

**Functional Analysis**  
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**Lecture 2.1**  
**Exercises**

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EXERCISES.

① Let  $C_0$  denote the collection of all sequences converging to zero.  
 Show that  $C_0$  is a closed subspace of  $l_\infty$ .

Sol It is obvious that  $C_0$  is a subspace of  $l_\infty$ .  
 To show it is closed let  $\{x^{(n)}\}$  be a seq. in  $C_0$  s.t.  $x^{(n)} \rightarrow x$  in  $l_\infty$ .  
 To show  $x \in C_0$ . Let  $\epsilon > 0$ .  
 $\exists N$  s.t.  $\forall n \geq N \quad \|x^{(n)} - x\|_\infty < \epsilon/2$ .  
 $\Rightarrow \forall i \quad |x_i^{(n)} - x_i| < \epsilon/2$   
 $x^{(n)} \in C_0 \Rightarrow \exists k$  s.t.  $\forall i \geq k \quad |x_i^{(n)}| < \epsilon/2$ .  
 $\forall i \geq k, |x_i| \leq |x_i^{(n)} - x_i| + |x_i^{(n)}| < \epsilon/2 + \epsilon/2 = \epsilon \Rightarrow x \in C_0$

**Exercise 1.** Let  $C_0$  denote the collection of all sequences (real or complex) converging to zero, show that  $C_0$  is a closed subspace of  $l_\infty$ .

Solution: So  $C_0$  is set of all sequences real sequences, if you are working with the real field, complex sequences if you are working with the complex field. Now, it is certainly a subspace of  $l_\infty$  because if you have two sequences converging to 0 there is sum also converges to 0. If you have a sequence converging to 0 and you multiply every term by  $\alpha$  that will also still converge to 0 and every sequence which is convergent is automatically bounded so it is part of  $l_\infty$ , so it is clear that  $C_0$  is subspace of  $l_\infty$ .

So, we only have to show that it is closed. To show that it is closed, let  $(x^{(n)})$  be a sequence in  $C_0$  such that  $x^{(n)} \rightarrow x$  in  $l_\infty$ . What must you show to show that  $x \in C_0$ ? Let  $\epsilon > 0$ . Then there exists  $N$  such that for all  $n \geq N$ , we have  $\|x^{(n)} - x\|_\infty < \frac{\epsilon}{2}$ . What does this imply? For every  $i$  you have mod

$x_i^{(n)} - x_i < \frac{\epsilon}{2}$ . also  $x^{(N)} \in C_0$ . Therefore, there exists a  $k$  such that for all  $i \geq k$ , we have  $|x_i^{(N)}| < \frac{\epsilon}{2}$  (it is a sequence which goes to 0, therefore, after some stage it can be made as small as you like). Therefore, for all  $i \geq k$ , you have

$$|x_i| \leq |x_i^{(N)} - x_i| + |x_i^{(N)}| \leq \frac{\epsilon}{2} + \frac{\epsilon}{2} = \epsilon.$$

Therefore, for all  $i \geq k$ ,  $|x_i| \leq \epsilon$ . This means that  $x \in C_0$ . So, this completes the proof.

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**Exercise 2.** Show that  $C^1[0,1]$  with the sup-norm is not complete.

Solution: This is just very easy. You have sup-norm is the norm. We have Weierstrass theorem. Weierstrass theorem says if  $f \in C[0,1]$ , then there exists a sequence  $(P_n)$  of polynomials, such that  $P_n \rightarrow f$  uniformly that means  $\|P_n - f\|_\infty \rightarrow 0$ .

This sequence  $(P_n)$  of polynomials are contained in  $C^1[0,1]$  because they are all polynomials, hence infinitely differentiable. Now,  $P_n \rightarrow f$  implies  $(P_n)$  is Cauchy. So, But  $f$  not necessarily in  $C^1[0,1]$ . So, choose  $f \notin C^1[0,1]$ , so it is a continuous function but it is not differentiable, then also you have a sequence  $(P_n)$  of polynomials, such that  $P_n \rightarrow f$  uniformly. So, this sequence will be a Cauchy sequence which converges outside and therefore this space is not complete.

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③ Let  $V = C^1[0,1]$ . Define for  $f \in C^1[0,1]$

$$\|f\|_{C^1} = \max \{ \|f\|_\infty, \|f'\|_\infty \}.$$

Then  $\|\cdot\|_{C^1}$  defines a norm on  $C^1[0,1]$  and this space is complete.

Sol.  $\|f\|_{C^1} \geq 0$ ,  $\forall f \in C^1 \Rightarrow \|f\|_{C^1} = 0 \Rightarrow f = 0$ .

$$f = 0 \Rightarrow \|f\|_{C^1} = 0.$$

$$\|kf\|_{C^1} = |k| \|f\|_{C^1} \text{ obvious.}$$



$$\|kf\|_{C^1} = |k| \|f\|_{C^1} \text{ obvious.}$$

$$\|f+g\|_{C^1} \leq \|f\|_{C^1} + \|g\|_{C^1} \leq \|f\|_{C^1} + \|g\|_{C^1}$$

$$\|(f+g)'\|_{C^1} \leq \|f'\|_{C^1} + \|g'\|_{C^1} \leq \|f\|_{C^1} + \|g\|_{C^1}$$

$$\Rightarrow \|f+g\|_{C^1} \leq \|f\|_{C^1} + \|g\|_{C^1}$$

$\{f_n\}$  Cauchy in  $C^1[0,1] \Rightarrow \{f_n\}$  Cauchy in  $C[0,1]$   
and  $\{f_n'\}$  Cauchy in  $C[0,1]$ .

$$f_n \rightarrow f \text{ in } C[0,1].$$

$$f_n' \rightarrow g \text{ in } C[0,1].$$



$\{f_n\}$  Cauchy in  $C^1[0,1] \Rightarrow \{f_n\}$  Cauchy in  $C[0,1]$   
 and  $\{f_n'\}$  Cauchy in  $C[0,1]$ .  
 $f_n \rightarrow f$  in  $C[0,1]$ .  
 $f_n' \rightarrow g$  in  $C[0,1]$ .  
 $\Rightarrow f$  is diffble &  $f' = g \Rightarrow f_n \rightarrow f$  in  $C^1[0,1]$ .

**Exercise 3.** Now we want to make  $C^1[0,1]$  complete. Let  $V = C^1[0,1]$  and define

$$\|f\|_1 = \max\{\|f\|_\infty, \|f'\|_\infty\}.$$

Then  $\|f\|_1$  defines norm on  $C^1[0,1]$  and this space,  $\|f\|_1 \geq 0$  and norm  $\|f\|_1 = 0$  means  $\|f\|_\infty = 0$  and therefore, in particular, this implies that  $f=0$ . Conversely  $f=0$  implies  $\|f\|_1 = 0$  and  $\|\alpha f\|_1 = |\alpha| \|f\|_1$  is obvious. What about triangle inequality? So, if you have  $\|f+g\|_\infty \leq \|f\|_\infty + \|g\|_\infty \leq \|f\|_1 + \|g\|_1$  and  $\|(f+g)'\|_\infty \leq \|f'\|_\infty + \|g'\|_\infty \leq \|f\|_1 + \|g\|_1$ . Therefore you have the maximum is also true, this means that  $\|f+g\|_1 \leq \|f\|_1 + \|g\|_1$ .

Now, what about the completeness? If  $(f_n)$  is Cauchy in  $C^1[0,1]$  then  $(f_n)$  is Cauchy in  $C[0,1]$  and  $(f_n')$  is Cauchy in  $C[0,1]$ .

So, let us take  $f_n \rightarrow f$  in  $C[0,1]$ , and  $f_n' \rightarrow g$  in  $C[0,1]$ , then what does this mean? We now know convergence in  $\forall \epsilon \exists N$  is the same as uniform convergence. So, you have  $f_n \rightarrow f$ , and  $f_n' \rightarrow g$  uniformly. Then from real analysis we know that that  $f$  is differentiable and  $f' = g$  and therefore this implies that  $f_n \rightarrow f$  in  $C^1[0,1]$  and therefore  $C^1[0,1]$  is complete. (We are using the theorem in analysis, which says that if the derivative converges uniformly and in fact you need much less, if the function converges at one point and the derivative converges uniformly, then we say that the function itself converges uniformly to a differentiable function whose derivative is the limit).

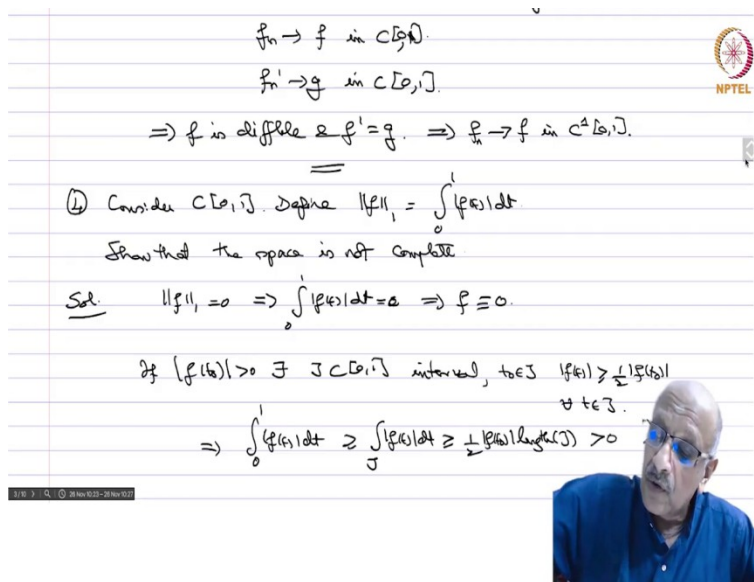
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$f_n \rightarrow f$  in  $C[a,b]$ .  
 $f_n' \rightarrow g$  in  $C[a,b]$ .  
 $\Rightarrow f$  is diffble &  $f' = g \Rightarrow f_n \rightarrow f$  in  $C^1[a,b]$ .

(2) Consider  $C[0,1]$ . Define  $\|f\|_1 = \int_0^1 |f(x)| dx$ .  
 Show that the space is not complete.

Sol.  $\|f\|_1 = 0 \Rightarrow \int_0^1 |f(x)| dx = 0 \Rightarrow f \equiv 0$ .

If  $|f(t_0)| > 0 \exists J \subset [0,1]$  interval,  $t_0 \in J$   $|f(t)| \geq \frac{1}{2}|f(t_0)| \forall t \in J$ .  
 $\Rightarrow \int_0^1 |f(x)| dx \geq \int_J |f(x)| dx \geq \frac{1}{2}|f(t_0)| \text{length}(J) > 0$



**Exercise 4.** Consider  $C[0,1]$  define  $\|f\|_1 = \int_{[0,1]} |f(t)| dt$ . Show that the space is not complete.

Solution:  $\|f\|_1$  is non negative and  $\|\alpha f\|_1 = |\alpha| \|f\|_1$ . Triangle inequality is also trivial. Also, if you

have zero function, the integral is 0, so,  $\|f\|_1 = 0$ . Conversely, if  $\|f\|_1 = 0$  i.e.,  $\int_{[0,1]} |f(t)| dt = 0$ . This

implies that  $f=0$ . Why? Because if  $f$  were not 0 then there exists  $t_0$  such that  $|f(t_0)| > 0$ . Hence,

there exists  $J \subseteq [0,1]$  with  $t_0 \in J$  and  $|f(t)| \geq \frac{1}{2}|f(t_0)|, \forall t \in J$ .

This will imply that  $\int_{[0,1]} |f(t)| dt \geq \int_J |f(t)| dt \geq \frac{1}{2}|f(t_0)| \text{length}(J) > 0$  that is a contradiction. So, we

have that if  $\|f\|_1 = 0$  then  $f=0$ .

So, now we have to show that it is not complete.

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Define  $f_n$  as follows:

$\{f_n\}$  Cauchy.

$$m > n, f_m \leq f_n, \|f_m - f_n\|_1 = \int_0^1 (f_n - f_m) = \frac{1}{2} \cdot \left(\frac{1}{n} - \frac{1}{m}\right)$$

$\Rightarrow \{f_n\}$  Cauchy.

Let  $f_n \rightarrow f$  in  $C[0,1]$  if possible.

$$\int_0^{1/2} |f_n - f| \rightarrow 0 \Rightarrow \int_0^{1/2} f_n \rightarrow \int_0^{1/2} f \rightarrow 0$$

on  $[1/2, 1]$   $f_n \equiv 1 \Rightarrow \int_{1/2}^1 |1 - f| = 0 \Rightarrow f \equiv 1$

on  $[0, 1/2]$

$$\int_0^{1/2} |f_n - f| + \int_{1/2}^1 |f_n - f| \rightarrow 0$$

$$\int_0^{1/2} |f| + \int_{1/2}^1 |f| \rightarrow 0$$

$|f_n| \leq 1$   
 $|f| \leq C$   
 $\int_{1/2}^1 |f_n - f| \leq (4C) \frac{1}{n} \rightarrow 0$

$$\int_0^{1/2} |f| \Rightarrow \int_0^{1/2} f = 0 \Rightarrow f \equiv 0 \text{ on } [0, 1/2]$$

$f \equiv 1 \text{ on } [1/2, 1]$

Define  $(f_n)$  in the following fashion.

$f_n = 0$  on  $[0, \frac{1}{2} - \frac{1}{n}]$  then  $f_n$  is linear on  $[\frac{1}{2} - \frac{1}{n}, \frac{1}{2}]$  and  $f_n = 1$  on  $[\frac{1}{2}, 1]$ .

Now we want to show that the function  $(f_n)$  is Cauchy. Let us assume that  $m > n$ . Then, you have

that  $f_m \leq f_n$  and therefore  $\|f_m - f_n\|_1 = \int_{[0,1]} |(f_m - f_n)(t)| dt = \frac{1}{2} \left(\frac{1}{n} - \frac{1}{m}\right)$ . And this implies that  $(f_n)$  is

Cauchy. So, now let us see whether it converges at all.

Let  $f_n \rightarrow f$  in  $C[0,1]$ . That means  $\int_{[0,1]} |(f_n - f)(t)| dt \rightarrow 0$ . And that implies  $\int_{[0, \frac{1}{2}]} |(f_n - f)(t)| dt \rightarrow 0$  and

$\int_{[\frac{1}{2}, 1]} |(f_n - f)(t)| dt$ . But  $[\frac{1}{2}, 1]$ ,  $f_n = 1$ , therefore,  $\int_{[\frac{1}{2}, 1]} |(f_n - f)(t)| dt = \int_{[\frac{1}{2}, 1]} |1 - f(t)| dt = 0$ . This implies

$f = 1$  on  $[\frac{1}{2}, 1]$ .

So, on  $[0, \frac{1}{2}]$ , we have

$$\int_{[0, \frac{1}{2} - \frac{1}{n}]} |(f_n - f)(t)| dt + \int_{[\frac{1}{2} - \frac{1}{n}, \frac{1}{2}]} |(f_n - f)(t)| dt \rightarrow 0$$

i.e.,

$$\int_{[0, \frac{1}{2} - \frac{1}{n}]} |f(t)| dt + \int_{[\frac{1}{2} - \frac{1}{n}, \frac{1}{2}]} |(f_n - f)(t)| dt \rightarrow 0$$

Now,  $f_n$  are all bounded and they are all less than equal to 1. Further, since  $(f_n)$  converges to  $f$ ,  $|f| \leq C$  (it is a continuous function on a compact set, therefore, it has to be less than equal to

constant). Thus,  $\int_{[\frac{1}{2} - \frac{1}{n}, \frac{1}{2}]} |(f_n - f)(t)| dt \leq (1 + C) \text{length}\left(\left[\frac{1}{2} - \frac{1}{n}, \frac{1}{2}\right]\right) = \frac{(1 + C)}{n} \rightarrow 0$ .

Also, by the Monotone convergence theorem  $\int_{[0, \frac{1}{2} - \frac{1}{n}]} |f(t)| dt \rightarrow \int_{[0, \frac{1}{2}]} |f(t)| dt$ . Therefore,

$\int_{[0, \frac{1}{2}]} |f(t)| dt = 0$ . This means  $f = 0$  on  $[0, \frac{1}{2}]$  and we know that  $f = 1$  on  $[\frac{1}{2}, 1]$  and that is a


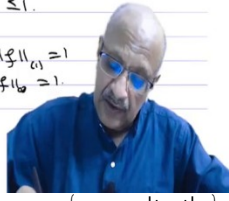
contradiction because you have a continuous function and it is not possible. Therefore,  $(f_n)$  is Cauchy, but it will not converge to anything.

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$\int_0^{1/2} |f| \Rightarrow \int_0^{1/2} |f| = 0 \Rightarrow f = 0 \text{ on } [0, 1/2]$   
 $f = 1 \text{ on } [1/2, 1]$

(5) Let  $C^1[0,1]$  be given the  $\|\cdot\|_1$ .  $\|f\|_1 = \max\{\|f\|_\infty, \|f'\|_\infty\}$   
 Define  $T: C^1[0,1] \rightarrow C[0,1]$   $Tf = f'$   
 Show the  $T$  is cont &  $\|T\| = 1$ .

Sol.  $|Tf(t)| = |f'(t)| \leq \|f'\|_\infty \leq \|f\|_1$   
 $\|Tf\|_\infty \leq \|f\|_1 \Rightarrow T \text{ cont \& } \|T\| \leq 1$   
 $f(t) = t, \|f\|_\infty = 1, \|f'\|_\infty = 1, \|f\|_1 = 1$   
 $f'(t) = 1, \|f'\|_\infty = 1$   
 $\Rightarrow$

**Exercise 5.** Let us consider  $C^1[0,1]$  with the  $\|\cdot\|_1$  i. e.,  $\|f\|_1 = \max\{\|f\|_\infty, \|f'\|_\infty\}$ .

Define  $T: C^1[0,1] \rightarrow C[0,1]$  as  $T(f) = f'$ . Show that  $T$  is continuous and in fact,  $\|T\| = 1$ .

Solution.:  $|T(f)(t)| \leq |f'(t)| \leq \|f'\|_\infty \leq \|f\|_1$ . Therefore,  $\|T(f)\|_\infty \leq \|f\|_1$ . This implies that  $T$  is continuous and  $\|T\| \leq 1$ .

Now, you take  $f(t) = t$  then  $\|f\|_\infty = 1 = \|f'\|_\infty$  and therefore the maximum is realized and therefore you have  $\|T\| = 1$ .