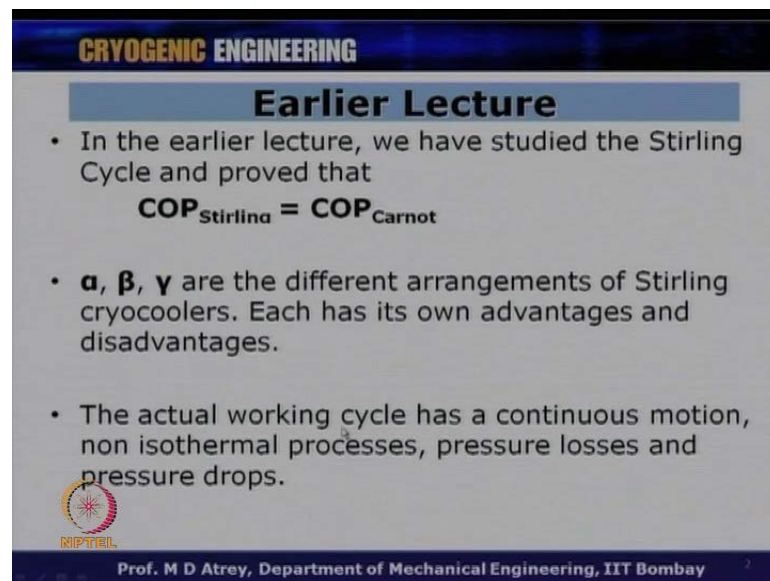


Cryogenic Engineering
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Module No. # 01
Lecture No. # 28
Cryocoolers Ideal Stirling cycle


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CRYOGENIC ENGINEERING

Earlier Lecture

- In the earlier lecture, we have studied the Stirling Cycle and proved that
$$\text{COP}_{\text{Stirling}} = \text{COP}_{\text{Carnot}}$$
- α , β , γ are the different arrangements of Stirling cryocoolers. Each has its own advantages and disadvantages.
- The actual working cycle has a continuous motion, non isothermal processes, pressure losses and pressure drops.

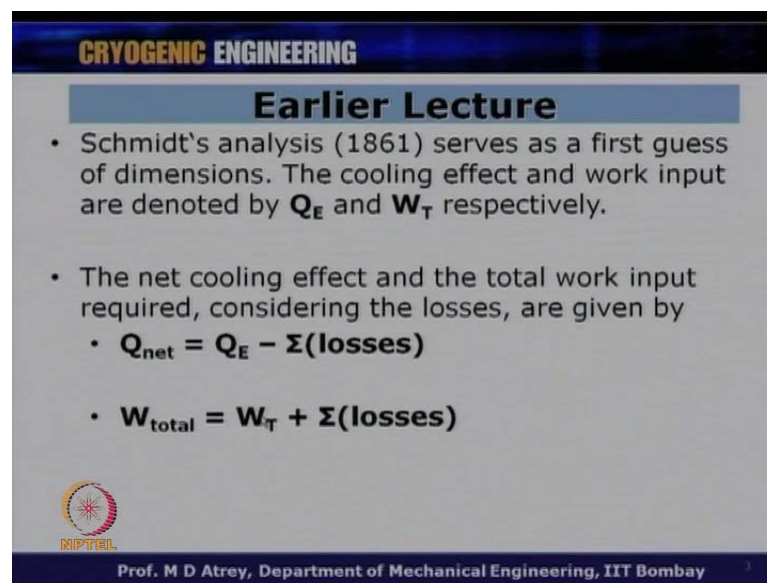

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So, welcome to the twentieth lecture of cryogenic engineering under the NPTEL program. In the last lecture we were talking about stirling cycle cryocoolers. So, we have studied the stirling cycle in that and we proved that the COP of sterling cycle is equal to that of COP of carnot cycle. This COP is highest and it is as high as COP given by a carnot cycle working in the same temperature limits. We also found that alpha, beta, gamma are the different arrangements of stirling cryocoolers and each of them has its own advantages and disadvantages.

This classification of alpha, beta and gamma of different cryocoolers was based upon the position of or the arrangement of the drive of the piston and the displacer or a piston and a piston arrangements, that is used for a stirling cryocooler. We also found that the actual working cycle has a continuous motion of piston or displacer. While in ideal stirling cycle, it focuses most on the discontinuous motion of piston and displacer. While in actual case it is not easy to give the discontinuous motion and therefore, will have a

continuous motion of piston and displacer, will have a non isothermal process. While the actual cycle demands, the ideal cycle demands isothermal processes. We have got in actual system, we will have pressure losses and pressure drops. So, in fact what we say from here, is the actual system will have more of losses. The actual system will try to go toward more practical system and therefore, the ideal system of isothermal processes cost and volume processes may not be assured in actual practice.


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CRYOGENIC ENGINEERING

Earlier Lecture

- Schmidt's analysis (1861) serves as a first guess of dimensions. The cooling effect and work input are denoted by Q_E and W_T respectively.
- The net cooling effect and the total work input required, considering the losses, are given by
 - $Q_{net} = Q_E - \Sigma(\text{losses})$
 - $W_{total} = W_T + \Sigma(\text{losses})$

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We studied Schmidt's analysis, which was given in 1861 and what we also observed what it serves as a first guess of dimensions; that means, if I want to design a cryocooler the first guess would be given by the Schmidt's analysis. We can talk about what is the diameter of the piston, what is the stroke of the piston or stroke of the displacer diameter of the displacer depending on whatever arrangement we choose to have. The cooling effect and the work input are denoted by Q_E and W_T respectively. And we are derived the expressions for Q_E and W_T for ideal Schmidt's cycle.

The only realistic assumption was in the Schmidt's analysis was that it have a continuous motion of piston and displacer, while in ideal stirling cycle as I earlier said the continuous motion of displacer and piston is not considered. What is considered is a discontinuous motion of piston and displacer. So, Schmidt's analysis was a step towards a realistic analysis taken in 1861.

We also studied that the net cooling effect and the total work input required will be considering losses, while Schmidt's analysis will not consider those losses Q_E and W_T are actually kind of ideal assumption still as far as Schmidt's analysis is concerned. So, if we consider the losses, the Q_{net} that is the actual Q delivered, actual cooling power delivered will be Q_E predicted by Schmidt's analysis minus various losses in a system. So, minus σ losses.

Similarly, the work input W_{total} is equal to W_T predicted by the Schmidt's analysis plus various losses that is plus σ losses. So, in order to relate my Q_E given by Schmidt's losses to the actual case, actual Q_{net} delivered, I have to calculate all these losses and then subtract those losses from Q_E , that will give me the Q_{net} delivered by a cryocooler. Similarly, I will have to add all those losses, which will cause me more work input to be done and this losses will be calculated and added to the W_T calculated by Schmidt's analysis and this will give me W_{total} for a practical system.

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CRYOGENIC ENGINEERING

Outline of the Lecture

Topic : Cryocoolers

- Design parameters (Schmidt's Analysis)
- Parametric study (Schmidt's Analysis)
- Walker's optimization charts
- Design methodology of a Stirling cryocooler

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Outline of this lecture again extending from cryocoolers. Now, I will go to discuss, what are design parameters considering Schmidt's analysis, understand the effect of those design parameters and therefore, we will have parametric studies again based on Schmidt's analysis. Then we will have Walker's optimization chart and this is a very important optimum design specifications that could be opted using Schmidt's analysis. And from Schmidt's analysis, we will have Walker's optimization chart and here for the

first time, we will derive those dimensions which will give an optimum combination of all these parameters.

And finally, using this Schmidt's analysis and Walker's design charts we will have a design methodology of a stirling cryocooler and this would give us a first guess of dimensions for any cryocooler to be designed for a given cooling capacity. So, we will solve all this things and we will have a tutorial at the end. So, that the usage is known to you.

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CRYOGENIC ENGINEERING

Parametric Study

- In general, Q_E is dependent on both design and operating parameters.
 - Design : $k = \frac{V_C}{V_E}$ $\tau = \frac{T_C}{T_E}$ $X = \frac{V_D}{V_E}$ α, V_T
 - Operating : N, p_{max}
- These parameters are varied to study their effect on Q_E .
- For an optimum design, a compromise between operating and design parameters may be sought.

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Let us have parametric studies, in general Q_E is dependent on both design and operating parameters. So, all the parameters which affected design of a cryocoolers can be clubbed under two parameters, which is design parameters and operating parameters.

What are design parameters? The design parameters are the one, which cannot be changed. So, once the design is made, you cannot change those parameters. And therefore, they are mostly related to the design aspect or dimensional aspects. So, if I talk about the design parameters and if I talk about those non dimensionalized design parameters, we have got k which is equal to V_C by V_E the ratio of 2 volumes 2 swept volumes then τ is a T_C by T_E . This is basically what cold temperature; we have designed this cryocooler for. Once I have designed for a particular cold temperature I cannot change that parameter because the design will be carried out for that T_C in mind.

Similarly, what is my dead volume ratio which is X dead volume ratio is nothing, but ratio of dead volume to the all the dead volume in the system to the swept volume in the expansion space for the cryocooler. And then alpha which is a phase angle between the expander and the piston motion, while V T is nothing, but V C plus V E that is total swept volume, this all could be clubbed as design parameter.

Similarly, we have got operating parameters, which can be changed later. So, once the design is carried out, I can still change the speed if I want to or I can still change the maximum pressure generated in a system by changing the charging pressure of the gas in the system. So, that it affects both p max and p minimum by a formula which we have derived earlier in Schmidt's analysis. So, these two parameters may be called as operating parameters because they can be changed by operator. They are not an inherent part taken in the design, in the sense they can be changed from outside.

However, these two parameters have to be considered in design analysis. So, the design is carried out for a particular N or particular p max, but these parameters can still be changed even after the design is made. This parameters are varied to study the effect on Q E. Now let us see, what is the effect of all these parameters on Q E? which is the cooling load that could be produced by the cryocooler.

For an optimum design a compromise between operating and design parameters may be sort. So, all these parameters may give a different variations of different parameters. So, what we have to go is an optimum combination of all these parameter. So, that I get maximum cooling effect or I get minimum power input or I get maximum COP or whatever design optimum design you want to carry it for that **that** can be optimized as a combination of all these parameters.

There are a 1, 2, 3, 4, 5, 6, **6** or 7 parameters which needs to be optimized, of which we can take few for granted; that means, they can be fixed and a design can be varied out for remaining 3 or 4 parameters.

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CRYOGENIC ENGINEERING

Parametric Study

- Based on Schmidt's analysis, the variation of heat lifted per unit cycle ($Q_E / (p_{max} V_T)$) and work input required per unit cycle ($W_T / (p_{max} V_T)$) for the above said non dimensional numbers is presented.
- It is important to note that Q_E and W_T are non – dimensionalized with a product of p_{max} and V_T .
- In the following study, let us call
 - $Q_E / (p_{max} V_T)$ as Q_{max} .
 - $W_T / (p_{max} V_T)$ as W_{max} .

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So, based on the Schmidt's analysis, the variation of heat lifted per unit cycle, which is Q_E upon p_{max} into V_T , this the Q_E here is heat lifted per unit cycle, p_{max} is maximum pressure and V_T is a total swept volume in the system.

So, if you see Q_E upon p_{max} by V_T is basically p_{max} into V_T is basically a non dimensional parameter and work input required per unit cycle, which is W_T divided by p_{max} into V_T , for the above said non dimensional number is presented. What does it mean? I will I would like to see what is Q_E upon P_{max} into V_T ? Similarly, what is W_T into upon P_{max} into V_T ? And we can see the variation of all the design and operating parameter as a function. So, that what is this non dimensional parameter would be, how does it behave?

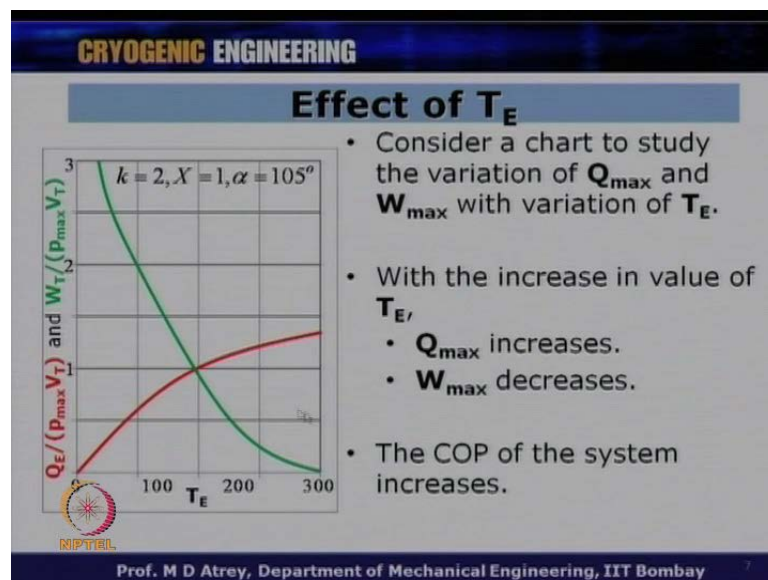
So, Q_E upon p_{max} into V_T becomes a non dimensional parameter. Similarly, W_T upon p_{max} into V_T becomes a non dimensional parameter. So, this parameter basically talks about the cooling effect generated per cycle, while this parameter talks about a work input required per cycle. It is important to note that Q_E and W_T are non dimensionalized with a product of p_{max} into p_{max} and volume p_{max} into V_T .

In the following study, let us call Q_E upon p_{max} into V_T as Q_{max} . It is just a simplicity to address this parameters as. So, we have got a Q_{max} , which is nothing, but Q_E upon p_{max} into V_T and we have W_{max} which is nothing, but W_T upon P_{max} into V_T . So, I would like to study now, what are the variation of Q_{max} and W_{max} as a

function of different design parameters. So, let us study the effect of Q_{\max} and W_{\max} and vary those parameters and understand, how Q_{\max} and W_{\max} vary because these are the most important parameters related to the design of a cryocooler.

What is the cooling effect I get from this cryocooler? What is the power input to this cryocooler? In fact, this divided by this will become the COP of the system, if you understand. Because p_{\max} into V_T is common to both the cases. So, here the most important parameter, I would like to see as a function of different parameters. So, let us take the first parameter as a temperature at the expansion space, that is the coldest temperature T_E for which the entire cryocooler has been designed. This is the T_E at which Q_E is obtained. So, cooling effect is obtained at a particular temperature T_E .

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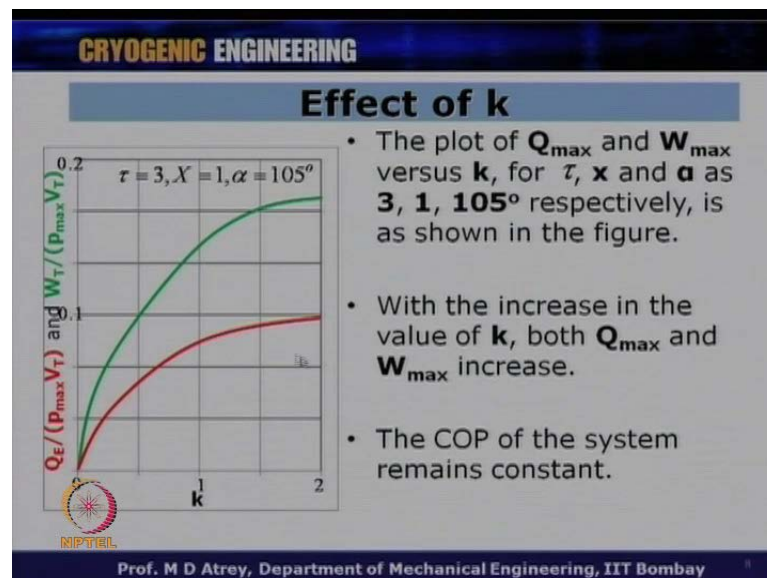
So, let us study the effect of this parameter and this is my y axis and this is my x axis and on x axis you can see, I have put T_E given in kelvin, over here while on the y axis I can show both the parameters as Q_{\max} and W_{\max} . So, the red curve will show a relationship between Q_{\max} to T_E and the green curve will show the relationship of the W_{\max} to T_E . And these curves are plotted for given parameters as k is equal to 2, X is equal to 1 and α is equal to 105 degrees. So, these 3 parameters are kept constant and only T_E is varied, in order to study the effect of variation of T_E on Q_{\max} and W_{\max} .

So, this is what variation you can see. So, consider a chart to study the variation of Q_{\max} and W_{\max} with variation of T_E . Now what do you see? As you go on increase the value of T_E ; that means, as the cold end temperature increases, it is basically coming to room temperature 300 kelvin is nothing, but room temperature. And you can very well understand that as the colder end temperature increases, the cooling effect increases; that means, the Q_{\max} value given by this red curve will increase. This is absolutely clear that as you increase the lower end temperature, the value of T_E the cooling effect will increase, while at the same time the power input required will go on decreasing.

So, if my cooler end temperature or the expansion space temperature increases, the cooling effect increases and the W_{\max} or the power input will decrease. Thereby, increasing the COP of the machine, I think this effect is very very clear with the knowledge of refrigeration for example, you have that as the cold end temperature increases, refrigeration effect will increase. At the same time power input will decrease.

So, with the increase in the value of T , let us summarize here. Q_{\max} increases, which is in by this red curve and W_{\max} decreases, which is in by this green curve. As a result of which, the COP of the system increases and this is what is obvious from the system.

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The next effect is effect of k , which is the ratio of swept volumes that is V_C by V_E . Again this curve is plotted for both the parameters Q_{\max} and W_{\max} and here now we have kept temperatures τ is equal to 3; that means, my both the temperatures are fixed

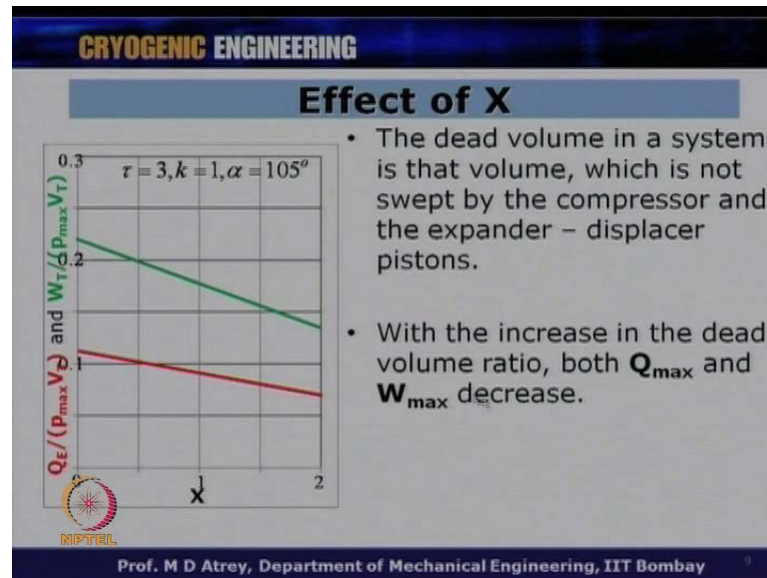
T_C and T_E are fixed, the data volume ratio is taken as 1, while α is still taken as 105 degrees, which is nothing, but the phase angle between the expansion and compression volume variations.

On x axis what has been plotted is k value, the plot of Q_{max} and W_{max} versus k , for τ , X as 3, 1 and 105 degrees respectively, is as shown in this figure. So, what do you see from this figure? It means that as the swept volume ratio is increased; that means, as V_C by V_E gets increase, the cooling effect Q_{max} will start increasing. Because the flow rate will increase, as my V_C by V_E increases, I am getting more and more volume of gas getting compressed over here. And similarly, because I am compressing more and more gas, my power input system will also increase thereby, increase W_{max} .

So, both as a value of k increases, the Q_{max} will increase and W_{max} also will increase, but can I go on increasing this forever. No, I cannot, at a particular point I will the curve will get flattened, over there at the particular point the curve will get flattened over there. So, with the increase in the value of k , both Q_{max} and W_{max} increase, the COP of the system remains constant because by Schmidt's analysis the COP of the ideal Stirling cycle depends only on the value of τ , while we have kept the value of τ to be constant. So, even if I go on increasing the value of k , W_{max} to Q_{max} ratio will always remain constant at whatever k value we are talking about.

So, for a given value of X , if I go on increasing the value of k , which is very important for a given value of τ and X , if I go on increasing the value of k and Q_{max} and W_{max} will go on increasing and the curve will get flattened over there. Because the X value also is limited in that sense, the ratio is limited to one in this particular case. The COP of the system remains constant because we have seen that the COP of the system depends only on the value of τ , while increase of K will not affect the COP, but it will increase the Q_{max} as well as W_T or W_{max} .

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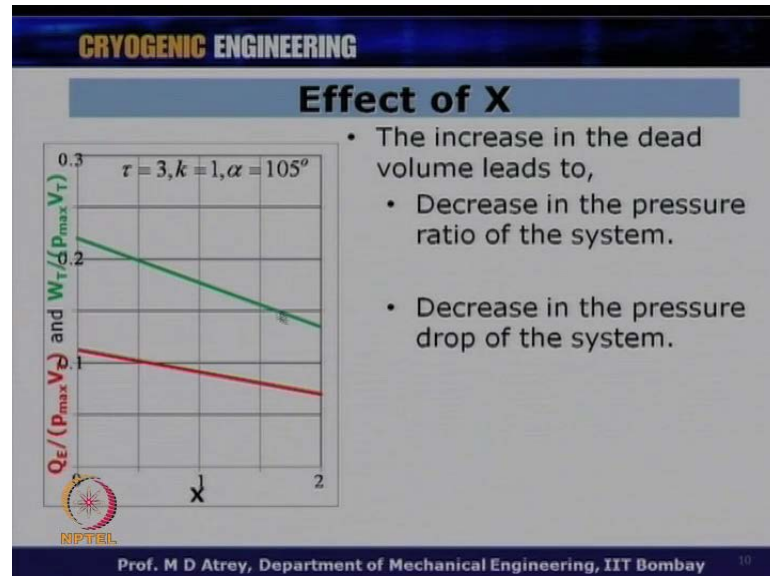
The next parameters to be studied is the effect of X or the dead volume ratio. So, again as you say the dead volume in a system is that volume, which is not swept by compressor and the expander displacer or expander piston; that means, the whatever volume is there which is not traverse by pistons or displacer is what we call as dead volume. For example, all the heat exchanger volume will be a dead volume because it is not travelled by the compressor piston or the expander displacer.

So, here when I want to study about effect of X on Q_{max} and W_{max} , I have kept now tau k and alpha as fixed, k has been taken equal to 1; that means, V_C by V_E is equal to 1. So, what do you see from this curves? What do you see from this curve is as we increase the value of X; that means, as we go on increasing the value of dead volume in a system for a given expansion volume because X is nothing, but V_D upon V_E . So, if I go on increasing the value of X, we can see that the Q_{max} decreases at the same time W_{max} also decreases.

So, with the increase in the dead volume ratio, both Q_{max} and W_{max} decrease; that means, additional of dead volume **addition of dead volume** is actually detrimental from the performance point of view or from the cooling effect point of view. At the same time the work input has also reduced. So, again the COP of the system, what you can see from here is going to be remaining constant because COP depends only on the value of tau

and this is as far as ideal stirling cycle is considering actual system the things will change.

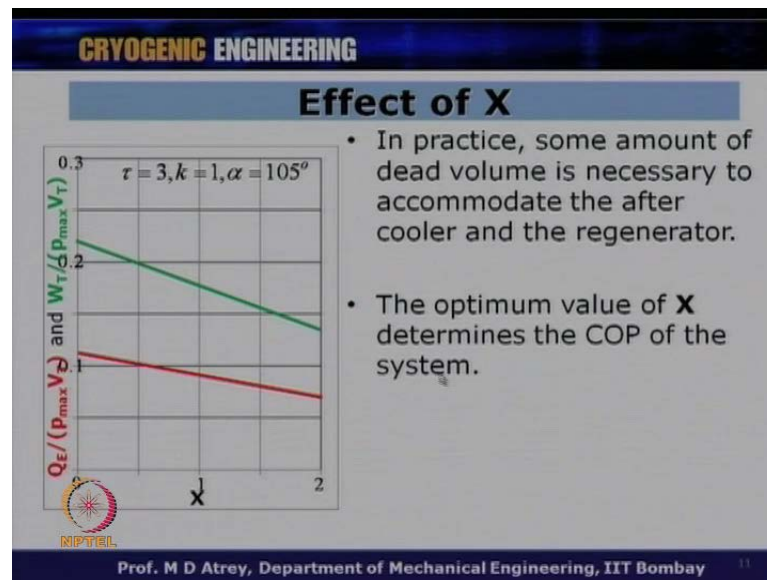
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What is happening basically? Why **in the** with the increase of X, my Q E **decreases**, Q max decrease, as well as my W max increases. So, increase in the dead volume leads to decrease in the pressure ratio of the system. So, as I have got more and more volume now, which is not a swept volume, which is a dead volume. My pressure ratio **ratio** p max to p minimum will decrease and the moment your p max to p minimum will decrease the **the** ratio decreases, it will result in lowering of Q max or cooling effect. And therefore, increase in X will result in decrease in the value of **Q Q max**.

Also there will be decrease in pressure of in a system, but this is not considered, pressure drop losses are not considered in a Schmidt's analysis, but in actual system pressure drop losses in system will decrease in this with the result of increase in the value of dead volume ratio. So, what is most important is the pressure ratio in the system decreases and this is what leads to decrease in cooling effect, as well as decrease in W max or the power input to the system.

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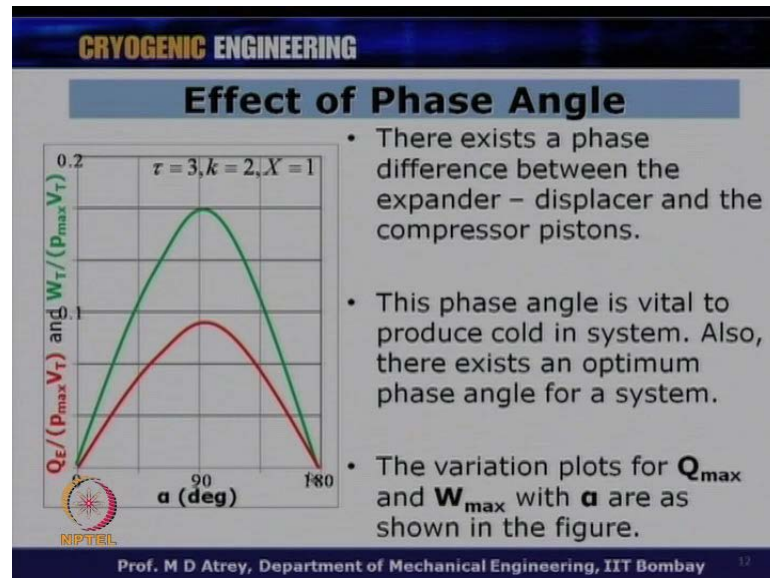
It increase the value of X, your p max will come down. And as a result of which the W max will decrease, as well as Q max will decrease. However, what does it mean, that I should keep value of X to be very small as **as** small as possible. This is what one would expect, but that is not a practical solution. Because in practice, some amount of dead volume is always necessary to accommodate the after cooler and the regenerator. These are heat exchangers and heat exchanger volumes are nothing, but dead volumes, but there has to be some optimum value of X, which will accommodate the dead volume generated because of the presence of after cooler and the regenerator. And this is most important, this is very very important.

So, some amount of dead volume is always important, is very very important, which will sacrifice some amount of Q max basically, while W max will get **get** lowered. So, we have to have some amount of X, which possibly able to X is equal to 8. I cannot have X is equal to 0, for actual design. So, I have to have some value of X or 1.2, 0.8 or something like that. So, that my regenerator volume and after cooler volume is taken care of.

So, effect of X basically one would like to keep X as small as possible, but some amount of X or some amount of dead volume is going to be always existent in a cryocooler. The optimum value of X will determine then the COP of a system. In a actual case, in actual system, the actual volume of COP will be determined by the value of X. There exists a

phase angle. Now, we see effect of phase angle on Q_{\max} and W_{\max} , this phase angle is nothing, but the angle by which the expansion space volume variation lead the compression space volume variation, which is what we had seen earlier.

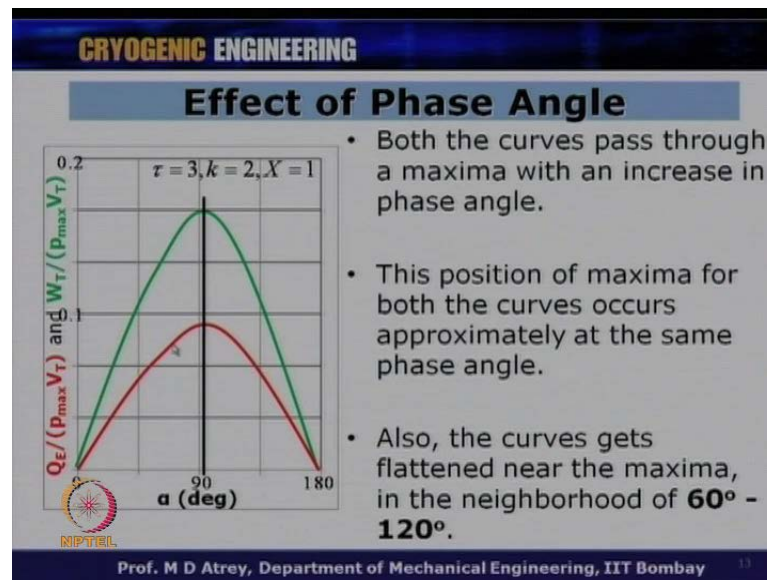
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So, x axis is plotted with alpha in degrees, while these values are taken as tau is equal to 3, k is equal to 2 and X is equal to 1. So, there exists a phase (()) phase difference between the expander displacer and the compressor piston, this could be expander piston, also if it is a working as a piston and not as a displacer. This phase angle is vital to produce cold in the system; also there exists an optimum phase angle for a system.

For example if the phase angle is 0, you will not get any cooling effect. This is very important, there has to be some phase angle and this phase angle has to be an optimum phase angle basically. So, if I just plot Q_{\max} and W_{\max} variation against alpha, what we can see that? Both the both these curve go through maximum in the travel during this alpha, the variation plots of Q_{\max} and W_{\max} with alpha are as shown in this figure.

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And what we see, both the curve pass through a maximum with an increase in the phase angle. The position of maxima for both the curves occur approximately at the same phase angle. So, what you can see that, this is going to be maximum somewhere around 90, very close to 90 and W max is maximum for that phase angle and Q max is maximum for that particular phase angle. And also what you can see that, also the curve gets flattened near the maxima, in the neighborhood 60 to 120 degree.

That means, this increase is very very high and you can see that if I have alpha is equal to 60 to kind of 120. The change in this maximum and this value is not that drastic; that means, I can have an alpha ranging from 60 to 120, if I have to sacrifice this alpha for some mechanical limitation. If I cannot have alpha is equal to 90 to touch this peak. I can as well have alpha between this 60 to 120, where I say that, I do not sacrifice so much on Q max and W max. So, that is why I say that, the sensitivity of W max and Q max is not that high in this region, while if you go beyond that it is very high. In this case, in this part below 60 and after 120, the variations in Q max and W max is quite drastic for the variations in alpha. And therefore, my alpha should, I should see that alpha remains between 60 and 120 as far as possible.

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CRYOGENIC ENGINEERING

Optimization Charts

- From the parametric study, it is clear that, each of the non – dimensional number has an impact on the performance of the system.
- However, a combined effect of these parameters on the performance of system as a whole, was first reported by **Graham Walker** in the year 1962 in the form of design charts.
- These charts were produced for both refrigerators as well as for engines and are called as **Walker's optimization charts**.

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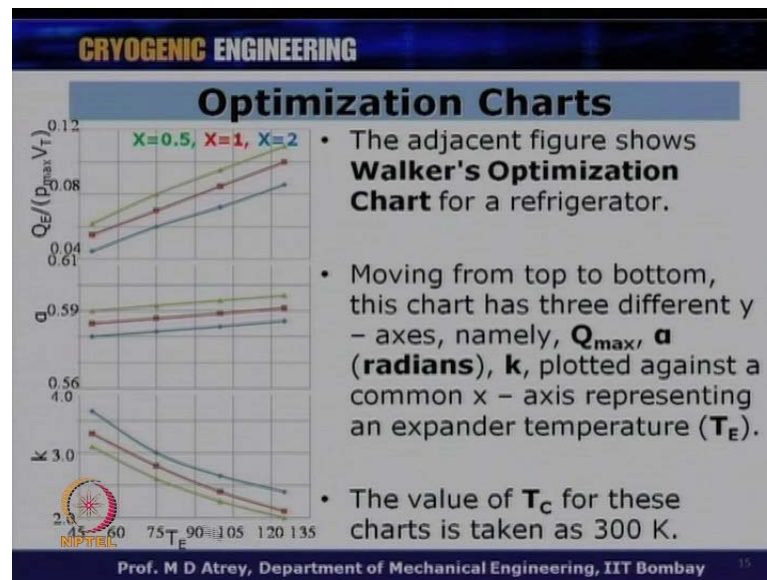
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Now, you have seen that, there are so many charts which exist. So many parameters which exist and the variation of Q_{max} and W_{max} is different for these variations of the parameters. From the parametric study, it is clear that each of the non dimensional parameter has an impact on the performance of the system. However, a combine effect of this parameters on the performance of the system as a whole was first reported by Graham Walker in the year 1962, in the form of design charts.

So, Walker was the person, who first studied all the effect of this parameters and he came up with some design charts. And these charts were produced for both refrigerators as well as for stirling engines and they are called as Walker's design optimization chart or Walker's optimization chart. So, he was the one who extended Schmidt's analysis and got all these parameters optimized and converted to optimization into some kind of design optimization chart and they are called as Walker's optimization chart.

See if I got so much of variations of k , X , α etcetera, I can refer to Walker's optimization chart and get those parameters for a cryocooler to be designed for a particular cooling effect at a given T_E or a given cooling temperature. So, let us see what are these optimization chart refer to.

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So, these are the optimization chart Walker has given. So, what you can see for, from here is the adjacent figure shows Walker's optimization chart for a refrigerator.

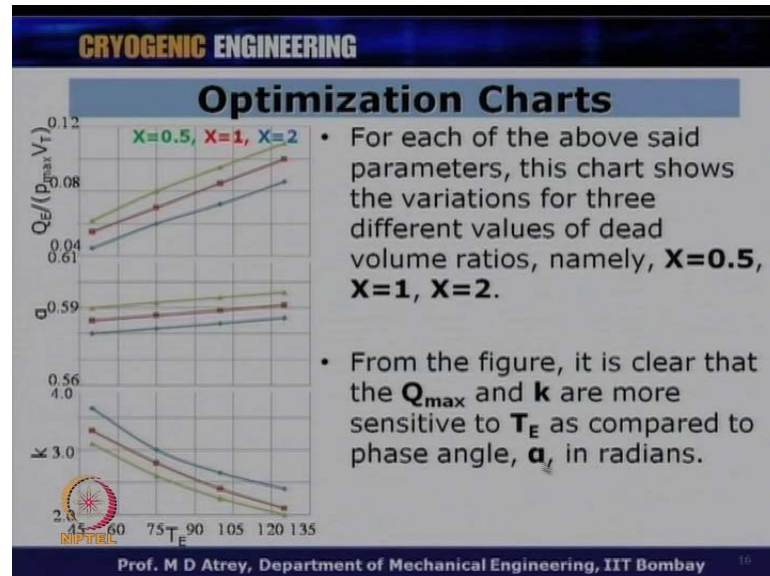
Moving from top to bottom, this chart has 3 different axes named Q_{max} . So, you can see that, there are 3 charts; 1, 2 and 3 and what you see on the left axis is, we have got a Q_{max} which is nothing, but Q_E upon p_{max} into V_T . We have got α , the α is been given in radians. As you see here, there are 3 different axes, namely Q_{max} , α in radians and k which is volume variation ratio V_C by V_E and this all things have been plotted across a common T_E temperature; that means, cooling expansion space temperature T_E .

So, if I know that, I have to design a cryocooler at a particular T . Say, **let us say**, let us say, nitrogen temperature, which is T is equal to 77 kelvin or oxygen temperature, which is 90 kelvin or whatever temperature, you have got in mind. I should have that T_E over here and if I draw a vertical from here, I can get variations of Q_{max} , α and k as against for different X parameter, that is a dead volume ratio. So what parameters do you see here? X , k and α and also for which a value of Q_{max} is obtained against the temperature of my interest.

So, Walker charts basically gives a parameters k , X and α , for different cold end temperatures and against that what you obtain is basically the value of Q_{max} and this is what Walker's chart gives us. The value of T_C for all this charts is assumed to be at 300

kelvin; that means, T C is 300 and if I want to have tau, it is 300 divided by the value of T E which I consider.

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So, for each of the above said parameter, this chart shows the variation of 3 different values of dead volume ratios, which is X is equal to 0.5, 1 and 2. What you see X equal to 0.5, which is this green line, X is equal to 1, which is this red line and X equal to 2, which is basically the blue line.

And this 3 variations are shown over here, if I have got any variation between this X 0.7 for example, one can interpolate between this 2 lines or if I got a 1.2 or 1.5, I can interpolate between this 2 line linearly and get variation of Q max alpha or k. From this figure what you see is Q max and k are more sensitive to T E, as compared to phase angle alpha. It is clear that, the alpha variations are **these graphs are quite flatter** these graphs are quite flatter over there. So, whatever the variation T E happens there is not a drastic change of value of alpha basically, is not it?

While Q max changes drastically, as well as the k value changes drastically and that is what one can see from this figure that alpha variation, which is given in radiance is not very very sensitive to T E, while Q max and k is quite sensitive. So, with this background of Schmidt's analysis and Walker's optimization design chart, now let us go to the design of a cryocooler. Let us see, how we use this analysis and this charts for

carrying out a first simple, first guess design of a cryocooler to attain a particular temperature T_E and to get a cooling capacity of Q_E at that particular temperature.

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CRYOGENIC ENGINEERING

Design of a Cryocooler

- The Schmidt's analysis is a pure ideal analysis and does not take losses into account.
- As mentioned in the earlier lecture, these losses can be thermal, mechanical, shuttle conduction etc.
- In order to make the analysis more realistic, Q_E in the Walker's charts is taken as $Q_{E, Design}$, which is three times Q_E , the required cooling power, in order to account for losses.

• Therefore, $Q_{E, Design} = 3 \times Q_{E, Read}$

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The Schmidt's analysis is a pure ideal analysis and does not take losses into account. We have seen that, the only parameter Schmidt's considers is basically the continuous motion of piston and displacer, as against the discontinuation motion demanded by ideal stirling cycle. So, from the first realistic deviation from ideal stirling cycle, which was taken into account by Schmidt's. However, he had not taken any losses into account.

As mentioned in the earlier lecture, these losses can be thermal losses, mechanical losses, shuttle condition. We have got a heat exchanger effectiveness, regenerative effectiveness, we have got a pressure drop across the system and all these losses have to be first calculated properly and they have to be encountered, they have to be understood and the net cooling effect for which the cryocooler has to be designed, has to be taken into account; that means, I require some Q_E , while I will design Q_E for an higher value taking into a consideration all these losses that are going to add up to my cooling effect.

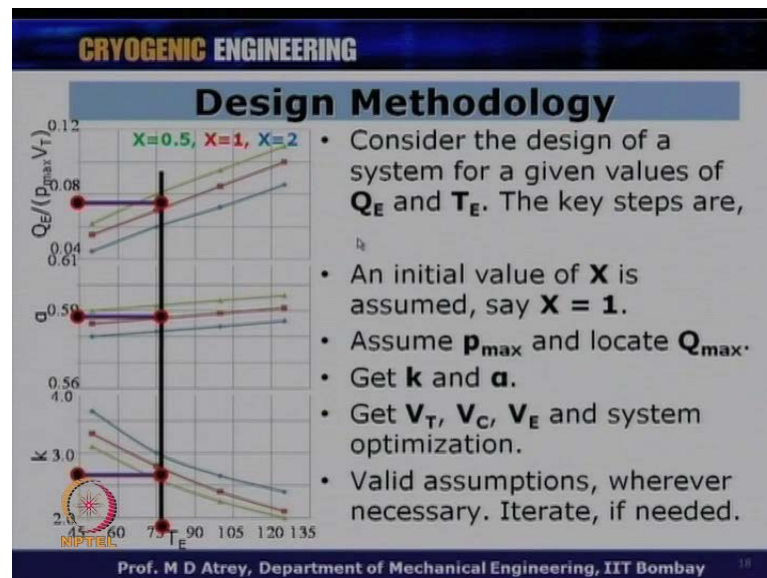
As a result of which, in order to make the analysis more realistic Q_E in the Walker's charts, if I want to design my cryocooler based on Walker's charts, whatever Q_E has been considered there, I will replace that by a parameter called as $Q_{E, Design}$, I will not take that as a required Q_E , but I will replace that Q_E as $Q_{E, Design}$, for which the cryocooler is designed, which is normally, I will say 3 to 4 times Q_E . In this case I say 3

times Q_E ; that means, I will understand that of this three times Q_E , only one Q_E is designed, I mean one Q_E is designed.

While one third of this Q_E is lost as losses, the required cooling for in order to account for these losses; that means, I will design this cryocooler for 3 times the value of Q_E . What is required by me is only Q_E , but I will go for 3 times Q_E and which I will refer to as Q_E design. I will use this Q_E design value for referring to Walker's charts. So, can I say therefore, that Q_E design is going to be my 3 times Q_E required, if I want to design a cryocooler for 100 watts, I will now design it for 300 watt assuming that out of this 300 watts, 200 watts are losses and only 100 watts are going to be delivered to the cryocooler to the user basically.

Therefore, I will have Q_E design taken up as earlier what I have taken as Q_E , when I refer to Walker's charts, this is an important consideration design Q_E value.

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So, the design methodology if I want to understand; what I will do? I will know the value of Q_E , that is cooling effect required and at what temperature T_E . The key steps therefore, will be an initial value of X may be assumed. So, what I do first is basically draw a vertical from T_E is equal to 77 kelvin and up to this parameter.

And assume that, X is equal to 1 for example to begin with, X may be equal to 1.1, 1.2 or 2, 0.7 or 0.8, I can determine that later, but in the beginning if I assume that X is equal to

1, from here I will understand; what is my Q_{max} value? So, I can get a Q_{max} value from over from **from** this may be 0.07 or something from this chart and I will have some assumption, I will have some knowledge from mechanical point of view, what is my maximum pressure allowed is?

So, once I know maximum pressure, I will know this maximum pressure, I will know this Q_{max} , I can therefore, know what my total volume that is V_T . So, I can know V_T now, at the same time from other curves, I will know what my K is? What my α is? Once I know this thing, what I know now? I know my V_T value, I know the α value, I know the K value. And therefore, with this knowledge, I can compute my swept volume, that is the V_C and V_E . I can get my V_C and V_E therefore, V_T from this and I can therefore, come to the first guess of my swept volume V_C and V_E , which will give me; what is the diameter of the piston? What is the stroke of the piston? I can decide; what is the ratio of the stroke and stroke the piston diameter could be? Accordingly now, once I get the first guess of all this things, I can go back, I can see; what is corresponding to V_C , V_E , my dead volume is; is that okay? Are this dimensions okay? If not I will again go back and go for X is equal to 1.2 or something like that and do this iteration.

So, some with valid assumptions wherever necessary, I will understand; what are my dimensions I am going to get? Are they okay? If they are not, I can change the value of X now and go back to the top end again and iterate using this optimization chart. The very simple first order guess, I will get, in order to get the value of the particular Q_E at particular T_E .

Now, as I said earlier, I will take this value of Q_E , in this particular argument over here as 3 times Q_E required. So, my I will take design Q_E now, for getting the first guess dimension of a cryocooler. I will not take required Q_E , but I will take 3 times required. I can take 4 times required Q_E , depending on how conservative you want your analysis or you want your design to be. I think that this **this** design procedure can be well understood by taking a tutorial and therefore, I will, you can get a actual number feel and therefore, I will go for a simple tutorial.

And this tutorial is aiming to design an alpha type stirling nitrogen liquefier. This is a nitrogen liquefier, using the Schmidt's analysis and using the Walker's chart. Therefore, the working gas is helium and the capacity of the plant is 10 liter per hour of liquid

nitrogen. So, I am using helium as the working fluid and the cooling effect should be such that, it will cater to 10 liters per hour liquefaction of nitrogen. The maximum allowable straight in pressure that is p_{max} is given to be as 40 bar and the speed of the primer will be 1440 rpm, these are mostly standard values.

Therefore, I got a limitation on maximum pressure that could be generated over here. now first and foremost most important thing is calculate my Q_E required, how much cooling effect will I required in order to get 10 liters per **per** hour of liquefaction of nitrogen gas. So, what I go to? I go back to my basics and understand from temperature entropy diagram; what is my enthalpy requirement? What is my cooling effect requirement, in order to get 10 liters per hour of liquid nitrogen?

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CRYOGENIC ENGINEERING

Tutorial

Regenerator
Compressor Expander
alpha Type

- The schematic of an α - type Stirling cryocooler is as shown.
- Given parameters are
 - Evap. Temp. (T_E): 77.2 K.
 - Cond. Temp. (T_C): 300 K.
 - Max. Pressure (P_{max}): 40 bar.
 - $N = 1440$ rpm.
- Parameters to be calculated are
 - Volumes: (V_C), (V_E), (V_T).
 - Phase angle (α)

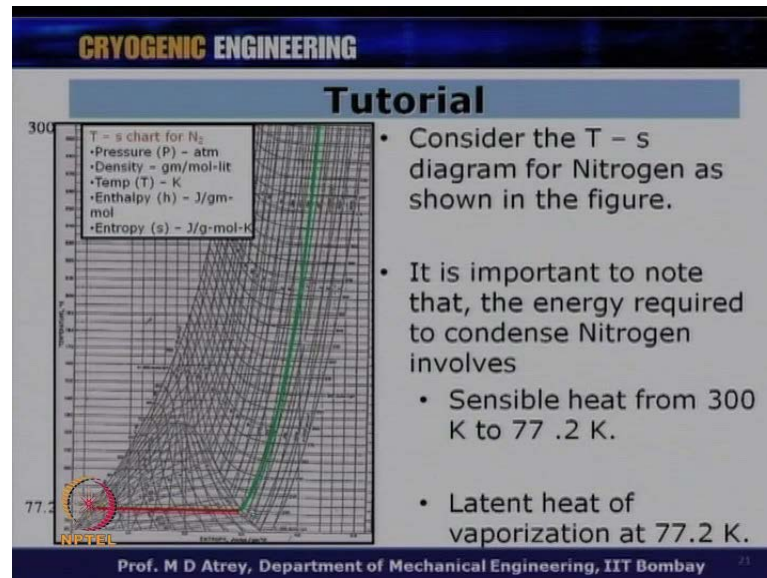
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So, this is my alpha type cryocooler, which has got a compressor piston and a expansion piston also connected through a regenerator. The schematic of an alpha type stirling cryocooler is as shown, the given parameters in the problem are evaporation temperature equal to T_E , which is going to be produced in expansion space over here at 77.2 kelvin. The compressor temperature is T_C , which is 300 kelvin. The maximum pressure in the system is going to be p_{max} , which is 40 bar.

N is equal to 1440 rpm, these are basically my operating parameters. The parameters to be calculated are; what are these volumes? V_C , V_E and V_C plus V_E is nothing, but V_T . So, what is my total volume in a system, especially, I am going to basically get the **the**

diameter and the stroke of compressor piston and an expander piston also in this case. And also I will get a phase angle, which is basically the volume variations the phase angle between the compressor and expansions volume variations.

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So, first thing I will go the temperature entropy diagram of nitrogen, in order to calculate the cooling effect required or Q E required in this case. So, consider T - s diagram of nitrogen as shown in this figure, it is important to note that, the energy required to condense nitrogen involves sensible heat from 300K to 77K. I have to now decrease the heat or decrease the gas temperature from 300 kelvin, which is my this point at one bar come down to 77 kelvin over here, which is my sensible cooling and then I have to cool the gas the latent part and therefore, latent heat of vaporization at 77.2 kelvin.

So, how much energy do I require, in order to get from 300 kelvin at 1 bar to 77.2 kelvin at 1 bar and then take out the latent part of heat. So, that I get liquid nitrogen at 1 bar, at 77.2 kelvin, this is the minimum heat, I have to remove from nitrogen gas in order to reach to saturated liquid region at 77.2 kelvin and what is most important is, in order to get 10 liter per hour of liquid nitrogen; what is my mass flow rate?

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CRYOGENIC ENGINEERING

Tutorial

T - s chart for N_2

- Pressure (P) - atm
- Density - gm/mol-lit
- Temp (T) - K
- Enthalpy (h) - J/gm-mol
- Entropy (s) - J/g-mol-K

- From the standard T - s diagram for Nitrogen, the change in enthalpy for these processes are as shown below.
- Sensible heat (KJ/Kg-K)
 $\Delta h_s = 231.7$
- Latent heat (KJ/Kg-K)
 $\Delta h_l = 199.1$
- The net change in enthalpy is $\Delta h_{net} = 430.8$

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So, first let us calculate the cooling effect required and then we will see how much is the mass flow rate? So, from the standard T - s diagram for nitrogen the change in enthalpy of this processes are the sensible heat from 300 to 77 kelvin, which is 237.7. So, h at 300 kelvin and h at 77 kelvin, this is my difference delta h s 231.7 plus the latent heat, which is going to be 199.1 kilo joule per Kg Kelvin. I will add this the net change in the enthalpy therefore, is 430.8 kilo joule per Kg kelvin. This is my enthalpy change from 300 kelvin 1 bar to 77 kelvin 1 bar at saturated liquid condition

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CRYOGENIC ENGINEERING

Tutorial

- The required capacity of the given liquefier is **10** liter per hour.
- The density of liquid nitrogen is 808 kg/m^3 . Hence, the required mass flow rate across the liquefier corresponding to 10 liter per hour is calculated as shown below.

$$\dot{m} = \frac{n(m^3 / hr)\rho}{3600} = \frac{(10)(10^{-3})(808)}{3600} = 0.00224 \text{ Kg / s}$$

- The net cooling power required to produce **10** liter per hour **LN₂** is

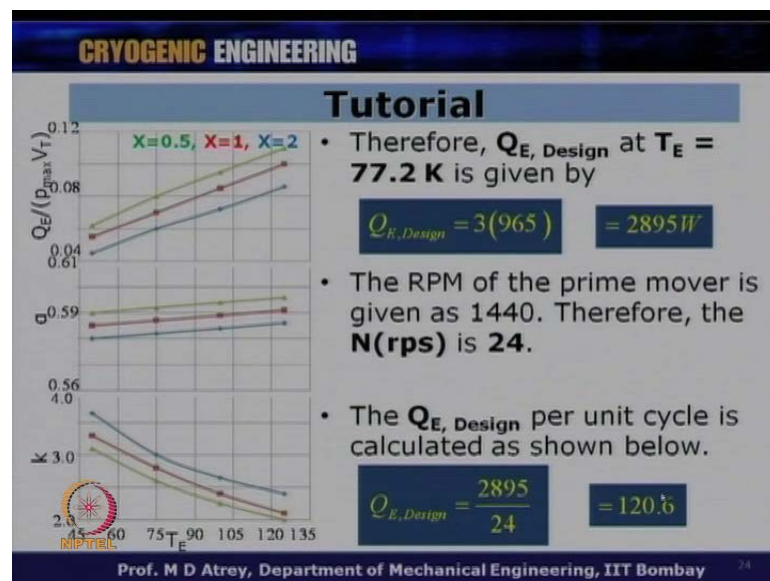
$$P_{reqd} = \Delta h_{net} \dot{m} = (430.8)(0.00224) = 965 \text{ W}$$

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The required capacity of the given liquefier is 10 liter per hour, the density of liquid nitrogen is 808 Kg per meter cube. Hence, the required mass flow rate across the liquefier corresponding to 10 liter per hour is calculated as mass flow rate is going to be given by; what is my n value? That is meter cube per hour into density divided by 360. So, convert my 10 liter requirement into meter cube, which is going to be 10 into 10 to the power minus 3 into density of liquid nitrogen 808 at 1 bar divided by 3600, which is giving me 0.00224 Kg per second, this K g per second.

So, the net cooling effect required to produce 10 liter of liquid nitrogen per hour is Q E required is going to be delta h, we just calculated per Kg earlier, multiplied by how much Kg per second my flow rate will be, which is going to be this, which will amount to 965 watts. So, my Q E required to get 10 liters of liquid nitrogen at 1 bar is equal to 965 watts, this is my Q E required.

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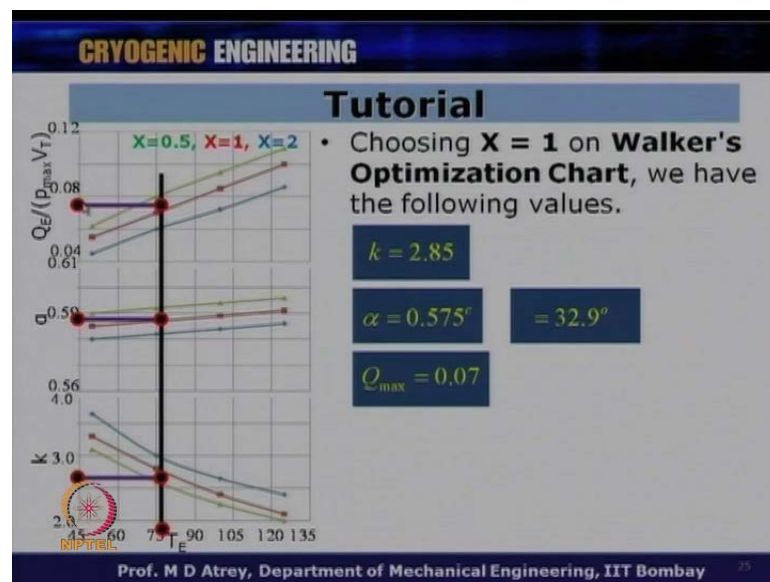
Therefore, Q E design at 77 kelvin is going to be 3 times this value. So, Q E design is going to be 3 times 965, which is nothing, but 2895 watt.

What does it mean? I am going to design a cryocooler now, for 2895 watt assuming that so many watts will be lost as losses, in order to deliver 965 watts as cooling power at 77 kelvin. So, my net loss assume will be 2895 minus 965, this is the loss and what is delivered is 965 watts, which are responsible to produce ten liters of liquid nitrogen at 77.2 kelvin.

Now, in order to design this cryocooler, I will now refer to the Walker's charts over here, which will give me Q_{max} , α and k , which is what we just saw at different temperatures. So, first thing I will do basically is to locate the line of T_E is equal to 77.2 kelvin. Also what is known to me is the rpm of the system is 1440 and therefore, rps or how many hertz are there, which is 24 hertz 1440 divided by 60, this is my in order to calculate how much Q_E design per unit cycle will be?

So, Q_E design per unit cycle is therefore, will be equal to 2895 divided by 24, which is equal to 120.6 and this is Q_E design is used to calculate my Q_{max} now. So, this is my Q_E design per unit cycle.

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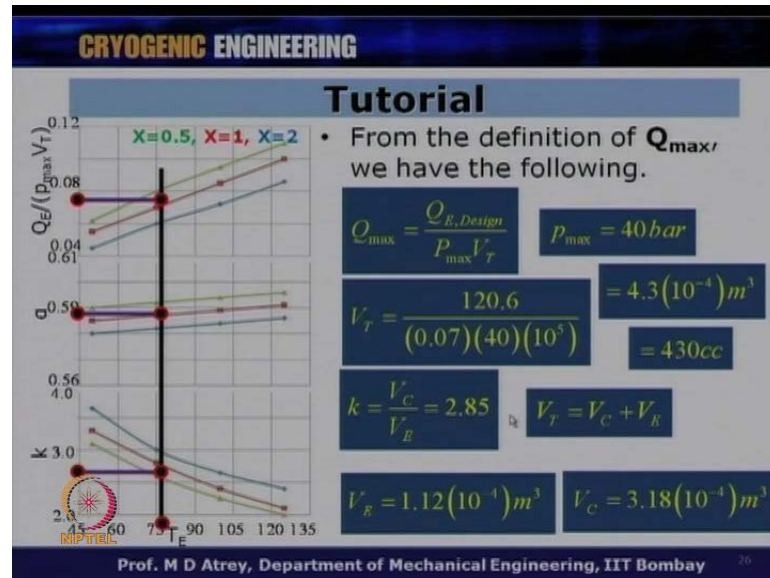


So, let us go to the Optimization chart and let us draw a vertical line from 77.2 kelvin, at which cooling effect is going to be produced and the first iteration we can assume that X is equal to 1 from Walker's chart and we can see that for X is equal to 1, I will get different values.

So, I get k is equal to 2.85 from here, I get α is equal to 0.575 radians, which is equal to 32.9 degree. So, this is not a very happy; I mean as a as we just saw that it should be between 60 to 120, but this is our first iteration and we are getting value of α to be 32.9. If you want I can increase my value of or decrease my value of X . So that, I will land up in whatever α I normally would like to have. And the corresponding the

value of Q_{max} is going to be 0.07, which is located from this curve and all these values have been taken at X is equal to 1 and T_E is equal to 77.2 kelvin

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From the definition of Q_{max} now, I get Q_{max} is equal to Q_E design upon p_{max} by V_T and we have already calculate my Q_E design, we already know what my p_{max} should be? Because the problem defines that p_{max} should not be more than 40 bar and I know Q_{max} , which means I will get the value of V_T from this particular expression, p_{max} is equal to 40 bar. And therefore, V_T equal to Q_E design, which is 120.6 divided by Q_{max} , which is 0.07 into 40 bar to be converted into 40 to the power into 10 to the power of 5, in order to get millimeter if I want to have or a meter, go over here. From here I get V_T to be equal to 4.3 into 10 to the power minus 4 meter cube; that means, I have got a total swept volume V_C plus V_E , compression space volume plus expansion space volume as this particular quantity, which is nothing, but 430cc.

Now, I know k again here, which is 2.85 from this particular expression, from this particular graph V_C by V_E equal to 2.5, what I know V_C plus V_E equal to 430cc. And therefore, I can calculate the two volumes and this is nothing, but V_E is equal to 112 into 10 to the power minus 4 meter cube, which is nothing, but 112cc and again I have got V_C , which is 3.18 10 to the power minus 4 meter cube, which is nothing, but 300 and 18cc.

That means, I know now compression space volume, expansion space volume in meter cubes and I can now calculate work should be correspond in diameter and the stroke of the piston and displacer for the unit for which we are designing this a cryocooler.


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CRYOGENIC ENGINEERING

Tutorial

- Assuming a stroke to bore ratio of **0.75**, for both compressor and expander – displacer pistons, we have the following dimensions.

$V_C = \frac{\pi}{4} D_C^2 S_C = 3.18(10^{-4})$	$\frac{S_C}{D_C} = 0.75$	$D_C = 81.4mm$
		$S_C = 60.8mm$
$V_E = \frac{\pi}{4} D_E^2 S_E = 1.12(10^{-4})$	$\frac{S_E}{D_E} = 0.75$	$D_E = 57.5mm$
		$S_E = 43.1mm$

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Assuming now, stroke to bore ratio of 0.75 for both compressor and expander pistons we have the following. We have got a V_C equal to π by 4 into D_C square into stroke of the piston and therefore, we know what my V_C is, which will give me the value of S_C by D_C has been assumed to be 0.6, 0.75 correspondingly are can calculate my diameter of the piston to be equal to 81.4 millimeter and the stroke of the piston to be 60.8 millimeter. Similarly, I know value of V_E , which is π by 4 into D_E square into S_E . In fact, expander piston that is what I should call it here because it is not a displacer in this case. S_E upon D_E 0.75, which is an assumption. I get D_E as points diameter of the piston for the expansion side is 57.5 and the stroke is 43.1 millimeter. These are my first guess dimension, if I am not very happy about this, I can go back to my **(())** chart; for example, this dimension can come very large depending on for which capacity you are deriving this cooler and if you find it impractical to have such fabrication or such space allocation, I can as well go back change my value of X for example, or you can also change your p_{max} required in that case. For example, if p_{max} required is going to be smaller quantity, all these values are going to be very large. If I allow my maximum pressure to be higher side then my dimensions might come down on the lower side and this is where you have to do iterative exercise.

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CRYOGENIC ENGINEERING

Tutorial

Regenerator

Compressor Expander
α Type

$D_C = 81.4\text{mm}$ $D_E = 57.5\text{mm}$

$S_C = 60.8\text{mm}$ $S_E = 43.1\text{mm}$

Operating Parameters

- T_E : 77.2 K
- T_C : 300 K
- P_{max} : 40 bar
- N : 1440

Design Parameters

$V_C = 3.18(10^{-4})\text{m}^3$

$V_E = 1.12(10^{-4})\text{m}^3$

$\alpha = 0.575^{\circ}$ $= 32.9^{\circ}$

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So, this tutorial gives me for this compressor expander arrangement, D_C to equal to 81.4 as given over here, S_C or the stroke to be 60.8 millimeter as given over here. Similarly, diameter of the expander will give you 57.5 and stroke is given as 43.1 millimeter. So, my operating parameters and design parameters are given as the operating parameters are T_E is equal to 77.2 kelvin, T_C is 300 kelvin, p_{max} is 40 bar and N is equal to 1440 revolutions per minute. Similarly, for design parameters, I have got V_C , V_E and α as 0.75 radians, which is equal to 32.9 degrees.

So, these are my first guess, I am not going to iterating over here. What the point I want to come across? I want to give as, if this dimensions you find are on a very higher side for example, this is for a 10 liter per hour, but tomorrow if I want to you have to design for 15 liter per hour and I say that the maximum pressure should not exceed 30 bar. In that case, these dimensions can come very large and in that case you may find that one compressor piston is not enough and you may have to go for 2 cylinder system or you may have to go for 4 cylinder system depending on capacity you are talking about.

For example, a 50 liter per hour cryocooler may have 4 cylinders. That means, each cylinder will give around 12.5 liters per hour. Accordingly, decision has to be taken to determine; what my diameters? And what my strokes should be? What my maximum pressure should be? What my X value should be? And this will determine the diameters

and the strokes of the piston and a displacer or the expander and the compressor respectively.

So, here you have to take a call how many iteration would you like to do? Is p_{max} is equal to 40 bar is enough? If not can it be higher, if it is on higher side this dimensions can still be reduced. Because comparatively for a given max by V_C or V_E will get reduced for higher pressures and therefore, this can be considered on a lower side. It also depends on how much area is available for your cryocooler, if the space available is going to be smaller and smaller then you might allow it to go for higher pressures in that case.


However, parameters which are not being considered over here is the mechanical design. It should stand the mechanical design that mean diameter the thickness is etcetera, should be able to stand all the stresses generated because of this higher pressure. What we are doing, going to do, is only a first guess based on the thermal design the thermal aspects of the system.

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CRYOGENIC ENGINEERING

Tutorial

- For a given $Q_{E, Design}$, if the dimensions of the piston and expander - displacer are very large, say more than 150mm, the system may be designed for two cylinders or more.
- This is an iterative process until the feasible dimensions are decided.

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So, what we see for a given Q_E design, if the dimensions of the piston and displacer are going to be very very large, say more than 150 millimeter, the system may be designed for 2 cylinder in that case, this what I just said earlier.

So, this is an iterative process, until the feasible dimensions are decided. So, you can play with operating parameters like maximum pressures, cooling effect requirement, the factor of which we have taken is 3 times Q required. It could be 4 times Q required, it could be 2.5 times Q required, again the dead volume ratio as I said X is been taken as 1, you can reduce this to X is equal to 0.8, this also will make an impact on overall design of the system. So, this has to be done therefore, in a iterative manner. What we have just shown is 1 iteration, what we have just shown you, how do we calculate this parameters or dimensions for a design of a cryocooler of 10 liter capacity. You should try different version of 5 liter, 8 liter, 14 liters and so on.

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CRYOGENIC ENGINEERING

Conclusions

- For an optimum design of a cryocooler, a compromise between the operating and the design parameters may be sought.
- With the increase in T_E ,
 - Q_{\max} increases, W_{\max} decreases, **COP** increases.
- With the increase in k ,
 - Q_{\max} and W_{\max} increase, **COP** remains constant.
- With the increase in X ,
 - Q_{\max} and W_{\max} decrease.

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So, the conclusions that are drawn from these lectures are for an optimum design of cryocooler a compromised between the operating and the design parameters may be sought. As I said that, can I increase the maximum pressure? The design parameters will be different in that case.


Can I increase the dead volume; the design parameters will be different in that case. Also, we **we** saw that with the increase in the value of T_E the Q_{\max} increases, W_{\max} decreases and COP therefore, increases. With the increase in the **(())** ratio of k , we found that Q_{\max} and W_{\max} increases, COP will remain constant because COP is a parameter, which depends only on the τ as well as ideal stirling cycle is considered with the increase in the value of X Q_{\max} and W_{\max} decrease in both the cases.

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CRYOGENIC ENGINEERING

Conclusions

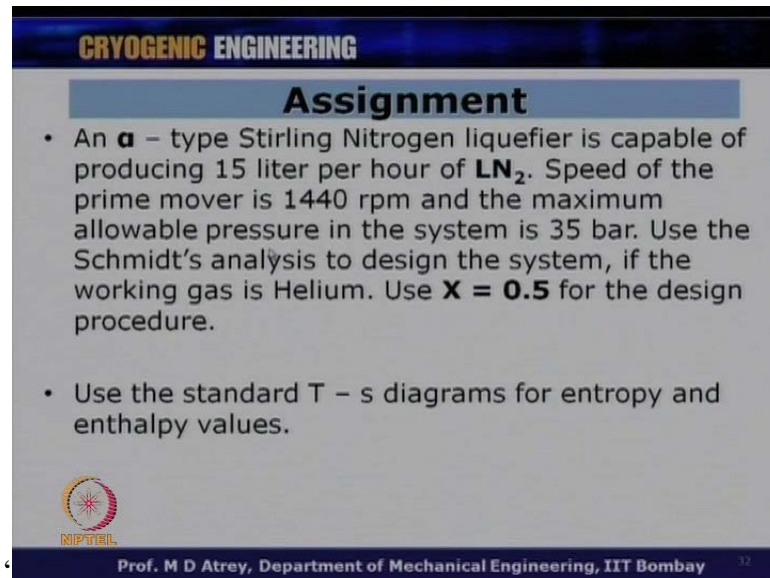
- The increase in the dead volume leads to a decrease in pressure ratio and pressure drop.
- Q_{\max} and W_{\max} curves for phase angle variation, pass through a maxima. The curves get flattened near the maxima, close to $60^\circ - 120^\circ$.
- A combined effect of parameters on performance of system as a whole, is given in **Walker's optimization charts**.

 a realistic analysis, $Q_E. Design = 3 X Q_E. Read.$

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The increase in the dead volume leads to decrease in pressure ratio and pressure drop. Q_{\max} and W_{\max} curve for phase angle variation pass through a maxima, the curve gets flattened near the maxima close to 60 to 120 degrees. So, as far as possible, you may would like to have a value of alpha between 60 to 120 degrees if allowed. A combined effect of parameters on the performance of system as a whole is given by Walker's design chart. So, this is the first level optimization chart, which combines various operating and design parameters. And therefore, can be used for first guess dimensions. For a realistic analysis, we have taken Q_E design equal to 3 times Q_E required. This is where 3 is basically acting like a factor of 50, it can be taken as 2.5 or 3 or four or whatever design conservative design, one would like to design for.


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CRYOGENIC ENGINEERING

Assignment

- An α - type Stirling Nitrogen liquefier is capable of producing 15 liter per hour of LN_2 . Speed of the prime mover is 1440 rpm and the maximum allowable pressure in the system is 35 bar. Use the Schmidt's analysis to design the system, if the working gas is Helium. Use $X = 0.5$ for the design procedure.
- Use the standard T - s diagrams for entropy and enthalpy values.

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And lastly, we have given an assignment for a 15 liter design of a nitrogen liquefier for a 15 liter system, the steps have been given. You can have X is equal to 0.5 for the design procedure and go ahead for design calculations. **Thank you very much.**