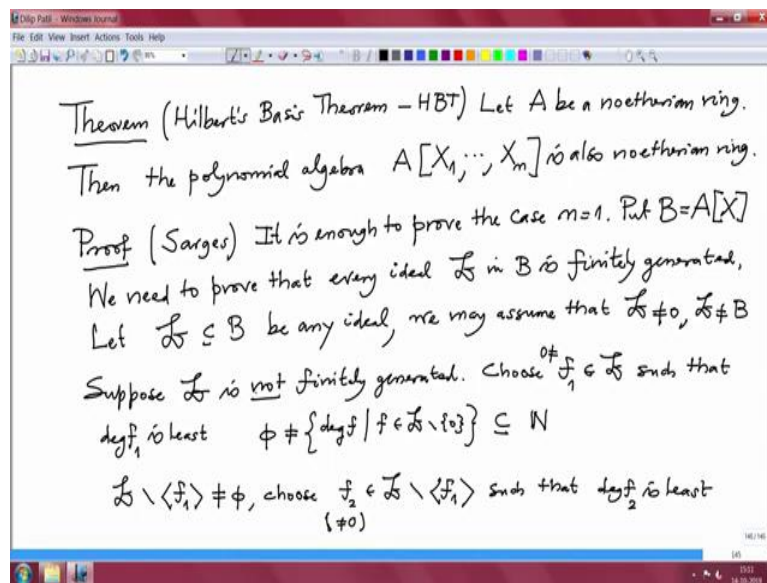


Algebra Geometry and Commutative Algebra
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Lec 23
Hilbert's Basis Theorem HBT

Welcome to this course on Algebraic Geometry and Commutative Algebra. Last time I have left to prove Hilbert's Basis Theorem. Today, I will prove Hilbert's Basis Theorem and some of its applications.

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So, for today we will prove this is the theorem. This is called as Hilbert's Basis Theorem. I will also abbreviate by saying HBT. Say that let A be a Noetherian ring, then the polynomial ring, the polynomial algebra infinitely many variables were $A[X_1, \dots, X_n]$ is also Noetherian. Proof: Proof is very simple. There are several proofs available for this theorem and I am going to give the shortest proof that is due to Sarges.

So, first of all note that it is enough to prove the case m equal to 1 because then you can by induction you can keep going for arbitrary many finitely many variables. In this case, I will put B the polynomial ring in one variable. So, I will just write the variable as x and we want to prove that B is a Noetherian ring that means we shall prove that, we need to prove that every ideal I in B is finitely generated this is one of the characterization of Noetherian ring that to prove a ring is Noetherian equivalent to bring every ideal is finitely generated.

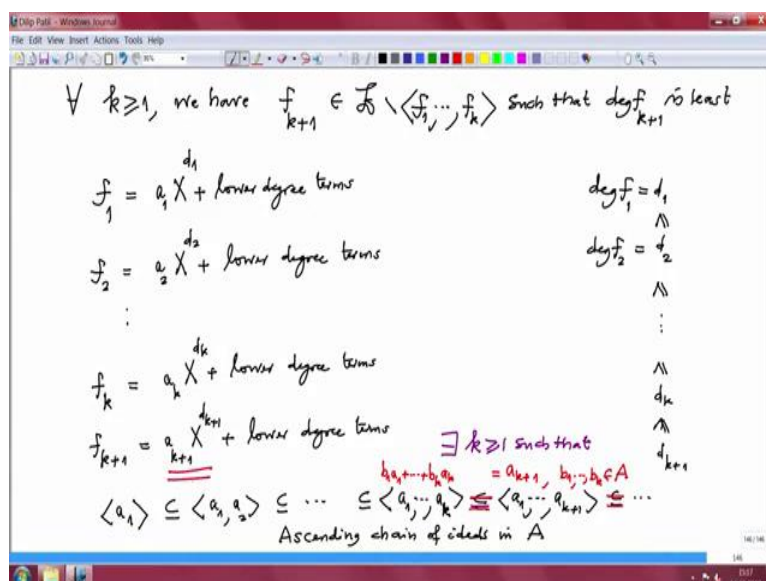
So, let B be in any ideal in B . We may assume that this B is nonzero and B is also not the whole ring B , B is not a unit ideal, because in this case it is regenerated by the element 0 , in this case he degenerated by the element 1 , so we can in both the cases it is finitely generated therefore, we can assume this. Now suppose, B is not finitely generated then we should look for a contradiction. And contradiction to what?

Contradiction to our assumption that base ring is Noetherian. That is what we will get a contradiction for. So, B is not finitely generated, then what do we do? In B so, in this case choose a polynomial f_1 in B such that degree of f_1 is least. So, note that I am choosing f_1 nonzero, nonzero in B such that the degree of f_1 is least. Why is it possible? Because we look at all the degrees. So, look at all the degrees of any f where f varies in p minus 0 .

And this one is a subset of natural numbers and this is a nonempty subset because B is nonzero B is not in it so B have to have some polynomial which is nonzero. So, this set is non empty and therefore, we use well ordering principle of n that any nonempty subset of natural numbers have the least element, so I choose that. And now, look at B minus ideal generated by f_1 . Seems we are assuming be is not finitely generated, B cannot be generated just by this polynomial f_1 because otherwise B will be finitely generated.

So, this is definitely nonempty. And again choose, choose f_2 in B minus ideal generated by f_1 , such that degree f_2 is least among the elements from here, this is again note that f_1 has to, f_2 has to be non-zero, because B zero but the ideal also zero so, that is gone, so B f_2 is non zero. Note f_2 cannot be zero. And we want to repeat this process. Now, next step will be take the ideal generated by f_1 and f_2 and remove that ideal from B and continue this process and every time we will be able to choose a new element with the least, degree least that is because we are assuming B is not finitely generated.

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So, let us note what happened at the k stage? so that means for every k because equal to 1 we have chosen, we have f_2, f_k, f_{k+1} in ideal generated by B (minus), in B minus ideal generated by the polynomial, earlier polynomial f_1 to f_k such that degree of f_{k+1} is least among all the polynomial from here, so, this is what we have chosen. So, let us see what, what how do the f_1, f_2 et cetera look like.

So, f_1 will look like degree of, let us call degree of f_1 to be d_1 , degree f_1 to be d_1 . So, this one will look like some coefficient a_1 f not f , x, x power d_1 plus lower degree terms and f_2 will look like a_2, x power d_2 plus lower degree terms and what is the relation between f_1 and rather d_1 and d_2 . So, degree of f_2 which is d_2, d_2 has to be bigger equal to d_1 that is obvious because f_2 is also in b and so on.

So f_k similarly this is $a_k X$ power d_k plus lower degree terms and f_{k+1} equal to $a_{k+1} X$ power d_{k+1} plus lower degree term. Now, I want to cancel this term. So, d_1 this is increasing sequence $d_k \leq d_{k+1}$ and if I have to cancel this term I will supply the correct power of x to earlier polynomials and then try to cancel this. How do I do that? So, first of all note that, if I look at now somehow we have to use the assumption on the base that it is Noetherian.

So, look at the ideals in a , ideal generated by this quotient a_1 , ideal generated by the next one will be ideal generated by a_1, a_2 and so on and ideal generated by a_1 to a_k containing ideal

generated by a_1 to a_k plus 1 and so on. This is an increasing sequence, increasing chain or ascending chain of ideals in the base ring A . Ascending chain of ideals in A but A is Noetherian, so therefore, there exists a stage k from that onwards it will be quality.

So, we will write here there exist k bigger equal to 1 such that it will be equality onwards equality here, equality here and all the way it is equality that is because A is Noetherian. But now, what is more important is a_k these ideals equality is important in the a_k plus 1 is here. So, this a_k plus one should be linear combination of the earlier one. So, that is $b_1 a_1$ plus $b_k a_k$ for some b_1 to b_k in the base ring A your $(13:42)$. Now, I will use this b_1 to b_k and f_1 to f_k to cancel this, this term. So, how do you do that?

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The image shows a whiteboard with the following handwritten text and equations:

$$b_1 X^{d_{k+1}-d_1} f_1 + b_2 X^{d_{k+1}-d_2} f_2 + \dots + b_k X^{d_{k+1}-d_k} f_k - f_{k+1} =: g$$

coeff. of $X^{d_{k+1}}$ in g

$$(b_1 a_1 + b_2 a_2 + \dots + b_k a_k) - a_{k+1} = 0$$

$\deg g < d_{k+1}$ and $g \in \mathcal{L} \setminus \langle f_1, \dots, f_k \rangle$ ✓
 (if $g \in \langle f_1, \dots, f_k \rangle$, then $f_{k+1} \in \langle f_1, \dots, f_k \rangle$)
 this is a contradiction to the choice of f_{k+1}

On the other hand $\deg g < \deg f_{k+1}$, this also contradicts the choice of f_{k+1} .

This proves that \mathcal{L} must be finitely generated.

Let us go. So, now, what you do is you multiply f_1 by b_1 look at $b_1 f_1$ plus $b_k f_k$, now we have to supply some power of x . So, let us write more precisely, $b_1 X^{\text{power}}$, I have to make power to be d_k plus 1. So, I have to make here d_k plus 1 minus d_1 that is f_1 plus $b_2 X^{\text{power}}$ d_k plus 1 minus d_2 f_2 and so on, consider this polynomial, $b_k X^{\text{power}}$ d_k plus 1 minus d_k f_k . Look at this, let us call this polynomial g .

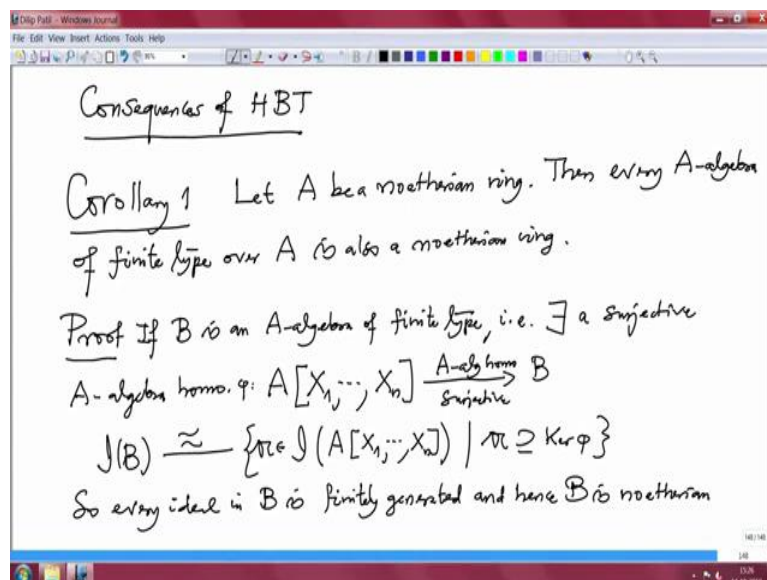
So, first of all note that the, now I have to subtract it from here you subtract f_{k+1} . Or from f_k plus 1 subtract that does not matter call this as g . Then what is the leading term of f_k plus 1? That is a_k plus 1. And what is the leading term here b_1 . So, now, all of all these terms have become degree d_k plus 1 because in f_1 has degree d_k , d_1 . So, the leading term will be b_1 times leading coefficient of f_1 that is this.

Similarly b_2a_2 plus $b_k a_k$ and minus this, but we have written a_k plus 1 as this so this is 0. So that means this is coefficient of this is precisely, the coefficient of X power d_k plus 1 in this that is precisely this, this is 0 in g . Therefore, degree of g is, degree of g strictly smaller than d_k plus 1 and where is g and g I claimed first of all that belongs to B that is no problem, because all these f_i 's belong to g and it cannot belong to ideal generated by f_1 to f_k .

Because if it does this side is already belong to this. So, if g belong, so, let us right explanation for this. If g belongs to ideal generated by f_1 to f_k then f will also belong to ideal generated by a_1 to f_k , not f_k plus 1 because these belongs already, if these belong this also, this will also belong, but that is a contradiction to the choice of f . So, this is a contradiction to the choice of f_k plus 1. So, therefore, we have checked this b cannot g .

On the other hand degree of g is strictly smaller. On the other hand degree of g is strictly smaller than degree of f_k plus 1. These also contradicts the choice of f_k plus 1, this also contradicts the choice of f_k plus 1. So, either statement contradict the choice of f_k plus 1 that means something wrong with our original assumption that B is not finitely generated. So, this proves that the ideal B must be finitely generated. And hence, the, conclude the proof of the theorem, this is really very-very simple.

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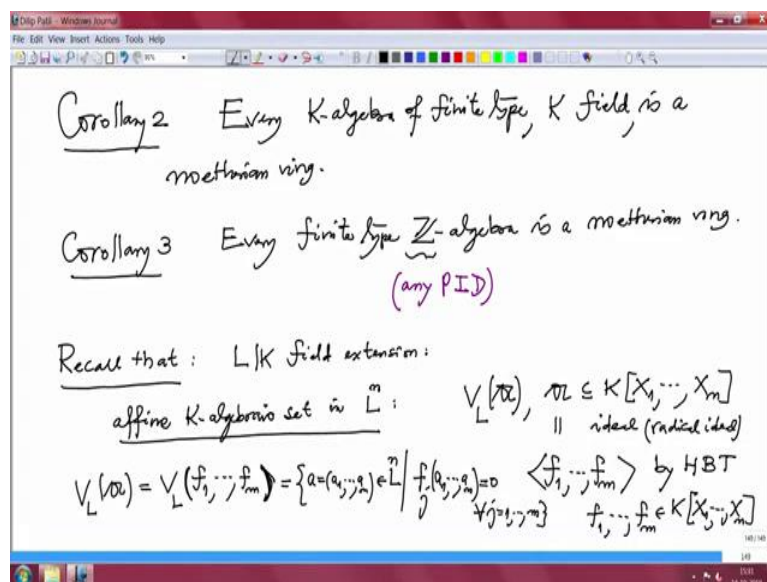
So, now, I will write few corollaries to this, few consequences. So, consequences HBT. There are many, many consequences of these HBT as I was saying in the last lecture, that Hilbert proved this theorem to prove some existence of some invariants and this was much easier

than the existing proof then. So, first of all some corollaries, corollary 1 – Let A be a Noetherian ring then every A algebra of finite type over A is also a Noetherian ring.

Proof: If B is a finite type, if B is an A algebra of finite type what does that mean? That means, so that is B is, there exists a surjective A algebra homomorphism. From the polynomial ring infinitely many variables to B , this is A algebra homomorphism hence surjective. And now, we know, you have noted these earlier that mean this B is the quotient of the polynomial ring infinitely many variables and we know therefore, there is a one to one correspondence between the ideals of B and the ideals of the polynomial ring which contain the kernel of this map.

So, if I call it ϕ , if I call this as ϕ then the ideals of B and ideals of the polynomial ring in these n variables which contain ϕ , ϕ contains kernel of ϕ this said and these ideals that is a bijective correspondence, but these ideals are finitely, in fact, all ideals here are finitely generate these ideals are finitely generated therefore ideals of B are finitely generated and therefore B is Noetherian. Though so, every ideal in B is finitely generated and hence, B is Noetherian.

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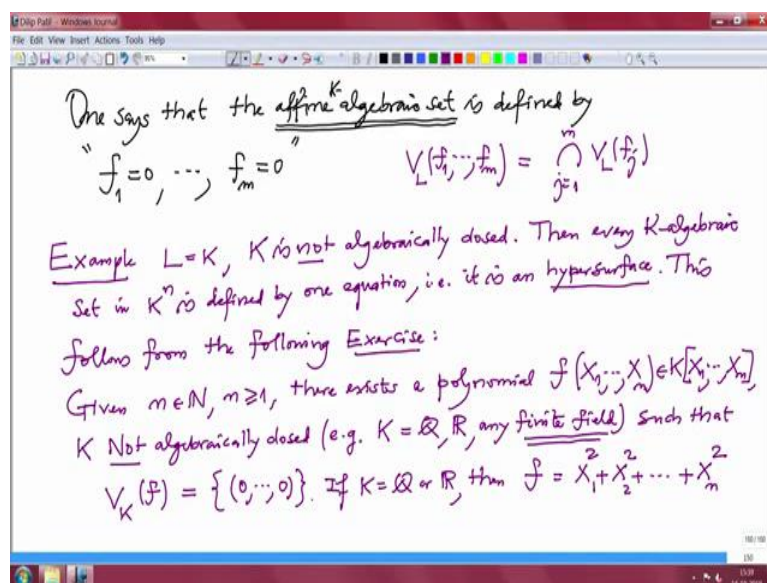
Okay, so also, so corollary 2 – Every K algebra of finite type where K is a field or, I will write that separately, is a Noetherian ring. So, another corollary which I, it will be very important. See this corollary is very important for, you remember when we introduced affine algebraic sets and then we say that every affine algebraic set is defined by finitely many equations.

So, this is very important. I will note that also later, but let me note another one which is also very important. Every finite type Z algebra is a Noetherian ring, because Z is Noetherian we in fact Z is a principal ideal domain. So, therefore, this corollary actually one should write instead of Z replace it by any PID, any PID. Every type algebra over a PID is also Noetherian ring, okay. So that is these two, okay. Now. All right.

Now, this is for the recall that what we have in this setup when you have a field extension a lower k field extension then what was affine K -algebraic set in L power m , this was precisely V_L of an ideal a where a is an ideal in the polynomial ring in n variables over K ideal, that is affine algebraic, that is what this k algebraic means, the equations the ideals are in the polynomial ring over k and also we have noted that actually we may assume even a is a radical ideal.

That is not so important right now, what is more important what I am saying that because this a is an ideal in a polynomial ring over a field which is Noetherian by HBT therefore this a is regenerated by finitely many polynomials so that is f_1 to f_m by HBT where f_1 to f_m are polynomials with coefficients in k what does that mean? So, let us write down this over this. What does it mean? Means that means, V of a , V_L of a is same thing as V_L of these finitely many polynomials, you have noted that this depends only on the ideal generated by f_1 to f_m and what is this? This is all those points a equal to a_1 to a_n in L power n such that f_j of a_1 to a_n is zero for all j from 1 to m .

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So, sometimes you will also see in a classical algebraic geometry books that one says, one say that the affine algebraic set, K -algebraic set is defined by this equation $f_1 = 0$, et cetera $f_m = 0$, this is not very good notation, but that is how classically it was written because vanishing common zeros of these polynomials are precisely the points in the affine algebraic set defined by ideal generated by f_1 to f_m .

So, see this one, one cannot write because one side the polynomial I said it is 0, what it means? Abuse of notation means, this is the common set of zeros of these polynomials. So, this was what long proved. So, henceforth, whenever we want to study a finite k algebraic sets, we can always assume that it is defined by finitely many equations. Now, the next question will crop up how many equations are really needed to define? And that is more serious questions. And even till today, it is not completely settled, there are some partial answers. When time comes, I will keep commenting on this.

But right now, I will make one example to show you that. So, let us make an example. Example. So, in other words, this V of f_1 to f_m is intersection of m hyper surfaces as I have been saying it. So, now the question becomes given an algebraic k set how many hyper surfaces are needed to get the given algebraic set? And these example showed that even the ground field is not algebraically closed but this question is not so interesting.

For example, so let me write what I want to write. So let, okay I am in the case, the classical case, classical means, $L = k$. So, we are only considering K -algebraic sets in the affine space over k and I am assuming K is not algebraically closed. Then let us see what happens. Then every K -algebraic set in K^n is defined by one equation that is, it is a hyper surface.

So, I am not going to prove it here completely, but I am going to give you a sequence of arguments in the assignments. And one of the crux of that assigned is the following statement. So, this follows from the following, let me say following exercise. So given any natural number m , n bigger or equal to 1, there exist polynomial f of, let me write now X_1 to X_n in $K[X_1, \dots, X_n]$ and again stress here, K not algebraically closed.

For example, $K = \mathbb{Q}$ or \mathbb{R} or any finite field such that $\forall K$ of f , there is only one 0, namely 0, 0, 0. Originally the only zero of this polynomial f . So, and let me also say that in case of real numbers or rational numbers, it is really very easy because can simply, if $K = \mathbb{Q}$ or \mathbb{R} , then you can simply take f equal to $X_1^2 + X_2^2 + \dots + X_n^2$. The

only zero of these polynomial is 0 0 0 0 no other 0, which is very clear for \mathbb{Q} and \mathbb{R} that is because of the order.

The squares are positive always for any, if you have any n real numbers, then the squares of, some of the squares of these real numbers is always positive, so it cannot become zero. All right. So, for finite field one has to work out little bit, it is not so difficult, I will say do it by induction and n , and more hints I will write in the exercises. So, with this, I will end this half, first half of this lecture, and we will continue after the break. What some more consequences of HBT for modules and so, we will meet after the break. Thank you.