

Optical Wireless Communications for Beyond 5G Networks and IoT
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Lecture - 13
Part - 4
Cyclic Prefix (CP), OFDM with Cp, BER of OFDM System

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Cyclic Prefix (CP)

for selective ch
 $h(0) h(1) \dots h(L-1)$ — taps
 $y(n) = h(0)x(n) + h(1)x(n-1) + \dots + h(L-1)x(n-(L-1))$
 previous (L-1) symbols

$\tilde{x}(n)$ — post. $\tilde{x}(n) = x(n) \dots x(N-1)$ — Current OFDM symbol.
 $y(n) = \frac{h(0)x(n) + h(1)\tilde{x}(N-1) + \dots + h(L-1)\tilde{x}(n-(L-1))}{\text{desired}} \quad \checkmark$
 on the floor



Hello everyone. So, now we will introduce the idea of Cyclic Prefix or CP. So, any frequency selective channel can be modelled as using channel taps or like h_0, h_1 or h, L minus 1, say upto L th. So, this is any frequency selective channel and these are the taps. So, what happens because of this channel? If you see any signal at time stand n $y(n)$ then it will be $h_0 x(n)$ and $h_1 x(n-1)$ plus so on and forth and the last one is $h_{L-1} x(n-L+1)$.

So, you have you also have the contribution of previous $L - 1$ symbols which is the interference term or if you have you know 2 2 O FDM symbols in say this is your current OFDM symbol which is say these are the samples x_0 so on and so forth x_{N-1} and say this is a past which will be x_{n-1} and this is x_0 .

So, if I calculate y_0 this will be given by h_0, x_0 plus $h_1 N - 1$ so on and so forth h_{L-1}, x_{n-L-1} . So, basically this is your desired signal, but it also has interference. This is interference this is a desired signal. So, how to get rid of this interference? So, what happens your initial signals will be actually affected by the previous signals.

So, this is N B and this these are parts of initial signals the dash ones they are affected by your previous signal. So, how to get rid of this you know this interference? So, in order to get in order to do away with this interference we come out we come with an idea of cyclic prefix.

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Cyclic Prefix

Consider $x(3), x(0), x(1), x(2), x(3)$

$$y(k) = h(0)x(k) + h(1)x(k-1) + v(k)$$

$$\begin{cases} y(0) = h(0)x(0) + h(1)x(3) + v(0) \\ y(1) = h(0)x(1) + h(1)x(0) + v(1) \\ y(2) = h(0)x(2) + h(1)x(1) + v(2) \\ y(3) = h(0)x(3) + h(1)x(2) + v(3) \end{cases}$$

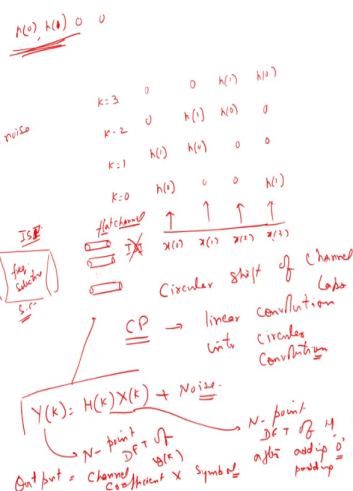
$$y = h \otimes x + v$$

$$\text{FFT}(y) = \text{FFT}(h \otimes x + v)$$

$$= \text{FFT}(h \otimes x) + \text{FFT}(v)$$

$$= \text{FFT}(h) \otimes \text{FFT}(x) + \text{FFT}(v)$$

$$\underline{Y} = \underline{H} \underline{X} + \text{noise}$$



So, what actually is done in cyclic prefix? Suppose these are your samples x_0, x_1, x_2, x_3 . Now, in some part of the signal is actually repeated in the beginning. So, this is actually cyclic prefix I have shown here only 1, but actually it depends on the delay spread and other factors how many will have to be prefixed with the symbol OFDM symbol. So, right now I have done only x_3 which is prefix. So, this is called a cyclic prefix and let us now try to understand how you know this will prevent this inter symbol interference.

So, if I take these samples say this was x_0 sample or this was x_1 sample, this was x_2 sample this is x_3 sample they have you know different values. And suppose h_0 and h_1 they are the taps the channel is modelled using x_0 and x_1 then let us see for k is equal to 0 for example, or k is equal to 1 or k is equal to 2 k_3 . So, this k is equal to 0 will be this will be h_0

here and it will be affected by x_3 . So, h_1 will be multiplied by x_3 and for $k=1$ it will be h_1 and h_0 and others are 0.

For k is equal to 2 it will be 0 h_1 I mean you can write these equations or I have written here h_1 this is k is equal to 2 h_0 0 and this is h_1 this is h_0 this is 0. So, y_k in this case when it is there is a prefix here will be given like this and for $y_0, 1, 2, 3$ and this is the noise part noise sample will be given by $h_0 \times x_0$ $h_1 \times x_3$ and so on and so forth. So, this is what I have drawn here and if you see here there is a circular shift of channel taps there is a circular shift of channel taps.

So, it is actually $h_1 0 h_1 0$. So, this is circular shift of you can see that trend happening $h_1 0 h_1 0$ then $h_1 0$ this is a circular shift. So, effect of cyclic prefix is to make convert this into a circular convolution earlier it was a linear convolution. So, CP cyclic prefix actually has converted linear convolution into circular convolution. So, this is what the cyclic prefix has done and this is clear from this simple example where I have used x_3 as the cyclic prefix to the samples x_0, x_1, x_2, x_3 .

So, y is actually this is circular convolution this is circular convolution this is different from linear convolution and this is the noise part. So, y has become H circular convolution plus x plus v . Let us take FFT because we are doing the FFT operation on the receiver. FFT of y will be FFT of whole thing and this can be broken FFT of g circular convolution x plus FFT of noise.

So, this is the property of circular convolution this can be broken into FFT of H into FFT of x plus FFT of y . So, this gives you Y is equal to HX and this is some quantity noise. So, this can this will be direct multiplication in case of circular convolution. So, this is actually. So, this becomes Y is equal to HX or YK for each subcarrier is actually HK XK plus of course, some noise which we are just adding here.

So, this is nothing but N point DFT of YK this is N point this HK N point DFT of H after you have added padding after adding 0 padding because it is h_0 and h_1 . So, I am pointing others

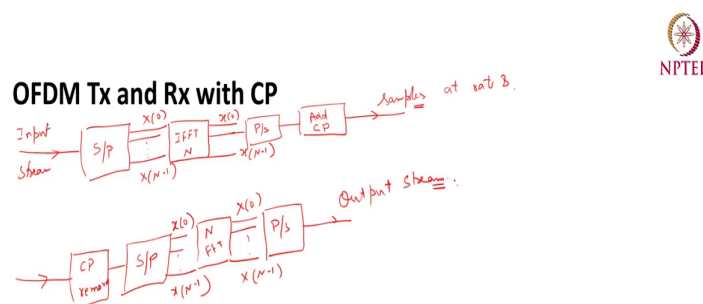
as 0. So, you have added them as 0 and then after adding this N point DFT after adding you know after adding padding with 0.

So, this basically gives you the output. So, basically the output is nothing but the channel coefficient in the symbol. So, what essentially channel coefficient? That is H_k into symbol this is capital Y_k . So, what actually has happened here earlier we started with something which is frequency selective single carrier frequency selective single carrier. Now, we have broken this into N parts now each modulated by subcarrier.

So, we have made N parallel streams and all those parallel streams were combined and they were transmitted. So, the whole thing is converted into small parallel streams. This is what we did in MCM multi carrier modulation and this was frequency selective and from this it is very clear that each sub channel is flat channel.

So, there is no question of ISI, no ISI. The ISI was the main culprit in single carrier frequency selective channel and we have converted this into N parallel sub channels and each sub channel is now flat channel. So, the ISI problem has been resolved. So, by simply doing cyclic prefix we are able to convert the channel into a flat channel N flat channel and there is no effect of or there is no ISI now happening among the symbols.

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So, now we are in a position to draw the complete diagram of OFDM transmitter in receiver. So, this is your input stream. First operation what we do is serial to parallel. So, you get this different data x_0 to x_{N-1} and then we do IFFT. This is quite common IDFT algorithm IFFT endpoint and then you get these symbols here.

This is x_{N-1} and then these samples are parallel to serial and once you have done the serial then you add CP. This is the add CP cyclic prefix. Now, we have understood why we want to add CP. So, these are the samples which are transmitted. Samples at rate B. Now, these samples.

So, first thing is CP is removed and the receiver CP removed. Then serial to parallel. This is serial to parallel. You get these samples out and then you do the N point FFT operation to get the data symbols out because the coefficients of FFT operation is nothing but data symbols x

N minus 1 and then you do parallel to serial to get the output stream. So, this becomes a complete by DM diagram.

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OFDM T_X & R_X with CP



$$\underbrace{x(N-L_c), x(N-L_c+1) \dots x(N-1)}_{CP} \underbrace{x(0), x(1) \dots x(N-1)}_{\text{current block}} \quad T_s$$

$$\text{Loss in Efficiency} = \frac{CP}{\text{Total OFDM symbol length}}$$

$$= \frac{L-1}{N+(L-1)} \quad \begin{matrix} N \rightarrow \infty \\ L \rightarrow 0 \end{matrix}$$

N is decreasing delay.

$$L_c \times T_s \geq T_d \quad \text{delay spread}$$

$$T_s = \frac{1}{B}$$

$$T_d = \frac{1}{B_c} \quad \text{coherence BW}$$



So, now let us understand OFDM transmitter and RX with CP. How normally it is done? So, this is your current block x 0 to x N minus 1. These are the samples and depending upon the delay spread T_d you select those samples and prefix it in the middle in the beginning. So, this is what is the CP and I have selected L_c number which are prefixed. So, this becomes OFDM symbol complete OFDM symbol. And because of this addition of CP there is a loss in efficiency.

So, this is CP length and this is a total OFDM symbol length. Though this gives you the loss in efficiency. So, the CP is actually L minus 1 here. Yeah, L minus 1. So, this C can be removed. And the total length will be N is because of these samples and L minus 1 is your CP

length. So, the total loss in efficiency becomes $L - 1$ and plus $L - 1$. So, as N tending to infinity this loss is actually 0.

But we cannot make N infinity because if you make N infinity; that means, there are many symbols which have to be collected at the receiver before they can be decoded. So, basically the time for decoding increases. So, the overall system becomes slow. So, that is why N cannot be made very high. So, there has to be a compromise on the decoding delay. There is a trade-off between N and decoding delay. So, let us see how this number of symbols which have to be prefixed are selected.

So, basically this is this L is a number of symbols which have which are to be prefixed into T_s . The duration of each symbol should be greater than or equal to T_d . T_d is the delay spread. So, one has to meet this condition. This is delay spread. So, we know T_s is equal to $1/B$ and T_d we know this is approximately $1/B_C$. B_C is the coherence bandwidth.

So, from wireless course we should know that the delay spread is equal to one of $1/B_C$. This is coherence bandwidth. This is approximately given by $1/B_C$. So, L_c becomes from the earlier equations T_d greater than T_d by T_s and T_d by T_s is nothing but B by B_C .

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$$L_c \geq \frac{T_d}{T_s} = \frac{B}{B_c}$$

$$N \gg L_c \gg \frac{B}{B_c}$$

$$\Rightarrow B_c \gg \frac{B}{N}$$

coherence B.W.
sub chd B.W.

flat channel



So, this gives me N should be greater than L should be greater than B by B_c or the B_c should be greater than B by N . Now, let us understand this. So, these two equations have come from whatever I had written in the last slide. So, this B_c is the coherence bandwidth and this is your sub channel B by N is sub channel bandwidth. So, if B_c is greater than this just sub channel bandwidth then there is no the system is actually flat channel this we have seen. We have come back to the same condition alright.

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Example

$$N=256,$$

$$B_s = 15.625 \text{ KHz/subcarrier}$$

$$B_s = 15.625 \text{ KHz}$$

$$\frac{B}{N} = 15.625 \quad [B = 4 \text{ MHz}]$$



So, let us understand an example if N is equal to 256 that is the number of subcarriers and B is say 15.625 kilohertz per subcarrier. So, B by N this is B this is actually B this is; B this is not correct. So, B is 15.625 kilohertz. So, B by N or I am sorry. So, this is your B is 4 megahertz.

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Example

$$N=256, \quad B=4 \text{ MHz}$$

$$B_s = 15.625 \text{ KHz/subcarrier}$$

$$\frac{B}{N} = 15.625 \text{ KHz} \quad (B = 4 \text{ MHz})$$

$$B_s = 15.625 \text{ KHz} \ll B_c = 250 \text{ KHz}$$

OFDM symbol time without CP is

$$\frac{N}{B} = \frac{256}{4 \times 10^6} = 64 \mu\text{s}$$

In 4G \rightarrow 12.5% of the symbol time is CP

$$\text{CP} = 8 \mu\text{s}$$



So, B by N will be 4 megahertz divided by N will give you 15.6 kilohertz. So, this is per subcarrier this is what I have written here. So, this becomes your B by N. So, if you see this B S and your B S for a wireless N is 250 kilohertz this B s is much less than B C. So, OFDM symbol time without cyclic prefix will be N by B this is symbol time N is equal to 256 and B here bandwidth is 64 micro second.

So, in 4G for example, 12.5 percent of the symbol time that is of the 64 micro second is kept for CP. So, CP 12.5 percent of 64 micro second will be 8 micro second.

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Total OFDM symbol duration

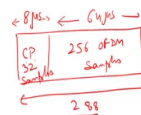
$$= (64 + 8) \mu s = 72 \mu s$$

$$\# \text{ Samples in CP} = \frac{\text{CP duration}}{\text{Sample time}} = \frac{8 \mu s}{\frac{1}{B}} = 32$$

$$L_c = 32 \text{ samples}$$

$$\text{Total number of samples is } 256 + 32 = 288$$

$$\text{Loss in SE} = \frac{32}{288} = \frac{8 \mu s}{72 \mu s} = 11.1 \%$$



So, the total OFDM symbol duration which will be CP and the 64 which has come earlier. Let us see this 64 is N by B OFDM symbol time without C P. So, this is without C P with C P is 8. So, this is the total OFDM symbol duration which is 72 micro second. And number of samples if you see in C P the C P duration is 8 micro second this is we have seen earlier slides and sample time is 1 by B .

So, the samples in CP which have to be prefixed are actually 32. So, L_c is equal to 32 samples and total number of samples will be 256 was without CP and 232 is you know the CP samples the total becomes 288. So, if you want to draw. So, this is your CP. CP this is 8 micro second this this one 8 micro second which we have taken and this was 64 micro second in this example.

And this is 256 OFDM samples and this is 32 samples. So, this is what is. So, this whole thing becomes total number of samples will be 256 plus 32. So, this total will be 288. So, and loss in spectral efficiency will be 32, 32 is the C P sample cyclic prefix and the total is 288 which gives you 11.1 percentage 11.1 percent.

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BER for OFDM

$Y(k) = H(k)X(k) + N(k)$

$N(k) = \sum_{m=0}^{N-1} n(m) e^{-j2\pi km/N}$ FFT of the noise samples at the output of the R. $n(m) \rightarrow$ Additive noise samples for each subcarrier sample


$E[N(k)] = E\left[\sum_{m=0}^{N-1} n(m) e^{-j2\pi km/N}\right]$

$= \sum_{m=0}^{N-1} E\{n(m)\} e^{-j2\pi km/N}$

$\sigma_N^2 = E\{|N(k)|^2\}$

$= E\left\{\left(\sum_{m=0}^{N-1} n(m) e^{-j2\pi km/N}\right) \cdot \left(\sum_{l=0}^{N-1} n(l) e^{-j2\pi ml/N}\right)^*\right\}$

NPTEL



Now, let us try to calculate the BER for OFDM. Bitter a rate for OFDM the we had calculated for baseband. So, we will also calculate for OFDM. So, right now it is nothing to do with optical wireless channel right now I am discussing about the fundamentals of OFDM and how it behaves in RF channel.

So, as we have seen in OFDM Y is equal to H X plus noise and for each sub carrier Y k will be H k X k plus N k. This is what I have read. And N k we know is FFT of the noise samples at the output of the R x where these n m this n m they are the additive noise samples for each

output sample. And this is N_k is the FFT of the noise sample. Remember we are doing we have done FFT operation in the receiver in OFDM.


So, if you take the expected value of N_k of this FFT of the noise sample at the output of the receiver expected value of this. You can take the expected operation here. This is E raise to the power minus $j 2 \pi k m$ expected value of n_m . And also, if I calculate the variance of this N_k the FFT of the noise samples variance of N_k whole square will be given by expected value of N_k is from here. This into conjugate of this. So, this is a conjugate part. So, you simplify this.

This will give you expected value of double summation n_m into n_m^* E raise to the power minus $j 2 \pi m$ minus $l K$ by N . Now, this expected operator can go inside. Summation can come inside. And this can also is outside the expected operator. So, this gives you this gives you because each component n_m into n_m^* will give you σ^2 . This is only valid for you know L is equal to M .

And then this is summed over all the subcarriers which will give you N times σ^2 . So, this is the variance. So, the variance has increased. Its it has become $N \sigma^2$. And let us do the same operation for H_k . H_k is given by these are the L paths. You know remember we had L type filter.

So, H will have value from h_0 to $H_L - 1$ into E raise to minus $j B 2 \pi k m$ into N . This is actually from this equation. So, I am trying to calculate the FFT end point FFT of H . So, take the square. And so, this is assuming this is these taps these taps. Let us assume that these taps. They are complex Gaussian distribution of mean 0 and variance N^{-1} . So, this will give 1 variance actually and this sum summed over L times. So, 1 plus 1 plus L times we what we will get is L .

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$$SNR = \frac{LP}{N\sigma_N^2}$$


Handwritten notes: $LP \rightarrow \text{power}$, $N\sigma_N^2 \rightarrow \text{noise}$

BER_{OFDM} (for Rayleigh fading wireless channel)

$$= \frac{1}{2} \left(1 - \sqrt{\frac{SNR}{2 + SNR}} \right)$$

$$BER = \frac{1}{2} \left(1 - \sqrt{\frac{\frac{LP}{N\sigma_N^2}}{2 + \frac{LP}{N\sigma_N^2}}} \right)$$

Handwritten notes: Single Carrier, MCM, OFDM, cyclic prefix, BER OFDM, PAPR \rightarrow QW, $\frac{1+\epsilon}{1-\epsilon}$



So, this total signal to noise ratio. This is the that H k component L and this is the power. This is the power. And the noise was actually N sigma N square. This was the noise. So, total noise is N sigma square N. And the total signal power is L times P. So, and BER OFDM from many standard textbook. If you assume Rayleigh fading wireless channel, BER OFDM is given by half 1 minus root SNR divided by 2 plus SNR.

Now, I can put the value of this SNR from this equation 1. So, this becomes half 1 minus root L P N sigma N square divided by 2 plus L P N sigma N square. So, this becomes the BER for OFDM. So, this is we have done the, what we have done in this class as understood about starting with single carrier.

Then we started with multi carrier modulation system and then with multi carrier modulation system we went to OFDM. And then we understood the concept of cyclic prefix. How by

using OFDM we are able to convert a frequency selective channel into N parallel sub channels which are flat channels. And then we had calculated BER for a OFDM signal, OFDM system.

So, next what we want to study is see the different sub carriers. It is possible that when the sub carriers are transmitted there may be a shift. Suppose F_1 was transmitted and that F_1 during the transmission there may be some shift $F_1 + \epsilon$ or $F_1 - \epsilon$. So, we want to study the effect of this epsilon. I mean how does it change the orthogonality of the system and how does it change the performance of the system.

This is what we will do in the next class and also, we will discuss about the a problem of PAPR. That is peak to average power ratio which is quite common in OFDM. This PAPR is generally high for OFDM signals and this is not a suitable thing or appropriate thing for our old optical wireless communication systems.

Because high PAPR will give will you know will make the LED system you know go into a non-linear mode because it has only limited you know dynamic range and then it is almost constant. So, high PAPR may pose some problem. So, we are going to study about the frequency offset in OFDM and also PAPR. And then we will move to the next step where we will see how OFDM can be utilized or can be made use of in optical wireless communication systems.

Thank you.