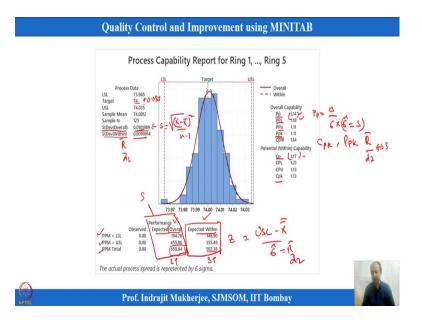
## Quality Control and Improvement with MINITAB Prof. Indrajit Mukherjee Shailesh J. Mehta School of Management Indian Institute of Technology, Bombay

### Lecture - 14 Process Performance and Sigma Level

Welcome to session 14 on Quality Control and Improvement using MINITAB. I am Professor Indrajit Mukherjee from Shailesh J Mehta School of Management, IIT Bombay. So, previous session we are discussing about process capabilities. So, in that case, Cp and Cpk indices we have seen.

So, now in this session, we will discuss more about this capability index and for that some other measures which are also used in production floor or personals who are in quality sometimes in the industry we will find some other measure which is also reported like that. So, we will talk about that now. And in case of normality assumption fails what people do and that also we will try to see, ok.



(Refer Slide Time: 00:59)

So, this is the process capability index what we have seen over here. So, MINITAB reports this, these are the values. So, standard deviation within what is reported over here what you can see sorry this is the standard deviation within and this is standard deviation overall. So, this standard deviation within I told that this is calculated by this formulation for a subgroup size.

And this standard deviation overall what you see is basically sample standard deviation. So, that expression of S is calculated as  $S = \sqrt{\frac{(X - \overline{X})^2}{n-1}}$ . So, this is the formulation for standard deviation overall. And this standard deviation overall is sometimes used to calculate another index which is similar to Cp and C pk.

So, this is Cp and Cpk index for that ring dimension which is the nominal dimension of  $74\pm0.035$ . So, this was the problem. And in this case because everything was fine, we saw in control chart all points are falling, so it is a stable process that we assume.

Now, we can also calculate another index which is known as Pp, process performance index and this is also Pp and Ppk index over here like capability index Cp and Cpk.

So, in this case also, and here formula remains same. So, Pp will be  $P_p = \frac{\Delta}{6 \times (\sigma = S)}$  here.

The estimation will only equal to S, so this will be replaced by S and this is the long term process standard deviation what this says, ok. So, the only estimation of the sigma will change.

So, similarly in Ppk, like Cpk we can calculate P pk is over here and here also only thing that will change in the formulation is instead of  $R/d_2$  what we will do is that we will replace with S. And we will do the calculation MINITAB does it automatically for you here also Ppl, Ppu, Ppk will be calculated. So, that will be reported over here.

So, process performance index is around 1.14 what was calculated and Cp index was 1.17 which is somewhat higher than 1.14. And the distribution is more or less you can see that normal; and the variation also very less difference between this value and this value. Because whenever they are within control, in control in that case overall and within does not differ much. So, in that case Pp index and Cp index more or less will reflect very near values like that, ok.

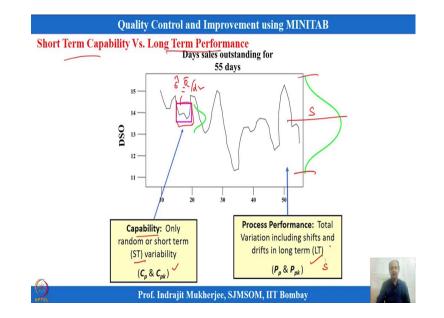
So, then what will happen is that; so, this is another index that is reported. So, in case of data was not collected based on the control chart, sometimes what we do is that we report Pp and Ppk index in the industry also, ok. So, certain scenarios will be there. So, how do we do that? And then we will have over here what you see is that one is known as expected overall performance and expected within performance.

So, expected within is based on the calculation like what we do Z calculation like this. This is based on that USL which is  $Z = \frac{USL - \overline{X}}{\sigma}$ . The  $\hat{\sigma}$  estimation is done by  $\hat{\sigma} = \overline{R}/d_2$  formulation. And this part what you see will be done by overall estimation, and this will be done by using S estimation over here.

So, instead of sigma estimation will be based on S or overall standard deviation you can say process standard deviation. Generally, how we calculate process standard deviation? Given a set of data how do you calculate process standard deviation? That is S expression what we use, ok.

So, this is also reported over here in MINITAB. So, performance overall, performance expected, performance within both will be reported and PPM will be reported over here. Total PPM in case of this is around 650, the overall performance and this can be we can say this is a long term performance sometimes the right side expected within, this is short term performance what you can see over here, it is 502.

If you take a small snapshot like that and go to the process using control chart, so in that case, you get short term capability measures. And if you consider that this is variability I will take overall variability like that, irrespective of the control chart, so in that case what we will do is that we will report this Pp and Ppk indices. But always people prefer to use the stable process and report the capabilities like that, ok.

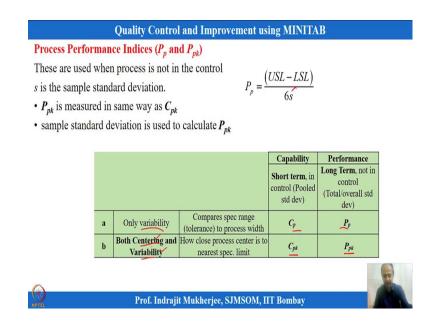


(Refer Slide Time: 05:33)

So, here is the diagram where you can see that this is a short term snapshot what you see over here and long term variability is this much what you see over here. So, this is S estimation and for this what we are doing is  $\overline{R}/d_2$  calculation we are using to estimate sigma over here. So, these two differentiate short term and long term process performance like that, ok.

So, when I am reporting capabilities, I am talking about short-term capability and based on control chart Cp, Cpk. When I am reporting process performance, it is based on S estimation and this is long-term performance for what we can say, ok. So, MINITAB also understands to report this one as short term and long term performance like that, ok.

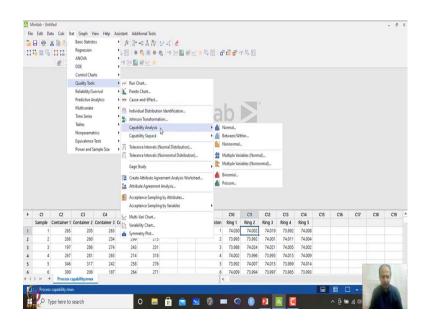
(Refer Slide Time: 06:15)



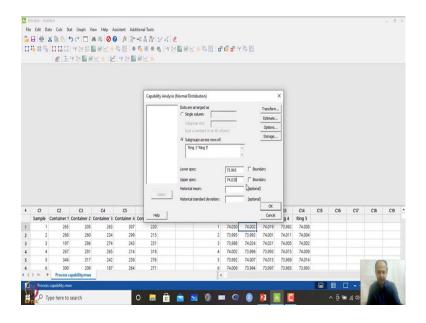
So, this is what MINITAB does. And this what you can see is that both centering and variability both are considered, when even when I am reporting Cp and Ppk, both indices we are considering basically. And Pp and Ppk only considers the variability over here S estimation and only the estimation of sigma will differ, only variability is considered over here with respect to the voice of the customer or by voice of the process like that.

Here also  $\overline{X}$  is considered. So, a centering is considered over here. So, center values is important. So, accuracy precision both are taken care of Cp and Ppk indices like that. So, we prefer to use Cp and Cspk index, but sometimes we report Pp, Ppk index also and that is the way MINITAB also reports like that.

#### (Refer Slide Time: 07:07)

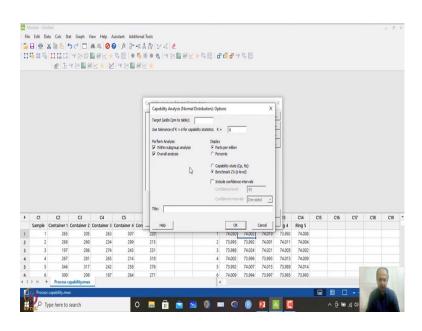


(Refer Slide Time: 07:16)



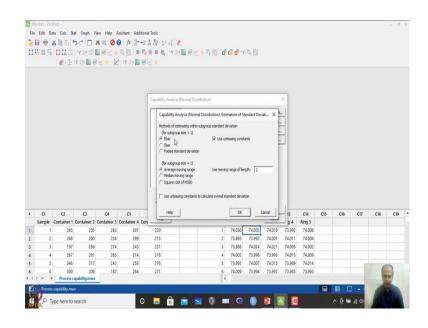
So, if you go to MINITAB over here and you do the analysis let us say for the ring dimension over here, you go to stat.

(Refer Slide Time: 07:36)



What you have to do is that quality tools  $\rightarrow$  capability analysis  $\rightarrow$  normal capability analysis. So, this is ring 1 to ring 5, and I replace the data set over here. So, the lower specification limit is 73.965 and the upper specification limit is 74.035, so setting down in Minitab. And then I change the option over here. And I also include overall analysis over here. So, parts per million, capability index, so this I include over here.

(Refer Slide Time: 07:47)

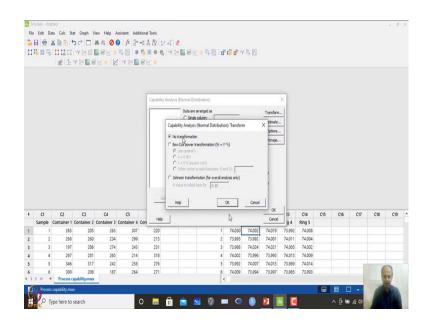


Then I click ok over here, and then estimation what you can do  $\overline{R}$  I am using over here. So, my sample size is more than 5 subgroup size. So, in this case, ok I will do. (Refer Slide Time: 07:56)

City Container 1	Container 1         Container 2         Container 3         Container 4         Container 4 <thcontainer 4<="" th=""> <thcontainer 4<="" th=""></thcontainer></thcontainer>	E	1.0		Y NO	n 4  0 ( ∎ 8 k *	) fx 30 .	王月郡 12 珍雅兼精		×∎8k §	★ 称 图 1	d <sup>79</sup> (	t <mark>a</mark> d <sup>o s</sup> Y	9 图									
Container 1         Container 2         Container 3         Container 4         Container 4 <thcontainer 4<="" th=""> <thcontainer 4<="" th=""></thcontainer></thcontainer>	City         C2         C3         C4         C5         Container 1 (Step: Unite)         Container 2 (Step: Unite) <th></th> <th></th> <th></th> <th></th> <th></th> <th></th> <th>Capability An</th> <th>alysis</th> <th>(Normal Distribu</th> <th>tion): Storage</th> <th>-</th> <th></th> <th></th> <th></th> <th>×</th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th>							Capability An	alysis	(Normal Distribu	tion): Storage	-				×							
C1         C2         C3         C4         C5         C16         C77         C19         C           1         265         205         263         310         1         1         265         265         261         C17         C19         C           2         268         205         224         239         265         265         214         209         264         205         264         205         264         205         264         205         264         205         264         205         264         205         264         205         264         205         264         205         264         205         264         205         264         205         264         205         264         205         264         205         264         205         264 <th>C1         C2         C3         C4         C5         C66         C7         C8         C           1         265         255         255         307         Container 1         Container 2         Container 3         Container 4         C5         C66         C7         C8         C           2         266         255         256         307         C         Ford 3des         Exception of P/B         Ford 3des         C44         C15         C16         C17         C18         C           3         197         266         224         301         4         74,002         73,964         73,993         74,015         74,000         Ford 3des         Ford 3des</th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th> <th>LSL LSL Target* Target* USL USL* Mean* Sample N SDev (Wild SDev (Wild SDev (Wild</th> <th>hin)* aral)</th> <th>Lover bound     Upper bound     Upper bound     Upper bound     OfU or 2.USL     OfU or 2.USL     Opt     Copk     Lover bound     Copk     Lover bound     Po or 2.Bend     Lover bound     Phy or 2.LSL</th> <th>for Cp or 2.Bench for Cp or 2.Bench for Cpk for Cpk for Cpn for Cpn for Cpn for Pp or 2.Bench</th> <th></th> <th>PPM or N PPM or N PP</th> <th>&lt; LSL &gt; USL Total thin" Perfore &lt; LSL and Total and c &lt; LSL and &gt; USL and Total and c &gt; USL and Total and c</th> <th>confidence inte confidence inte onfidence inte mance confidence inte confidence inte</th> <th>rval val rval</th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th>	C1         C2         C3         C4         C5         C66         C7         C8         C           1         265         255         255         307         Container 1         Container 2         Container 3         Container 4         C5         C66         C7         C8         C           2         266         255         256         307         C         Ford 3des         Exception of P/B         Ford 3des         C44         C15         C16         C17         C18         C           3         197         266         224         301         4         74,002         73,964         73,993         74,015         74,000         Ford 3des							LSL LSL Target* Target* USL USL* Mean* Sample N SDev (Wild SDev (Wild SDev (Wild	hin)* aral)	Lover bound     Upper bound     Upper bound     Upper bound     OfU or 2.USL     OfU or 2.USL     Opt     Copk     Lover bound     Copk     Lover bound     Po or 2.Bend     Lover bound     Phy or 2.LSL	for Cp or 2.Bench for Cp or 2.Bench for Cpk for Cpk for Cpn for Cpn for Cpn for Pp or 2.Bench		PPM or N PP	< LSL > USL Total thin" Perfore < LSL and Total and c < LSL and > USL and Total and c > USL and Total and c	confidence inte confidence inte onfidence inte mance confidence inte confidence inte	rval val rval							
Simple Container 1 Container 2 Container 3 Container 4	Sample         Container 1         Container 4         Container 4         Container 4         Container 4         Reg 5           1         265         205         248         209         208         248         209         208         248         209         208         248         209         214         200         208         214         200         208         214         210         0         0         200         200         214         310         4         74,002         73,990         74,015         7,990         74,015         7,990         74,015         7,990         74,015         7,990         74,015         7,990         74,016         7,990	T	CI	C2	G	C4	CS			IT Ppk	6 P		First shop				C14	C15	C16	C17	C18	CI	19
1         245         253         243         307         7         500         2         74.000           2         266         250         224         290         1         74.000         1         74.000           3         1977         266         274         245         214         318         4         74.002         73.996         73.991         74.015         74.000           5         346         317         242         258         276         5         73.392         74.007         74.015         73.999         74.014	1         265         263         263         307         7         50°         22         7.000           2         268         260         234         239         90°         90°         11         74.000           3         197         266         274         240         267         261         55         74.000           4         267         281         245         214         318         4         74.002         7.399         7.015         7.399         7.015         7.399         7.015         7.399         7.015         7.399         7.014         6         30.00         200         117         242         227         6         7.399         7.405         7.399         7.015         7.399         7.015         7.399         7.015         7.399         7.015         7.399         7.015         7.399         7.015         7.399         7.015         7.399         7.015         7.399         7.015         7.399         7.015         7.399         7.015         7.399         7.015         7.399         7.015         7.399         7.395         7.399         7.395         7.399         7.395         7.399         7.395         7.399         7.395 <th></th> <th>Sample</th> <th>Container 1</th> <th>Container 2</th> <th>Container 3</th> <th>Container 4</th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th> <th>ope</th> <th></th> <th></th> <th>Ring 5</th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th>		Sample	Container 1	Container 2	Container 3	Container 4							ope			Ring 5						
3         197         266         274         243         indep         OK         Coroll         56         74.002           4         267         281         245         214         310         4         74.002         73.996         73.998         74.015         74.000           5         346         317         242         258         276         5         73.996         74.017         74.015         74.004	3         197         266         274         263         146         -         OK         Corost         56         74,002           4         267         281         265         214         318         4         74,002         73,996         74,015         73,999         74,015         73,999         74,015         73,996         74,015         73,996         74,015         73,996         74,015         73,996         74,014         6         300         201         147         264         271         6         74,005         73,996         74,015         73,996         74,015         73,996         74,014         6         300         201         147         264         271         6         74,005         73,996         74,015         73,996         74,015         73,996         74,016         73,996         74,016         73,996         74,016         73,996         74,017         73,996         74,017         73,996         74,017         73,996         74,017         73,996         74,017         73,996         74,017         73,996         74,016         73,996         74,016         73,996         74,017         73,996         74,017         73,996         74,017         73,996		1	265	205	263	307	1					🗖 Scale			92	74.008						
3 1977 286 274 243 - 57 207 281 245 - 57 299 241 316 4 7402 71,966 71,99 240 57 249 241 316 4 7402 71,966 71,99 240 57 240 5 73,99 74,07 74,015 73,09 74,014	3         197         266         274         243         214         310         4         74,002         5         74,002           4         267         281         245         214         310         4         74,002         73,996         73,993         74,015         74,009           5         346         317         242         258         276         5         73,992         74,017         74,015         73,996         74,014           6         300         200         187         264         271         6         74,009         73,994         73,997         73,995         74,014		2	268	260	234	299		i.						1 .	111	74.004						
5 346 317 242 258 276 5 73.992 74.007 74.015 73.989 74.014	5 346 317 242 258 276 5 73.992 74.007 74.015 73.999 74.014 6 300 200 187 264 271 6 74.009 73.994 73.997 73.993		3	197	286	274	243	нер	1					OK	Cance	05	74.002						
	6 300 208 187 264 271 6 74.009 73.994 73.997 73.985 73.993		4	267	281	265	214	318			4	1	74.002	73.995	73.993	74.015	74.009						
6 300 208 187 264 271 6 74,009 73,994 73,997 73,985 73,993			5	346	317	242	258	276			5		73.992	74.007	74.015	73.989	74.014					-	
	0 H + Process capabilitymwx 4		6	300	208	187	264	271			6		74.009	73.994	73.997	73.985	73.993				100		

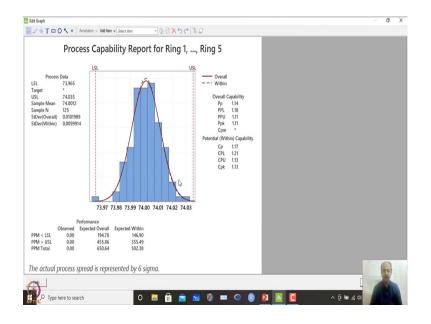
And if you want to store you can store this data set also. So, this can be stored over here and this will be stored in column C15 like that. So, this will be stored. So, I do not want to store anything at this time point.

(Refer Slide Time: 08:08)



So, what I can do is that I can; and with no transformation, I am assuming the normal distribution assumption. So I am not doing any transformation over here to convert into normal normality assumptions, to adhere to normality assumptions. So, that I am assuming that this is ok.

#### (Refer Slide Time: 08:23)



And I will click, ok over here, what I get is this type of diagram, and this what you can see is that. So, standard deviation overall you can see as 0.01 and standard deviation within also, within means  $\overline{R}/d$  and overall is S estimation. And based on that Pp estimate, Ppk estimation is 1.11 and Cpk estimation 1.33. Because the process is stable both the measures are more or less coming out to be the same and that is what is expected like that.

So, formulation only differs. So, expected within the observation, expected overall, so PPM is Z is defined because of normal distribution. And based on the Z we can calculate how much fallout outside USL and LSL like that. So, that can be calculated like that.

So, that is what I wanted to express. And other calculation over here anything that I have missed let me just check. So, CPL, CPU both side specifications similarly PPL and PPU is reported over here and P pk index is calculated like that, ok.

# (Refer Slide Time: 09:34)

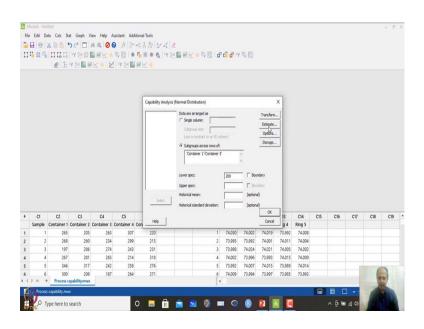
M	initab - Untitl	led																		- 8	
File	Edit Data	a Calc St	at Graph V	iew Help A	ssistant	Additional	Tools														
			Basic Statisti Regression ANOVA DOE	G	1			×∎ek	* 44	8	° t <mark>o</mark> d <sup>a u</sup>	Y 鸟 图									
			Control Char	rts	•																
			Quality Tools	5	• #	Run Chart															
			Reliability/Su	urvival	1	Pareto Chart.	-														
			Predictive An	nalytics	• **	Cause-and-E	ffect					-									
			Multivariate Time Series			Individual Dis Johnson Tran	tribution Identi sformation	fication		a	b	>									
			Tables		<b>*</b>	Capability Ar	alysis		,	41	formal										
			Nonparamet		,	Capability So	pack	R	,	1 E	etween (M.G.	trin									
	Power and Sample Size	emple Size	R	Tolerance Intervals (Normal Distribution) Tolerance Intervals (Nonnormal Distribution) Gage Study				-	requi	mine how w	en your data	ess output n are reasonal	eets custom ily normal.	1							
				13	Create Attrib	ute Agreement eement Analysi	Analysis Workshe s	et		linomial Ioisson											
							iampling by Att iampling by Var		,												
	CI	(2	G	C4	1	Multi-Vari Ch	art				C10	C11	C12	C13	C14	C15	C16	C17	C18	C19	
	Sample C	Container 1	Container 2	Container 3	CC [11	Variability Ch	art			ston	Ring 1	Ring 2	Ring 3	Ring 4	Ring 5						
	2	265 268	205	263 234		Symmetry PI				2	74.030 73.995	74.002	74.019	73.992	74.008						
	2	200	200	234		243	215			3	73.988	74.024	74.001	74.011	74.004						
	2	267	200	265		214	318			4	74.002	73,996	73.993	74.005	74.002						
	5	346	317	203		258	276			5	73.992	74.007	74.015	73.989	74.014			-			
	6	300	208	187		264	270			6	74.009	73.994	73.997	73.985	73.993			-	6	2	
4	DH +		apability.mwx							Ĭ				. 31902	191979					R	
è	Descesso	apability.mv		_	-	-	_		-			-	-	-	-	Ē	III C	1	1.20	S.	
																				ALC: NO	
		be here to	748			0			4 16		0		100	- 1 C			^ @ W	-			

And similarly what we can do is that the other data set we can see that one also, quality tools  $\rightarrow$  capability analysis  $\rightarrow$  normality.

(Refer Slide Time: 09:47)

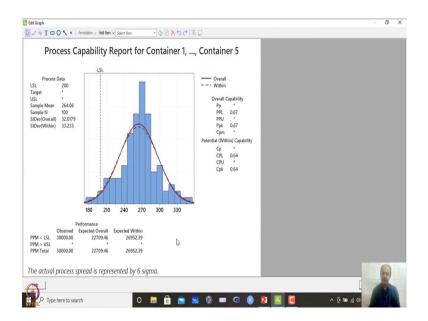
		4Y 201	Bek *			<b></b>	" ( <mark>"</mark> d" ")	(英国		_							
					Capability Analysis () C1 Sample C2 Container 1 C3 Container 2 C4 Container 3 C5 Container 4 C5 Container 5 C9 Sample, pitton C10 Ring 1 C11 Ring 2 C12 Ring 4	Nermal Distribution) Data are arranged as Subgroup size: (use a constant or an 10 co Subgroups across of: Container T-Container S		<u>×</u> ×	Transform. Estimate Options Storage								
					C14 Ring 5	Lower spec: 73.965 Upper spec: 74.035		- F Bour	ndary								
						Upper spec:	_	□ □ Bour									
					Select D	Upper spec: Historical mean:	_	(optional	N)								
					Select D	Upper spec:	_	□ □ Bour	N)					1			
CI	C2	C3	C4	C5		Upper spec: Historical mean:	_	(optional	a) a)	13	C14	C15	C16	C17	C18	C19	
	Container 1	Container 2	Container 3	Container 4	ion Help	Upper spec: Historical mean: Historical standard deviation:	74.035	(optione	N) N) OK Cancel	g 4	Ring 5	C15	C16	C17	C18	C19	
Sample 1	Container 1 265	Container 2 205	Container 3 263	Container 4 C 307	ton Help	Upper spec: Historical mean: Historical standard deviation:	74.035	(optional (optional (optional 74.002	d) d) Сапсе! 74.019	g 4 73.992	Ring 5 74.008	C15	C16	C17	C18	C19	
Sample 1 2	Container 1 265 268	Container 2 205 260	Container 3 263 234	Container 4 C 307 299	Con Help 220 215	Upper spec: Historical mean: Historical standard deviation: 1 2	74.035	☐ Bour (optiona (optiona 74.002 73.992	N) OK Cancel 74.019 74.001	g 4 73.992 74.011	Ring 5 74.008 74.004	CIS	C16	C17	C18	C19	
Sample 1 2 3	Container 1 265 268 197	Container 2 205 260 286	Container 3 263 234 274	Container 4 C 307 299 243	сопнер 220 215 231	Upper spec: Historical mean: Historical standard deviation: 1 2 3	74.035 74.030 73.995 73.988	74.002 74.024	0 0 Cancel 74.019 74.001 74.021	g 4 73.992 74.011 74.005	Ring 5 74.008 74.004 74.002	CIS	C16	C17	C18	C19	
Sample 1 2 3 4	Container 1 265 268 197 267	Container 2 205 260 286 281	Container 3 263 234 274 265	Container 4 C 307 299 243 214	220 215 231 318	Upper spec: Historical mean: Historical standard deviation: 1 2 3 4	74.035 74.030 73.995 73.968 74.002	[ Bour (optiona (optiona 74.002 73.992 74.024 73.996	<ul> <li>N)</li> <li>N)</li> <li>Cancel</li> <li>74.019</li> <li>74.021</li> <li>73.993</li> </ul>	<b>9</b> 4 73.992 74.011 74.005 74.015	Ring 5 74.008 74.004 74.002 74.009	CIS	C16	C17	C18	C19	
Sample 1 2 3	Container 1 265 268 197	Container 2 205 260 286	Container 3 263 234 274	Container 4 C 307 299 243	сопнер 220 215 231	Upper spec: Historical mean: Historical standard deviation: 1 2 3	74.035 74.030 73.995 73.988	74.002 74.024	0 0 Cancel 74.019 74.001 74.021	g 4 73.992 74.011 74.005	Ring 5 74.008 74.004 74.002	CIS	C16	C17	C18	C19	

#### (Refer Slide Time: 09:57)



So, capability analysis normal over here. So, in this case container-1 to container-5 again we are taking this one. And let us try to set lower specification as 200. And estimation over here remains same, and options over here target I have not given, so within and overall we want to see, I click ok.

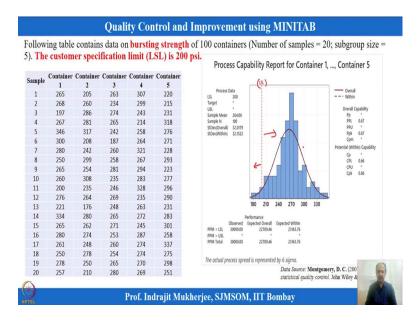
(Refer Slide Time: 10:10)



What will happen is that, because is one-sided again Pp lower will be calculated, Ppk index will be same as lower one because there is only one measures we are calculating. Lower, upper is not there, so only one measure and minima of that is only 0.67 that is

reported over here. Similarly, CPL will be the Cpk values over here. So, what you see expressed over here is similar like Cpk index that we have seen, ok.

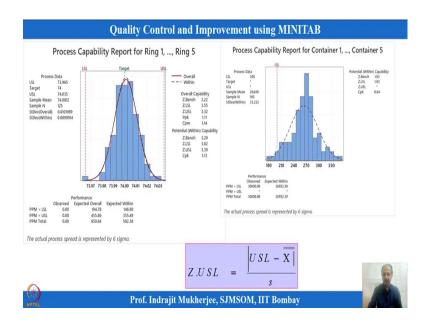
(Refer Slide Time: 10:37)



So, that we wanted to explain. And then in case, this was a second case that we are reporting over here and this is one sided, so lower specification is this. Anything below this will be rejection like that. So, it has to be higher than this one. So, this is one sided specification, generally that minimum this much is the strength that is required, 200 psi that is mentioned over here; and for that how to calculate process capability.

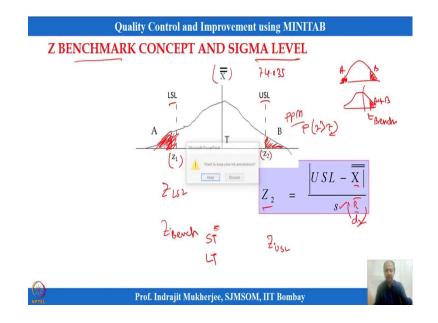
Only thing is that you see that normality assumptions is more or less satisfactory, seems to be satisfactory over here, but we can check always normally assumptions like that.

### (Refer Slide Time: 11:09)



So, and then this is the overall analysis of the two data set that we are having and based on Z calculation we can see.

(Refer Slide Time: 11:20)



Another important concept that comes into that we can see in MINITAB also is a sigma level and Z benchmark. So, MINITAB also reports a value which is Z benchmark. So, if you have understood the Z calculation. If this is the normal distribution what happens is that MINITAB first calculates the value of  $Z_2$  over here, then MINITAB calculates this is

the specification like in piston ring this is  $\overline{\overline{X}}$  is calculated 74 point something and the USL is also given like 74.035.

And based on that, I can calculate a  $Z_2$  because this  $\overline{\overline{X}}$  is known and S can be calculated based on  $\overline{R}/d_2$  over here. And this will give you some Z value, and if I can get the Z value we can get always prob(z > Z value). This  $Z_2$  values over here. And then, what we can do is that I can calculate the probability and from there we can also calculate PPM level, how much parts per million is defects, that is coming out of the process.

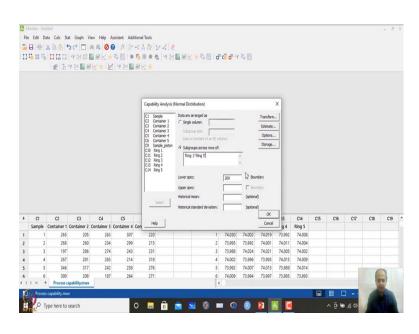
Similarly, on this side also we can calculate defects and that will be parts per million that is reported by MINITAB. So, this will be be written as  $Z_{USL}$  and this will be  $Z_{LSL}$  like that, and it will report a  $Z_{Benchmark}$ . And based on the calculation of S, it will report as short term Z benchmark and long term  $Z_{Benchmar}$  like that, MINITAB will report that to you.

And short term what does it mean is that  $Z_{Benchmar}$ , so it means that this is equals to when you put all the rejection level in one side. So it will place anytime we will calculate like whatever proportion nonconforming on this side, nonconforming on this side. So, it will just sum this up A plus B over here and then it will place in one direction like that, so all A plus B will be put over here.

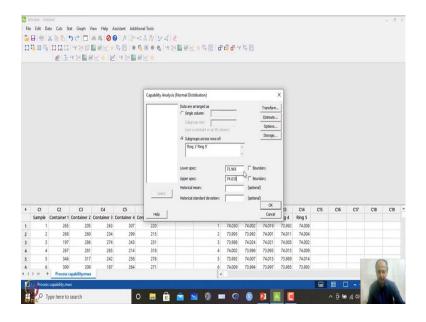
And then it will calculate what is the corresponding and Z and that will be the Zbenchmark over here. So, that will give you Z-benchmark. And that concept is used to define the sigma level in MINITAB like that, ok. Z-benchmark short term is the sigma level of the process like that.

And we have in MINITAB we have options to do that one. Let us try to see we need to have how it is possible. So, this concept is used, and then what we can do is that we will just close this one. And then we go to this one and quality tools  $\rightarrow$  capability analysis a  $\rightarrow$  normal assumptions over here.

(Refer Slide Time: 13:52)

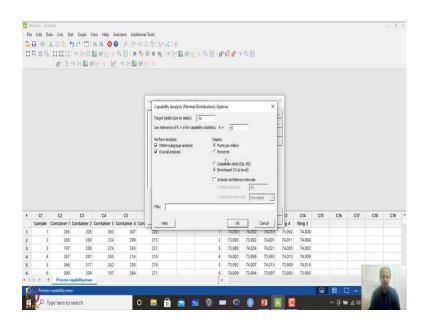


(Refer Slide Time: 13:58)



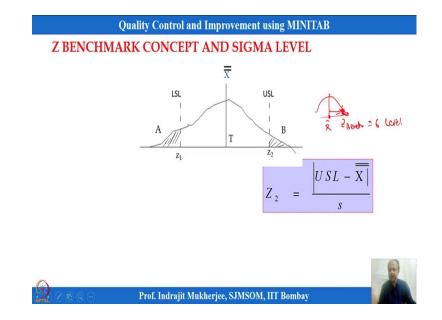
So, we will do the ring one first and we click this one and select this one and we have lower spec 73.965 and upper spec 74.035. And options over here, target value was 74 what we have mentioned.

#### (Refer Slide Time: 14:10)



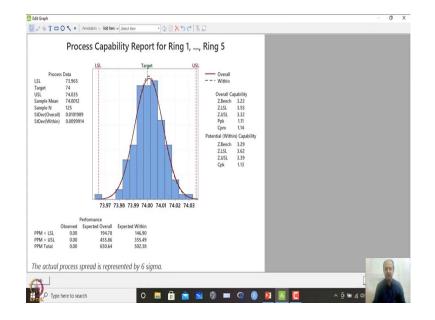
So, here instead of capability stack let us go to Z-benchmark like that which is the sigma level, many time I will mention this as sigma level of the process. So, this is the way people calculate sigma labels.

(Refer Slide Time: 14:34)



So, when we say how many sigma's, so it means that basically when I draw this one how much standard deviation total rejection over here what is the this is the Z benchmark basically. So, how much standard deviation from the central line over here; so,  $\overline{\overline{X}}$  from here and that is the equals to sigma level basically this is, ok.

So, that concept is used over here and what we see is that when I do this one benchmark Z sigma level I click ok over here. And I do not want to store estimation, everything is fine. So, I will click ok and I will click ok.

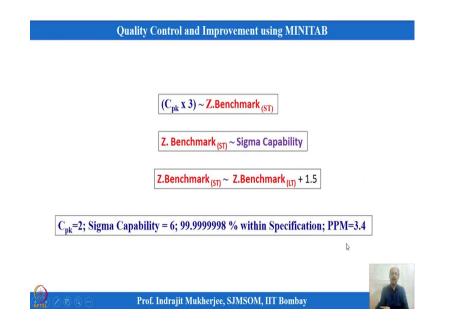


(Refer Slide Time: 15:09)

And then MINITAB will report this statistic what you see. So, it has reported that  $Z_{LSL}$ . So, that is the  $Z_{LSL}$  over here, how much is the fallout like that and corresponding Z value is 3.55,  $Z_{USL}$  is 3.32. And when you convert all the defects in one direction like that and the Z-benchmark value is coming out to be 3.22, and assuming that this is overall capability what you see, and this is within capability what you see like that.

So, more or less it is same because there is little shift in the means like that and we are assuming that process is stable. So, that is why both values are very close. What do you see; and potential within capability this is the short term capability what you see Cpk. So, generally people tries to note this down Z.Bench 3.29, ok and this is the corresponding sigma level what the reports like that, so around 3 sigma levels.

#### (Refer Slide Time: 16:07)



So, what we can; what we can see is that; and Cpk multiplied by 3 will give you Zbenchmark like that. So, you can just cross check like that. Whatever outputs we have got Cpk multiply it with 3 more or less you will get near to these values like that. So, that is only approximation I am saying. So, Cpk multiplied by 3 will give you Z-benchmark like that.

And in long run what this is that this Z-benchmark short term what we are calculating using  $\overline{R}/d_2$ , and sigma's that calculation of sigma that is the sigma capability of the process and also there is assumption that process main shifts like 6 sigma principles what this says is that process mean can shift from one end to the other end up to 1.5 standard deviation. So, that is added over here.

So, short term capability is just long term capability plus 1.5. So, if long term, if you cannot do control charting in that case you calculate based on sigma S calculation and you get the Z benchmark values, and if you add 1.5 with that you will get the short term process capability which is generally reported by 6 sigma people and that can also be done.

So, Z benchmark long term you have convert into short term and that is the sigma level what you can expect like that from a process, and that is the standard which we can take as whether to improve or not to improve like that, ok. So, Cpk multiplied by 3 gives you

Z-benchmark that is the approximation what we can do. And Cpk= 2 is the standard when we are talking about sigma capability of 6.

And what do you what you can expect if it is a 6 sigma process that this is the accuracy level; that means, fallout is 1- 0.99999998. And parts per million it is around 3.4 parts per million outside specification. So, 3.4 parts defects will come out of the process that is very very much less, so, at ppm level is 3.4. So, that is the standard, ok. So, that we can note down over here.

(Refer Slide Time: 18:07)

	Quality Control and Improvement using MINITAB
	assumption of normality is critical to the usual interpretation of the Capability ysis.
Fo	non-normal data, option is
	Transform non-normal data (Flatness, Ovality, Surface Finish , Delivery Time, Cycle times) to normal by checking following transformation: square root (VY), inverse (1/Y), log (Y)
	<b>Use Box-Cox (λ) Power Transformation</b> for (+) ve response variables with subgroups.
	Johnson's Transformation for any type of response variables (+ ve or – ve)
	We must also transform the specification(s)
NPTEL	Prof. Indrajit Mukherjee, SJMSOM, IIT Bombay

And then what happens is that sometimes we are not able to adhere to the concept of assumptions that everything the data comes from normal distribution like that. So, if it is non-normal scenario how can we calculate the capabilities like that? I will show you a non-normal data set and how to prove it and see.

So, although we have not done much about hypothesis testing, but I will give you a brief idea what values to see and later on we will discuss about the values when we discuss about that topic and how to interpret that values like that, ok. So, let us take some data over here which we have which is non-normal basically and for that what are the options used, and then then that data set can be used. Whenever I make conversion of the data what will happen is that and also I convert the specification.

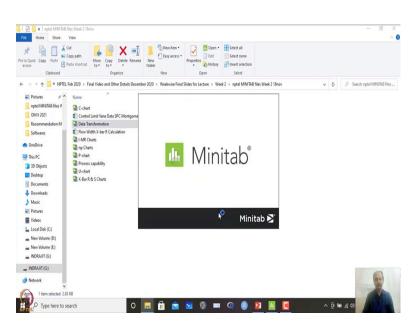
Then, I can calculate the capabilities like that. So, for non-normal scenarios what happens is that data is converted into normal distribution and USL, LSL is also converted, and based on that what we do is that they calculate the capability like that. And there are different ways to transform the data into normal data sets. I am not assuring that every time it will happen, but there are two options which can be explored, which is used to convert the data into normal data.

So, one is Box-Cox power transformation, one is Johnson's transformation. So, sometimes one will work one will not work. And Box-Cox transformation if CTQ's are positive values, and Johnson's transformation can be positive negative any values we can do the transformation like that. So, I will show you a data and we need to have an options how to transform an interpretation of that.

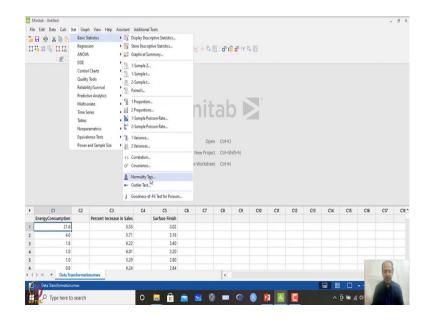
Transformation means what I am trying to say is that whether the values Y which is the CTQ over here need some needs a log transformation  $(\log(Y))$  or inverse transformation  $(Y^{-1})$  or square transformation $(\sqrt{Y})$  or  $1/\sqrt{Y}$ . So, these things will be reflected when I use this some options like Box-Cox and Johnson has family of transformation, 3 families of transformation, and it will give you the equation what transformation will convert the Y into normal values like that.

So, that is also possible. And we need to have an option to calculate capability using this transformation over here, ok. So, let me take some data set first to illustrate, simple data set to illustrate that we have this what you call already, yeah.

(Refer Slide Time: 20:56)



(Refer Slide Time: 21:02)



So, this is let me is go back to the files and then what we will do is that we will open the data transformation, this, this one, this file maybe and we have some data which is non-normal. And how do we check first? First is energy consumption what do you see over here is some data set what we are having over here and we want to see whether it is normal distribution or not.

So, if you go to stat what MINITAB has an option to check whether it is normal distribution or not I will use a specific test tool, and we will do it somewhat, at this stage

we do not want to go into hypothesis testing. We just want to see whether it is normal, what is the guideline to see whether it is normal or non-normal.

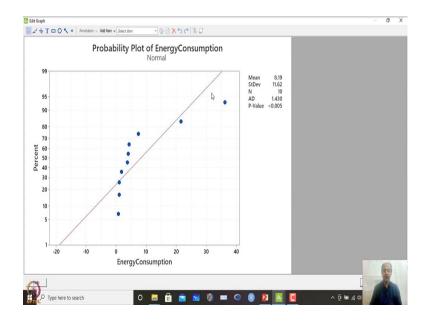
(Refer Slide Time: 21:38)

File		50	h View Help Assistent A	***	12   2 ×   2    * %   ∀ ≥	85	( <b>*</b> 4) E	d" C	du vy š									8 ×
					Normality Test C1 EnergyCone C3 Percent Inor C5 Surface Print Select	erc reec	None At Y values: At data values Its for Normalit Anderson-Darl Ryan-Joiner Kolmogorov-Sr	ng (Simlar	to Shapiro-V	/ik)	×							
			1 22 1		Help		- 1	r	OK	Can				1				
+	C1 EnergyConsumption	C2	C3 Percent Increase in Sales	C4	Surface Finish	_		L	UK			C12	C13	C14	C15	C16	C17	CIE
1	21.6		5.53		3.02													
2	4.0		5.71		3.18													
3	1.8		6.22		3.40													
4	1.0		6.01		3.20													
4	1.0		5.29		2.80													
5	0.8		6.24		2.80												0	
	Data Transformation				2.04			4									Y	
NPT	P Type here to			0	📒 🔒 🕯		4 🔞		Q	8	1				ê 🐿 🦽			

So, I will go to normality test. This is a set of data let us say energy consumption is CTQ that customer is interested in and we want to see whether it follows. So, I will go to basic statistics and I will go to normality test. And what I will do is that I will select this one and there are 3 options over here Anderson darling test for normality. What you see this is right Ryan test, this is KS test, Kolmogorov-Smirnov test.

So, I am using Anderson darling test. So, you can use other one also options, but this is a robust one, so I am using this one.

#### (Refer Slide Time: 22:02)



So, I click this one and as per the researcher's suggestion, so what I am doing is that I am using Anderson darling test. And when you do the Anderson darling test what will happen is this data set is plotted and there will be a straight line like this. This was earlier known as probability plots. You can you may have heard in statistical course.

So, what MINITAB does is that? It calculates Anderson darling statistic, 1.43 what you see and the we are interested in this P-value concept over here. So, although we do not understand at this present moment P-values, but we will elaborate that after, when we discuss hypothesis testing which will be used in design of experiments, ok.

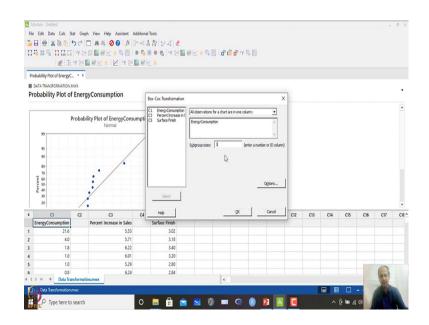
So, if at this time point we can remember that if the P-value is less than 0.05; that means, the data is non-normal. So, simplest way to interpret this one P less than 0.05 indicates that the data is non-normal data basically. So, here P is less than 0.05, data is non-normal over here.

#### (Refer Slide Time: 23:06)

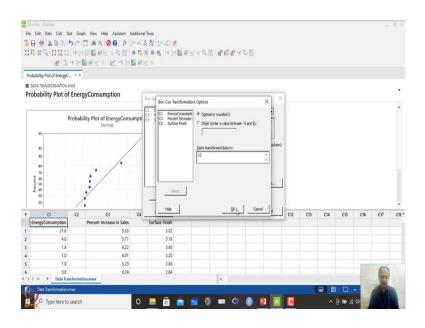
Probability Plot	Regres ANOV DOE Contro Qualit Reliab	A H Charts y Tools Jity/Survival Litve Analytics	Y X Y X Va Va Va	# 70   m Cox Tran riables ( riables ( tributes	日間 ビイベーベー 日間 日日 マント たた Sox-Cox Transform framtform your data used only on normo	nation	ormal distribut	ion. Can be	du vy is	¥ 12								
99 95 90 90 90 90 90 90 90 90 90 90 90 90 90	Equiva	eries warnetrics lence Tests and Sample Size	' M	ultivariate re Event C	Mean     StDev     N     AD	8.19 11.62 50 1.430 0.0005												
CI	C2	G		C4	CS	C6	C7	C8	(9	C10	C11	C12	C13	C14	C15	C16	C17	0
EnergyConsumption		Percent Increa			Surface Finish													
21.6			5.53		3.02													
4.0			5.71		3.18													
1.8			6.22		3.40													
1.0			6.01		3.20													
1.0			5.29		2.80											-	0	
	ansformat unwx	on.mwx	0.24		2.64			1				1				-	- Fi	

So, can I convert this data like that to a normal distribution, it will follow normal like that? So, what we can do? Two options we can elaborate in minute we can explore in MINITAB. So, one over here is that you go to control chart there is a Box-Cox transformation that is available over here.

(Refer Slide Time: 23:17)

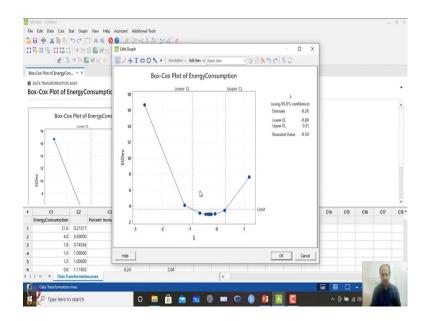


## (Refer Slide Time: 23:25)



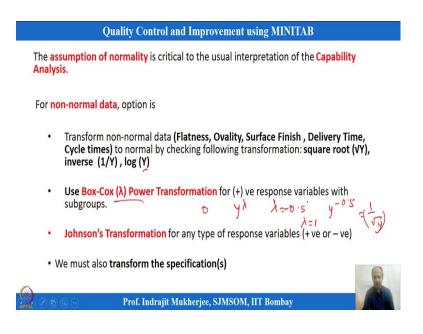
So, I go to Box-Cox transformation. And I say this is the and my cursor is over here, energy consumption I want to see, and subgroup sizes will give one. And in options what I can do is that I can store this data set in C2, and I can check whether the data is converted into normal. So, conversion will be stored, optimal or rounded lambda, lambda means  $Y^{\lambda}$  transformation will be done on the Y CTQ's, Y is over here energy consumption like that.

(Refer Slide Time: 23:49)



So, if I put it in C2 and if I click ok and then I say ok over here, what happens is that it will give you some estimation over here. So, that is optimal lambda that was calculated is estimated as around -0.28 like that and it will give you some rounded values -0.50, ok.

(Refer Slide Time: 24:05)

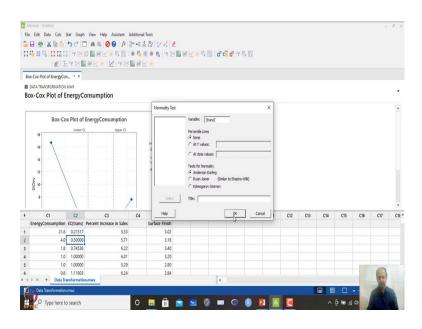


-0.5 in common sense means  $1/\sqrt{Y}$ . So, over here what happens is that it is getting a box transformation is getting  $Y^{\lambda}$ , so Y is this energy, energy one you can think of. So, lambda is coming out to be minus point, -0.5. So,  $Y^{-0.5}$ . So, this indicates  $1/\sqrt{Y}$  basically. This is the transformation suggested by box transformation, ok.

So, if lambda comes out to be 1; that means, no transformation, Y to the power 1 means no transformation basically, 0 means log transformation, and minus 1 means 1/Y transformation that is required. So, these are the interpretation of lambda over here. And in this calculation also, MINITAB has given you some options to explore whether it is this can be converted.

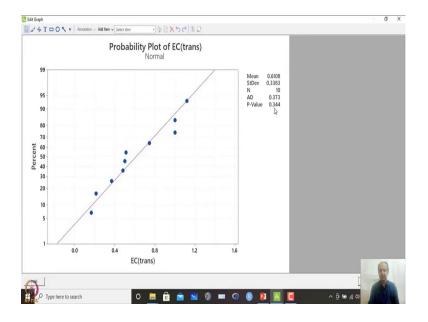
So, this conversion is done over here and the values are given over here. So, this is energy consumption let us say, energy consumption and this may be transformed we can say transform, ok.

#### (Refer Slide Time: 25:32)



So, we can write like that. So, let us try to see whether now it is normal or not. So, what we can do is that it may work may not work like that. So, I am saying that after this transformation what happens can you show me the possibility.

(Refer Slide Time: 25:40)

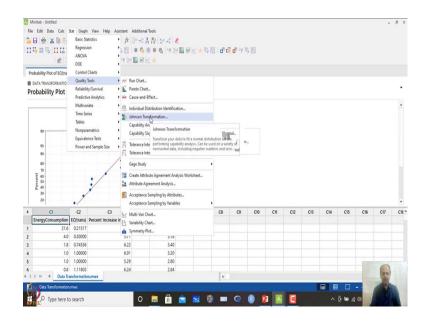


So, when I explore this one that this transformation has worked you see and now the P-value is not less than 0.05. Because the not less than 0.05 what do you see. P more than 0.05 indicates the data is converted into normality. So, when I use the optimal lambda

that is suggested lambda over here and when I do the transformation which is C2 column over here the data is converted into normal distributions like that.

Now, I can calculate capability of this if I want to see the capability and I give a range of this capability means upper limit, lower limit to this I can do that, ok. Similarly, another option that can be explored over here is that I can use another function which is known as Johnson's transformation over here.

(Refer Slide Time: 26:22)

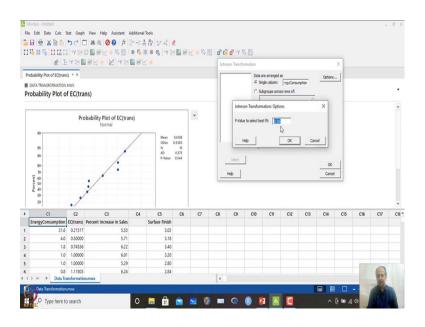


So, this is available in quality tools. Johnson's transformation over here.

(Refer Slide Time: 26:31)

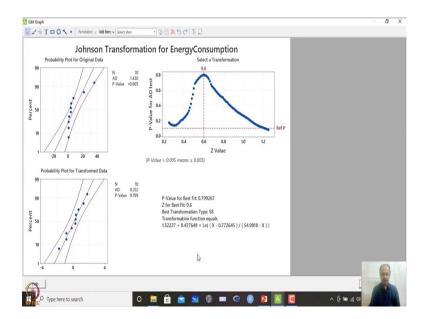
	1	- 'Y 🖂 🗖					Johnson Transf	ormation					×			
robability	Plot of EC(tran	15) ~ X					C1 EnergyCo C2 EC(trans)	nsump Data a	are arranged	as rgyConsur	_	Options.				
	ility Plot o		ns)				C3 Percent In C5 Surface Fi	inish C Su	igle column: Ibgroups acro		~					
		Pro	bability Plot of EC(tra Normal	ns)		*		Sin			v					
99-				/		.6108 3383		Ē	bgroups acros		^					
95 - 90 - 80 -			/.		N AD	5383 50 0.373 3.344	Select				v	OK				
90		.,	./.		N AD P-Value	50 0.373 3.344	Нер				~	Cancel				
Percent 8 5 5 5 4 6	Cl	c2 EC(trans)	C3	64	N AO P-Value CS	90 0.373		C10	C11	C12	CI3		CIS	C16	C17	
Percent 8 5 5 5 4		EC(trans)	C3 Percent Increase in Sales 5.53	64	N AD P-Value	50 0.373 3.344	Нер	C10	C11	C12	CI3	Cancel		C16	C17	
Percent 8 5 5 5 4	Consumption	EC(trans) 0.21517 0.50000	Percent Increase in Sales 5.53 5.71	64	N AO P-Value Surface Finish 3.02 3.18	50 0.373 3.344	Нер	C10	C11	C12	CI3	Cancel		C16	C17	
Percent 8 5 5 5 4	Consumption 21.6 4.0 1.8	EC(trans) 0.21517 0.50000 0.74536	Percent Increase in Sales 5.53 5.71 6.22	64	N AO P-Value Surface Finish 3.02 3.18 3.40	50 0.373 3.344	Нер	C10	C11	C12	CI3	Cancel		C16	C17	c
Percent 8 5 5 5 4	Consumption 21.6 4.0	EC(trans) 0.21517 0.50000 0.74536 1.00000	Percent Increase in Sales 5.53 5.71	64	N AO P-Value Surface Finish 3.02 3.18	50 0.373 3.344	Нер	C10	C11	C12	CI3	Cancel		C16	C17	

You go to Johnson's transformation, then you say which where is the data I say energy consumption. So, can I store? It will say whether you want to store that one. So, let us store this one because C2 is blocked now we can store it in C7 let us say. So, C7 we will say, that is stored in C7 and Johnson's transformation.



(Refer Slide Time: 26:47)

(Refer Slide Time: 26:57)



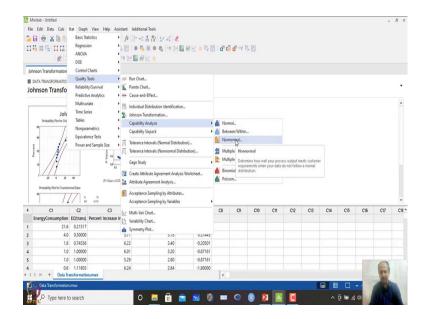
And options over here is that P-value this you can ignore at present moment take it as default and go to ok, and what will happen is that it will give you some transformation

over here, ok. And the final transformation on Y that you see is this is the function, last one what you see 1.52227+0.43768\*Ln(x-.7726)/(54.9818-x), this is the total on Y.

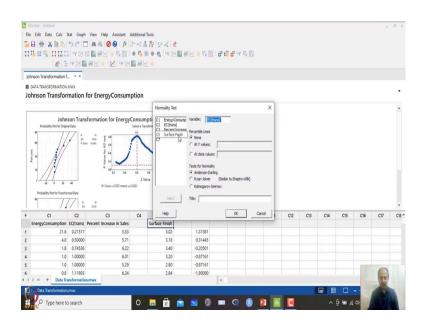
In case of X, you replace that one Y and then you see the initial data set was showing 1.43 as Anderson darling value and P is less than 0.05. So, after this conversion transformation function that was used Anderson darling value is saying and P-value is more than 0.05. So, we will assume that this P-value, we will take as a criteria. If it is less than 0.05 non-normal, if it is more than 0.05 it is converted into normal like that.

So, whenever I convert this one I can calculate the capabilities. I can calculate the process capabilities like that. So, this can be we can do this analysis for this Sales and surface finish like that. So, for surface finish also let us try to see one more examples and then stop this one and then.

(Refer Slide Time: 28:05)



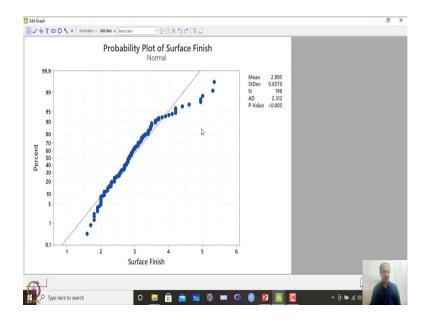
# (Refer Slide Time: 28:18)



(Refer Slide Time: 28:21)

IF INE file Das Calc Star Gamp View Higk Anstant Additional Yools         Image: The Star Das Calc Star Gamp View Higk Anstant Additional Yools         Image: The Star Das Calc Star Gamp View Higk Anstant Additional Yools         Image: The Star Das Calc Star Gamp View Higk Anstant Additional Yools         Image: The Star Das Calc Star Gamp View High Anstant Additional Yools         Image: The Star Das Calc Star Gamp View High Anstant Additional Yools         Image: The Star Das Calc Star Das Ca	
I The	
ロージョン 日本	
Image: Sec: A bit in the sec: A	
Details HardSofemAndDocumeZ Johnson Transformation for EnergyConsumption	
Details HardSofemAndDocumeZ Johnson Transformation for EnergyConsumption	
Johnson Transformation for EnergyConsumption	
Johnson Transformation for EnergyConsumption         Number Vector         Xumber Vector         Xumber Vector           Image of the Doperium         Image of the Dop	•
heads here to purple a final stands to the s	
Present Lines Productions Pro	
trans - stm 0 g a g a g a g a g a g a g a g a g a g	
u u u u u u u u u u u u u u u u u u u	
и 4 2 2004 4 2 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	
и 4 2 2004 4 2 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	
2 Value C Ryan-Steller (Smith + 505) 	
- 20 0 20 40 (P-10/ur = 0.005) (P-10/ur = 0.005) (P-10/ur = 0.005)	
Probability Pitch for Transformed Data	
November y house it associated data	
W N N N N N N N N N N N N N N N N N N N	w
+ C1 C2 C3 C4 Heb OX 2 Cancel 1 C12 C13 C14 C15 C16	C17 C18 4
EnergyConsumption EC(trans) Percent Increase in Sales Surface Finish	
1 21.6 0.21517 5.53 3.02 1.31581	
2 4.0 0.50000 5.71 3.18 0.31445	
3 1.8 0.74536 6.22 3.40 -0.20501	
4 1.0 1.0000 6.01 3.20 -0.87161	
5 1.0 1.0000 5.29 2.80 -0.87161	0
6 0.8 1.11803 6.24 2.84 1.80000	(ma)
H 4 5 H + Data Transformation.mwx	-
🚺 Data Tanaformation.mwx 🔤 🖽 🗖 = -	and the second
📲 🖓 Type here to search 🛛 🗧 📑 😭 🐋 🐼 🚳 🚥 🚱 😰 🚺 🧧 🔷 🎄 🕸	and the

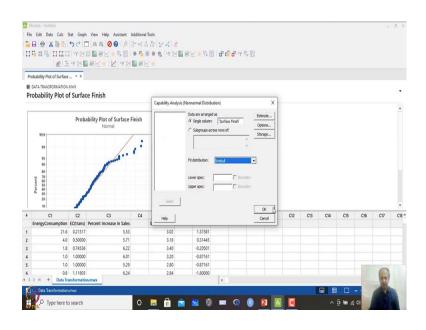
#### (Refer Slide Time: 28:24)



So, in this case let us say I want to calculate the capability and this is a. So, I want to calculate because I know the data is non-normal. So, let me just test the data basic statistics and we want to test the data set normality of the second one. Surface finish over here I want to check and I want to see and P is here also you can see less than 0.05. So, that is the criteria and the data is non-normal basically.

So, when the data is non-normal what is to be done? How do I calculate capabilities like that? So, I will go to quality tools and capability analysis I will mention non-normal. I will mention non-normal analysis I want to do and which is the data set survey this surface finishes the data set.

## (Refer Slide Time: 28:45)

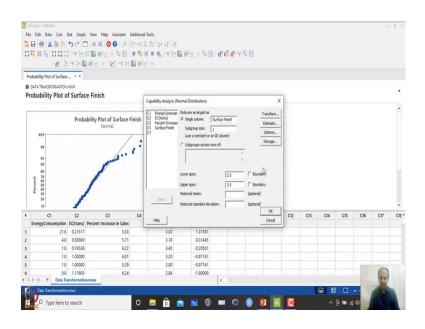


And we can fit the distribution over here, we can fit the distribution over here.

(Refer Slide Time: 28:55)

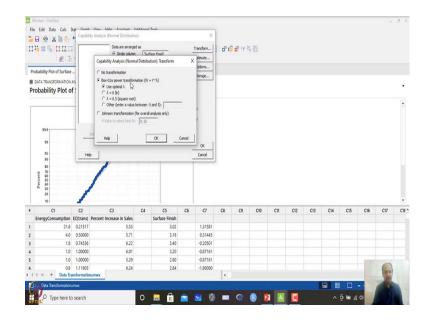
2 6	Edit Data Calc S	tat Graph View Basic Statistics Regression ANOVA DOE	•   fx   ]== =	☆ ダス &  東先 W⊠■	8K¥4	đ	t <mark>o d</mark> a rA (	18								
Prot	ability Plot of Surfac	Control Charts														
-	ata TRANSFORMATIO	Quality Tools Reliability/Survival Predictive Analytic														
Г		Multivariate Time Series	Individual D	tribution Identification sformation												
	93.9	Tables Nonparametrics	Capability A Capability Si				lormal etween/Within									
	99	Equivalence Tests Power and Sample		ervals (Normal Distributio		N N	lonnormal									
	95- 90-		Tolerance Int	ervals (Nonnormal Distri	oution)	-	fultiple Variable fultiple Variable									
	80 - 70 - 55 50 - 56 - 50 - 50 - 20 - 10 -	/	Attribute Ag	ute Agreement Analysis ) eement Analysis iampling by Attributes iampling by Variables	Worksheet	-	inomial oisson									
	CI	C2	C3 Multi-Veri C	art		C8	C9	C10	C11	C12	C13	C14	C15	C16	C17	C
E	nergyConsumption 21.6	EC(trans) Percent 0.21517	Increase in Li Variability Cl													
2	4.0	0.50000	Symmetry P	5.16	0.51443											
3	1.8	0.74536	6.22	3.40	-0.20501											
	1.0	1.00000	6.01	3.20	-0.87161											
	1.0	1.00000	5.29	2.80	-0.87161									1000		
5	0.8	1.11803	6.24	2.84	-1.80000									1000		

#### (Refer Slide Time: 28:59)



So, rather than that one what we can do is that quality tools over here, capability analysis normal we want to convert, this into normal. So, in this case we will use surface finish let us say. And we can specify over here, let us say from this is from 2.5 to 3.5 upper specification, arbitrary I am putting over here, some numbers to the surface finish data that I am having in C5.

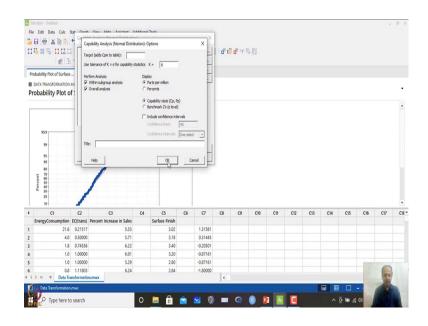
(Refer Slide Time: 29:22)



And then I suggest some transformation. So, I suggest use Box-Cox transformation, which lambda use optimal lambda like that and then give me the capabilities. So, I use

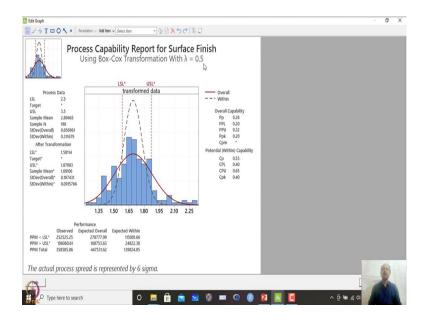
this one. So, here transform over here you have options. No transformation, but I want Box-Cox transformation or Johnson's transformation, I am using let us say Box-Cox use optimal lambda whatever the Box-Cox transformations suggest like that. Otherwise I can specify also over here.

(Refer Slide Time: 29:51)



So, if I am doing this and clicking ok over here, and then in options anything else. So, within and over also I want to calculate within overall capability. So, capitality index over here. And then I want to calculate so only S calculation will differ and then in that case what I will do is that I will click ok and what will happen is that, ok. So, subgroup size I have not given. So, this is one subgroup size that has to be given mentioned over here.

#### (Refer Slide Time: 30:19)



So, I click ok over here. What will happen is that this will give you some transformed data, USL and LSL. So, this is written as transform data, you can see transform data. So, some transformation was used over here using box of lambda transformation of 0.5, 0.5 means square transformation basically. Y to the power 0.5, square root transformations, Y to the power square root of Y was used over here.

And then Pp and Ppk index Cp and Cpk index were calculated, and then you see that LSL and USL are also changed because I will square root transformation. So, the values are also transformed like that. And accordingly the data was confirmed to be normal now, and after the transformation and with the transformed data I am calculating the Cp and Cpk index like that.

So, whenever you have a non-normal scenario you can use this type of transformation. So, we will continue from here in the two examples that we have seen earlier that can example and the ring examples like that, and from there again we will start. So, that will be the starting point, then we will come to some other issues and quality what we can discuss. So, in next session we will do that.

So, what we have done is that process capability analysis, then Z-benchmark we have talked about, and in case of non-normal what are the options that we have that we try to explore in this session. We will continue this lecture from here in our next session.

Thank you for listening.