 and identity. Yeah? Because this is the drift vector field here. Basically terms multiplying the control and terms not multiplying the control, as simple as that. Yeah? So f^T bar is this guy and g^T bar is this guy. Yeah? Control only in the second, very second state, so identity here and drift only in the first state, so 0 here.

Okay? So that is why I say this is $L\bar{f}^T v + Lg^T v$. So what do I need? What do I need now? If you look at this expression what do I need to claim that this v is a clf? Not g^T bar and f^T bar. Can you say that again carefully? Not g^T bar and f^T bar. No, no, no, no, nothing to do with g and f , g^T bar and f^T bar or g and f at all right? I mean in the sense there is something more.

Yeah? Yeah, go ahead. $Lg^T v = 0$ means $L\bar{f}^T v < 0$. Yeah? Not just g

bar and f bar. They have no role as such. Okay. Okay? So this is what I need to claim.

So basically what, so the right hand side is exactly the same thing by the way. Yeah? Let's not get too confused. Right hand side is exactly the same thing. Everything multiplying the control is the L_g bar v . Okay and everything not multiplying the control is L_f bar v .

Yeah? Obviously these two are scalars in this case. Yeah? Because L_f bar v will always be a scalar because v is a scalar and L_g bar v will be a scalar in this case because there is only one control. Okay? So what is L_g bar v in this case? From the right hand side can you read out and tell me? What is L_g ? Absolutely. Thank you very much. So this guy is actually equal to L_g bar v .

Okay? So L_g bar v equal to 0 implies what? So L_g bar v equal to 0 implies ψ is equal to $K_0 x$. Yeah? Exactly the thing that we wanted anyway. Remember? Yeah? Somehow it came back. Okay? And this if ψ is equal to $K_0 x$ you know that everything here goes to 0. By the way these were all drift terms also.

Right? Why would we believe that? This multiplied by this was also a drift term. Was part of L_f bar v . Right? But because ψ minus $K_0 x$ goes to 0 or is equal to 0 if L_g bar v is 0 all of these go away. Yeah? So what am I left with? This implies that L_f bar v is less than equal to minus Wx . Right? Which is negative definite by assumption.

Okay? Negative definite by assumption. Okay? I hope this is clear. Yeah? This is how we test for CLF. Okay? All we see is that if the term multiplying the control is 0 then what happens to the terms that are not multiplying the control? Okay? So in this case the term multiplying the control goes to 0 means this term goes away. Which means these terms also go away.

The only thing that's left is this guy. Alright? And that is negative definite by assumption. W was positive definite minus W is negative definite. Right? And this is enough to claim that V_x , $V_{\dot{x}}$ is a CLF.

Okay? Alright? Excellent. Okay? Everybody is clear? Yeah? How we just constructed a CLF for an integrator system starting from a single system. Alright? Of course the questions on how do you get V_0 and W and K_0 all these remain. Yeah? We will look at examples of course. But it's a constructive way. Yeah? All you did was kept constructed an error and you added the error square, norm of error square.

Okay? Now if I was to ask you what would be a choice of stabilizing controller for the system looking at this guy? What would you say? What would be a good stabilizing controller if I want to stabilize this system? Xi system? What do you think? Can you use this expression? This is what is called Lyapunov reshaping. Right? You take a Lyapunov function, CLF is also a Lyapunov function. So you take this V , take the derivative and try to

make the derivative negative definite. Right now, yeah, you said it's CLF and all that stuff.

Excellent. And you can use the Sonntag universal formula obviously. That's obviously one choice. But suppose I ask you just look at this and tell me what is the stabilizing controller. Can you? Why? What? E to the power t . No, I want you to give me an expression for control, U .

What will you do? Yeah, what will you choose as U if I want to make V dot negative definite? Anything else? So this expression you will make 0. So this entire thing gone. Okay, great. Does that make V dot negative definite? We are back there. I ask again, is V dot negative definite? Look very carefully.

What is V a function of? Okay, it's only semi-definite. Why? There is no ψ . All states don't appear, not negative definite.

So not enough to make this 0. Something more. What else? What will you do? Great, making 0 is a good idea. We need something more. So first step is cancel this.

Good. Add something more to the control. What else? You can get motivation from the expression of V itself, right? V is positive definite. I hope you believe that. Right? I mean, okay, we never, by the way, we never discussed this very carefully. So maybe I should go back there. Do you believe that V is positive definite? Why? V naught x is positive, right? Great.

But if you remember, we discussed this that if you have sum of two states and square it, if it's like x_1 plus x_2 square, it's a problem, right? Because for x_2 equal to minus x_1 , it goes to 0. So how do you claim? If I ask you to claim a bit carefully, not just because it's a norm square, how will I claim that this is positive definite in x_n ψ ? Remember, go ahead. Correct. So V naught is definitely no problem.

No, no, no, no, never say that. That is the same argument as saying that if this is not there, it's positive definite. Is it positive definite if this is missing? No. All variables have to be there.

Okay. So sure, in x it's positive definite. I mean, there is nice positivity in x . Great. What about the x_i term? You have to use the same test.

The test is still the same. Okay. That it has to be positive everywhere, but at 0. At 0, it has to be 0, which it is.

$V_0, 0$ is 0. $K_0, 0$ is 0. So x_i is 0. So x_i is 0. So no problem. At 0, it is 0. Okay. If x and x_i are non-zero in any combination, then this has to be strictly positive.

Is that true? How do you prove it? It is true, of course. It makes sense to construct this. How would you claim it? Both are positive, obviously. So nothing can cancel each other.

Right. For it to be 0, both terms have to be individually 0. So both terms have to be individually 0. So the first term being 0 implies what? x is 0. The only way first term is 0 is if x is 0. If x is 0, $K_0 x$ is 0.

So if the second term is 0 implies x_i also has to be 0. That is the only way. This is how you will justify. Okay. Whenever we ask about positive definiteness, you have to be very careful in this argument.

Okay. First of all, and again, you look at how easily you get back to the old habit of saying, oh, it is because it's positive, it's positive definite. Okay. As soon as I asked you, you said this is positive definite. It's not. So it's very easy to slip to old habits where you say that even if not all variables appear, it is positive definite.

It is not. Okay. So that's the first thing. Okay. All variables have to appear for a function to be definite. Otherwise it's not definite.

It's only semi-definite. Yeah. Keep this in mind. Second, you have to do the usual test that for all non-zero states, it has to be greater than 0. Okay. So the only way V can be 0, because each of these is a non-negative term, is that each term is 0. So if the first term is 0, you know that x has to be 0, only choice, because V_0 is assumed to be positive definite.

Right? Now if x is 0, this guy goes away. So this is just now half x_i square. So for this to be 0, x_i has to be 0. Therefore, the only way the second term is 0 and the first term is 0 is only if both x and x_i are 0.

Okay. So this is a very clean evidence of positive definite. Excellent. Can you use the fact that this is positive definite to motivate how to choose the control here? What do you think? He's already suggested that you know, you cancel these two terms, which is good, because I don't know anything about definiteness of these terms. So it's the smart thing to do. You can cancel these guys. Say that again. But this is already cancelled, right? I've already removed these using some parts of U .

I mean, I'm going to basically I'm going to make U as plus $K_0^T x$ plus $g x_i$ minus $g^T V^T$ to 0 x^T . So these two cancel out, but I can add more terms in U . What more should I add in U ? Absolutely.

You just introduce a minus x_i minus $K_0^T x^T$. Okay. If you just introduce a x_i minus $K_0^T x^T$, what will I get? Minus norm x_i minus $K_0^T x$ whole squared. Okay. It's just now the combination becomes negative definite.

Okay. The combination is now negative definite. So what is using just this is and this is the standard Lyapunov reshaping. I'm going to write it here now. Control law by Lyapunov reshaping is what? Is U equal to, so I basically cancel minus $K_0 \dot{x}$, sorry, plus $K_0 \dot{x} - f(x)$ plus $g(x) \xi$, which is to cancel the first term.

Then I cancel the second term. This cancels the second term. And then I introduce. Okay. You can verify the dimension. Dimension will turn out well. And this will give me $V \dot{}$ as less than equal to minus $w x - \xi - K_0 x^2$, which I know is negative definite.

Right? Because the first term, this is already definite. So both terms have to go to zero individually, which means that x goes to zero and ξ goes to zero just by the same argument as before. Okay. All right. So this is a valid control law.

In fact, this is how we design control laws most of the time. Yeah. Most of the times this is how we will design control laws by Lyapunov reshaping. We don't usually go back to the Sontag universal formula, mostly because the computations are very complicated. Yeah. Now if I put all the square roots and stuff here with this expression, right? You see what is the, notice in this case, this was $L_g \bar{V}$.

Great. Nice. But what was $L_f \bar{V}$? $L_f \bar{V}$ is this guy, this guy, this, this and this put together.

Okay. This was $L_f \bar{V}$. Okay. Very, very painful looking. So if I wanted to use the Sontag universal formula, of course, it's a very painful calculation. Of course, it's not, I mean, if you implement it numerically, this is not a big deal. Yeah. So you will just compute these as Ax and Dx and then you will just at every instant in time you compute Ax in one place, Dx in another place and then you just compute this whatever, this minus the universal formula.

Okay. That's pretty straightforward. But if I wanted to actually write it and show things with it, it becomes very difficult. That's all. Okay. And in fact, in this case, I know very much that this is also, because of this construction, I actually use Lyapunov reshaping.

I know that this will turn out to be a smooth controller also. Okay. Whereas the universal controller will only be almost smooth. Yeah. Here I can guarantee that it's a smooth controller just by looking at these expressions. Alright. So, most of the time we use this kind of a Lyapunov reshaping. Alright. Thank you.