

Introduction to Galois Theory
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Module: 01

Lecture 05: Review of field theory – Part I

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Introduction to Galois Theory

Review of field theory - Part I

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Welcome to the course, so far, we have revised the basics of group theory, ring theory, in the last few videos, today I want to do the final topic of revision before we start Galois Theory and that is fields. So, I want to spend maybe one or two classes, just giving you a basic overview of the field theory that we are going to study.

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The image shows a whiteboard with handwritten mathematical notes. At the top, it says 'Fields: main examples of fields are:'. Below this, it lists '• $\mathbb{Q}, \mathbb{R}, \mathbb{C}$, and fields between them' with 'Characteristic 0' written in red. To the right, it says 'For a prime $p \in \mathbb{Z}$, consider $\mathbb{Z}/p\mathbb{Z}$ and its extension:'. Below $\mathbb{Z}/p\mathbb{Z}$ is \mathbb{F}_p . An arrow points from $\mathbb{Z}/p\mathbb{Z}$ to '(Finite field)' with 'char p' written below it. On the left side of the whiteboard, there is a boxed section that says 'Recall: given any ring R , there exists a unique ring hom $\mathbb{Z} \rightarrow R$. The kernel of $\varphi = n\mathbb{Z}$ for some nonnegative int n ; $\text{char}(R) = n$ '.

So, we all know what fields are, I recall that definition. So the main examples of fields that I want to talk about today, and these are things that, things you should keep in mind are the following. So we will look at the fields of characteristic zero, namely \mathbb{Q} , \mathbb{R} , \mathbb{C} and, and fields between them.

So I will explain in a minute what I mean by that. But these are fields that are contained let us say between \mathbb{Q} and \mathbb{R} . So these all have characteristic zero. So, if you recall from your ring theory and field theory courses, characteristic means maybe I will just quickly recall this.

Given any ring and I will mention again though, this is a standard assumption throughout the course, ring for us is always commutative with unity, there exists a unique ring homomorphism from \mathbb{Z} to R , the kernel of this which is, which is an ideal of \mathbb{Z} , let us say ϕ is the form $n\mathbb{Z}$ for some positive integer n , then the characteristic of R is defined to be n that is the revision.

So, characteristic is, sorry some I should not say positive integer, I should say non negative integer because kernel could be zero ideal. So, n is a non negative integer characteristic is that, so, if you further now that R is a field in which case the kernel of, so image of this map (ϕ) (2:51) is an integral domain because the sub ring of a field is an

integral domain. So, the kernel will be a prime ideal, so, it is either zero or generated by a prime number. So, the characteristic of a field is always zero or a prime number.

Now, the other important class of field is $\mathbb{Z} \text{ mod } p\mathbb{Z}$, let us say p is a prime, consider $\mathbb{Z} \text{ mod } p\mathbb{Z}$ which we denote by \mathbb{F}_p and its extensions. So, I am going to recall the main theorem of finite fields, later on, so this is characteristic p . So, this is a finite field in particular, this is a finite field, its extensions can be infinite but we, this class of finite fields is very important for us. So, these are the fields.

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Some nonnegative int n ;
 $\text{char}(R) = n$

- When we study groups, we look at subgroups
- When we study rings, we look at ideals

- When we study fields, we look at field extensions

So, I sort of mentioned this in the previous video. So, when we study, when we study groups, we look at subgroups, this is the object that is often interesting for us, so to understand a group we look at its subgroups. When we study rings we look at ideals, sub rings not that interesting in ring theory.

So, we look at ideals and when we study fields, we also look at subfields but, unlike in the group case, the ambient ring and subgroups when you study them, ambient ring is the most important one, here we study field extensions, more so both the bigger field and the smaller field have equal importance. So we look at field extensions.

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When we study fields, we look at field extensions.

$$\begin{array}{c} K \\ | \\ F \end{array} \text{ or } K/F \text{ or } K \supseteq F \text{ or } F \subseteq K$$

all these mean: F, K are fields and $F \subseteq K$.

eg: $\begin{array}{c} R \\ | \\ Q \end{array}$, $\begin{array}{c} C \\ | \\ R \end{array}$, $\begin{array}{c} Q(\sqrt{2}) \\ | \\ Q \end{array}$, $\begin{array}{c} F_4 \\ | \\ F_2 \end{array}$: a field of order 4
 F_2 : a field of order 2

So, this is typically what, I mean the most important notation that we use when we study field, fields or field extensions, so I will write down all the kinds, different kinds of notations I am going to use. So, what do I mean by any of these symbols? So, all these mean F and K are fields and F is containing K . So, to illustrate that we write any of these symbols.

For example, we write, R over Q or C over R and Q root 2 over Q etcetera, so or F_4 over F_2 , so this is a field of order 2, this is a field of order 4. So, these are all examples of field extensions, which is the primary object that we study in field theory. And in fact, that is a primary object that we are going to study in this course on Galois theory as well.

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Let K/F be a field extension. Let $\alpha \in K$.
Then $F[\alpha] :=$ smallest subring of K containing both F and α .
 $F(\alpha) :=$ smallest subfield of K containing both F and α .
Have: $F \subseteq F[\alpha] \subseteq F(\alpha) \subseteq K$ (check)


The image shows a whiteboard with handwritten mathematical definitions. At the top right, there is a small circular logo with the text 'NPTEL' below it. The handwriting is in black ink. The definitions for $F[\alpha]$ and $F(\alpha)$ are clearly stated. At the bottom, a chain of inclusions is written, with the word '(check)' in red ink next to the final inclusion.

So, let me just quickly recall some of the fundamental things about this. So, let K over F be a field extension, by which I mean as I indicated here K and F are fields and F contains F is contained in K , the one in bottom is the smaller one, the one above is the bigger one and let α be an element of the bigger field, then we define two important things.

So $F[\alpha]$ is the smallest sub ring of K containing both F and α and other important sub ring, sorry, it is in fact a field is $F(\alpha)$, it is this smallest sub field of K containing both F and α . So, these are two important things, so, we have always this chain of inclusions.


So, you have F , $F[\alpha]$ and contains $F(\alpha)$ because, if $F[\alpha]$ is the smallest sub ring containing F and α , $F(\alpha)$ is a smallest subfield, so, it is also a ring. So, it contains $F[\alpha]$, this one can check okay.

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Have: $F \subseteq F[\alpha] \subseteq F(\alpha) \subseteq K$ (check)

Another description:

$$F[\alpha] = \{ \text{polynomials in } \alpha \text{ with coefficients in } F \}$$
$$= \{ a_n \alpha^n + \dots + a_1 \alpha + a_0 \mid a_i \in F, n \geq 0 \text{ integer} \}$$
$$F(\alpha) = \text{quotient field of } F[\alpha] = \left\{ \frac{f(\alpha)}{g(\alpha)} \right\}$$


So, any sub ring of K containing both F and α contains $F[\alpha]$ and this is clear, another description of this is the following. $F[\alpha]$ consists of polynomials in α with coefficients in F . So, in other words it consists of all things of the form $a_n \alpha^n + \dots + a_1 \alpha + a_0$ a_i are in F and n is a non negative integer. That happens to be a ring, every ring that contains capital F and small α must contain all such polynomials and it happens to be a ring. So, this is the smallest sub ring containing this and this is the quotient field of $F[\alpha]$.

This is in fact ratios of polynomials. So, these are $F[\alpha]$ divided by $G[\alpha]$, where F and G are in capital $F[X]$, so, there single variable polynomials over capital F and we want $G[\alpha]$ to be nonzero. So, this also justifies this chain of inclusions. Now, this is very general. What I now want to do is to understand a little bit more about what these sub rings and sub fields are.


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$f(\alpha) = \text{quiere } 1 \text{ ... } (g(\alpha) | \text{ quere } \dots$

Def: Let K/F be a field extension, and let $\alpha \in K$.

Then α is algebraic over F if there exists a poly $f(x) \in F[x]$ s.t. $f(\alpha) = 0$.


If α is not algebraic over F , then we say α is



s.t. $f(\alpha) = 0$.

If α is not algebraic over F , then we say α is transcendental over F :

eg: $\sqrt{2}$ is alg over \mathbb{Q} ($f(x) = x^2 - 2$)
 π is trans. over \mathbb{Q} (Fact)



Now, an important definition. So, let again K over F be a field extension, everything that you study in a field theory starts with some statement like this, let K over a F be a field extension, because field theory is really a study of field extensions and let α be in K . So, you have an element in the bigger field.

Then α is algebraic over F if there exists a polynomial $f(x)$ in capital $F[x]$ such that $f(\alpha) = 0$. So, we say that α is algebraic over F , this entire phrase is important, as we will see being algebraic is a statement about the element and the base field, if, so just to

complete the definition, if α is not algebraic over F meaning there is no such polynomial which has α as a root, then we say α is transcendental over F .

So, examples, $\sqrt{2}$ is algebraic over \mathbb{Q} , take $f(x)$ to be $x^2 - 2$. π is transcendental over \mathbb{Q} , this is a fact, it requires it is a theorem in mathematics that there is no algebraic, I mean there is no polynomial over rational numbers which satisfies α which, which has π as a root. So, these are important notions for us.


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π is trans. over \mathbb{Q}

Facts: K/F field ext, $\alpha \in K$

(1) $L := \{ \alpha \in K / \alpha \text{ is alg. over } F \}$ is a subfield of K containing F .

K
|
 $L = \{ \text{alg. elts over } F \}$
|
 F




Then α is algebraic over F if there exists a poly $f(x) \in F[x]$ s.t. $f(\alpha) = 0$.

If α is not algebraic over F , then we say α is transcendental over F .

α is alg. over F

eg: $\sqrt{2}$ is alg. over \mathbb{Q} ($f(x) = x^2 - 2$)
 π is trans. over \mathbb{Q} (Fact)

Facts: K/F field ext, $\alpha \in K$



So, now I am going to write down a series of facts, which again you learn so, remember again, this is not supposed to be an exhaustive revision, I am just listing important facts so that it gives you a way of what kind of things we need from field theory so maybe I will just label them like this.

One, if α is so again, maybe I will just make a global assumption, K over F is a field extension, α is in F , sorry, α is in K . If you define L to be α in K such that α is algebraic over F , this is my notation. So, we say, we write, for this we write α is algebraic over F , just a shortcut for me, so that I do not need to write the full sentence.

So, if α is algebraic over F , you take all such elements. So of course, F contains this, is a subfield so, the statement is that it is a field, this set is a field of K containing F . So, we always have given a field extension, we have L in between, in the middle. So this is a set of algebraic elements over F .

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Facts: K/F field ext, $\alpha \in K$

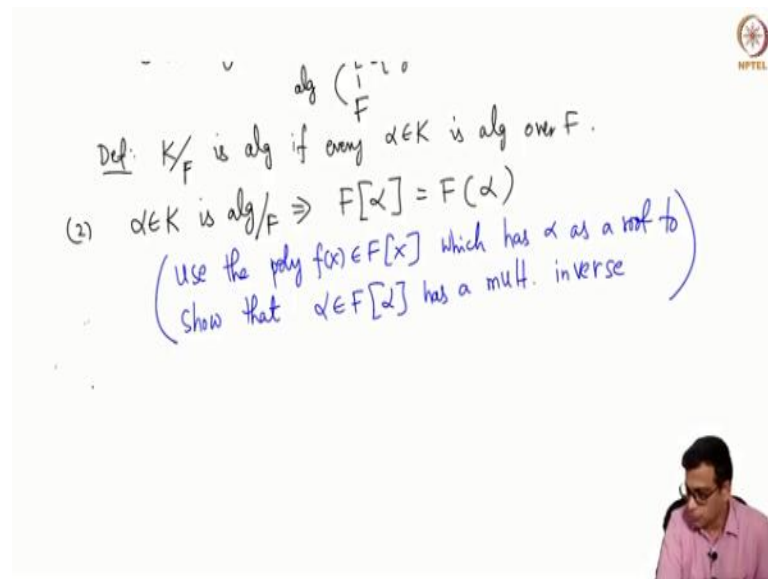
(1) $L := \{ \alpha \in K \mid \alpha \text{ is alg over } F \}$ is a subfield of K containing F .

Diagram: K at the top, L in the middle, F at the bottom. L is labeled as $\{ \text{alg elts over } F \}$ and L is algebraic over F .

Def: K/F is alg if every $\alpha \in K$ is alg over F .

So, we say that definition, the field extension itself is algebraic, if every α in K is algebraic over F . So, so, this in particular is an algebraic extension, because everything in L is by definition algebraic over F . So, we say it is algebraic.

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Def: K/F is alg if every $\alpha \in K$ is alg over F .

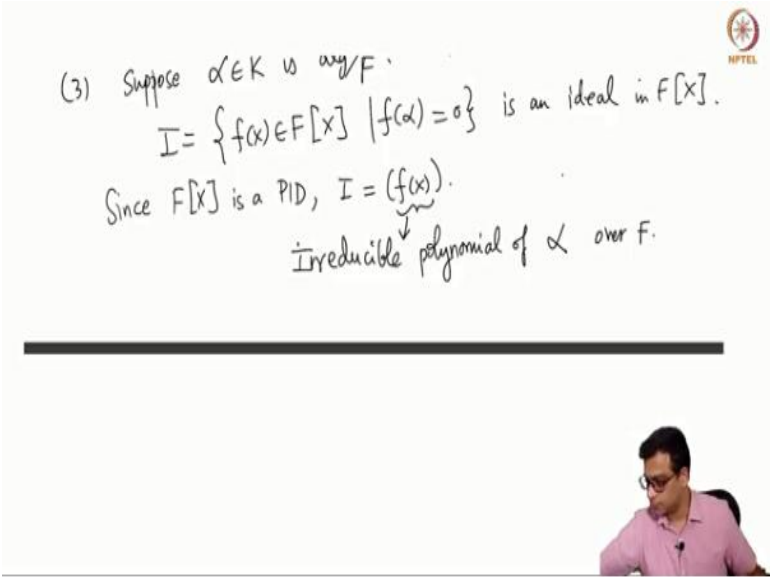
(2) $\alpha \in K$ is alg/ $F \Rightarrow F[\alpha] = F(\alpha)$

(Use the poly $f(x) \in F[x]$ which has α as a root to show that $\alpha \in F[\alpha]$ has a mult. inverse)

Second fact is, if α in K is algebraic over F , then the smallest field containing F and α is same as the smallest ring containing F and α . So, this is an important fact so, when we are dealing with algebraic elements, we do not distinguish between square bracket and round bracket.

So, here, the idea is that use the polynomial F , I am not going to prove this fact, but I will simply say that, use the polynomial effects which has α as a root, to show that α in F square bracket α has an inverse, has a multiplicative inverse, as a multiplicative inverse, that means it is a unit. So F square bracket α already happens to be a field so, that is what we take.

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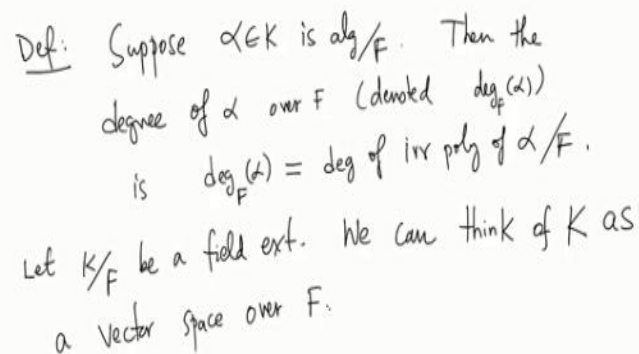


(3) Suppose $\alpha \in K$ is alg/F.
 $I = \{f(x) \in F[x] \mid f(\alpha) = 0\}$ is an ideal in $F[x]$.
Since $F[x]$ is a PID, $I = (f(x))$.
Irreducible polynomial of α over F .

So now, so some other things, these are not facts really but I am introducing new things to you. So, let suppose α in K is algebraic over F so, then I equal to all polynomials in fx which have α as a root is an ideal in FX and from the previous videos we know that FX is a PID because F is a field the polynomial ring in one variable over a field is a PID.

So, I is generated by a single element and this is called the irreducible polynomial and that will be irreducible that is one, that is a fact one can check, it is the, it is called the irreducible polynomial of fx over capital F , sorry, irreducible polynomial of α over capital F .

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Def: Suppose $\alpha \in K$ is alg/F. Then the degree of α over F (denoted $\deg_F(\alpha)$) is $\deg_F(\alpha) = \deg$ of irr poly of α/F .
Let K/F be a field ext. We can think of K as a vector space over F .

So, I am going to give examples of all these things and definition, degree if so, let suppose, suppose α in K is algebraic over F , then the degree of α , I am going to, degree sub F so, maybe I will first write it in words, degree of α over F , it is denoted $\deg_F(\alpha)$ so, $\deg_F(\alpha)$ is, is by definition degree of irreducible polynomial of α over F . So, that is a degree and let me just complete the review and then we will spend some time giving examples.

So, let us say K or F is a field extension. We can think of or we can consider K as a vector space over F because, what is an F vector space? F is a field and F vector space is an abelian group, which admits multiplication by F , elements of F , namely scalar multiplication. Of course, K is a field so, it is an abelian group and you can multiply two elements of K among themselves. So, certainly you can multiply an element of K by an element of F , so it is a K vector space.

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Let K/F be a field extension.
a vector space over F .
The degree of K/F , denoted $[K:F]$ is
 $[K:F] = \dim$ of K as a F -vector space.



The degree of this field extension denoted by this symbol is dimension of K as a F vector space. So, that is the degree of field extensions, extension. So, I think I have essentially recalled the main things for now that I want to discuss. So, go ahead, let us go ahead and discuss various examples.

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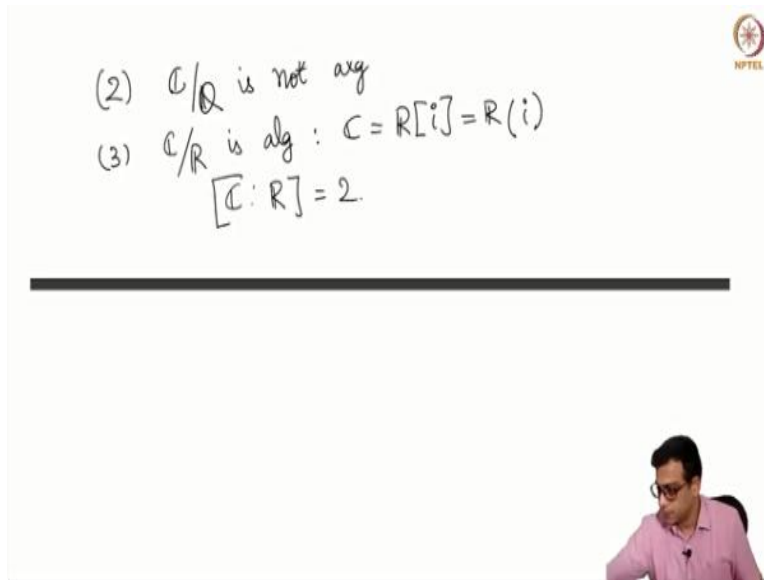
a vector space over F .
The degree of K/F , denoted $[K:F]$ is
 $[K:F] = \dim$ of K as a F -vector space.
Examples: (1) \mathbb{R}/\mathbb{Q} is not alg ($\because \pi \in \mathbb{R}$ is trans. over \mathbb{Q})



First one, we know that \mathbb{R} over \mathbb{Q} is not algebraic, the field extension \mathbb{R} over \mathbb{Q} is not algebraic. Remember an algebraic extension is an extension where every element of the

bigger field is algebraic over the smaller field. So, even if there is one transcendental element, the extension will not be algebraic. So, the reason here is π in \mathbb{R} is, sorry, π in the real numbers is transcendental over \mathbb{Q} . So, what about \mathbb{C} over \mathbb{R} ? So, first let us do \mathbb{C} over \mathbb{Q} , is also not algebraic, because π is a complex number also.

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(2) \mathbb{C}/\mathbb{Q} is not alg
(3) \mathbb{C}/\mathbb{R} is alg : $\mathbb{C} = \mathbb{R}[i] = \mathbb{R}(i)$
 $[\mathbb{C}:\mathbb{R}] = 2$

So, there is an transcendental element whereas \mathbb{C} over \mathbb{R} is algebraic. The reason is \mathbb{C} is in fact \mathbb{R} square bracket i , which we agree is the same as \mathbb{R} round bracket i . In fact, we know that \mathbb{C} colon \mathbb{R} is just 2. So, this is the degree of that field extension.

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(4) $\mathbb{Q}[\sqrt{2}] = \mathbb{Q}(\sqrt{2})$ is alg over \mathbb{Q}

\mathbb{R}
100
 $\mathbb{Q}(\sqrt{2})$
12
 \mathbb{Q}

$\mathbb{Q}(\sqrt{n})$
alg $\forall n$
 \mathbb{Q}



Let K/F be a field ext. We can think of K as
a vector space over F .

The degree of K/F , denoted $[K:F]$ is $\frac{K}{[K:F]}$ is F

$[K:F] = \dim$ of K as a F -vector space.

Examples: (1) \mathbb{R}/\mathbb{Q} is not alg ($\because \pi \in \mathbb{R}$ is trans. over \mathbb{Q})

(2) \mathbb{C}/\mathbb{Q} is not alg

$\mathbb{C} = \mathbb{R}[i] = \mathbb{R}(i)$





$$(2) \sim \mathbb{Q} \sim$$

$$(3) \mathbb{C}/\mathbb{R} \text{ is alg : } \mathbb{C} = \mathbb{R}[i] = \mathbb{R}(i)$$

$$[\mathbb{C}:\mathbb{R}] = 2$$

$$\begin{cases} [\mathbb{C}:\mathbb{Q}] = \infty \\ [\mathbb{R}:\mathbb{Q}] = \infty \end{cases} \quad \{\pi, \pi^2, \pi^3, \pi^4, \dots, \pi^{100}, \dots\} \text{ is lin ind}/\mathbb{Q}$$

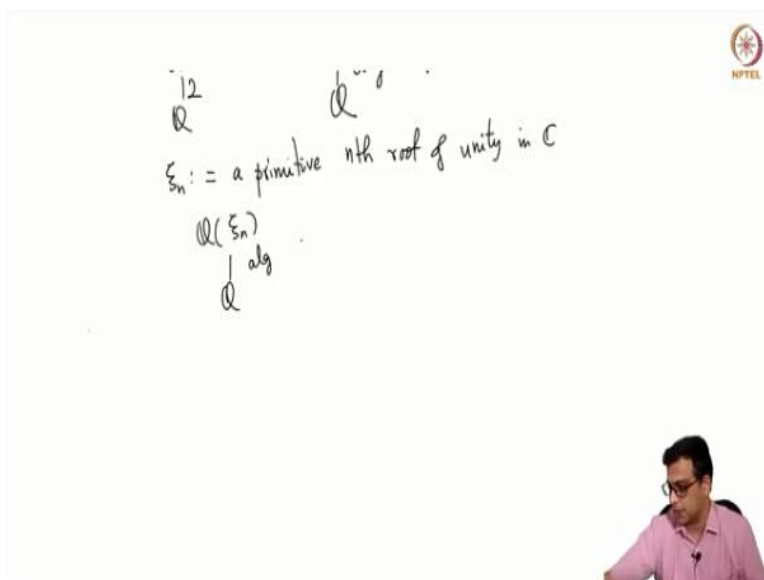
$$(4) \mathbb{Q}[\sqrt{2}] = \mathbb{Q}(\sqrt{2}) \text{ is alg over } \mathbb{Q}$$



So, let us now continue so, \mathbb{Q} square bracket root 2 is same as \mathbb{Q} round bracket root 2, because root 2 is algebraic over \mathbb{Q} , so, what we have is $\mathbb{R} \mathbb{Q}$ root 2, \mathbb{Q} and also when I defined the degree of a field extension, the terminology is that you put that number here. So, on the bar so, for example, here you will write \mathbb{C} , \mathbb{R} and 2 represents the fact that it is degree 2, so, this is 2 and this of course, is infinite.

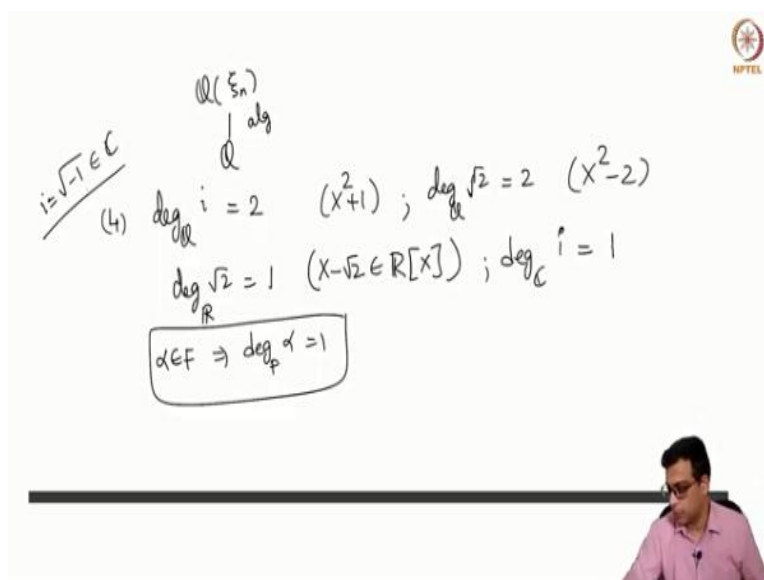
So, every time you have non algebraic extensions it is an infinite dimensional vector space. So, that is because π , π square, π cube, π power 4, π power 100 and so, on the set is algebraic is linearly independent over \mathbb{Q} , that is the meaning of being transcendental, if there is a linear relation that gives you a polynomial for which π is a root. So, this is infinite dimensional vector spaces. So, similarly, this generalizes to \mathbb{Q} is algebraic for all n .

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So, some other examples, if you take ζ_n be a primitive n th root of unity in \mathbb{C} , so then that is an algebraic extension, we will learn later, what the degrees if n is prime, the degree is just n minus one but otherwise you have to, it is the Euler function, which at some point we will discuss.

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So now, let us talk about degrees on some specific elements. So I am going to recall what is degree of i over \mathbb{Q} , i being the square root of minus one, complex square root of, one of

the square root of minus one, degrees clearly two because irreducible polynomial here is $X^2 + 1$.

What about degree of root 2 over \mathbb{Q} ? This is also 2, here irreducible polynomial is $X^2 - 2$, what is the degree of root 2 over \mathbb{R} ? I claim this is 1 because $X - \sqrt{2}$ is a polynomial over the base field which satisfies root 2. So the degree of the irreducible polynomial is just 1.

So in general, of course, if you have α in F , then degree of α over F is always 1. So degree, sort of tells you how far away it is. If its degree is a positive number, its one means it is in the field itself. Similarly, degree of i over \mathbb{C} is 1.

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Multiplicative property of degree:

Let K
 $|$
 L
 $|$
 F

be field extensions

Then $[K:F] = [K:L][L:F]$.

This is very useful.

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And the final thing that I want to mention here is the multiplicative property of degree. This is an important result for us, which we constantly use. So, this says that let you have K, L, F , be field extensions, then what we have is the degree of K over F . So, remember this means K is a field containing L , L is a field containing F .

So, the degree of K over F is the product of degree of K over L times degree of L over F and this formula holds even if one of them is infinite, so, with the understanding that infinity times any number is infinity. So, if $[K:F]$ is infinity, if this is infinity, one of these must be infinity. Otherwise, if these are both finite numbers, this is also finite.

number. So, this is most useful to us when everything is a finite extension and we constantly use this. So, and this tells you for example, useful this is very useful so, that is what I am saying, this is very useful.

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Let K be field extension of F via L .
 $[K:F] = [K:L][L:F]$.
 eg: Show that $\sqrt[5]{2} \notin \mathbb{Q}(\sqrt[5]{2})$.
 $\mathbb{Q}(\sqrt[5]{2})$ Irr poly of $\sqrt[5]{2}$ over $\mathbb{Q} = X^5 - 2 \in \mathbb{Q}[X]$.
 (Note: $\sqrt[5]{2}$ is a fifth root of 2, and $X^5 - 2$ is irreducible by Eisenstein's criterion.)

So, for example, let us apply this to the following situation, show that root 2 is not in \mathbb{Q} adjoin fifth root of 2. So, this is a let us take this is a fifth root of, there are lots of fifth roots of 2 in \mathbb{C} , I take one of them in fact, I can take real fifth root, I claim that root 2 is not there.

See this kind of statement if you try to do it from first principles, it is a bit tricky, because you have to work with arbitrary elements of \mathbb{Q} adjoin fifth root of 2 and so that none of them has the property that it square is 2, whereas if you use the degree, multiplicativity of degree, this becomes very easy, because what is the degree of this extension? What is irreducible polynomial of fifth root of 2 over \mathbb{Q} ?

This is nothing but $X^5 - 2$, this is because $X^5 - 2$ is a polynomial in $\mathbb{Q}[X]$, which satisfies, which, which has fifth root of 2 as a root because if you apply, if you plug in X equal to fifth root of 2, you get zero and this is irreducible by Eisenstein criteria, which I recalled last week, last time.

So, this is irreducible, and it has a fifth root of 2 as a unit, it is monic which usually you take irreducible polynomial to be the monic because you can always multiply by a scalar it will be smallest degree polynomial having that as a root, so, you take the monic one, so this is the irreducible problem that means this degree is 5.

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Handwritten notes on a slide:

eg: Show that $\sqrt{2} \notin \mathbb{Q}(\sqrt[5]{2})$

Fact: $K \supset F$ is alg/f. \Rightarrow Then $[F(\alpha):F] = \deg \alpha$

$\mathbb{Q}(\sqrt[5]{2})$ Irr poly of $\sqrt[5]{2}$ over $\mathbb{Q} = X^5 - 2 \in \mathbb{Q}[X]$

Suppose that $\sqrt{2} \in \mathbb{Q}(\sqrt[5]{2})$ \rightarrow Can't happen.

We have $5 = 2 \cdot a$; Not possible!

Diagram showing field extensions: $\mathbb{Q} \xrightarrow{5} \mathbb{Q}(\sqrt[5]{2}) \xrightarrow{2} \mathbb{Q}(\sqrt[5]{2}, \sqrt{2})$

So here there is a fact. So, maybe I will write it here, fact is, so, you have K over F a given field extension, α is algebraic over F , then the degree of $F(\alpha)$ over F is same as degree of α over F , this is a simple fact you can check this. So, this says that it is 5.

Now, suppose that, suppose that $\sqrt{2}$ is in $\mathbb{Q}(\sqrt[5]{2})$. So then that means you have this field extension, given field extension, but \mathbb{Q} adjoins square root 2 is in between, this is 5, this we know is 2, that is clear. So whatever this number is, we must have $5 = 2 \cdot a$ here, because this is 5 is equal to 2 times here, just directly applying the, this formula here. But this of course, is not possible.

So, that means this cannot happen, so as you can see, this is a nice illustration of what we can say about field extensions and the multiplicative property of extension, degrees of extensions of fields, so let me stop this video here. In the next video, I am going to recall a few more things about fields and talk about finite fields, and then we will be ready to start Galois Theory. Thank you.