

**Discrete Mathematics**  
**Prof. Sourav Chakraborty**  
**Department of Mathematics**  
**Indian Institute of Technology – Madras**

**Lecture - 37**  
**Counting using Recurrence (Part 2)**

Welcome back. So we have been looking at how to count using Recurrences. So, stepping back a little.

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Combinatorics

Combinatorics is a branch of mathematics that involve counting.

Typical Question: Given a set  $S$  what is the cardinality of  $S$ ?

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So we have been looking at Combinatorics which is a branch of mathematics involved in counting. And the typical question is how to count the cardinality of the set.

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## Finding the cardinality

Typical Question: Given a set  $S$  what is the cardinality of  $S$ ?

How is the set given?

Usually the set is described in words.

And here we mostly talk about the case where the set is given implicitly and not explicitly. Then the set is described in words and I want to know how many elements in the set.

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## For example

How many element of a set (universe) satisfy the certain set of conditions?

Equivalently: How many ways you can draw an element from the set (universe) such that the element satisfies the set of conditions?

A typical example is how many elements of a universe satisfy a certain set of conditions? Or how many ways can I draw an element from the universe such that satisfy certain set of conditions?

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## For example

- How many  $n$  digit numbers are there (in decimal representation) where no consecutive digits are same?
- How many functions are there from  $\{1, \dots, n\}$  to  $\{1, \dots, k\}$  that are non-decreasing? (That is, if  $x, y \in \{1, \dots, n\}$  and  $x \leq y$  then  $f(x) \leq f(y)$ ).
- How many ways can you distribute  $n$  identical toffees among  $k$  kids?
- Number of 0, 1 - strings of length  $n$  which does not have any consecutive zeros.

So here the some of the example that we already seen and how to tackle them.

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## How to count?

- Each problem is unique and each has to be solved by applying a technique that fits it.
- Counting is one of the most challenging subjects in mathematics.
- Some of the best works of Srinivasa Ramanujan was on counting.
- There are some handy tricks and tools to attack the problems that we will learn in this set of lectures.

In fact, the big problem of counting is that the-- all the problems are unique and one need to apply a technique that fix it. Counting is in fact one of the most challenging subjects in mathematics and big names in mathematics as also works from the particular area. And we will be showing you some tricks and tools on such how to count.

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Counting for selection

Selecting  $k$  objects from  $n$  objects

	Order Important	Order NOT important
Without Repetition	$\frac{n!}{(n-k)!}$	$\frac{n!}{k!(n-k)!}$
With Repetition	$n^k$	$\frac{(n+k-1)!}{(n-1)!k!}$

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So one of the things that we looked at is how to select  $k$  objects from  $n$  objects and in that case we looked at whether the ordering which we select the elements matter and whether we can select a same object multiple times. And for all the four cases we came up with a solution which was a Compact nice beautiful solution something like the  $n!$  by  $k!$  times  $(n-k)!$  in this case.

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Problem

How many ways to distribute  $n$  balls in  $k$  bins?

- Are the bins distinguishable or are they indistinguishable
- Are the balls distinguishable or are they indistinguishable
- If the balls are distinguishable then does the ordering of balls in the bins matter?
- Can some of the bins be empty?
- Are there any other restrictions.

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Now similar problem was how to distribute  $n$  balls into  $k$  bins. And here also there are multiple cases to be looked at namely whether the bins are distinguishable or the balls are distinguishable or whether the ordering in the bins matter or here some of the bins can be bin empty at all.

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Counting for Distributing			
Distributing $n$ items among $k$ bins.			
	Items Indistinguishable	Items Distinguishable	
		Ordering inside bin matters	Ordering inside bin don't matter
Bins Labeled (can be empty)	$\binom{n+k-1}{k-1}$	$\frac{(n+k-1)!}{(k-1)!}$	$k^n$
Bins Labeled (can't be empty)	$\binom{n-1}{k-1}$	$\sum_{i=0}^k (-1)^{i+1} \binom{k}{i} \frac{(n+k-i-1)!}{(k-i-1)!}$	$\sum_{i=0}^k (-1)^{i+1} \binom{k}{i} (k-i)^n$
Bins Unlabeled	$P(n, k)$	$\frac{(\sum_{i=0}^k (-1)^{i+1} \binom{k}{i} \frac{(n+k-i-1)!}{(k-i-1)!})}{k!}$	$\frac{(\sum_{i=0}^k (-1)^{i+1} \binom{k}{i} (k-i)^n)}{k!}$

Now even in these cases also we came up with the certain set of answers to all the various cases. And they are mostly compact. Says that we have some idea about how to – what are these values are.

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For example	
<ul style="list-style-type: none"> <li>• How many <math>n</math> digit numbers are there (in decimal representation) where no consecutive digits are same?</li> <li>• How many functions are there from <math>\{1, \dots, n\}</math> to <math>\{1, \dots, k\}</math> that are non-decreasing? (That is, if <math>x, y \in \{1, \dots, n\}</math> and <math>x \leq y</math> then <math>f(x) \leq f(y)</math>).</li> <li>• How many ways can you distribute <math>n</math> identical toffees among <math>k</math> kids?</li> <li>• Number of 0, 1 - strings of length <math>n</math> which does not have any consecutive zeros.</li> </ul>	

And we use all these tricks to solve this set of problems. The final problem was problem of how many 0,1 strings of length  $n$  are there that does not contain any consecutive zero.

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## Problem: No consecutive 0

Number of 0,1 - strings of length  $n$  which does not have any consecutive zeros.

- Let  $T(n)$  be the number of 0,1 - strings of length  $n$  which does not have any consecutive zeros.
- $T(1) = 2$
- $T(2) = 3$
- $T(n) = T(n-1) + T(n-2)$  for all  $n \geq 3$ .
- So we have a procedure to compute  $T(n)$ .

And if you take where which we did this problem is that we started with notation of  $T(n)$  be the number of 0,1 strings of length  $n$  which does not contain any consecutive zero. We proved that  $T(1) = 2$ ;  $T(2) = 3$  this is the way that you are counting and then you prove that  $T(n)$  is equals to  $T(n-1) + T(n-2)$  and this in fact gave us a procedure of computing  $T(n)$ . Of course we left it in the case that can be find up nice expression for  $T(n)$ .

And we will come back to this particular question later on in the next videos. But the main idea was that we basically converted the problem of counting into a recurrence relation.

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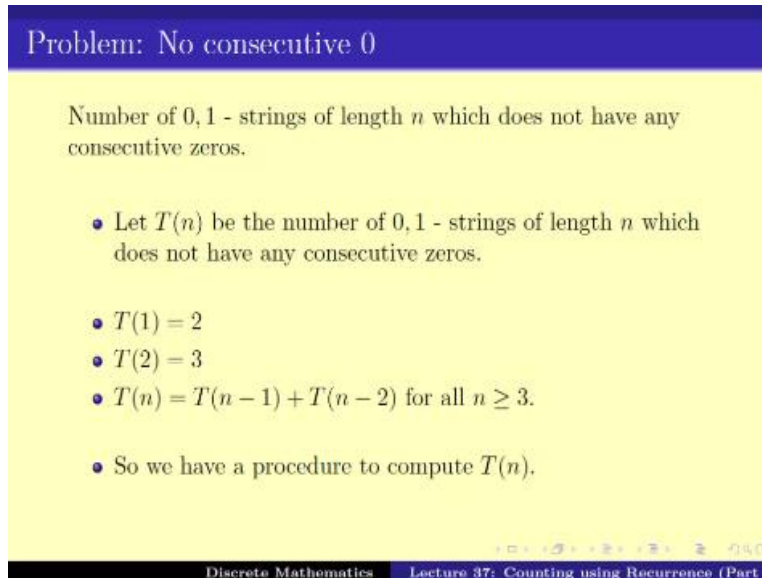
## Recurrence Relation

"In mathematics, a recurrence relation is an equation that recursively defines a sequence or multidimensional array of values, once one or more initial terms are given: each further term of the sequence or array is defined as a function of the preceding terms."

-wikipedia

Or in other words we converted it into some sequence of numbers so that we have a set of initial conditions and we can any further turn is defined as a function of the preceding terms, right.

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Problem: No consecutive 0

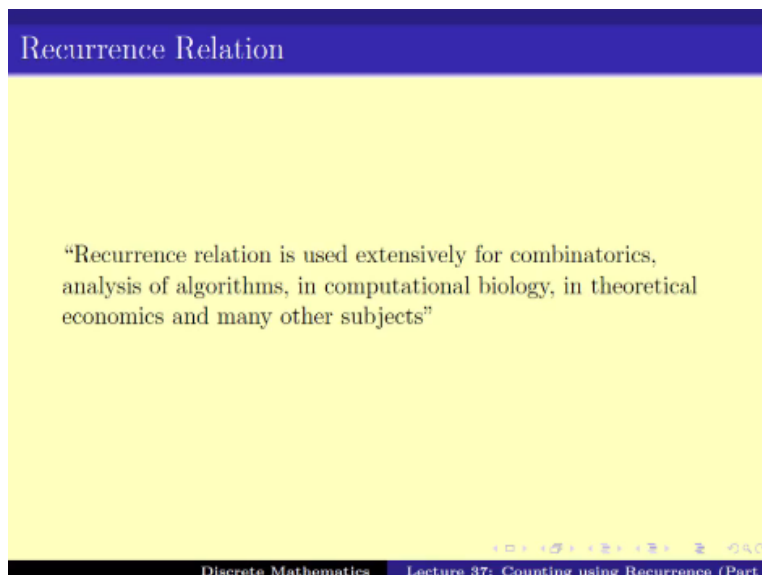
Number of 0, 1 - strings of length  $n$  which does not have any consecutive zeros.

- Let  $T(n)$  be the number of 0, 1 - strings of length  $n$  which does not have any consecutive zeros.
- $T(1) = 2$
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- $T(n) = T(n - 1) + T(n - 2)$  for all  $n \geq 3$ .
- So we have a procedure to compute  $T(n)$ .

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So here where the set of initial equations and here is the thing where it is recurrence relations where the  $T(n)$  is decide it is-- written as a function of  $T(n-1)$  and  $T(n-2)$ .

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Recurrence Relation

“Recurrence relation is used extensively for combinatorics, analysis of algorithms, in computational biology, in theoretical economics and many other subjects”

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Now, Recurrence relations is extensively used in various subjects.

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## Topics in Recurrence Relation

- Using Recurrence Relations of model problems
- Solving Recurrence Relations

And in fact they have one of the very strong ways of modeling problems particularly counting problems and solving recurrences relations is also big part of this subject. Now in this video we will be looking at one more problem and see how we can model it using recurrence relations.

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## Tower of Hanoi

In the Towers of Hanoi problem, there are three posts and  $n$  disks of different sizes. Each disk has a hole through the center so that it fits on a post. At the start, all  $n$  disks are on post #1. The disks are arranged by size so that the smallest is on top and the largest is on the bottom. The goal is to end up with all  $n$  disks in the same order, but on a different post. In a single move one can move one disk from one post and place in another post. At no point can one place a bigger disk over a smaller disk in any post. How many steps will be taken to move the  $n$  disk from the Post #1 to any other post.

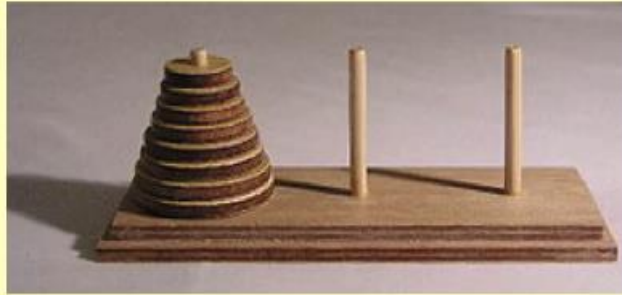
Let  $H(n)$  be the number of steps required to move  $n$  disks.

The topic of the problem is called the “Tower of Hanoi” problem. And the main idea is that you have three posts and  $n$  disks of different sizes.

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## Tower of Hanoi



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So let me show you the picture so something like this you have three posts you have this  $n$  disk here 1,2,3,4 and they are arranged in such a way that the smallest one is on top largest one is on the bottom and so the next-- this one is-- this one is base, so the one which is basically arranged in a decreasing form. And the goal is to somehow place all these things all these – all these  $n$  disks into the second wall into this wall this. And what we can do?

You can remove one at any point of time; you can remove one disk from here and put it anywhere. But you cannot put a bigger disk on a smaller disk, okay. So let me go on it. There are three posts and  $n$  disks of different sizes. Each disk has a hole through the center so that it fits on a post. At the start all  $n$  disks are on the post #1. The disks are arranged by size so the smallest is on the top and the largest is on the bottom. The goal is to end up with all the  $n$  disks in the same order on a different post. In a single move one can move one disk from one post and place it to the another post.

And at no point can one place a bigger disk over a smaller disk. Question is how steps will be taken to move  $n$  disk from post #2 to any other post. So here is the typical picture of Tower of Hanoi and it is picture like the game that it play but you cannot place a bigger disk on top of a smaller disk on any of the post. So question is that how many ways can you make the move. So for doing the counting the typical way of doing it is again let number of  $H(n)$  be the number of steps required to move  $n$  disks.

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The slide is titled "Tower of Hanoi" in a blue header. Below the header, the text reads: "Let  $H(n)$  be the number of steps required to move  $n$  disks." This is followed by three lines of handwritten text: "What is  $H(1)$ ? = 1", "What is  $H(2)$ ? = 3", and " $H(3)$  = ~~4~~ = 7". To the right of the text, there is a diagram of three vertical poles, each with a horizontal line at its base. The number "1" is written above the first pole, "2" above the second, and "3" above the third. At the bottom of the slide, there is a footer that reads "Discrete Mathematics Lecture 37: Counting using Recurrence (Part 2)".

Now if  $H(n)$  be the number of steps required to move  $n$  disks, how many-- so can way say something above this  $H(n)$ ? What about  $H(1)$ ? So  $H(1)$ , since I do not have I cannot got this so I just got as number okay so I have a three post and I have this number one. Now of course if I have to place this one in this next pole I just leave to pop this one out and lose it in the next part. So clearly  $H(1)$  equals to one right. And this is the  $(())$  (09:37) thing.

What about  $H(2)$ ?  $H(2)$  is interesting. Right, so I have these three poles there. In the first one I have 1 and then 2. How can I move this 1,2 to the second pole? So of course the first thing I have to do is I have to move this first and I move it to the first one. So I have one made one move then I move the second one to the second place so I make another move one. And in the third I move the first one back to the second one so which is one more move. So good.

So I make, so number of  $H(2)$  is actually equals to 3. Let us see one more example. What about  $H(3)$ ? Here I have 1, 2 and 3. I need to move this 1, 2, 3 to the next pole. Now I know how to move 1,2 to the second pole maybe I can also move it to third pole right so I can move 1 to here, 2 to here then I move 1 from here to here, so I have till now made three moves right three then I make move this number 3 to this second one.

I have made four moves and now I move 1 to here then move 2 to here and I move the 1 to here. So I have made— initially I have made 1, 2, 3 then I made one more move then I made two more moves so namely I have made seven moves all total. So  $H(3)$  is 7.

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Tower of Hanoi

Let  $H(n)$  be the number of steps required to move  $n$  disks.

What is  $H(1)$ ? = 1

What is  $H(2)$ ? = 3

If I know  $H(1), \dots, H(n-1)$  can I compute  $H(n)$ ?

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Now like this if I keep on continuing and say if I know  $H(1)$  to  $H(n-1)$  can I compute  $H(n)$  and that is the question to be asked.

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Tower of Hanoi

Let  $H(n)$  be the number of steps required to move  $n$  disks.

Can I upper bound  $H(n)$ ?

- Using  $H(n-1)$  steps I can move the top  $n-1$  disks from the 1st pole to the 3rd pole.
- Then I move the  $n$ th disk from the 1st pole to the second pole.
- And then Using  $H(n-1)$  steps I can move the top  $n-1$  disks from the 1st pole to the 3rd pole.

So  $H(n) \leq H(n-1) + 1 + H(n-1) = 2H(n-1) + 1$ .

Can  $H(n) < 2H(n-1) + 1$ ?

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So let us see first here. First of all, can I get upper bound  $H(n)$ ? If you remember what we did for the case of  $H(3)$  let us see what was done. We first used  $H(n-1)$  step to move the top  $n-1$  disk from the first pole to the third pole right. That we can do because that is the definition of  $H(n-1)$ .

Then I move the  $n$ th disk the top bottom was this—sorry for the first pole to the second pole. And then again I moved the  $n-1$  one of them on top of the second pole.

So sorry this is not third pole this is second pole. This second pole right and this is also not the first pole this is the third pole. So I had it on the third from third pole I moved it to second pole. So in other words total number of steps I made was of course less than  $2H(n-1) - 1$ . So what we have is that the minimum number of steps required is less than or equal to  $2H(n-1) - 1$ . Now unfortunately this is just upper bound.

Can we get a lower bound? Or in other word is it possible the number  $H(n)$  is strictly less than – sorry another mistake this is last one of course. Can it be strictly less than  $H(n) < 2H(n-1) + 1$ . And let us prove that it cannot be.

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The slide is titled "Tower of Hanoi" and contains the following text:

Let  $H(n)$  be the number of steps required to move  $n$  disks.

- Consider an algorithm for moving  $n$  disks from 1st pole to the 2nd pole.
- Consider the time when  $n$ th disk be moved from the 1st pole to the 2nd pole.
- Then before that the top  $n - 1$  disks must have been moved from the 1st pole to the 3rd pole.
- Also after the  $n$ th disk is moved the top  $n - 1$  disks must have been moved from the 3rd pole to the 1st pole.
- So  $H(n) \geq H(n - 1) + 1 + H(n - 1)$ .

Thus  $H(n) = 2H(n - 1) + 1$ .

At the bottom of the slide, it says "Discrete Mathematics" and "Lecture 37: Counting using Recurrence (Part 2)".

So consider an algorithm for moving  $n$  disks from the first pole to the second pole right. Consider the time when the  $n$ th disk was be moved, of course at some point of time the last is was moved from the first pole to the second pole. Before that we move it must have been the case that this top  $n-1$  must have been moved from the first pole to the third pole. Right. Because when I move the biggest from the first pole to the second pole the first pole must have been empty other than that.

And till the biggest this cannot be placed on top of the any of the other cases. So the second pole must also have been empty, right. So the  $n-1$  disk is must have been sitting in all of that in the third pole. Right. And of course to complete the game after I move the  $n$ th disk to the second pole the  $n-1$  disk is must have been moved from third pole to the second pole—third pole to second pole.

So the number of steps that must have been made here is  $H(n-1)$  and  $H(n-1)$  and just 1. So  $H(n)$  must have made  $H(n-1)$  here  $H(n-1)$  here and the one move here so it is two times  $H(n-1) + 1$ . So in other word for the case that from the previous slide and this slide that  $H(n)$  is actually the equal to  $2H(n-1)$ .

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Tower of Hanoi

Let  $H(n)$  be the number of steps required to move  $n$  disks.

$H(1) = 1$

$H(2) = 3.$

$H(n) = 2H(n - 1) + 1$

Is there a nice expression for  $H(n)$ ?

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So thus for counting the number of steps required to move  $n$  disks I have  $H(1) = 1$  and  $H(2) = 3$  and  $H(n) = 2H(n-1) + 1$ . So as you can see here we can clearly write down here now that that okay  $H(3) = 2H(2) + 1$  which is 7 number sorry— $H(4)$  is  $2H(3) + 1$  is 15;  $H(5)$  is 31 and so on and so forth. So I can keep on doing this same again and again and get some values. Question is that is there a nice expression for  $H(n)$ .

Or in other words instead of just like instead of just computing it  $H(n)$  in brute force manners like this is there a way of computing  $H(n)$ . So we have seen two examples of how to solve this counting problem using recurrences relations.

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Examples of Recurrence Relations that appear in real problems

- $T(1) = 2, T(2) = 3, T(n) = T(n-1) + T(n-2)$ .
- $H(1) = 1, H(2) = 3, H(n) = 2H(n-1) + 1$
- $F(1) = 1, F(2) = 1, F(n) = F(n-1) + F(n-2)$ . [Fibonacci]
- $b(1) = 1, b(n) = b(\lceil n/2 \rceil) + 1$ . [Binary Search]
- $M(1) = 1, M(n) = 2M(\lfloor n/2 \rfloor) + n$ . [Merge Sort]
- $C(1) = 1, C(n+1) = \sum_{i=0}^n C(i)C(n-i)$ . [Catalan Number]

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Now there are many other recurrences relations that appears in real life, of course we have seen this the one this is the one that is saw for the case of 0,1 string without any consecutive zeros. We also saw this one. Now if I change the initial conditions, for example in the first case I have change the initial condition to something like this is one equals to one is two, this is the same as Fibonacci sequence.

Then we have seen slide for  $b(1) = 1, b(n) = b(\lceil n/2 \rceil) + 1$  which is something that is arises from Binary search is called it is an algorithm. We have  $M(1) = 2M(\lfloor n/2 \rfloor) + n$  this comes from what is called as Merge sort. So, various algorithms produced different types of such equations. And their equations are much more complicated like this where  $C(n)$  increases some of square of them and these are called Catalan numbers.

Now these are examples of recurrences relations. Recurrences relations as you can see is where the  $n$ th terms is written as the function of the previous terms as in this case. Now there are techniques of solving these recurrences relations that getting a compact for these recurrence relations. They are essentially not only for counting but also for algorithms for analysis of algorithms; for various other subjects of math. In the next video, we will be looking at techniques of solving this recurrences relations, thank you.