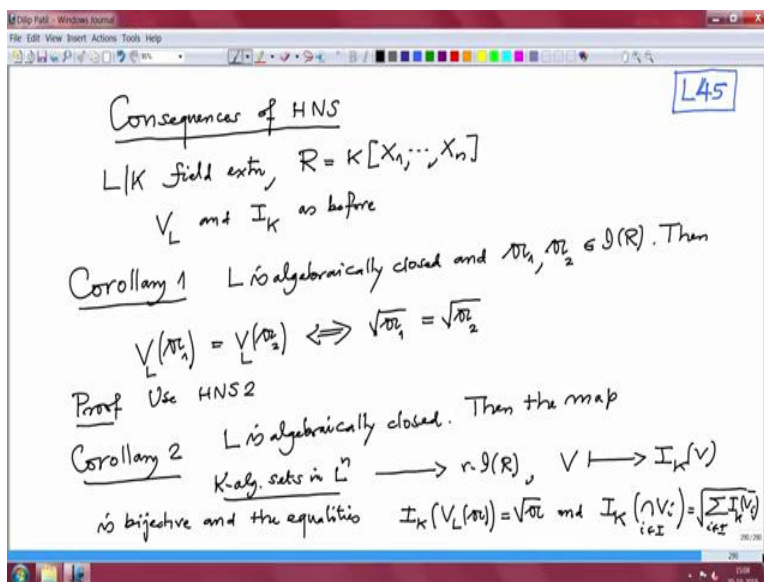


Introduction to Algebraic Geometry and Commutative Algebra
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Lecture 45
Consequences of HNS

Welcome to this course on Algebraic Geometry and Commutative Algebra. In the last lecture, we have proved Hilbert's Nullstellensatz 1, 2, and 3 and their equivalence also. So, today, I will derive many Consequences from this cornerstone of Commutative Algebra and Algebraic Geometry, and I will use the same notation. So, let us recall.

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So, today's title of the lecture is Consequences of HNS. And in this, I will use all formulations HNS1, HNS2, and HNS3. Anyway, I like it because they are equivalent. And our notation is L over K field extension and our ring R is the polynomial ring in n variables and we have as usual these maps V_L and I_K as before so same notation. So, corollary 1, many of these, there are many, many consequences, so you have to be patient with so many corollaries. But once you have proved the main HNS 1, 2, 3 then all these corollaries will follow quite quickly.

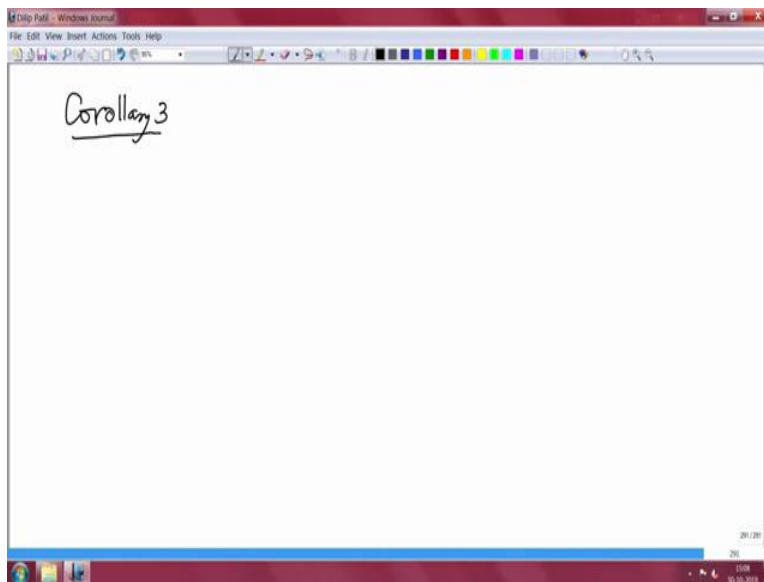
So, in this, assumption is L is algebraically closed then and \mathfrak{a}_1 and \mathfrak{a}_2 are two ideals in the ring R . Then V of \mathfrak{a}_1 equal to V of \mathfrak{a}_2 if and only if radical of \mathfrak{a}_1 equal to radical of \mathfrak{a}_2 . This is easy because, if I want to prove this implies this, just apply I_K on both sides. So, V means V_L , I_K on both sides and then HNS2 will tell you roots are equal. Conversely if the roots are equal, then V

of that equal that we have already seen that, V_L does not depend on the ideal I , but it depends only on the radical of that radical. So, this is, so I will not write anything. So, I will just say poof, use HNS2.

Next corollary, again L is algebraically closed. Then the map V going to, so this is the, this map is from algebraic sets in L power n . So, this is map is from K algebraic sets in L power n this set to radical ideals in \mathbb{R} , the map is any algebraic set V that goes to IK of V . This map is bijective and the equality. Which equalities? I noted it in the earlier lecture, but let me recall what I am referring to. So, that is, so one of them is if I apply IK of V_L of an ideal, this is equal to the radical a , this is HNS2.

And, so initially it was only this inclusion, but it is equality. And other one is, if I take IK of the intersection of algebraic sets V_i arbitrary number of them, this equal to radical of the sum of i in I IK V_i . This already be have proved, this is HNS2 in fact. But this is, this I just want to record this because it is easier to remember the, this formulation. So, this equality hold because of HNS2 and this one is you just check that its equality, we have checked it. So, you check it again. So, this is nothing to prove here.

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So, corollary 3. I just want to remind you, usually when you want to use HNS1 and HNS2. We need to assume the upper field is algebraically closed. And HNS3 is more similar formulation because there is no L involved in that, it is only a base field and algebraic extension of the base

field and the finite type K algebra is involved. So, we do not need that L is algebraically close, in the, in the use. Now before I go on, I want to before I state the corollary 3, I want to remind you some notation.

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$a = (a_1, \dots, a_n) \in L^n$, $I_K(a) = \{f \in R = K[X_1, \dots, X_n] \mid f(a) = 0\}$
 $I_K(a)$ is a radical ideal in R
 By the universal property of $K[X_1, \dots, X_n]$ there exists a surjective K -algebra homomorphism

$$0 \rightarrow I_K(a) \rightarrow K[X_1, \dots, X_n] \xrightarrow{E_a} K[a_1, \dots, a_n] \subseteq L$$

$$\text{Ker } E_a \quad \quad \quad \downarrow \text{K-subalgebra of } L$$

$$F \rightarrow F(a)$$
 gen. by a_1, \dots, a_n .
 In particular, $R/I_K(a) \cong K[a_1, \dots, a_n] \rightarrow$ integral domain (since L is a field)
 Therefore, $I_K(a)$ is a prime ideal (note that $I_K(a) \neq R$, since $1 \notin I_K(a)$)
Corollary 3 With the above notations: $a \in L^n$. Then $I_K(a)$ is a maximal ideal in $R \iff a$ is algebraic over K .

So, suppose. I have a point a which is a_1 to a_n in L power n . Then I want to describe this ideal, I_K of the singleton a , this is by definition. All those polynomials in with coefficients in K which vanish at this a . So, this is by definition, all those f in R and remind you R is a polynomial ring in n variables over the base field K . So, all those polynomials, such that f of a is 0 . This is the definition of I , this is an ideal in, this is a radical ideal in, in R .

Remember, when L equal to K then we can describe this. But here the difficulty is this a_i 's are not in the base field. So therefore, we cannot say that the, usual ideal generated by x_1 minus a_1 is not an ideal in R , it is ideal in L . But we need an ideal in R . So, what are we going to describe in the next couple of corollaries is, for example, when is the ideal prime ideal or when is ideal maximal ideal, and so on. So, this will be the question address in corollary, next two corollaries.

So, this is an ideal in R and how can one think about this ideal. So, look at given this point in L power n , if you use a universal property of the polynomial algebra. So, by the universal property of $K[X_1$ to X_n . We can define an algebra homomorphism from this to any other K algebra by assigning values, given values on the variables x_i 's.

So, there exists surjective K algebra homomorphism from the polynomial algebra $K[X_1, \dots, X_n]$ to K and that is an evaluation map, so I will write it ϵ_a , it depends on this a . So, X_i 's are going to a_i 's for all i equal to 1 to n . And what, where is it going? K adjoined with the elements a_1 to a_n . And where is this, what is this to do with L ? This is obviously contained in L , this is in fact K sub algebra of L generated by these points, a_1 to a_n .

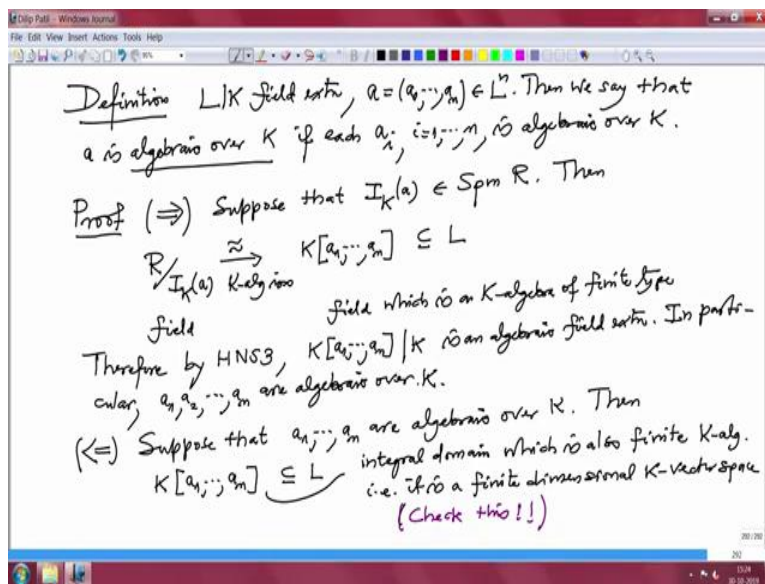
So, this is a surjective K algebra homomorphism. Therefore, and what is the kernel? Kernel is by definition precisely all those polynomials which vanish, when you plug x_i 's equal to a_i 's. So, any arbitrary f here will go to f evaluated at a . So therefore, kernel is precisely by definition, $\text{IK}(a)$ of singleton a . This is a kernel, this is equal to kernel of ϵ_a . By the way, I also want to drop this notation, this curly bracket, I will just abbreviate this without brackets. So, this also I want to put this equal to $\text{IK}(a)$ of single, $\text{IK}(a)$, simply, not bracket.

So, therefore I have this exact sequence. So, in particular, what do you get, in particular, this is $R/\text{IK}(a)$, so R modulo $\text{IK}(a)$, this is isomorphic to K a_1 to a_n . And this isomorphism is as K algebra isomorphism. And remember, this is a sub algebra of L , L is a field, therefore any sub ring of a field is a domain. So therefore, this one is an integral domain, integral domain since L is a field. It is integral domain, therefore first consequence we get is $\text{IK}(a)$ is a prime ideal. Therefore, $\text{IK}(a)$ is prime ideal.

Note that, $\text{IK}(a)$ cannot be a unit ideal because the polynomial one will never vanish on the constant a_i 's. So that will, therefore so that is built in in this but still we can directly note that, note that $\text{IK}(a)$ cannot be R , since one cannot be in $\text{IK}(a)$, by definition. Because if one is in $\text{IK}(a)$, then it will be in the kernel but kernel this map, maps 1 to 1, so it is not a kernel. So anyway, so therefore this is, this is a prime ideal and also note that this may not be maximal in general and we will see soon that when is it maximum.

So, what is the corollary 3? That is precisely when it is maximal. So, corollary 3, so with the above notation, notations, I so, a is in, a belongs to L power n . Then $\text{IK}(a)$ is a maximal ideal in R , if and only if a is algebraic over K . Now, I will, I will have to define this term what do I mean by tuple is algebraic over K . So, that I will do it in the next page.

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So, this is the definition. We have a field extension L over K , field extension and a is a tuple a_1 to a_n , in L power n . Then we say that, a is algebraic over K , if each component is algebraic over K . If each a_i , a_i for all i , i is from 1 to n is algebraic over K .

Then we say that the tuple is algebraic over K . So now, proof of the corollary. Proof, so the corollary says if it is maximal then each one of them is algebraic and conversely. So, I am proving this way, suppose that $I_K(a)$ is a maximal ideal is in $\text{Spm } R$. Then we know, then this R by $I_K(a)$, which we have seen it is isomorphic to as K algebras, to the sub algebra generated by a_1 to a_n this is in L . So, this is maximal so it is a field, this is a field, and therefore this a field so it is a , it is a subfield of L . And it is, you see it is by definition it is finite type, which is an, which is a K algebra of finite type.

It is a quotient of a polynomial algebra so it is finite. Therefore, by HNS3 $K[a_1$ to a_n over K is an algebraic extension, algebraic field extension. In particular, each a_1 to a_1 , a_2 etc. up to a_n are algebraic over K . So, now conversely, we are assuming that all these guys are algebraic over K and then we want to prove that it is a maximal ideal. If so suppose, that a_1 to a_n are algebraic over K . Then this is an integral domain, this $K[a_1$ to a_n this is a sub algebra of L generated by a_1 to a_n .

This is sub of, sub algebra and this is an integral domain, we know that because it is a sub ring of a field. And also, because each a_1 to a_n are algebraic each one of them will satisfy a non-zero

polynomial with coefficients in K therefore, which is also finite dimensional, finite K algebra, that is it is a finite dimensional vector space over K , K vector space.

This is very simple, this is very simple it is one can check it by induction on n , for one element it is clear and then keep doing it and finite dimensional, finite dimensional is finite dimensional. Therefore, this is very easy to check, so I will mark here check this. If you have difficulties, please see the course on Galois Theory which was last year on NPTEL. So, now if you have a finite dimensional vector space over a field of finite K algebra, which is an integral domain then I claim that it must be a field. This is also very easy. So, let me write as separate lemma.

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Now, note that:

Lemma K field, A finite K -algebra, i.e. $\dim_K A < \infty$. Then A is a field.

Proof Let $0 \neq x \in A$. We will prove that $x \in A^\times$.

Consider $\lambda_x: A \rightarrow A, y \mapsto xy$. Clearly λ_x is K -linear, i.e. $\lambda_x \in \text{End}_K A$ and λ_x is injective (since A is an integral domain).

$\Rightarrow \lambda_x$ is surjective, i.e. $\lambda_x(y) = 1$ for some $y \in A \Rightarrow x \in A^\times$.

From $R/I_K(a) \cong K[a_1, \dots, a_m]$ which is field, it follows that $I_K(a) \in \text{Spm } R$.

Definition $L|K$ field extn, $\alpha = (a_1, \dots, a_m) \in L^n$. Then we say that α is algebraic over K if each $a_i, i=1, \dots, m$, is algebraic over K .

Proof (\Rightarrow) Suppose that $I_K(\alpha) \in \text{Spm } R$. Then $R/I_K(\alpha) \cong K[a_1, \dots, a_m] \subseteq L$ is a field which is a K -algebra of finite type.

Therefore by HNS3, $K[a_1, \dots, a_m]|K$ is an algebraic field extn. In particular, a_1, a_2, \dots, a_m are algebraic over K .

(\Leftarrow) Suppose that a_1, \dots, a_m are algebraic over K . Then $K[a_1, \dots, a_m] \subseteq L$ is an integral domain which is also finite K -alg. i.e. it is a finite dimensional K -vector space. (Check this!!)

So now, note that this is, this following assertion is independent in its own right. And I do not remember I have proved it earlier, but maybe I have proved it earlier, but does not matter if you repeat. So, K is a field, K field and A finite K algebra. So, that means dimension of A as a K vector space is finite. Then A is a field, and also domain, I forgot to say finite K algebra, which is an integral domain. Then A is field.

Proof, so let, I will prove that every non-zero element in A , x in A . We need to show that we will prove that a is invertible, a is a unit in A . This is what we want to show. Then it will become a field. This is very simple. So, consider the left multiplication by A on A , A to A , not $A \times x$, x this is also x , A to A , this map is any y going to x times y multiplication by x on the left. So, this is clearly λa is K linear. That is, λa is actually endomorphism of this vector space. And λa , not (\cdot) (25:50) call it a always.

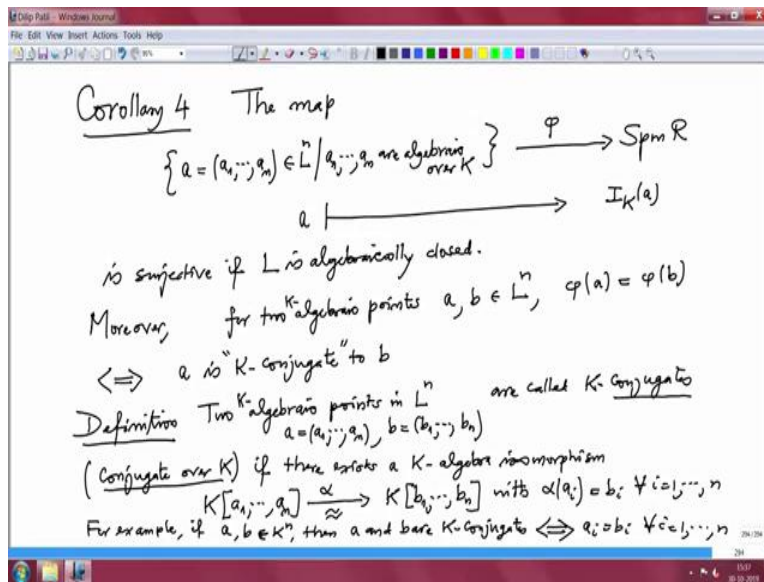
This is x , this is also x and λa is injective. This is because since A is an integral domain. Because a does not have 0 divisor, so no y will go to 0. Because if y goes to 0, then x times y is 0 but x is a non-zero element, so y must be 0. So, that will prove λa is injective. But then it is finite dimensional and we know in a finite dimensional vector space the linear injectivity, bijectivity, and surjectivity they are all equivalent.

This is in fact, similar to the pigeon hole principle like for sets this is, this is called a pigeon hole principle for the linear algebra in the linear algebra. So, therefore, λa is surjective that is λa of some y will be equal to 1 for some y in A . But then that y is inverse of x , xy equal to 1 and we are always in a commutative to case. So, y this is also yx . So that implies, x is a , x as a inverse y , so it is a unit in A that is what we wanted to prove.

And once we prove this, the earlier corollary, what we wanted to prove is very clear because what do we want to prove? We want to prove, we have proved this is a field and once it is a field then this is a field; therefore, this is a maximal ideal. So, now from R modulo IK of a which is isomorphic to K a 1 to an and this is a field, which is a field, it follows that IK a is a maximal ideal $\text{Spm } R$. This isomorphism has a K algebra isomorphism.

So, that proofs corollary 3. It gives a nice description that it is always a prime ideal and when a all components, we are algebraic over K , the base field then only it is a maximal ideal. So, that finishes the corollary 3. Now, we go on to the next corollary.

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Corollary 4, so corollary 4 is the map. Now, where is the map given? I am giving the map from all those tuples $a = (a_1, \dots, a_n)$ in L^n such that all a_i are algebraic over K . Look at all those tuples, tuples of the points which are algebraic over K . From here, we have a map $\text{Spm } R$ and the map is take any A and map it to $I_K(a)$. Just now we have proved that this is a maximal ideal when the tuple is algebraic, therefore this, this map makes sense.

So, this map is surjective, is surjective if not always, if L is algebraically closed. Moreover, I want to describe when can two algebraic points go to the same ideal, so that also I want to describe. So, let me describe that also. So, moreover, if let me give this, what name can I give? This is actually, this is let me call it φ , map is φ if for, so this does not have name but so for two algebraic points $a, b \in L^n$ if $\varphi(a) = \varphi(b)$.

That is if and only, so let me remove this if. Moreover, for two points these images are equal, if and only if a is K conjugate to b I will define this, to b , a point a is K conjugate to b . So, before I prove this, I should define when the two algebraic points in L^n are conjugates. So, definition and then we will prove this.

Two algebraic points (a_1, \dots, a_n) and (b_1, \dots, b_n) are called K conjugates. So, this is also K algebraic points, this is also K algebraic. So, algebraic, K algebraic means, algebraic over K . In L^n , let us call them $a = (a_1, \dots, a_n)$ and $b = (b_1, \dots, b_n)$ are called K conjugates. Or sometimes we will also write conjugate over K . If there exists a K algebra homomorphism from $K[a_1, \dots, a_n]$ to $K[b_1, \dots, b_n]$

to $K[x_1, \dots, x_n]$ with, let us call this α , if $\alpha(a_i) = b_i$ for all i from 1 to n , then you call them K conjugates, K conjugates or conjugate over K .

If there is an isomorphism, if there is an isomorphism there exists an isomorphism not homomorphism, isomorphism, then we call them K conjugate. Now, for example, you should give some example for example. If the points are already in K , if a and b both are in K power n then a and b are K conjugates, if and only if $a_i = b_i$ for all i equal to 1 to n . This is obvious, because if all, both all the components are in K , then when you are asking K algebra isomorphism, but on one end because it is a K algebra isomorphism elements of K are fixed.

Therefore, $\alpha(a_i)$ is on one side n , the other side we are demanding it to b_i because of the conjugate so therefore, they are equal. And conversely if they are equal, then obviously you can take identity map. That is K algebra isomorphism from this to that. So, now we come back to proof of the corollary 4. We will prove the corollary 4 after the break and after the break we will also give many, we will continue to list more consequences of the Hilbert's Nullstellensatz. So, we will meet after the break, in a short while. Thank you very much.