

An Introduction to Smooth Manifolds
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Lecture 60
The-Exterior-derivative-1

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Let $f: M \rightarrow N$

Pull-back:
 If $\omega \in \Omega^k(N)$, we get
 $f^*\omega \in \Omega^k(M)$

Smoothness of $f^*\omega$:

$$g(p) = (f^*\omega)_p((X_1)_p, \dots, (X_k)_p) \quad X_i \in \mathfrak{X}(M) \text{ } i=1, \dots, k.$$

$$= (df)_p^*(\omega_{f(p)})((X_1)_p, \dots, (X_k)_p)$$

$$= \omega_{f(p)}(df_p(X_1), \dots, df_p(X_k))$$

We cannot use smoothness of ω here
 $df_p(X_1), \dots, df_p(X_k)$ are not

Hello and welcome to the 60th lecture in the series. So let us continue our discussion of differential forms and the various operations associated with them. So, one thing which we had for vector spaces was we could pull back differential whenever we have a linear transformation between two vector spaces, it would give rise to a pullback map pullback linear transformation from the corresponding spaces of alternating forms. And we can do the same thing when we have a smooth map between manifolds. The derivative is a linear map and we can use that to define this f^* Ω for any k form Ω on the target.

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Smoothness of $f^*\omega$.

$$g(p) = (f^*\omega)_p((X_1)_p, \dots, (X_k)_p) \quad \begin{matrix} X_i \in \mathcal{X}(M) \\ i=1 \dots k \end{matrix}$$

$$= (df)_p^*(\omega_{f(p)})((X_1)_p, \dots, (X_k)_p)$$

$$= \omega_{f(p)}(df_p(X_1), \dots, df_p(X_k))$$

We cannot use smoothness of ω here
 $df_p(X_1), \dots, df_p(X_k)$ may not
be vector fields on N .

However we can use local coordinates
to see the smoothness of $f^*\omega$:

But as we saw last time smoothness becomes a bit of an issue if you try to work directly with the definition, but in terms of local coordinates, it becomes quite easy to see this so let us do that.

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Let $(U, \varphi), (V, \psi)$ be charts on M and N
with $p \in U, f(p) \in V$.

$f \in C^k(N)$
 $\omega \in \Omega^k(N)$

$\omega|_V = \sum a_i dy_i$ $a_i \in C^0(V)$

$\varphi^*(f^*\omega) = (f \circ \varphi)^*\omega$

$[\varphi^*(f^*\omega)]_p \xrightarrow{d\varphi_p: T_p M \rightarrow T_p(N)}$

$= (d\varphi_p)^*(f^*\omega)_{\varphi(p)}$

$= (d\varphi_p)^*($

So, let U, φ, V, ψ be charts on M and N with $p \in U, f(p) \in V$. Actually with $p \in U$ and more than $f(p) \in V$, I want to say that the whole thing f of U itself contained in V , charts on M and N with this property. So let us denote coordinates on this, so this is M and N and have a map $f: U \rightarrow V$. So, these coordinates here, I will denote by x_1 to x_n and here y_1 up to y_m . I mean they are the coordinates of the corresponding Euclidean spaces, with $p \in U$, and $f(p) \in V$. So now what we can do, so ω is now a form $\omega \in \Omega^k(N)$, and I will restrict

Omega to this chart V, Omega restricted to V by now can be written as a $\sum a_I dy^I$ where the a_I are smooth functions on V .

Now let us apply the pullback map Φ^* to Omega. I will drop the restricted notation. So, let me just write Φ^* Omega be Φ^* of a $\sum a_I dy^I$, Φ^* of a $\sum a_I dy^I$ this would be, let me look at a more general thing where Φ^* . Suppose I have something like this, f is a C^∞ function on N , Omega is a k form on N . I claim that this is the same as f composed with Φ multiplied by Φ^* Omega f composed with Φ^* Omega.

And the reason is, it is just that after all, what is this Φ^* of f Omega at this form at a point p is by definition $d\Phi|_p^* f(p) \Omega_p$. Now, $d\Phi|_p$ this thing here, after all $d\Phi|_p$ is a linear map from $T_p M$ to $T_{\Phi(p)} N$. So, the corresponding form here is being concerned as $\Phi(p)$. Now, even though I did not mention it earlier, just like for vector fields, if you ever have a differential k form, you can multiply it with a smooth function and get another differential k form.

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The image shows a handwritten derivation on a digital whiteboard. The derivation is as follows:

$$\begin{aligned} \Phi^*(f\omega) &= (f \circ \Phi)^* \omega \\ [\Phi^*(f\omega)]_p &= (d\Phi_p)^* (f\omega)_{\Phi(p)} \\ &= (d\Phi_p)^* (f(\Phi(p)) \omega_{\Phi(p)}) \end{aligned}$$

On the right side, the form ω is expressed as a sum:

$$\omega = \sum_I (a_I \circ \Phi) \Phi^*(dy^I)$$

Then, the pullback is calculated:

$$\begin{aligned} \Phi^*(dy^I) &= \sum_J C_J^I dx^J \\ C_J^I &= \frac{\partial y^I}{\partial x^J} \end{aligned}$$

Below this, a boxed section shows the simplification:

$$\begin{aligned} \text{Here } (f\omega)_p &= f(\Phi(p)) (d\Phi_p)^* \omega_{\Phi(p)} \\ &= f(p) \omega_p \\ &= \Phi^*(f\omega) \\ &= (f \circ \Phi)^* \omega \end{aligned}$$

Finally, the full pullback is given by:

$$\Phi^*\omega = \sum_{I,J} (a_I \circ \Phi) C_J^I dx^J$$

Handwritten notes include: "If we know that C_J^I are smooth, we are done!" and "If we know that C_J^I are smooth, we are done!"

And here f Omega is its value at a point, is just f of p times Omega at p . Do the obvious thing, so here what I will be getting is f of $\Phi(p)$ Omega at $\Phi(p)$. Now this is just a constant f of $\Phi(p)$ so that comes out and then I am left with $d\Phi$ Omega at $\Phi(p)$. So, if I drop the dependence on p , convenient way of writing it is Φ^* Omega f Omega is f composed with Φ times this is just Φ^* of Omega.

So, that is how one gets this thing that I heard here. So using that here, I get a $\sum a_I$ composed with Φ and then Φ^* of $\sum dy^I$. So, remember that we are trying to prove that Φ^*

Ω is a smooth form on M . This does not quite show it yet, but we are almost there because to say that $\Phi^* \Omega$ is a smooth form, all I have to do is write $\Phi^* \Omega$ in terms of the standard basis for k forms, namely dx^j .

So let us do that, so here I would like to express this in terms of this dx^j . And then all one has to do is look at the coefficients of the final expression and claim that there are smooth functions on M and then one more to be done. Well, what is this $dx^i \Phi^*$ of dx^j ? This will be some linear combination, also this will be some combination of C^I_j , but it will also depend on I , $C^I_j dx^j$. So as usual, the C^I_j are functions from U to \mathbb{R} . For the moment, let us assume if we already knew that if we know that C^I_j are smooth, we are done.

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The image shows a handwritten derivation on a digital whiteboard. At the top right, it says $C^I_j: U \rightarrow \mathbb{R}$. The main derivation is enclosed in a large bracket and shows the pullback of a 1-form ω under a map Φ :

$$\left[\begin{aligned} \text{Here } (\Phi^* \omega)_p &= f(\Phi(p)) (d\Phi_p)^* \omega_{\Phi(p)} \\ &= f(\Phi(p)) \omega_p \\ &\stackrel{\text{def}}{=} \Phi^*(f \omega) \\ &= (f \circ \Phi) \Phi^*(\omega) \end{aligned} \right]$$

To the right of the bracket, it says: "If we know that C^I_j are smooth, we are done!". Below the bracket, the pullback of ω is expanded as a sum over indices I and J :

$$\Phi^* \omega = \sum_{I, J} (a_I \circ \Phi) C^I_J dx^J$$

We are done in the sense that we can show that $\Phi^* \Omega$ is smooth. Why? Well, it is just a matter of plugging in so, here the summation is over J . For each of these $\Phi^* dx^j$ one has an expansion like this and just go back and plug it in there and what one gets is $\Phi^* \Omega$ equals summation over I as well as J . Now, this thing is still sticking around, a I composed with Φ , there is also this $C^I_j dx^j$.

Now, as I said if you already if you know that C^I_j are smooth, then in each this is smooth, well a I composed with Φ is smooth because the map Φ between manifolds is by assumption smooth. And this is not F , this is Φ . So Φ is smooth, and a I are smooth therefore this composition will not be smooth function on U . And see if we knew this C^I_j is smooth, then the whole thing would be, this product could be smooth so, one would be done.

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$$\varphi^*(dy_I) = \sum_J C_J^I dx_J$$

evaluate both sides on $\mathbb{E}(\frac{\partial}{\partial x_{j_1}}, \dots, \frac{\partial}{\partial x_{j_k}})$
 where $J_0 = (j_1, \dots, j_k)$.

Then $\varphi^*(dy_I)(\frac{\partial}{\partial x_{j_1}}, \dots, \frac{\partial}{\partial x_{j_k}}) = C_{J_0}^I$

\Downarrow

$dy_I((d\varphi)(\frac{\partial}{\partial x_{j_1}}), \dots, (d\varphi)(\frac{\partial}{\partial x_{j_k}}))$

Well, actually, ideally one should write it as summation over J then summation over I a I composed with $\Phi C I J d x J$, these are the coefficient functions one is interested in and at any rate one will have to end up showing that $C I J$ have to be smooth. But what was $C I J$? $C I J$ we have f^* , no the $\Phi^* dy I$ equal to $C I J d x J$, this was the definition of this function $C I J$. Now, as we have been doing all along in order to get hold of these functions, all we have to do is evaluate both sides on.

So I want to show all of the $C I J$ are smooth so let us do one thing. Fix I_0 and J_0 , evaluate both sides on J_0 equal to on $\frac{\partial}{\partial x_{j_1}} \dots \frac{\partial}{\partial x_{j_k}}$, where j_0 equals J_1, J_k . When we do that, all the terms in the sum disappear, except one when J equals J_0 . And that will be $\Phi^* dy I$ so this one evaluated on $\frac{\partial}{\partial x_{j_1}}, \dots, \frac{\partial}{\partial x_{j_k}}$, this is our $C I J_0$, so I do not have to worry about fixing I_0 , that is anyway fixed that.

So, for $C I J$ will be this, and I am interested in whether this thing is a smooth function or not, the left hand side. Well, what is this after all? This is this thing here, it is more of the same thing. So, $dy I$ and then Φ^* just means I will be doing $d\Phi$ of $\frac{\partial}{\partial x_{j_1}}, \dots, \frac{\partial}{\partial x_{j_k}}$, etc $d\Phi$ of $\frac{\partial}{\partial x_{j_1}}, \dots, \frac{\partial}{\partial x_{j_k}}$. That is what the left hand side is.

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evaluate both sides on $\mathbb{B}(\frac{\partial}{\partial x_{j_1}}, \dots, \frac{\partial}{\partial x_{j_n}})$
 where $J_0 = (j_1, \dots, j_n)$.

Then $(\varphi^*(dy_I))(\frac{\partial}{\partial x_{j_1}}, \dots, \frac{\partial}{\partial x_{j_n}}) = C_{J_0}^I(p)$

$(dy_I)_{\varphi(p)}((d\varphi)_p(\frac{\partial}{\partial x_{j_1}}), \dots, (d\varphi)_p(\frac{\partial}{\partial x_{j_n}}))$

$(d\varphi)_p(\frac{\partial}{\partial x_{j_1}}) = a_{j_1,1} \frac{\partial}{\partial y_1} + \dots + a_{j_1,n} \frac{\partial}{\partial y_n}$
 $a_{j_1,1}, \dots \in C^\infty(U)$
 since φ is smooth.

$dy_I(\frac{\partial}{\partial y_{i_1}}, \dots, \frac{\partial}{\partial y_{i_r}})$

Now, $d\Phi$ of ∂ by ∂x_{j_1} can be written as some linear combination of. So, here we are working in local targets and so $d\Phi$ of ∂ by ∂x_{j_1} , etc can be written as a linear combination of ∂ by ∂y_1 . So, I have to keep track of indices j_1, \dots, j_n ∂ by ∂y_n . Now, at this point so one has to be a bit careful about this, so let us take a point P . So, this is P , if I take a point P , this will be P , this would be P and this thing is at P .

So, this would be at Φ of P , so we are pulling it back from Φ of P , $d\Phi$ at p and this is at p , etc, this is fine. So this is P , this is P , as I am saying, so this would be at P this would be Φ of p , etc. So, the fact that Φ is a smooth map means that these coefficient functions $a_{j_1,1}$ etc, they are all smooth functions, $a_{j_1,1}, \dots$ are all to $C^\infty(U)$ since Φ is smooth.

Now, it is quite straightforward because as before what one can do is, one can just in all these expressions here, one can plug in these corresponding expansions, use multilinearity and then again use the action of dy_I , we know exactly what it does to things like ∂ by ∂y_1 , ∂ by ∂y_k , etc. So, we know that this is going to be either 0 or 1. So essentially, this expression that I have here will be product of these a functions and then some sum of products of these a functions. And these are smooth, the overall thing is smooth.

So, it is actually it looks a bit complicated but it is nothing literally. One is using multilinearity at every step. So, that proves that pullbacks essentially pullbacks are smooth, that is all that we have done is prove that.

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Note: If $f: M \rightarrow N$
 $\alpha \in \Omega^k(N), \beta \in \Omega^l(N)$
 $f^*(\alpha \wedge \beta) = (f^*\alpha) \wedge (f^*\beta).$

$\Omega^0(M)$
 $= C^\infty(M).$

$d: C^\infty(M) \rightarrow \Omega^1(M).$

Exterior differentiation of differential forms!

$\Omega^k(M) \xrightarrow{d} \Omega^{k+1}(M), 0 \leq k \leq n-1$

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Now, we also have another thing we have is that regarding pullbacks, note if f from M to N Alpha belongs to $\Omega^k N$, Beta belongs to $\Omega^l N$, $f^* \alpha \wedge \beta = f^* \alpha \wedge f^* \beta$. There is no proof needed for this since it is a direct consequence of the corresponding property for vector spaces and linear transformations so this continues to hold right. Now what I want to do next is something which does not so far other than the issue of smoothness, which did not arise for vector space since there is only a single space involved.

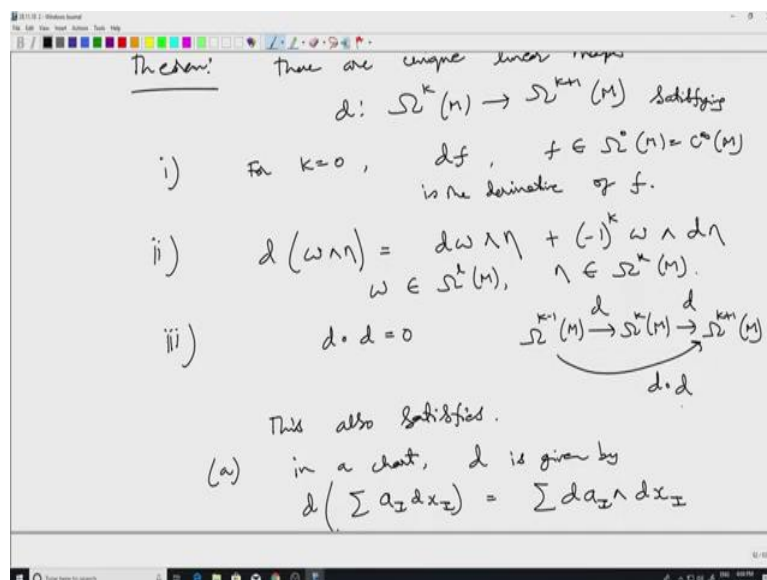
There is other thing like exterior product, pullbacks and so on, all of these and the fact that we could get a basis for a k , the space of alternating forms, all of these things we directly carried over from vector spaces, the only new thing was smoothness and in connection with smoothness, there is another important perhaps the most important operation involving differential forms which does not arise for in the context of vector spaces and linear transformations. So this is the notion of exterior differentiation, differentiation of differential forms.

So, what we are going to define? A map a linear map from $\Omega^k M$ to $\Omega^{k+1} M$, which we denote by d . Strictly speaking, I should put a d subscript k because this map is defined. It is it obviously, when I just write d , the chance of confusion if I am using different Ω^k , Ω^{k+1} and Ω^{k+2} , I will be still using the same D but usually one does not put a subscript. So, let us just follow the convention and write it like this. So, this will be defined for each k between k greater than or equal to 0 less than or equal to n minus 1. Incidentally, I should mention that $\Omega^0 M$ is a space of 0 forms.

Now, by convention, one takes it to be just the space of functions on M . And the reason for this convention actually, it has to do with this d operator. So, according to what we are going to define, this d will take C^∞ functions on M , to one forms on M . And we already know how to do that. Given a C^∞ function, I get a one form just by taking its derivative.

So this d that we are going to define when k equals 0, it will be exactly this operation just taking derivatives of functions. When k is small than greater than or equal to 1, then we have to do something new. And so, the way we go about it is, let me first give the statement and then we will, so theorem maybe I will write it in the next page.

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So, this theorem asserts that there is a map d like this, which has various properties. So, there are unique linear maps d from $\Omega^k M$ to $\Omega^{k+1} M$ satisfying for k equal to 0, d of f , f in $\Omega^0 M$ equal to $C^\infty M$ is the derivative of f . In other words, whatever we had defined earlier, and d of a product. Now, this d is supposed to be some kind of derivative so, whenever we have derivatives we expect product rule.

So here it takes this form $d(\omega \wedge \eta) = d\omega \wedge \eta + (-1)^k \omega \wedge d\eta$ plus it is not quite the Leibnitz rule a sign comes into play, $\omega \in \Omega^k M$ and $\eta \in \Omega^l M$. So, only the sign of the, only the degree of the second term comes into play. And a crucial property of this d and the other crucial property is that $d \circ d = 0$. So, this is $\Omega^{k-1} M \rightarrow \Omega^k M \rightarrow \Omega^{k+1} M$. I have a d here and a d here.

As I said earlier, strictly speaking there are different d 's in several different domains and so on. But if we use the same notation d for both, then what we want is that this map composed with this map, the resulting map is this, this map should be just identically the 0 map. Now this reason this is kind of hard to explain if you think of d as a derivative operator. So naively d composed d would be the second derivative and we would be saying that the second derivative of any k minus 1 form is 0, which does not quite make sense.

So, it is somewhat a subtle thing, but at any rate d cannot be regarded as just a derivative in any usual sense, it is something more than that. In fact, the reason for this, natural interpretation for this d composed with d arises from topology rather than the study that we are engaged in right now. This also satisfies, in a chart d is given by, in a chart we know that every form can be written in this way, then this is d of I wedge d of x . Remember, I were functions so d of I is one form. So one form wedge, this d of x was already a K form so, this is a k plus 1 form.

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Handwritten notes on a digital whiteboard:

- ii) $d(\omega \wedge \eta) = d\omega \wedge \eta + (-1)^k \omega \wedge d\eta$
 $\omega \in \Omega^k(M), \eta \in \Omega^r(M)$
- iii) $d \circ d = 0$
- A commutative diagram showing the mapping of forms:
 $\Omega^{k-1}(M) \xrightarrow{d} \Omega^k(M) \xrightarrow{d} \Omega^{k+1}(M)$
 with a curved arrow from $\Omega^{k-1}(M)$ to $\Omega^{k+1}(M)$ labeled $d \circ d$.
- This also satisfies:
- (a) in a chart, d is given by
 $d\left(\sum a_i dx_i\right) = \sum da_i \wedge dx_i$
- (b) d is local: i.e. if $\omega = \omega'$ on U
 then $d\omega = d\omega'$ on U , $U \subseteq M$ open
- (c) $d(\omega|_U) = d\omega|_U$

Then d is local, i.e. if $\omega = \omega'$ on U then $d\omega = d\omega'$ on U , so it does not matter what ω and ω' are outside U , if they happen to coincide on an open set, the d will also be the same. Then d of ω restricted to U so, here U is any open set, same thing here $d\omega$ restricted to U as $d\omega$ restricted to U . So I will prove this next time. We will first do the construction for \mathbb{R}^n and then get it. Alright so we will stop here.