

Algebra II
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Algebraic Extensions and Algebraic Closures

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Algebraic Extensions and Algebraic Closures

Defn: A field extension $\frac{K}{F}$ is said to be algebraic if every $\alpha \in K$ is algebraic over F .

Thm: Every extension of finite degree is algebraic.

Pf: Suppose $[K:F] < \infty$. Take $\alpha \in K$.

The set $1, \alpha, \alpha^2, \alpha^3, \dots$ has a linear relation over F ; say

$$a_0 \cdot 1 + a_1 \alpha + \dots + a_n \alpha^n = 0 \text{ for some } n > 0.$$

Take $f(t) = a_0 + a_1 t + \dots + a_n t^n$. Then $f(\alpha) = 0$

$\therefore \alpha$ is algebraic over F .



Today, we will talk about Algebraic Extensions and Algebraic Closures. Let us start with the definition of an algebraic extension. The field extension K over F is said to be algebraic, if every element is algebraic over F . So, a good example of an algebraic extension is a finite field extension. This is an easy theorem; every extension of finite degree, extension of finite degree is algebraic.

If you have been paying attention to the earlier lectures, you would find this quite easy to prove, the proof is as follows. So, suppose that you know K over F is an algebraic extension. So, $[K:F]$ is finite, K is an extension of F at K over F . The degree of K over F is finite. Now, consider the infinite set, 1 and take α belonging to K .

We need to show that α satisfies a polynomial equation with coefficients in F , sorry in K . So, the set $1, \alpha, \alpha^2, \alpha^3$ and so on, this set is going to be, well it cannot be linearly independent. And therefore, there must be some linear relation between these elements, because K over F is a finite dimensional vector space over F , so has a linear relation over F .

Say, the first n of these would have a linear relation, because every linear relation has to be finite. So, a_0 times 1 plus a_1 times α plus a_n times α raised to n equals 0 , for some

positive n . Now, this if we take the polynomial fit to be a_0 plus $a_1 t$ plus $a_2 t^2$ to the n . Then this linear relation is just saying that α is the root of the polynomial F . And therefore, α is algebraic over F . So, every extension of finite degree is algebraic.

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Remark: Not every algebraic extension is of finite degree.

Let $E = \{x \in \mathbb{C} \mid x \text{ is constructible}\}$.

$\zeta_{2^n} = e^{2\pi i/2^n}$ Have: $\mathbb{Q}(\zeta_{2^n})$
 $\mid \mathbb{Q}(\zeta_{2^{n-1}})$
 $\mid \mathbb{Q}(\zeta_{2^{n-2}})$
 \vdots
 $\mathbb{Q}(\zeta_2) = \mathbb{Q}$

ζ_{2^k} satisfies $t^2 - \zeta_{2^{k-1}}$ for each $k \geq 1$.
 $\mathbb{Q}(\zeta_{2^k}) \mid \mathbb{Q}(\zeta_{2^{k-1}})$
 $\mid \mathbb{Q}(\zeta_{2^{k-2}})$
 \vdots
 $\mathbb{Q}(\zeta_{2^1})$

$\zeta_{2^k}^2 = \zeta_{2^{k-1}}$
 $t^2 - \zeta_{2^{k-1}}$

$\therefore \zeta_{2^n}$ is constructible.

(n-1) steps

However, the converse is not true. Not every algebraic extension is of finite degree. Consider for example, E to be the set of all complex numbers, such that α is constructible, i.e., it can be constructed in a finite number of steps using a straightedge and a compass, as explained earlier. Then, we have elements ζ to the power 2 to the power n .

So, this is the 2 to the power n th primitive root of unity which I can take to just be the complex number E to the $2\pi i$ divided by 2 to the power n . Then we have, we have a tower of extensions, $\mathbb{Q}(\zeta_{2^n})$. This contains $\mathbb{Q}(\zeta_{2^{n-1}})$. Because this $\zeta_{2^{n-1}}$ is just the square of ζ_{2^n} . So, this element is contained in the field above.

And therefore, this is a sub field of $\mathbb{Q}(\zeta_{2^n})$. And $\mathbb{Q}(\zeta_{2^{n-1}})$, all the way down to, $\mathbb{Q}(\zeta_2)$ is just \mathbb{Q} , because ζ_2 is just -1 , and so $\mathbb{Q}(\zeta_2)$ is \mathbb{Q} . And now, how many steps do we have in this? We have exactly $n - 1$ steps here. And note that $\zeta_{2^n}^2$ is $\zeta_{2^{n-1}}$. So, this element is contained in the field above. And note that $\zeta_{2^k}^2 = \zeta_{2^{k-1}}$.

If you take this element and square it, then you will get two times this, which is $2\pi i$ divided by 2 to the power $n - 1$. And so, this element satisfies the polynomial $t^2 - \zeta_{2^{n-1}}$.

zeta 2 to the k minus 2. So, what we are saying is that zeta 2 to the power k satisfies t squared minus zeta 2 to the power k minus 2, sorry k minus 1 for each k.

What that means is that, what that means is that this extension zeta 2 to the power k over Q zeta 2 to the power k minus 1, it has degree less than or equal to 2. So, this has degree less than or equal to 2, this has degree less than or equal to 2 and so on. And therefore, zeta 2 to the power n is constructible. If it has degree strictly less than 2, then those fields are the same. So, so in any case, this is a tower of quadratic extensions. Therefore, zeta 2 to the power n is constructible by our characterization of constructible numbers.

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Remark: Not every algebraic extension is of finite degree.

Let $E = \{x \in \mathbb{C} \mid x \text{ is constructible}\}$.

$\zeta_{2^n} = e^{2\pi i/2^n}$ Have: $\mathbb{Q}(\zeta_{2^n})$

ζ_{2^k} satisfies $t^2 - \zeta_{2^{k-1}}$ for each $k \geq 1$.

$\mathbb{Q}(\zeta_{2^k}) \mid \mathbb{Q}(\zeta_{2^{k-1}})$

$\mathbb{Q}(\zeta_{2^k}) \mid \mathbb{Q}(\zeta_{2^{k-2}})$

\vdots

$\mathbb{Q}(\zeta_{2^2}) \mid \mathbb{Q}(\zeta_{2^1})$

$\mathbb{Q}(\zeta_{2^1}) \mid \mathbb{Q}(\zeta_{2^0}) = \mathbb{Q}$

$\therefore \zeta_{2^n}$ is constructible.

$\zeta_{2^k}^2 = \zeta_{2^{k-2}}$

$t^2 - \zeta_{2^{k-1}}$

$\left. \begin{array}{l} \mathbb{Q}(\zeta_{2^n}) \\ \mathbb{Q}(\zeta_{2^{n-1}}) \\ \mathbb{Q}(\zeta_{2^{n-2}}) \\ \vdots \\ \mathbb{Q}(\zeta_{2^2}) \\ \mathbb{Q}(\zeta_{2^1}) \\ \mathbb{Q}(\zeta_{2^0}) = \mathbb{Q} \end{array} \right\} (n-1) \text{ steps}$



But we also know a little more about zeta 2 the power n. We have shown that the minimal polynomial, the polynomial, the irreducible polynomial of zeta 2 to the power n is t to the power 2 to the power n minus 1 plus 1. See recall that zeta to the power 2n on the face of it satisfies the polynomial t to the power 2 power n minus 1 equals zero.

But this has a factorization t to the power 2 to the power n minus 1 minus 1 into t to the power n minus 1 plus 1. And this first term with a minus 1 that factorises further and further, but this thing turns out to be irreducible by applying Eisenstein's criterion after substituting t by t plus 1. So, this is an irreducible polynomial and it is satisfied by zeta 2 power n.

So, the irreducible polynomial of zeta 2 to the power n is t 2 to the power n minus 1 plus 1. And therefore, Q zeta to power n over Q is 2 to the power n minus 1, which means that, if we

look at this field of all constructible numbers, it contains $\mathbb{Q} \zeta_2$ to the power n . So, its degree is greater than this over \mathbb{Q} , which is 2 to the power n minus 1 .

But this is true for all n greater than or equal to 1 , which implies that the degree of E over \mathbb{Q} has to be infinity. And another upshot of this exact computation of the degree of ζ_2 to the power n , the primitive 2 to the power n th root of unity is that we can go back here and replace each of these less than or equal to signs by equality.

So, these extensions are each of degree exactly 2 , because that is how you would get the total extension to have degree 2 to the power n minus 1 . To summarise, the field of all complex numbers that are constructible is an infinite extension. But despite being infinite, it is an algebraic extension. Every constructible number is algebraic over the rationals. So, it is an algebraic extension of \mathbb{Q} .

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The irreducible polynomial of ζ_{2^n} is $t^{2^{n-1}} + 1$

$\therefore [\mathbb{Q}(\zeta_{2^n}) : \mathbb{Q}] = 2^{n-1}$

$\therefore [E : \mathbb{Q}] \geq [\mathbb{Q}(\zeta_{2^n}) : \mathbb{Q}] = 2^{n-1}$ for all $n \geq 1$

$\Rightarrow [E : \mathbb{Q}] = \infty$

$t^{2^n} - 1 = 0$
 $(t^{2^{n-1}} - 1)(t^{2^{n-1}} + 1)$

We now come to a very useful result about algebraic, towers of algebraic extensions. We have seen that if you take a finite extension of a finite extension, then it is finite. And in fact, its degree is given by the product of the degrees of the two extensions. Now, if you take an algebraic extension of an algebraic extension, it turns out that that is algebraic.

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Thm: If $\frac{E}{K}$ is algebraic & $\frac{K}{F}$ is algebraic, then $\frac{E}{F}$ is algebraic.

Prf. Given any $\alpha \in E$, $\exists f(t) \in K[t]$ such that $f(\alpha) = 0$.
 Suppose $f(t) = a_0 + a_1 t + \dots + a_n t^n$, $a_0, \dots, a_n \in K$.

$$[F(\alpha):F] \leq [F(K, a_0, \dots, a_n):F]$$

$$\leq [F(K, a_0, \dots, a_n):F(a_0, \dots, a_n)] [F(a_0, \dots, a_n):F]$$

$$\leq n [F(a_0, a_1, \dots, a_n):F] < \infty$$

$\therefore \alpha$ is algebraic over F

$\therefore E$ is an algebraic extension of F .

$$\begin{matrix} F(a_0, \dots, a_n) \\ | < \infty \\ F(a_0, \dots, a_{n-1}) \\ | < \infty \\ \vdots \\ F \end{matrix}$$


So, the theorem states that if E over K is algebraic, and K over F is algebraic. Then E over F is algebraic. In order to prove this, we need to show that given any α in E (satis) F α over F , that is this degree is finite. This is what we need to show. So, let us try to do that. So, firstly, given α in E , what we know is that there exists a polynomial $f(t)$ in $K[t]$, such that $f(\alpha) = 0$.

This is just because α is in E is algebraic over K . So, α is algebraic over K . And so, you have a polynomial coefficients in $K[t]$. So, suppose we have $f(t)$ is of the form a_0 plus $a_1 t$ plus $a_n t$ to the power n , where a_0 up to a_n , these all have to be elements of K . Now, what we want to show is that the degree of F α over F is finite.

Now surely this degree cannot be more than the degree of F α , a_0, a_n over F because this is possibly larger field than F α , surely it contains the field F α . But this is less than or equal to the degree of F α , a_0, a_n over F a_0, a_n times the degree of F a_0, a_n over F . Now this now is definitely less than or equal to n just because α satisfies a polynomial of degree n with coefficients in this field. This polynomial $f(t)$ has coefficients in F a_0, a_n .

And this is well, so, this fits into a tower of finite degree extensions. We have F a_0, a_n over F a_0, a_n minus 1 all the way down to F and the degree of this is less than or equal to the degree of a_n over F , because the degree of a_n over F a_0, a_n minus 1 is less than or equal to the degree of a_n over F , because if it satisfies a polynomial of a certain degree in F it must, that polynomial can be regarded as a polynomial in F a_0, a_n minus 1.

So, this is finite, all these steps are finite and so, this is finite. And so, what we have is that $F(\alpha)$ over F is finite, which means that α is algebraic over F , which means that E is algebraic over F , because we proved it for every $\alpha \in E$, it is an algebraic extension of F .

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Thm: Let K be any field extension of F .

Defn: $E = \{ \alpha \in K \mid \alpha \text{ is algebraic over } F \}$

E is a subfield of K containing F .

Pf: Suppose α, β are algebraic over F ,

$$[F(\alpha, \beta) : F] = [F(\alpha, \beta) : F(\beta)] [F(\beta) : F]$$

$$\leq [F(\alpha) : F] [F(\beta) : F] < \infty$$

$\alpha + \beta, \alpha\beta, \alpha^{-1}$ (if $\alpha \neq 0$) lie in $F(\alpha, \beta)$, hence they are algebraic.

We saw that all the complex numbers that are constructible form a field extension of \mathbb{Q} , they form a field. The similar result holds for algebraic numbers in a much more general context. So, suppose you have any field extension K over F . And define a set E to be the set of those elements α in K such that α is algebraic over F .

I have just defined it to be a set, the theorem is that E is a subfield of K , containing F . So, we have the situation you have K in between we have this field E and then we have F . The proof is not very difficult. So, suppose α and β are algebraic over F . Then we can try to upper bound the degree of the field generated by α and β over F . Well, by the tower theorem, this is the degree of $F(\alpha, \beta)$ over $F(\beta)$ times the degree of $F(\beta)$ over F .

But this $F(\alpha, \beta)$ over $F(\beta)$ its degree is less than or equal to the degree of $F(\alpha)$ over F . This is just because, if β satisfies a certain polynomial over F , then you can regard that polynomial as a polynomial with coefficients in $F(\beta)$. And so, if α satisfies a polynomial with coefficients in F , you can regard that as a polynomial with coefficients in $F(\beta)$. And α will satisfy that polynomial over $F(\beta)$.

So, this degree is less than or equal to this degree, and this well of course, is what it is, but both these degrees are finite. And so, $F(\alpha, \beta)$ over F is a finite extension. Now, if you

take $\alpha + \beta$, $\alpha\beta$ and α^{-1} at least if $\alpha \neq 0$ all lie in $F(\alpha, \beta)$, which is a finite extension of F . Hence, they are algebraic.

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Example:

$$\begin{array}{c} \mathbb{C} \\ | \\ \mathbb{Q} \\ \bar{\mathbb{Q}} = \{ \alpha \in \mathbb{C} \mid \alpha \text{ is algebraic over } \mathbb{Q} \} - \text{subfield of } \mathbb{C}. \\ \mathbb{C} \\ | \\ \bar{\mathbb{Q}} \\ | \\ \mathbb{Q} \end{array}$$

And so, the sum product and inverse of algebraic numbers is algebraic, so they form a subfield. Nice example of this, you take the complex numbers over \mathbb{Q} . And we define $\bar{\mathbb{Q}}$ to be α in \mathbb{C} such that α is algebraic over \mathbb{Q} . So, we get this extension \mathbb{C} over $\bar{\mathbb{Q}}$ which lies over \mathbb{Q} and this is a subfield of \mathbb{C} .

This set of algebraic numbers is actually countable as we had discussed long ago. And so, this $\bar{\mathbb{Q}}$ is a countable subfield of \mathbb{C} , whereas, \mathbb{C} has cardinality equal to the continuum. So, very few elements of \mathbb{C} are actually in $\bar{\mathbb{Q}}$.

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Defn: A field F is said to be algebraically closed if every $f(t) \in F[t]$ is a product of linear factors.

Remark: F is algebraically closed iff every non-constant polynomial in $F[t]$ has a root.

If $f(t)$ has a root α ,
then $f(t) = (t-\alpha)g(t) = (t-\alpha)(t-\beta)h(t) = \dots$

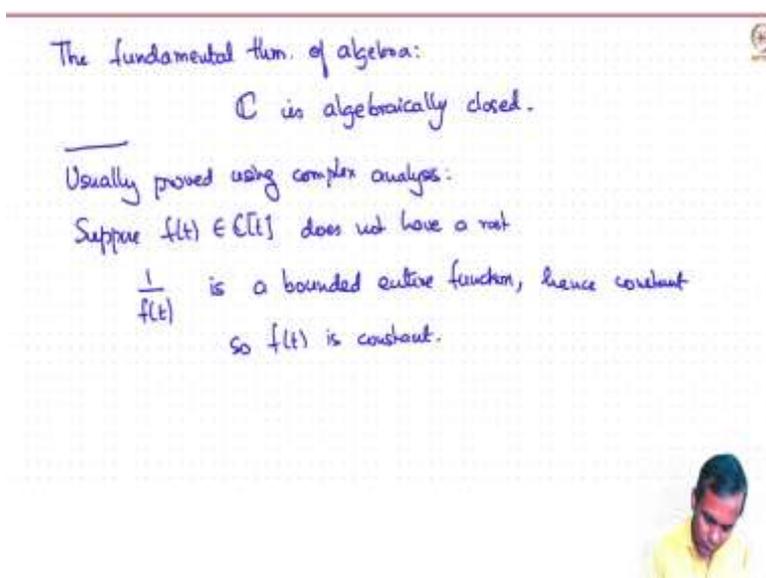


Now, let us talk about algebraically closed fields. So, definition, a field F is said to be algebraically closed if every polynomial with coefficients in F is a product of linear factors. Let me remark that F is algebraically closed if and only if every non constant polynomial has a root. Why is that?

Well, if a polynomial factor into linear factors, then each of those linear factors gives you a root. Conversely, suppose a polynomial has a root. So, if $f(t)$ has a root α , then the factor theorem tells you that $f(t)$ is t minus α times $g(t)$, for some polynomial $g(t)$ whose degree is one less than the degree of $f(t)$.

And now, by our hypothesis, $g(t)$ has a root and so, then $g(t)$ can be written as t minus β into $h(t)$ and so on until finally, you get resolution of $f(t)$ into a product of linear factors, the last thing will be a constant. And so, you will get a constant times linear factor in the form t minus α t minus β and so on. So, to show that a field is algebraically closed, you do not need to show that every polynomial as linear factor, you just need to show that every non-constant polynomial not as a root.

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And very well-known theorem is the fundamental theorem of algebra. It says that, the field of complex numbers is algebraically closed. This is usually proved in the second form; you show that any non-constant polynomial has a root. So, this is usually proved using complex analysis. But it does not use all that much complex analysis, you can find a proof, a complete proof in Michael Orton's algebra book, which usually you prove using complex analysis, suppose $f(z)$ is a polynomial with complex coefficients, and this does not have a root.

Then you can talk about $1/f(z)$. This makes sense as a function on the complex numbers. And it is what is in complex analysis known as a bounded, you have to show this, is a bounded entire function. And by Liouville's theorem, this has to be constant. Which means that $f(z)$ itself is constant. I am just outlining this here, it is always, it is usually done in complex analysis courses.

So, if $f(z)$ is constant, so what it is saying is that if $f(z)$ were not constant, then it would have a root. And therefore, using this inductive arguments that I described earlier, you could keep applying this and show that the polynomial is a product of linear factors. So, the complex numbers are algebraically closed.

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Note: \mathbb{C}
 \downarrow
 $\bar{\mathbb{Q}}$
 \downarrow
 \mathbb{Q}

Claim: $\bar{\mathbb{Q}}$ is an algebraically closed.

Pf: $f(t) \in \bar{\mathbb{Q}}[t]$
 $f(t) = a_0 + a_1t + \dots + a_nt^n, a_0, \dots, a_n \in \bar{\mathbb{Q}}$
 Let $\alpha \in \mathbb{C}$ be a root of $f(t)$
 Then α is algebraic over $\mathbb{Q}(a_0, \dots, a_n)$
 $\therefore [\mathbb{Q}(\alpha) : \mathbb{Q}] \leq [\mathbb{Q}(\alpha, a_0, \dots, a_n) : \mathbb{Q}] < \infty \Rightarrow \alpha \in \bar{\mathbb{Q}}$
 $\therefore f(t)$ has a root in $\bar{\mathbb{Q}}$. \square

$\mathbb{Q}(\alpha, a_0, \dots, a_n)$
 $| \leq n$
 $\mathbb{Q}(a_0, \dots, a_n)$
 $| < \infty$
 \mathbb{Q}

But we can say more. So, we have this situation, we have, we have complex numbers, we have the rationales down here, and in between we have $\bar{\mathbb{Q}}$. And what we can say is that $\bar{\mathbb{Q}}$ is algebraically closed. It is much smaller than the complex numbers, but it is algebraically closed. And the proof, well, we just need to show that every polynomial non constant polynomial in $\bar{\mathbb{Q}}$ has a root.

So, suppose $f(t)$ is equal to $a_0 + a_1t + \dots + a_nt^n$. Now we will use the fact that the complex numbers are algebraically closed. Let α be a root of $f(t)$, $f(t)$ is a polynomial with complex coefficients. So, it is also, so it has a root. And then α is algebraic over the field $\mathbb{Q}(a_0, \dots, a_n)$. So, we are using the same trick again of adjoining the coefficients of the polynomial. And so, we have this situation.

We have $\mathbb{Q}(\alpha, a_0, \dots, a_n)$ sits over $\mathbb{Q}(a_0, \dots, a_n)$ and this is of degree, I guess less than or equal to n , because α satisfies a polynomial of degree n in this field and this sits over \mathbb{Q} and this is of course, finite by the fact that a_0, a_1, \dots, a_n belong to $\bar{\mathbb{Q}}$, so, they are algebraic. And so, this whole extension is finite.

And so, $[\mathbb{Q}(\alpha) : \mathbb{Q}] \leq [\mathbb{Q}(\alpha, a_0, \dots, a_n) : \mathbb{Q}] < \infty$. And so, this as we argued before this is finite. And hence, α belongs to $\bar{\mathbb{Q}}$. So, what we have is at $f(t)$ has a root in $\bar{\mathbb{Q}}$. And that is enough to show that $\bar{\mathbb{Q}}$ is algebraically closed. Every polynomial has a root, every polynomial coefficients in $\bar{\mathbb{Q}}$ has a root in $\bar{\mathbb{Q}}$.

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More generally, suppose K is a field extn, and K is algebraically closed.
Then $\bar{F} := \{\alpha \in K \mid \alpha \text{ is algebraic over } F\}$.
Then \bar{F} is algebraically closed.
 \bar{F} is called the algebraic closure of F in K .
→ \bar{F} is algebraic over F
→ \bar{F} is algebraically closed.

We can do this in more generality, we do not need to use complex numbers more generally. Suppose, we have a field extension and K is algebraically closed. Then you take \bar{F} to be α in K such that α is algebraic over F . Then \bar{F} is algebraically closed. So, \bar{F} is called the algebraic closure of F in K . Sometimes this terminology is used even when K is not algebraically closed.

So, so then you just take the algebraic elements and that is called the algebraic closure of F in K . So, it is a relative, it is relative notion. It is not, it depends very much on what K you are looking at. But if K is algebraically closed, then \bar{F} itself is algebraically closed. It has the two properties. So, it has the following two properties, \bar{F} is algebraic over F .

And because case K is algebraically closed, this argument can be written more generally for any chain of extensions \bar{F} is algebraically closed. These two properties characterise what are called algebraic closures in the abstract, and we will see them in the next lecture.