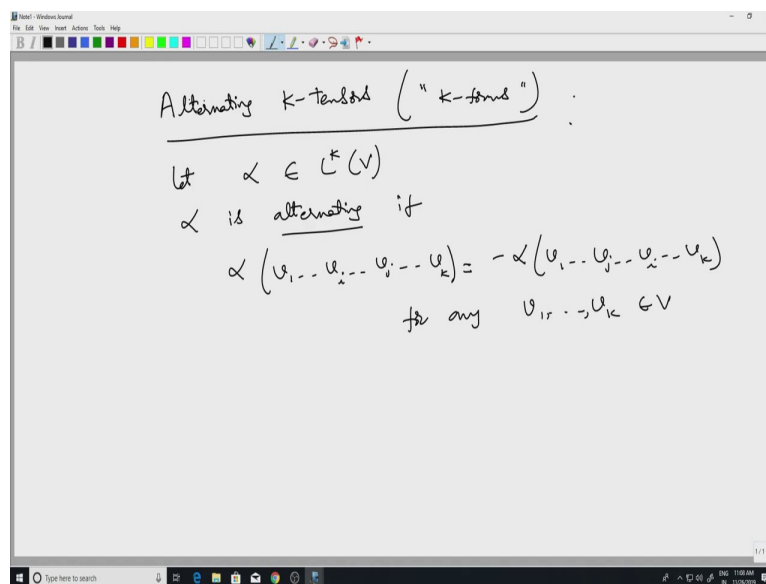


An Introduction to Smooth Manifolds
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Lecture 49
Alternating Tensors 1

Welcome to the 49th lecture in the series. So I will continue talking about tensors and forms. In this lecture, I will, I will discuss a bit about alternating tensors, which are also called alternating, I mean, sometimes we say alternating forms or alternating tensors.

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So, last time I talked about symmetric tensors, which is the, sort of the opposite of an alternating tensor. So let us say alternating. So we will look at, let, we look at a α and what we call $L^k V$, k multilinear maps on V . α is alternating if, whenever we interchange two of the input vectors, this, we get a minus sign. for any.

So, recall that we called a tensor is symmetric, if, whenever we flipped two of the input vectors, the sign did not change, the value did not change, here the, the value acquires a negative sign. So, just like in the symmetric case, we have a nice characterization of alternating tensors in terms of the symmetric group action as on $L^k V$.

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Proposition! the following are equivalent.

- ① α is alternating
- ② $\sigma \cdot \alpha = (-1)^{\text{sign}(\sigma)} \alpha$ for any $\sigma \in S_k$.
- ③ $\sigma(v_1, \dots, v_k) = 0$ if $v_i = v_j$ for some $1 \leq i < j \leq k$
- ④ $\sigma(v_1, \dots, v_k) = 0$ if $\{v_1, \dots, v_k\}$ is linearly dependent.

So, proposition, the following are equivalent. Alpha is alternating. The second thing is that sigma alpha, which I defined in my last lecture, sigma times alpha or sigma acting on alpha is equal to minus 1 to the power sign sigma alpha, for any sigma, the symmetric group on k letters. The third thing is sigma, if, whenever two input variables are equal. So here I want v_i, v_j equal to v_j for some i not equal to j , greater than or equal to 1, less than or equal to k . The fourth thing is a similar thing. This is 0 if the set of vectors v_1 up to v_k is linearly dependent.

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④ $\sigma(v_1, \dots, v_k) = 0$ if $\{v_1, \dots, v_k\}$ is linearly dependent.

Proof! ② \Rightarrow ①. Given i and j , let $\sigma \in S_k$ be the permutation which interchanges i and j and keeps the rest fixed. Then $\text{sign}(\sigma) = -1$.

$\therefore \sigma \cdot \alpha = -\alpha$

i.e. $\sigma \cdot \alpha(v_1, \dots, v_k) = -\alpha(v_1, \dots, v_k)$

$= \alpha(v_{\sigma(1)}, \dots, v_{\sigma(k)})$

$= \alpha(v_1, \dots, v_j, \dots, v_i, \dots, v_k)$

Since $v_i = v_j$, the value is 0, so $\alpha(v_1, \dots, v_k) = 0$.

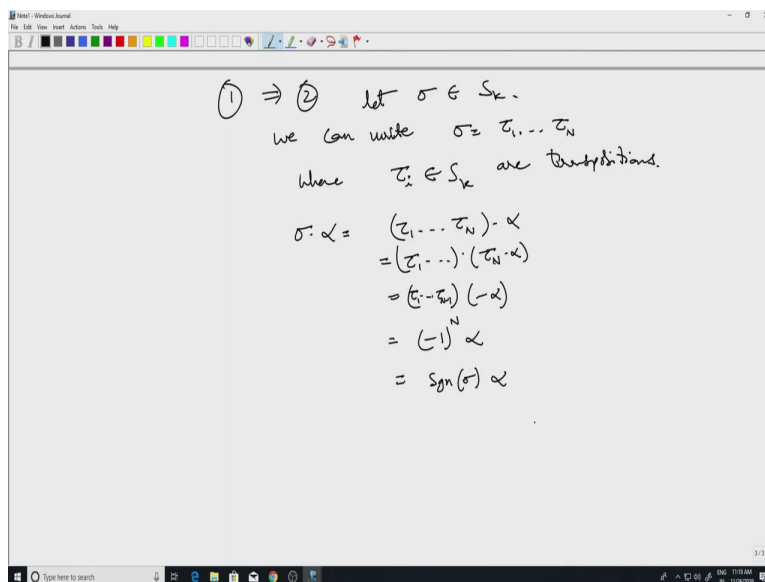
Right, so, let me, I would not go into all the details. I will briefly discuss some parts and let us start with the (equi) equivalence of 1 and 2. So it is where the proof is very similar to what we had for symmetric tensors, namely let us say, let us assume 1. Well, before I do that, maybe I should point out the easier one. 2 implies 1, it follows by taking given i and j , let σ be the, σ , the, take the permutation, be the permutation which takes, which interchanges i and j and keeps the rest fixed.

So, this is, this is what we call the transposition, σ , take σ to be the transposition which interchanges i and j and keeps the remaining fixed, rest fixed is a, right. Then sign σ , the way we define the sign of a permutation was, you just had to write it as a product of transpositions. And then just count how many there are. They are even number, we say that the permutation has, the sign is 1, if they are odd number, then the sign is minus 1.

So here this exactly, σ itself is a transposition. So the sign would be minus 1. It is exactly 1. Well, given this, then you just go back. So we are assuming 2. Therefore $\sigma \cdot \alpha$ would be minus α , i.e. so if I act it on any vector, $\sigma \cdot \alpha$ acting on v_1 up to v_k would be minus α acting on v_1 up to v_k . And this by definition is $\alpha_{\sigma(1)}, \dots, \alpha_{\sigma(k)}$, and since all σ does is interchange i and j and keeps, keep the remaining numbers fixed, remaining indices fixed.

So what we get as 1, well, assuming that i and j are not 1 or k . So it will interchange, so wherever v_i occurred, v_j will occur and wherever v_j occurred, v_i will occur. Oops. So $\sigma(k)$ would be just k . Again, assuming that i and j are not 1 and k . Otherwise, we would have to do the swap in the first and last one of the two slots. So, the equality of this and this is precisely the alternating condition that we had started with.

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The image shows a digital whiteboard with handwritten mathematical text. At the top, it says '① ⇒ ② let $\sigma \in S_K$ '. Below this, it says 'we can write $\sigma = \tau_1 \dots \tau_N$ ' and 'where $\tau_i \in S_K$ are transpositions.' The main derivation is for $\sigma \cdot \alpha$, showing a sequence of equalities: $(\tau_1 \dots \tau_N) \cdot \alpha$, $= (\tau_1 \dots) \cdot (\tau_N \cdot \alpha)$, $= (\tau_1 \dots \tau_N) \cdot (-\alpha)$, $= (-1)^N \alpha$, and finally $= \text{sgn}(\sigma) \alpha$. The whiteboard has a toolbar at the top with various drawing tools and a taskbar at the bottom.

$$\begin{aligned} \textcircled{1} &\Rightarrow \textcircled{2} \text{ let } \sigma \in S_K. \\ \text{we can write } \sigma &= \tau_1 \dots \tau_N \\ \text{where } \tau_i \in S_K &\text{ are transpositions.} \\ \sigma \cdot \alpha &= (\tau_1 \dots \tau_N) \cdot \alpha \\ &= (\tau_1 \dots) \cdot (\tau_N \cdot \alpha) \\ &= (\tau_1 \dots \tau_N) \cdot (-\alpha) \\ &= (-1)^N \alpha \\ &= \text{sgn}(\sigma) \alpha \end{aligned}$$

Well, and the, 1 implies 2 follows from again, just like in the symmetric case, we write, we write sigma, start with the sigma first. Let us start with, let sigma belong to S_K . We can write sigma equals tau 1 tau N, where tau i in S_K are transpositions. And once we have this, sigma dot alpha is tau 1 tau N act dot alpha, so we know that, first I can do tau N and then do the rest.

And we know that this, we are assuming that the form is alternating now. And the form being alternating amounts to, as the first step shows, it amounts to saying that the action of any transposition will reverse the value, sign, make the, keep the magnitude of the value the same, but the sign gets reversed.

So this would be, I will pick up a minus sign from each one of these. So after the first step, I will get minus alpha, and now there are tau N minus 1 transpositions here. So, and then now I do again, this tau N minus 1 of, so each time I will pick out minus 1 alpha minus 1. So it will be a product of N of these minus 1's and this is precisely what we called the sign of sigma times alpha.

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for any $v_1, \dots, v_k \in V$

Proposition: the following are equivalent:

- ① α is alternating
- ② $\sigma \cdot \alpha = (-1)^{\text{sgn}(\sigma)} \alpha$ for any $\sigma \in S_k$.
- ③ $\sigma(v_1, \dots, v_k) = 0$ if $v_i = v_j$ for some $1 \leq i < j \leq k$
- ④ $\sigma(v_1, \dots, v_k) = 0$ if $\{v_1, \dots, v_k\}$ is linearly dependent.

Proof: ② \Rightarrow ①. Given i and j , let $\tau \in S_k$ be the permutation which interchanges i and j .

① \Rightarrow ② let $\sigma \in S_k$.
 we can write $\sigma = \tau_1 \dots \tau_N$
 where $\tau_i \in S_k$ are transpositions.

$$\begin{aligned} \sigma \cdot \alpha &= (\tau_1 \dots \tau_N) \cdot \alpha \\ &= (\tau_1 \dots) \cdot (\tau_N \cdot \alpha) \\ &= (\tau_1 \dots \tau_{N-1}) \cdot (-\alpha) \\ &= (-1)^N \alpha \\ &= \text{sgn}(\sigma) \alpha \end{aligned}$$

① \Rightarrow ③. Put $v_i = v_j$. Then

$$\sigma(v_1, \dots, v_i, \dots, v_j, \dots, v_k) = \sigma(v_1, \dots, v_j, \dots, v_i, \dots, v_k) = -\sigma(v_1, \dots, v_j, \dots, v_j, \dots, v_k)$$

Now 3 and 4 again directly follow from 1. For instance, let us say 3 actually. So, if I have 1, 1 implies 3. That follows just by putting v_i equal to v_j . Put v_i equal to v_j . Then if I interchange these two, then $\sigma(v_1, v_i, v_j, v_k)$ equal to minus. This is from the alternating condition. But since v_i and v_j are the same, I can also write this as v_1, v_j, v_i, v_k . And, oh sorry, here I should make it, I have not interchanged the two. So this should be v_j, v_i . Notice that the left most term and the right most term are the same, same except for a negative sign. So, therefore each one is equal to zero.

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$$= \text{sgn}(\sigma) \propto$$

① \Rightarrow ③, Put $u_i = u_j$. Then

$$\sigma(u_1, \dots, u_i, \dots, u_i, \dots, u_k) = \sigma(u_1, \dots, u_i, \dots, u_j, \dots, u_k) = -\sigma(u_1, \dots, u_j, \dots, u_i, \dots, u_k)$$

② \Rightarrow ①

Consider $\sigma(u_1, \dots, u_i + u_j, \dots, u_i + u_j, \dots, u_k) = 0$

$$\Rightarrow \sigma(u_1, \dots, u_i, \dots, u_i, \dots, u_k) + \sigma(u_1, \dots, u_i, \dots, u_j, \dots, u_k) + \sigma(u_1, \dots, u_j, \dots, u_i, \dots, u_k) + \sigma(u_1, \dots, u_j, \dots, u_j, \dots, u_k) = 0$$

② \Leftrightarrow ④. ② \Rightarrow ④.

② \Leftrightarrow ④. ② \Rightarrow ④.

$\{u_1, \dots, u_k\}$ be linearly dependent.

Then $c_1 u_1 + \dots + c_k u_k = 0$, $c_i \in \mathbb{R}$

Suppose $c_1 \neq 0$.

Then $u_1 = -\frac{c_2}{c_1} u_2 - \dots - \frac{c_k}{c_1} u_k$

$$\sigma(u_1, \dots, u_k)$$

$$= \sigma\left(-\frac{c_2}{c_1} u_2 - \dots - \frac{c_k}{c_1} u_k, u_2, \dots, u_k\right)$$

$$= -\frac{c_2}{c_1} \sigma(u_2, u_2, \dots, u_k) - \frac{c_3}{c_1} \sigma(u_2, u_3, \dots, u_k) - \dots$$

$$= 0$$

And as for 3 implies 1, if you know that, whenever the two variables are the same, the value is 0.

And we were, if you know that, and we would like to see that whenever I interchange I get the required thing. The standard thing to do is consider sigma of v_1, v_i plus v_j in the i th slot, v_i plus v_j , oops, not i plus j , v_i plus v_j in the j th slot and then v_k .

Now, I know that this is 0 because these two input vectors, v_i , I get, I have v_i plus v_j two slots.

So I have this. So I expand it out using the multilinear property. So I will get four terms v_1, v_i, v_i, v_k plus sigma v_1, v_i, v_j, v_k plus sigma v_1, v_j, v_i, v_k plus sigma v_1, v_j, v_j, v_k equal to 0. And again, by hypothesis, this is 0 and this is 0 as well.

So, I am left with two terms. One involves v_i first and then v_j second. The other one is v_j and v_i . So these two have to be negatives of each other. So that proves that 1 and 3 are equivalent. And once you know 3, and it is easy to see that 3 and 4 are equivalent as well. For instance, if 3 implies 4, one can do the following. If I know that any two need input variables are 0 or, and I start with a linearly independent set.

Let be linearly (depend), linearly dependent, sorry, not independent, linearly dependent. Then, by definition I have this equal to 0, C_i , all the, so all the coefficients are just real numbers. C_i in \mathbb{R} and not all of these 0. That is the part of being linearly dependent. So, let us say, suppose, just for convenience, let me take C_1 not equal to 0. Then, I can write v_1 equals, as a linear combination of the others minus C_2 by C_1 v_2 minus C_k by C_1 v_k .

And then once I have this, I evaluate $\sum v_1, v_k$. Instead of v_1 , I plug in this, whatever I just had, this linear combination here. So minus C_2 by C_1 v_2 minus C_k by C_1 v_k and here I would still have v_2 and v_k . Then I expand it out using the multilinearity property. So I will get minus C_2 by C_1 $\sum v_2$.

Well, the remaining stuff I keep as they are. So this would be $v_2 v_k$ minus C_3 by C_1 $\sum v_3 v_2$ dot dot dot v_k et cetera. So I will have k terms. Notice that each one of these terms, in each one of these terms, two of the input variables are repeated. First one, it is v_2, v_2 second one v_3 and here another v_3 would be there, that would repeat. So, all of these are 0. So, therefore I get this equal to 0.

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④ \Rightarrow ③ $\{v_1, \dots, v_k\}$ with $v_i = v_j$
 $i \neq j$
 is linearly dependent
 $0 \cdot v_1 + 0 \cdot v_2 + \dots + 1 \cdot v_i + \dots + (-1) \cdot v_j + \dots + 0 \cdot v_k = 0$
 $\therefore \sigma(v_1 - v_k) = 0.$

And 4 implies 3 is also straight forward. 4 implies 3 is the condition that, if you know that they are all linearly dependent, then inputting, if I, so let us say, let, I start with a set of vectors with v_i equal to v_j for some i not equal to j . Now the point is that, this is automatically linearly dependent, this, linearly dependent. Because I can put 0 times v_1 plus 0 times v_2 dot dot dot plus 1 times v_i , I can go on putting zeros in everything, except when it comes to the j th slot, minus 1 times v_j equal to 0. So this is linearly dependent. So, therefore I can conclude that $\sigma(v_1 - v_k) = 0$. Right. These are various ways of thinking about alternating forms.

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examples (Symmetric and alternating tensors and pullbacks)

1) $T: \mathbb{R}^n \rightarrow \mathbb{R}^n$
 $\alpha \in L^2(\mathbb{R}^n)$
 $\alpha(v, w) = \langle v, w \rangle$

$T^*(\alpha)(v, w)$
 $= \alpha(T(v), T(w))$
 $= \langle T(v), T(w) \rangle$

$T^*\alpha = \alpha$ if and only if
 $\langle T(v), T(w) \rangle = \langle v, w \rangle \quad \forall v, w \in \mathbb{R}^n.$

$$\begin{aligned}
 &= \alpha(T(v), T(w)) \\
 &= \langle T(v), T(w) \rangle \\
 &\boxed{T^* \alpha = \alpha} \quad \text{if and only if} \\
 &\quad \langle T(v), T(w) \rangle = \langle v, w \rangle \quad \forall v, w \in \mathbb{R}^n.
 \end{aligned}$$

i.e. the matrix of T is an element of $O(n)$
 (i.e. $[T]$ is an orthogonal matrix).

Now, I had briefly talked about pullbacks and the linear maps of a k tensor. So let us go to that and have a, in the, let us have a look at that in the context of symmetric and alternating tensors. So let us first look at the case of symmetric tensors. So these are examples involving symmetric and alternating tensors and pullbacks.

So, let us look at a linear map T from \mathbb{R}^n to, let us look at this case, vector spaces are \mathbb{R}^n , just one vector space \mathbb{R}^n . And I want to look at the form α in L^2 of \mathbb{R}^n , the dot product of v, w is a product or dot product of v and w . Now so let us consider $T^* \alpha$. So, α can be regarded, of course this, the target and domain are the same. α is present in both domain and target, but I am looking at α on the target.

So, let, since the pullback operation proceeds in, you have to start with a form, a tensor on the target practice space. So $T^* \alpha$ acting on v, w would be, this is by definition, α of Tv, Tw which we know as Tv, Tw . So. so essentially the pullback operation of, acting on v, w is the inner product of, first you do T and then you take the inner product.

Now, if we ask the, if you want to know when, when is $T^* \alpha$ equal to α . $T^* \alpha$ equal to α if and only if inner product of Tv, Tw equal to inner product of v, w for all v, w and \mathbb{R}^n . Well, we know exactly when this happens i.e. the matrix of T is an element of $O(n)$. i.e. (mat) the matrix of T is an orthogonal matrix. So, the, to say that T belongs to $O(n)$ or the matrix of T belongs to $O(n)$, is the same thing as saying that the pullback of the inner product under T is the same as α . Now this is one example.

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The set of alternating k -tensors forms a subspace of $L^k(V)$, we denote it by $A^k(V) \subseteq L^k(V)$.

2) i.e. the matrix of T is an element of $O(n)$ (i.e. $[T]$ is an orthogonal matrix).

$T: \mathbb{R}^n \rightarrow \mathbb{R}^n$
 $\alpha \in A^k(V)$ is given by
 $\alpha(u_1, \dots, u_n) = \det[u_1, \dots, u_n]$

Claim: $T^* \alpha = (\det T) \alpha$
 i.e. $(T^* \alpha)(u_1, \dots, u_n) = (\det T) \alpha(u_1, \dots, u_n)$

i.e. $(T^* \alpha)(u_1, \dots, u_n) = (\det T) \alpha(u_1, \dots, u_n)$
 $\forall u_1, \dots, u_n \in \mathbb{R}^n$

We can assume $u_1 = e_1, \dots, u_n = e_n$, without loss of generality

Let of generality $\begin{cases} u_1 = \sum a_{1j} e_j \\ \dots \\ u_n = \sum a_{nj} e_j \end{cases}$

T

The second example is again, T from \mathbb{R}^n to \mathbb{R}^n . This time let us look at an alternating tensor. And the only one we have looked at so far. Well, we have looked at a couple. One of them was the determinant. So, alpha, ah, right. Before I proceed, perhaps I should point out that. But let me write it here. The set of alternating tensors, k tensors, forms a subspace of $L^k V$ denoted by V , well is not exactly standard notation. So let me denote it by, we denote it by $A^k V$. So this is subspace of $L^k V$. So it is easy to check that, if you add two alternating k tensors or you multiply it by scalar, again what you get is an alternating k tensor. So, it is a subspace of $L^k V$.

So, now let me start back to this problem, back to this example. So α in $\wedge^k V$ is given by $\alpha(v_1 \wedge \dots \wedge v_n)$ is determinant of $v_1 \wedge \dots \wedge v_n$, where, as usual this v_i 's regarded as column vectors. Now we want to again look at the same thing, which is let us look at $T^*\alpha$. The claim is that $T^*\alpha$ is actually this, the determinant of T times α .

And this example is going to play a crucial role later on, when we talk about integration on manifolds. So, in fact, this is one of the main reasons, this specific formula is one of the main reasons why one even talks about alternating forms and so on. Now, the, the fact that determinant pops out like this, in this example, of course, we are starting with a determinant. So it is not that surprising that determinant is coming out.

But we will see that alternating forms are very, of any degree. So here, right, okay. So, here I should be a bit careful. This k is actually n . I am looking at, since I am putting n vectors, so this k is \wedge^n , the same n as the dimension. So the point is that I will get a square matrix here. $v_1 \wedge \dots \wedge v_n$ will give me a square matrix.

Right, so, as I was saying, yeah, okay. So, now we want to, so in order to prove, first note that, to see this, well, this is an n form and the right hand side is n form as well. So we have to check i.e. $T^*\alpha$ acting on $v_1 \wedge \dots \wedge v_n$ equal to determinant of T α acting on $v_1 \wedge \dots \wedge v_n$, for all $v_1 \wedge \dots \wedge v_n$ in $\wedge^n \mathbb{R}^n$. At this point, I would like to say that it is enough to check this, we can assume v_1 equal to e_1 , v_n equal to e_n without loss of generality.

In other words, if I want to check that this equation holds here for all $v_1 \wedge \dots \wedge v_n$, I do not lose anything by just taking it for a single choice of $v_1 \wedge \dots \wedge v_n$. Namely v_1 is e_1 , v_n is e_n and why is this, it just follows from the fact that if I start with any arbitrary $v_1 \wedge \dots \wedge v_n$, I can write v_i as summation $a_{ij} e_j$ no sorry, e_j . In other words, I expand it out in terms of the basis vectors, standard basis vector dot dot dot v_k equal to $\sum a_{kj} e_j$. Well, right, and then once we have this, then if we evaluate, right, and if we evaluate $T^*\alpha$. On this we will see, well, rather than go and finish the calculation now, let me stop here and resume with this in my next lecture. Okay thanks.