

Functional Analysis
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Lecture 14
Exercises - Part 1

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EXERCISES.

① H-B extn. is not unique. In part., $x_0 \neq 0 \Rightarrow \exists f \in V^*, \|f\|=1, f(x_0)=\|x_0\|$
is not unique.

Ex. \mathbb{R}^2 l_∞^2 ($\mathbb{R}^2, \|\cdot\|_\infty$).

$x_0 = (1,1)$. $f(x,y) = x$ $g(x,y) = y$.

$f, g \in (l_\infty^2)^*$ $\|f\| = \|g\| = 1$ $f(x_0) = g(x_0) = 1 = \|x_0\|_\infty$.

② V nks. strictly convex if $\|f\| = \|g\| \neq 0 \Rightarrow \left\| \frac{f+g}{2} \right\| < \|f\| = \|g\|$

V^* strictly convex \Rightarrow H-B extn. is unique.

W.C.V. Subsp. $f \in W^*$. Assume two H-B extn \tilde{g}, h .

$\tilde{g}|_W = h|_W = f$ $\|g\| = \|h\| = \|f\|$



Exercise 1. The first one Hahn Banach extension is not unique. In particular, $x_0 \neq 0$ implies that there exists $f \in V^*$, $\|f\|=1$, $f(x_0) = \|x_0\|$ $\forall \tilde{f}$ is not unique. So, let us give an example. Let us take $l_\infty^2 = (\mathbb{R}^2, \|\cdot\|_\infty)$ and l_2 infinity, so, this is \mathbb{R}^2 with the norm infinity. Let us take $x_0 = (1,1)$ and $f((x,y)) = x$, $g((x,y)) = y$. Any linear functional is continuous in finite dimension. So, $f, g \in (l_\infty^2)^*$ and then it is very easy to check that $\|f\| = \|g\| = 1$ (because $|f((x,y))| \leq |x| \leq \|(x,y)\|_\infty$ and $|g((x,y))| \leq |y| \leq \|(x,y)\|_\infty$ and then you take $(1,0)$ or $(0,1)$ as a test vector then you will get the maximum is achieved.

So, $f(x_0) = g(x_0) = \|x_0\|_\infty$ and therefore this is not unique.

So, when can we say the extension is unique? So, that is the next exercise.

Exercise 2. We say a normed linear space V is strictly convex, if $\|f\| = \|g\| \neq 0$ implies

$$\left\| \frac{f+g}{2} \right\| < \|f\| = \|g\| \forall \tilde{f}. \text{ If } V^* \text{ is strictly convex, then Hahn Banach extension is unique.}$$

Let W be a subspace and let $f \in W^c$. Assume that we have two Hahn Banach extensions g, h . So, you have g restricted to W equals h restricted to W , which is equal to f and $\|g\| = \|h\| = \|f\|$. So, these are the two Hahn Banach extensions we have.

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Then you look at $\left\| \frac{g+h}{2} \right\| \leq \frac{1}{2}(\|g\| + \|h\|) = \|f\| \vee \|f\|$. On the other hand, $\frac{g+h}{2}$ restricted to W equals f

(since both of them are equal to f) and therefore, $\left\| \frac{g+h}{2} \right\| \geq \|f\|$ (because it is an extension).

Therefore, $\left\| \frac{g+h}{2} \right\| = \|f\| = \|g\| = \|h\| \vee \|f\|$ and that contradicts the fact that V^c is strictly convex.

Therefore, you have the Hahn Banach theorem extension is unique.

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$\left\| \frac{g+h}{2} \right\| \leq \frac{1}{2} (\|g\| + \|h\|) = \|f\|$
 $\frac{g+h}{2} \Big|_W = f \Rightarrow \left\| \frac{g+h}{2} \right\| \geq \|f\|$
 $\therefore \left\| \frac{g+h}{2} \right\| = \|f\| = \|g\| = \|h\|$

(3) W not dense in V , $\overline{W} \neq V$. Then $\exists f \in V^*$ s.t. $f|_W = 0, f \neq 0$.
 Let $x_0 \in V \setminus \overline{W}$. \overline{W} is closed.
 V/\overline{W} $x_0 + \overline{W} \neq 0$
 By H-B $\exists \varphi \in (V/\overline{W})^*$ $\varphi(x_0 + \overline{W}) = \|x_0 + \overline{W}\| \neq 0$.
 $f: V \rightarrow \mathbb{R}$ $f(x) = \varphi(x + \overline{W})$ $f(x_0) = \varphi(x_0 + \overline{W}) \neq 0$



Exercise 3 Let us take a subspace W of a normed-linear space V and $\overline{W} \neq V$. Then there exists a $f \in V^*$ such that $f \neq 0$ and $f|_W$ is identically 0.


So, this is the way of proving subspaces are dense. So, we have proved this using the fact that you had the separation of convex sets. Now, we want to do it using the extension method.

Proof. Let $x_0 \in V \setminus \overline{W}$. Then you can consider $\frac{V}{\overline{W}}$ and you have $x_0 + \overline{W} \neq 0$. So, by Hahn Banach,

there exists a $\phi \in \left(\frac{V}{\overline{W}} \right)^*$ such that $\phi(x_0 + \overline{W}) = \|x_0 + \overline{W}\| \neq 0$ and $\|\phi\| = 1$. Now, we will define

$f: V \rightarrow \mathbb{R}$. We define $f(x) = \phi(x + \overline{W})$. So, in particular, $f(x_0) = \phi(x_0 + \overline{W}) \neq 0$. So, $f \neq 0$. Is it a continuous?

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$$|f(x)| = |\phi(x + \bar{W})| \leq \|\phi\| \|x + \bar{W}\| \leq \|x\|$$

(ε=1)

$$\Rightarrow f \in V^*$$

$$w \in W \quad f(w) = \phi(w + \bar{W}) = \phi(0) = 0.$$

$$f|_W \equiv 0.$$

④ V n.s.p. $W \subset V$ subsp. X f.d. n.s.p. $T: W \rightarrow X$ cont. lin.

Then $\exists \bar{T}: V \rightarrow X$ cont. lin. $\bar{T}|_W = T$

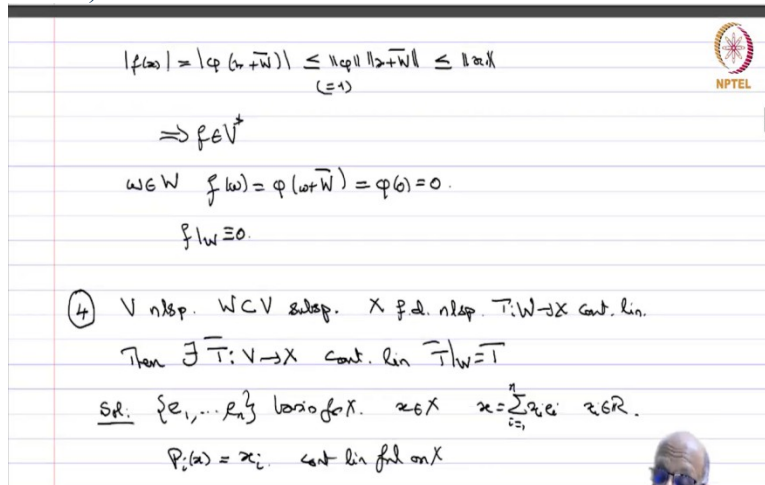
Sol. $\{e_1, \dots, e_n\}$ basis of X . $x \in X$ $x = \sum_{i=1}^n \alpha_i e_i$ $\alpha_i \in \mathbb{R}$.

$P_i(x) = \alpha_i$ cont. lin. fcn on X .



What about $|f(x)| = |\phi(x + \bar{W})| \leq \|\phi\| \|x + \bar{W}\| \leq \|x\|$. Therefore, f is continuous i.e., $f \in V^*$.
 Finally, if $w \in W$, we have $f(w) = \phi(w + \bar{W}) = \phi(0) = 0$. Hence, f restricted to W is identically 0.

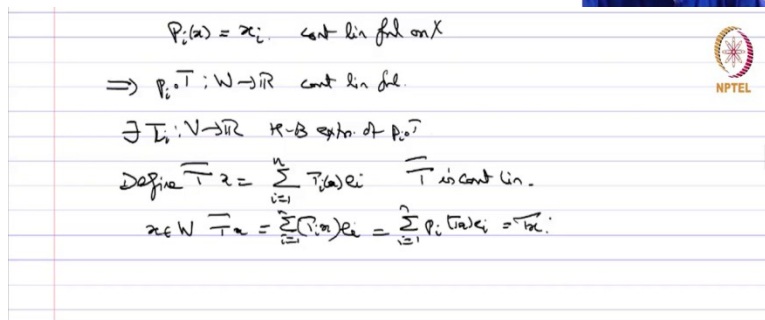
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$|f(w)| = |\varphi(w + \bar{w})| \leq \|\varphi\| \|w + \bar{w}\| \leq \|w\|$
 $(=1)$
 $\Rightarrow f \in V^*$
 $w \in W \quad f(w) = \varphi(w + \bar{w}) = \varphi(0) = 0.$
 $f|_W = 0.$

$(4) \quad V \text{ n.s.p. } W \subset V \text{ subsp. } X \text{ f.d. n.s.p. } T: W \rightarrow X \text{ cont. lin.}$
 Then $\exists \bar{T}: V \rightarrow X \text{ cont. lin. } \bar{T}|_W = T$

s.t. $\{e_1, \dots, e_n\}$ basis of X . $x \in X \quad x = \sum_{i=1}^n \alpha_i e_i \quad \alpha_i \in \mathbb{R}.$
 $P_i(x) = \alpha_i$ cont lin. fun on X

$P_i(x) = \alpha_i$ cont lin. fun on X
 $\Rightarrow P_i \circ T: W \rightarrow \mathbb{R}$ cont lin. fun.
 $\exists \bar{T}_i: V \rightarrow \mathbb{R}$ K-B extn. of $P_i \circ T$
 Define $\bar{T} x = \sum_{i=1}^n \bar{T}_i(x) e_i$ \bar{T} is cont lin.
 $x \in W \quad \bar{T} x = \sum_{i=1}^n (T_i(x)) e_i = \sum_{i=1}^n P_i(Tx) e_i = Tx.$



Exercise 4. Let V be a normed-linear space and W be a subspace in V and X be a finite dimensional normed linear space. Let $T: W \rightarrow X$ be continuous. Then there exists $\bar{T}: V \rightarrow X$ continuous linear continuous, and \bar{T} restricted to W is nothing but T .

So, previously we extended linear functional in the Hahn Banach theorem. Now, we are trying to extend linear operators, but in the case where the Range space is finite dimensional.

Solution. You take a basis $\{e_1, \dots, e_N\}$ for X . So, for any $x \in X$, you can write $x = \sum_{i=1,2,\dots,N} \alpha_i e_i$, $\alpha_i \in \mathbb{R}$. Now you define $P_i(x) = \alpha_i$ (the projection), then this is a continuous linear functional that is immediate. This implies $P_i \circ T: W \rightarrow \mathbb{R}$ is a continuous linear functional. So, there exists

$T_i: V \rightarrow \mathbb{R}$ Hahn Banach extension of $P_i \circ T$. Now you define $\bar{T}(x) = \sum_{i=1,2,\dots,N} T_i(x)e_i$. Then, of course, \bar{T} is continuous linear and if you have $x \in W$, you have $\bar{T}(x) = \sum_{i=1,2,\dots,N} T_i(x)e_i = \sum_{i=1,2,\dots,N} P_i(T(x))e_i = T(x)$. So, this proves.

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⑤ V nosp $W \subset V$ fin diml. Then $\exists Z$ subsp. closed
s.t. $V = W \oplus Z$.
 $id: W \rightarrow W$ cont lin.
By Ex 4 $\exists P: V \rightarrow W$ s.t. $P|_W = id$.
 P cont lin.
 $Z = \{x \mid Px = 0\} \Rightarrow Z$ closed.
 $x \in W \cap Z \begin{cases} Px = x \\ Px = 0 \end{cases} \Rightarrow x = 0 \quad W \cap Z = \{0\}$.
 $x \in V \quad P(x - Px) = Px - Px = 0 \quad x - Px \in Z$.
 $V = W \oplus Z$

Exercise 5. Let V be normed-linear space and $W \subseteq V$ finite dimensional subspace. Then there exists a subspace Z which is closed such that $V = W \oplus Z$.

Now, W is finite dimensional so it is already closed. Now, given any subspace in linear algebra, you know, we can extend the basis and therefore write V as a direct sum of W and Z . But we are asking something more here we want Z to be also closed.

Now, this is a non-trivial problem generally. Given a closed subspace can you find another closed subspace such that the direct sum of these two is in fact the whole space? This is a very important question in function analysis, we are answering it partially here, namely, if W is finite dimensional.

Solution. Take the identity map $Id: W \rightarrow W$. This is continuous, linear and since W is finite dimensional, therefore, by Exercise 4, there exists a $P: V \rightarrow W$ such that P restricted to W is Id . P is of course continuous. Now, you define $Z = \{x: P(x) = 0\}$. So, Z is closed. Suppose $x \in W \cap Z$. Then you have that $P(x) = x \wedge P(x) = 0$. This implies that $x = 0$. Therefore, $W \cap Z = \{0\}$. Now, you take any $x \in V$. Then

$$P(x)$$

Thus, $x - P(x) \in Z$. Therefore, $V = W \oplus Z$.


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(6) Every f.d. sp is reflexive.

V fin diml. $\dim V = \dim V^* = \dim V^*$

$x \in V$ $J_x(f) = f(x)$ $J: V \rightarrow V^*$ isometry
 $i.e. J$ is 1-1

$\Rightarrow J$ onto
 $\Rightarrow V$ reflexive




Exercise 6. Every finite dimensional space is reflexive.

Solution. Let V be finite dimensional. Then $\dim V = \dim V^* = \dim V^{**}$. Now, J is the canonical mapping. So, for $x \in V$, $J_x(f) = f(x)$. So, $J(x) = J_x$ is a mapping from V to V^{**} , which is an isometry i.e., J is 1-1 also. V, V^{**} are of same dimension implies J is onto and therefore, we have these V is reflexive. So, every finite dimensional space is automatically reflexive.

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$x \in V$ $J_x(f) = f(x)$ $J: V \rightarrow V^*$ isometry
 $i.e. J$ is 1-1

$\Rightarrow J$ onto
 $\Rightarrow V$ reflexive


(7) $C_0^* = l_1$, $y \in l_1$, $y = (y_1, \dots, y_i, \dots)$

$f_y(x) = \sum_{i=1}^{\infty} x_i y_i$, $x \in C_0 \subset l_{\infty}$

$\|f_y(x)\| \leq \|x\|_{\infty} \sum |y_i| = \|x\|_{\infty} \|y\|_1$

$f_y \in C_0^*$, $\|f_y\| \leq \|y\|_1$

Now let $\phi \in C_0^*$ $e_i = (0, \dots, 1, \dots, 0, \dots) \in C_0$ $y_i = \phi(e_i)$




Exercise 7. Show that $C_0^* = l_1$.

Solution. You take any $y = (y_1, y_2, \dots) \in l_1$ and define $f_y(x) = \sum_{i=1, \dots, \infty} x_i y_i$, $x \in C_0 \subset l_{\infty}$.

So, $|f_y(x)| \leq \|x\|_\infty \|y\|_1$. Therefore, $f_y \in C_0^i$ and $\|f_y\| \leq \|y\|_1$. Now, let $\phi \in C_0^i$. We want to show that every element in C_0^i occurs in this way. You take $e_i = (0, \dots, 1, 0, 0, \dots) \in C_0$ and then you take $y_i = \phi(e_i)$.

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Now let $\phi \in C_0^*$ $e_i = (0, \dots, 1, \dots)$ $y_i = \phi(e_i)$
 $\in C_0$

Fix k



$$x_i^{(k)} = \begin{cases} 0 & \text{if } i=0, 1 \leq i \leq k. \\ y_i / \|y\|_1 & \text{if } i \neq 0, 1 \leq i \leq k. \\ 0 & \text{if } i > k. \end{cases}$$

$\Rightarrow x^{(k)} \in C_0$ $\phi(x^{(k)}) = \sum_{i=1}^k y_i$ $\|x^{(k)}\|_\infty = 1.$

$$\sum_{i=1}^k |y_i| \leq \|\phi\| \|x^{(k)}\| = \|\phi\|.$$

$\Rightarrow y = (y_1, \dots, y_2, \dots) \in l_1$ $\|y\|_1 \leq \|\phi\|.$

$x \in C_0$ $x = (x_1, \dots, x_k, 0, \dots) \in C_0$
 $\|x - x^{(k)}\|_\infty = \max_{i > k} |x_i| \rightarrow 0 \quad \because x \in C_0.$

$$x_i^{(k)} = \begin{cases} y_i / \|y\|_1 & \text{if } i \neq 0, 1 \leq i \leq k. \\ 0 & \text{if } i > k. \end{cases}$$

$\Rightarrow x^{(k)} \in C_0$ $\phi(x^{(k)}) = \sum_{i=1}^k y_i$ $\|x^{(k)}\|_\infty = 1.$



$$\sum_{i=1}^k |y_i| \leq \|\phi\| \|x^{(k)}\| = \|\phi\|.$$

$\Rightarrow y = (y_1, \dots, y_2, \dots) \in l_1$ $\|y\|_1 \leq \|\phi\|.$

$x \in C_0$ $x = (x_1, \dots, x_k, 0, \dots) \in C_0$
 $\|x - x^{(k)}\|_\infty = \max_{i > k} |x_i| \rightarrow 0 \quad \because x \in C_0.$

$\phi(x) = \lim \phi(x^{(k)}) = \sum_{i=1}^\infty x_i y_i = f_y(x)$ $\phi = f_y.$

$\|y\|_1 \leq \|\phi\| = \|f_y\| \leq \|y\|_1 \Rightarrow \|\phi\| = \|y\|_1$
 $y \mapsto f_y$ isomorphism $l_1 \rightarrow C_0^*.$

Now you fix a positive integer k and define

$$x_i^{(k)} = 0 \text{ if } y_i = 0, 1 \leq i \leq k. \quad x_i^{(k)} = \frac{y_i}{\delta y_i \vee \delta} \text{ if } y_i \neq 0, 1 \leq i \leq k. \quad x_i^{(k)} = 0 \text{ if } i > k. \quad \delta$$

Therefore, automatically $x^{(k)} \in C_0$ and it converges to 0. Now, $\phi(x^{(k)}) = \sum_{i=1, \dots, k} \delta y_i \vee \delta$ and

$\|x^{(k)}\|_\infty = 1.$ Therefore, $\sum_{i=1, \dots, k} \delta y_i \vee \delta \leq \|\phi\| \|x^{(k)}\|_\infty \leq \|\phi\| \cdot \delta$ So, this is true for all k and therefore,

$y \in l_1$ and $\|y\|_1 \leq \|\phi\|.$ Now let $x \in C_0$ and you define $x_{(k)} = (x_1, \dots, x_k, 0, 0, \dots) \in C_0$ and

$\|x - x_{(k)}\|_\infty = \max_{i > k} |x_i| \rightarrow 0$ since $x \in C_0$ (this is exactly the place where $l_\infty = l_1$ does not work).

Therefore, you have that $\phi(x) = \lim \phi(x_{i_k}) = \sum_{i=1,2,\dots,\infty} x_i y_i = f_y(x)$ i.e., $\phi = f_y$. Therefore, $\|y\|_1 \leq \|\phi\| = \|f_y\| \leq \|y\|_1$. Thus, $\|y\|_1 = \|\phi\|$. So, $y \mapsto f_y$ is an isometric isomorphism from l_1 to C_0^c and therefore, C_0^c is identified with l_1 .