

**Matric Theory**  
**Professor. Chandra R. Murthy**  
**Department of Electrical Communication Engineering**  
**Indian Institute of Science, Bangalore**  
**Basis, dimension**

(Refer Slide Time: 0:16)

**E2-212 Matrix Theory**

Last time

- Course outline
- Basic def<sup>n</sup>s
- Vector spaces
- Linear combinations, span (linear II)

Today:

- Basis, dimension
- Linear transformations (LT)
- Fundamental subspaces assoc. w/ LTs
- (Time permitting) Rank.

**E2-212 Matrix Theory**

Last time

- Course outline
- Basic def<sup>n</sup>s
- Vector spaces
- Linear combinations, span (linear II)

Today:

- Basis, dimension
- Linear transformations (LT)
- Fundamental subspaces assoc. w/ LTs (i.e., matrices)
- (Time permitting) Rank.

So, the last time we went to a course outline and we went through the basic definitions of matrices, matrix multiplication, matrix addition, so then we started discussing vector spaces, and in particular we discussed about, so we were discussing about linear combinations and in particular we discussed about linear independence.

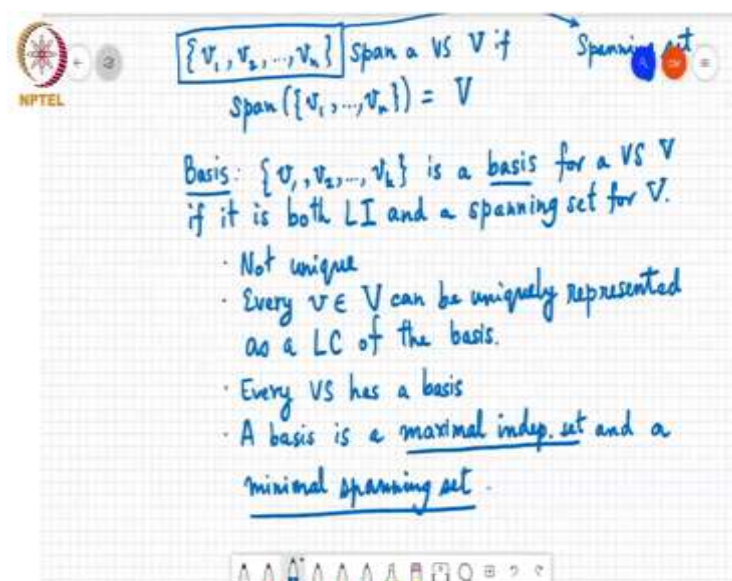
So, linear independence is a very-very central concept to matrix theory and linear algebra and so I will just reiterate that a set of vectors are linearly independent if the only linear

combination of the set of vectors that gives you the 0 vector is the all zero combination, that is all the coefficients must be equal to 0, that is the only way you can reach the all zero vector, then we say that that set of vectors are linearly independent otherwise they are linearly dependent that means there is a non-trivial linear combinations of those vectors.

Some of the coefficients are non-zero, but which when added together with that weighted combination gives you the 0 vector. So, today we will discuss many related concepts, specifically basis, dimension and last time somebody asked me about linear transformations and I was telling you that linear transformations are actually equivalent to matrices and matrices are equivalent to linear transformations.

So, the question was how do we define what is a linear transformation which then is related to a matrix, I am going to talk about that. Then I will talk about some fundamental subspaces associated with linear transformations or matrices and if time permits we will also discuss about the notion of a rank of a matrix.

(Refer Slide Time: 2:18)



Now, to recall we say that, if you remember we just put down the last thing we discussed the last time in the previous class. So, a set of vectors  $V_1, V_2$  up to  $V_n$  span a vector space  $V$  if  $V_1$  through  $V_1$  is equal to  $V$ . That means that every  $V$ , every vector in capital  $V$  can be written as a linear combination of  $V_1$  through  $V_n$ . So, basis, a set of vectors  $V_1$  through  $V_k$  is set of be a basis for a vector space  $V$ , which both linearly independent and spans the set  $V$ .

So, in this case we call this as spanning set, a vector space  $V$  if it is both linearly independent and a spanning set. So, some comments about the basis are in order. First of all basis is not

unique, you can define many different basis for particular vector space and every  $V$  in this set spanning set  $V$  can be, every  $V$  in this vector space capital  $V$  can be uniquely written as a linear combination of the basis.

Obviously this is not true if you add or delete vectors from the basis. If you add vectors to the basis there are more than one way in which you can represent a vector that belongs to the vector space. If you delete vectors from the basis there are points in  $V$  which cannot be represented as a linear combination of the remaining set.

So, also every vector space has a basis and we say that, another way to say what I just said is in fact, the two popular phrases; basis is a maximal independent set and minimal spanning set. So, maximal independent set meaning that this is the maximum number of linearly independent vectors that you can pull out from this vector space  $V$ .

In other words, if you take a basis and you take any other vector from  $V$  and add it to that basis, that set of vectors now become linearly dependent and it is a minimal spanning set in the sense that if you take away any vector from the basis, then it can no longer span the vector space, there will be some points on the vector space, which cannot be represented as a linear combination of the remaining set.

So, another way to say this is that the set of, independent set of vectors in a vector space is a basis if and only if no proper superset of it is linearly independent. Also a set that spans  $V$  is a basis if and only if no proper subset of it still spans the vector space. These are things I have already said, I am just saying it in another way.

(Refer Slide Time: 7:53)

A VS is finite dimensional if  $\exists$  finite set of vectors in  $V$  which is a basis for  $V$ .

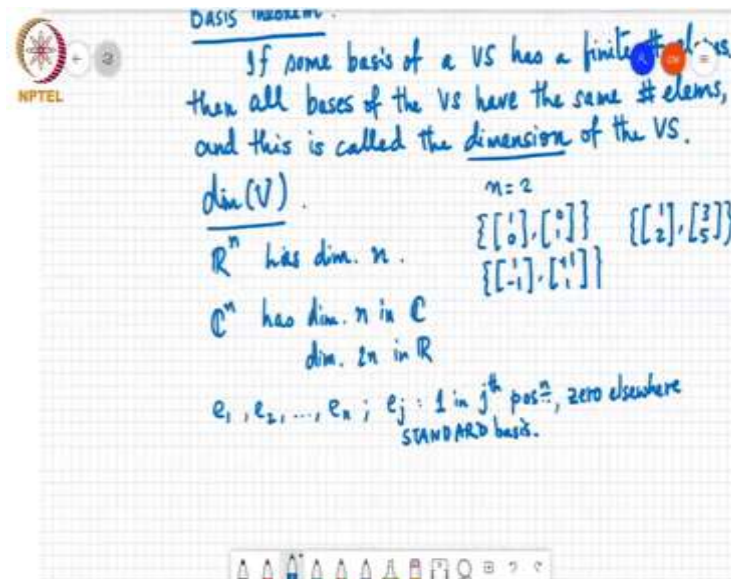
Basis Theorem:  
 If some basis of a VS has a finite # elems then all bases of the VS have the same # elems, and this is called the dimension of the VS.

$\dim(V)$ .

$\mathbb{R}^n$  has dim.  $n$ .

$\mathbb{C}^n$  has dim.  $n$  in  $\mathbb{C}$   
 dim.  $2n$  in  $\mathbb{R}$

$n=2$   
 $\left\{ \begin{bmatrix} 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \end{bmatrix} \right\}$   $\left\{ \begin{bmatrix} 1 \\ 2 \end{bmatrix}, \begin{bmatrix} 2 \\ 5 \end{bmatrix} \right\}$   
 $\left\{ \begin{bmatrix} 1 \\ -1 \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \end{bmatrix} \right\}$



Now, very, another concept which is related to the basis is that a vector space is said to be finite dimensional if there exist a finite set of vectors in  $V$  which is a basis for  $V$ . So, in this course we will completely and exclusively look at finite dimensional vector spaces, if the basis for a vector space does not have a finite number of vectors in it, then we call the vector space infinite dimensional.

So, for example, if you take the set of all polynomials in one variable say  $x$ , then that is a infinite dimensional vector space because you can have  $x$ ,  $x$  squared,  $x$  cube and so on going all the way up to infinity, if you take the set of all polynomials that you can define in  $x$ , then this is, this spans, this forms a vector space, which is infinite dimensional. But like I said in this course we will focus on the finite dimensional vector space.

Most of the results for finite dimensional vector space actually do extend to infinite dimensional vector spaces, but in some cases you will have to make some extension arguments which is beyond the scope of this course. So, here is one result related to basis. It is called the basis theorem. So, what do you think it says? Anybody wants to guess or just anybody know what the basis theorem says?

Student: Sir, from a number of the vectors present in the basis is actually the dimension of the matrices?

Professor: Yes, exactly, so that is the theorem. So, what it says is that if some basis of a vector space has a finite number of elements, then all basis of the vector space have the same number of elements and this is called the dimension of the vector space, it is denoted by  $\dim$

of... So, essentially if you find a basis for a vector space and I find a basis for a vector space, the basis that you found could be different from the basis that I found.

But the number of vectors that you have used to form the basis is going to be exactly the same as the number of vectors I have used to form the basis. So, just to illustrate this idea, there could be different basis but they will have the same number of vectors, so first of all, if I take the space  $\mathbb{R}^n$ , this has dimension, how much?

Student:  $n$ .

Professor:  $n$ , so if I take for example, the case where  $n$  equals 2, then  $(1, 0)$ , this is one basis and so is  $(-1, 1)$  and  $(1, 1)$  and so is  $(2, 3)$ . All these are basis, they will span  $\mathbb{R}^2$ , and you will see that they all have 2 vectors each.

Student: Sir, second example is not a basis, right, they are linearly dependent.

Professor: Now, it is a basis. Thank you. So, if I take  $\mathbb{C}^n$ , this also has dimension  $n$  in the field  $\mathbb{C}$  and it has dimension  $2n$  in the field of real numbers. Of course, if you take this  $n$  dimensional real space, these vectors  $e_1, e_2$ , up to  $e_n$  which are like this over here, where basically  $e_j$  has 1 in the  $j$ th position and 0 everywhere else; this is called the standard basis.

(Refer Slide Time: 14:55)

**Proof:** Say  $\{v_1, \dots, v_n\}$  and  $\{w_1, \dots, w_m\}$  are two bases of  $V$ , wlog  $n \leq m$ .

First, can replace one of the vecs.  $w_i$  with  $v_i$  and still have a basis for  $V$ .

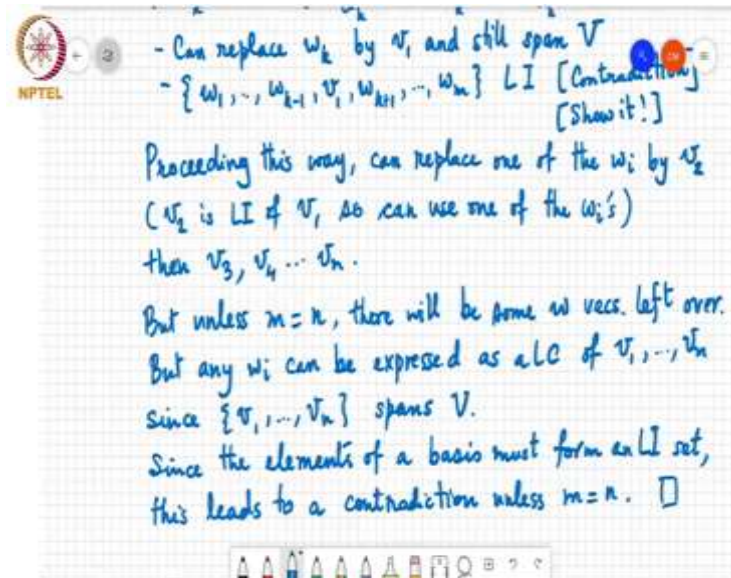
$$v_i = \alpha_1 w_1 + \alpha_2 w_2 + \dots + \alpha_m w_m \quad \rightarrow \text{has } w_k$$

If  $\alpha_k \neq 0$ ,  $w_k = \frac{1}{\alpha_k} v_i - \left( \frac{\alpha_1}{\alpha_k} w_1 + \dots + \frac{\alpha_m}{\alpha_k} w_m \right)$

- Can replace  $w_k$  by  $v_i$  and still span  $V$
- $\{w_1, \dots, w_{k-1}, v_i, w_{k+1}, \dots, w_m\}$  LI [Contradiction] [Show it!]

Proceeding this way, can replace one of the  $w_i$  by  $v_i$





So, now let us prove this result. So, how do we prove result like this that, if some basis has a finite number of elements, then all basis of the vector space have the same number of elements and this is called the dimension of the vector space. So, the proof is by contradiction. So, suppose there are two, I found one basis and you found a different basis and they happen to have a different number of elements.

So, suppose  $V_1$  through  $V_n$  is one basis and  $W_1$  through  $W_m$  is a different bases of  $V$  and without loss of generality I can assume that  $n$  is less than or equal to  $m$ , otherwise I can simply switch what I call  $V$  and what I call  $W$ , so I can assume  $n$  is less than or equal to  $m$  without loss of generality.

So, as a first step, so basically this has fewer vectors than this. What I am going to do is, I am going to take this bigger set here and replace one of these vectors with say  $V_1$  and then I will replace one other of these vectors with  $V_2$  and so on, that is what I am going to do. So, first we can replace one of the  $W_i$  with  $V_1$  and still have a basis for  $V$ .

So, why is that true? That is because suppose  $V_1$  was equal to  $\alpha_1 W_1$  plus  $\alpha_2 W_2$ ,  $\alpha_m W_m$ , I can always do this because  $W_1$  through  $W_m$  is a basis for this vector space  $V$  and  $V_1$  to  $V_n$  are also vectors that sit in  $V$ . So, if I take  $V_1$ , it is a vector that is lying in  $V$  and so it can always be represented as a linear combination of  $W_1$  through  $W_m$ .

And obviously, not all these alphas are going to equal to 0, because if I make all these alphas equal to 0 then there the right hand side is 0 and the left hand side is  $V$ . So, this is true, so not all alphas are 0. So, if  $\alpha_k$  is one of the guys who is not 0, then  $W_k$  can be then written as  $1/\alpha_k$  times  $V_1$  minus all the other vectors.

So, in this series there is no  $W_k$ . I have skipped  $W_k$  and all the other terms are here, so I am just rewriting this first equation here. So, basically what this gives is that, notice that these are, this is just a linear combination of all the other  $W$ s so I can replace  $W_k$  by  $V_1$  and still have a basis over this vector space  $V$ .

So, there are two things here, so we can replace  $W_k$  by  $V_1$  and still span vector space  $V$  and second is that this set of vectors that we get  $W_1, W_k - 1, V_1, W_k + 1$  all the way up to  $W_m$  are still linearly independent. Why is that true? Simple, if you take a linear combination of these and you get 0, and suppose that is a non-trivial linear combination then all you have to do is to substitute for  $V_1$ .

It sum linear combination of all these  $W$ s and what that will end up showing you is that there is a non-trivial linear combination of  $W_1$  through  $W_m$  which gives you the 0 vector and therefore, this set of vectors  $W_1$  through  $W_m$  are not linearly independent. But that was one of our starting points, that this is the basis meaning that this is a linear independent set that spans  $V$ , so you can show by contradiction.

Student: Excuse me?

Professor: I would like you to try to show it, but what I was saying is the simple argument, what you do is you take a linear combination of these vectors  $W_1$  through  $W_k - 1, V_1, W_k + 1$  through  $W_m$  and you set it equal to 0. Suppose that there is a linear combination of these vectors which gives you the 0 vector.

And if that linear combination is a non-trivial linear combination, it means that these vectors are linearly dependent. So, you start by saying suppose it is true that I can take some  $\beta_1, W_1$  plus et cetera to  $\beta_m, W_m$  where there is some  $\beta_k$  which is multiplying  $V_1$  and with not all  $\beta$  is equal to 0, which gives you the 0 vector.

Then, what you do is you substitute for  $V_1$  from here,  $V_1$  is some non-trivial linear combinations of these  $W$ s you substitute for  $V_1$  into that equation involving  $\beta_1 W_1$  plus etcetera up to  $\beta_m W_m$  equal to 0. And you manipulate that equation a little bit and you end up showing that there is a non-trivial linear combination of  $W_1$  through  $W_m$  that is also giving the 0 vector, which means  $W_1$  through  $W_m$  are not linearly independent.

But that is a contradiction because we started by assuming that  $V_1$  through  $V_n$  and  $W_1$  through  $W_m$  are bases of  $V$ . So, that is the argument. So, you can, so now that you have replaced one of the vectors in this set by  $V_1$ , think of this as your new basis and you do the

same argument and replace one of these vectors with  $V_2$ . Now, clearly  $V_2$  is linearly independent of  $V_1$ , so you can use one of the other  $W_i$ 's to replace it.

You do not need to use  $V_1$  to replace it because clearly  $V_2$ , when I write  $V_2$  as a linear combination of these vectors here, the coefficient of  $V_1$  may or may not be 0, but one of the other coefficients will certainly be non-zero and that coefficient that you use to rewrite it like this and then say you can replace  $W_k$  by some  $W_k$  prime by  $V_2$  is linearly independent of  $V_1$  so we can use 1 of the  $W_i$ 's.

So, then we can do then  $V_3$ ,  $V_4$  and so on. But unless  $m$  equals  $n$ , what we will have then is we will have a set which has  $V_1$  to  $V_n$  and some of the  $W_i$ 's and since  $V_1$  to  $V_n$  span this vector space  $V$ , it means these  $W_i$ 's can be written as a linear combination of these  $V_1$  to  $V_n$  and which means that this new basis that we found is not linear independent any more. So, there will be some  $W$ s left over.

But any  $W_i$  can be expressed as a linear combination of  $V_1$  through  $V_n$  since  $V_1$  through  $V_n$  spans  $V$ . So, that means that this new set that we have is no longer a linearly independent set and this leaves to a contradiction. So, that is the proof. Any questions?

Student: Yes, sir. While proceeding with, replacing every  $V_i$  by  $W_i$ , you said that the coefficient of certain  $W_i$  is 0 to take another  $W_i$ , but while going from  $V_3$  to  $V_4$  to  $V_n$ , if we ran out of the non-zero coefficients, I mean, there is no particular  $W_i$  left for which is coefficient is non-zero and I can replace it by  $V$ .

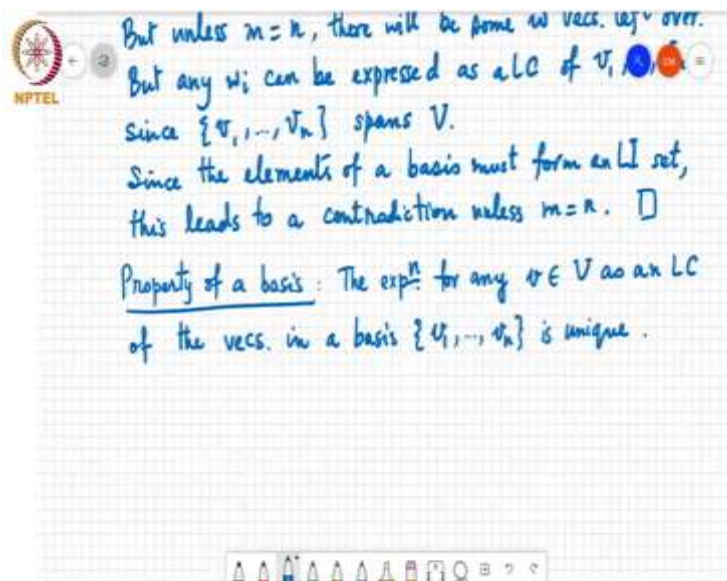
Professor: Yes, that is not possible. Because for example, suppose you have replaced  $V_1$ ,  $V_2$  and  $V_3$  with some of the  $W_i$ 's and now you have a set which has  $W_i$ 's and it has  $V_1$ ,  $V_2$ ,  $V_3$  in it. Now, you are looking at replacing  $V_4$ .  $V_4$  is linearly independent of  $V_1$ ,  $V_2$  and  $V_3$ , so you cannot express  $V_4$  as a linearly combination of this set which contains  $V_1$ ,  $V_2$  and  $V_3$ , and the rest of the  $W_i$ 's but with the non-zero coefficients being only in  $V_1$ ,  $V_2$  and  $V_3$ .

Because this  $V_4$  is linearly independent of  $V_1$ ,  $V_2$  and  $V_3$ , so the coefficients of one of the other  $W_i$ 's has to be non-zero. Is that clear? And that  $W_i$  can be used to replace.

Student: Okay.



(Refer Slide Time: 28:56)



Professor: So, the next thing I want to talk about, just maybe one remark. I think I have already mentioned this, but a property of a basis is that if you take any vector in the vector space  $V$  and express it as a linear combination of the vectors and the basis, that linear combination is unique.

So, the next thing I want to talk about, so this is also something you can show by the way. What I am doing right now is really just reviewing some basic concepts from matrix theory that you must have seen in your undergraduate. So, I am not proving all of these results, once we started discussing the new material or the, once we get past all these background material, I will generally try to prove every result that I put down.