

Semiconductor Device Modelling and Simulation
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Lecture – 55
Solving Schrodinger Equation

Hello, welcome to lecture number 55. In last class we discussed about the basic postulates of quantum mechanics, so, there were four rules, basically that were discussed and then we concluded that Schrodinger equation can be solved to find out the state function psi. Now, in today's lecture we will discuss how to solve this Schrodinger Equation. So, we begin our discussion in last class regarding the solving solution to Schrodinger equation and where there we use the method of variable separable.

Now, we will consider some scenario like quantum well or so, some potential structure, where we will solve this ordinary equation. We will solve both analytically as well as numerically.

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SCHRODINGER EQUATION


$$-\frac{\hbar}{i} \frac{\partial}{\partial t} \Psi(x,t) = -\frac{\hbar^2}{2m_0} \frac{\partial^2}{\partial x^2} \Psi(x,t) + U(x) \Psi(x,t)$$

- this equation was discovered to explain how particles behave when they behave like waves.
- This equation can be solved by using "separation of variables" for static potentials

$$\Psi(x,t) = \psi(x) \phi(t) = \psi(x) e^{-iEt/\hbar} \propto e^{-i\omega t}$$

Electrons can be described by waves, quantization (boundary condition) and localization (wave packets)

SEMICONDUCTOR DEVICE MODELING AND SIMULATION

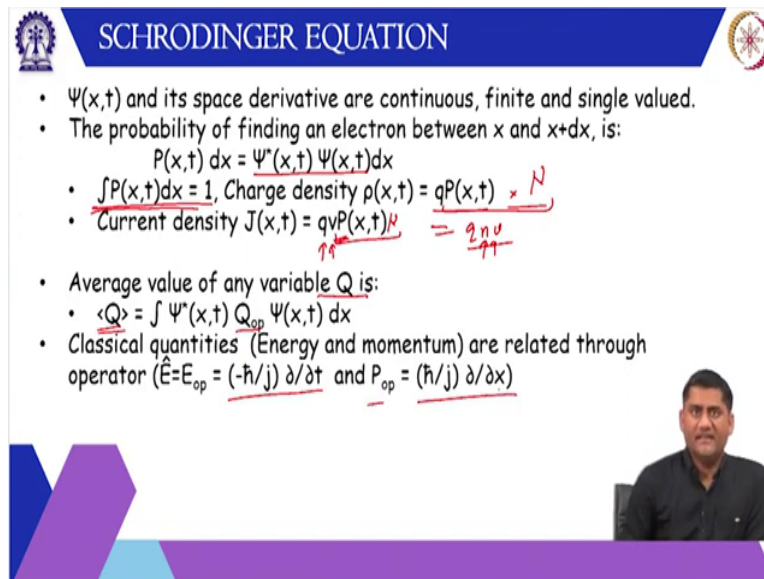
So, let us recall the Schrodinger equation this is a time dependent form so, $\frac{d\psi}{dt} - \frac{\hbar}{i} \frac{d^2\psi}{dx^2} = U\psi$ Now, we can recall the solution to this equation using variable separable. So, ψ is a function of x unless another function ϕ which is function of t , can return as e to the power $-iEt/\hbar$ or it can be written as e to the power $-i\omega t$.

Because this energy is $\hbar\omega$. Now, this is a general solution and we did not explore any boundary condition. It is a boundary condition that give rise to the quantization. So, if electron is freely moving in the space, it can assume any energy. But when you constrain it then only energies of the electron which can satisfy this boundary condition will be allowed. So, this is a quantization this is a boundary condition that give rise to the quantization and the localization.

Because by applying the boundary condition, let us say there is some potential here. So, there is high potential here and then some electron is confined here. So that means this electron is localized here that is probability of finding electron will be the high here. And because of this boundary condition, only certain energies of electron will be allowed. That is called quantization.

That means this electron cannot assume arbitrary energy, energies has to be some discrete numbers.

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SCHRODINGER EQUATION

- $\Psi(x,t)$ and its space derivative are continuous, finite and single valued.
- The probability of finding an electron between x and $x+dx$, is:

$$P(x,t) dx = \Psi^*(x,t) \Psi(x,t) dx$$
- $\int P(x,t) dx = 1$, Charge density $\rho(x,t) = qP(x,t) \times N$
- Current density $J(x,t) = qvP(x,t) \times N = \frac{qNv}{A}$
- Average value of any variable Q is:

$$\langle Q \rangle = \int \Psi^*(x,t) Q_{op} \Psi(x,t) dx$$
- Classical quantities (Energy and momentum) are related through operator ($\hat{E} = E_{op} = (-\hbar^2/2m) \nabla^2$ and $\hat{P}_{op} = (\hbar/i) \nabla$)

Then these are the relationship that we can recall that probability density is $\Psi^* \Psi dx$ over region x . Then for real situation, we can basically normalize this probability density function that $\Psi^* \Psi dx = 1$. So that means if there are N number of electrons in the system then star density can be written as Q times probability multiplied by this N . So, this N times P is the number of electrons basically, per unit area volume.

Then current density we know the expression for the current density q times n times v that is the expression. So, if we write in terms of probability density function then we can again use the q here and v here and this electron is written by P times N . So, N is a total number of electrons times their probability in that particular region or that particular time. This is, we are using it because we are normalizing with respect to 1.

If you do not normalize, you can just directly write P . Then it will not give the probability because it will give the number of electrons so, to satisfy the meaning of the name that it is a probability density we have to normalize it to the 1. Then for any variable Q we can use its operator that is Q of to calculate the average value of that particular physical quantity. For example, for energy the operator is $-\hbar^2 \nabla^2 / 2m$ or for operator for momentum is $\hbar \nabla$. So, this we have discussed in last class also.

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FREE ELECTRON

$$\frac{\hbar^2}{2m_0} \frac{d^2\psi(x)}{dx^2} + U(x)\psi(x) = E\psi(x)$$

$$\hat{H}\psi = E\psi, \hat{H} = -\hbar^2 \nabla^2 / 2m + U$$

$$E = p^2 / 2m + U \text{ (energy of a particle)}$$

For free electron, $U=0$
 Solution $\psi = Ae^{i(kx - \omega t)} = Ae^{i(kx - Et/\hbar)}$

$$E = \hbar\omega = \hbar^2 k^2 / 2m = p^2 / 2m$$

BCs: $\hat{H}\psi_n = E_n\psi_n$ ($n = 1, 2, 3, \dots$)
 E_n : eigenvalues (usually fixed by BCs)
 $\psi_n(x)$: eigenvectors/stationary states

Handwritten notes on the right side of the slide include:
 $\frac{\partial^2 \psi}{\partial x^2} = -\frac{2m_0 E}{\hbar^2} \psi$
 $\psi = Ae^{ikx}$
 $\frac{\partial^2 \psi}{\partial x^2} = -k^2 e^{ikx} A$
 $k = \sqrt{\frac{2m_0 E}{\hbar^2}}$
 $Ae^{i(kx - \omega t)}$
 $Ae^{i(kx - Et/\hbar)}$

A diagram in the center shows a parabolic curve representing the energy-momentum relationship $E = p^2 / 2m$ on a coordinate system with k on the horizontal axis and E on the vertical axis. The curve starts at the origin and goes upwards. A point on the curve is labeled E_1 and another point is labeled E_2 . The horizontal axis is labeled k and the vertical axis is labeled E .

Now, let us consider a free electron, so, free electron means it is freely moving in the space. There are no constraints, no boundary conditions. So, let us only consider the position dependent Schrodinger equation. Because we are using the variable separable method and this will be already derived, so, we can directly use this part of the equation. Now, here you see that some operator, this is basically kind of operator $\hat{H}$.

So, we say $\hat{H}\psi$ is equal to E times ψ . So, E are the energy or there are Eigen values of this particular equation. These equations are called Eigen equation. This left side of this equation you can say there are two terms here one is a double derivative that is basically

telling about the kinetic energy. And then U times ψ that is telling of the potential energy. So, there is a total energy of the particle is equal to E .

Now, if you consider this free electron in a space where potential is 0, so, we can conveniently set U equal to 0. Then we have this double derivative is equal to $\frac{d^2 \psi}{dx^2}$ is equal to $-\frac{2m}{\hbar^2} E \psi$. So, this is simple equation and we can say the solution of this equation is ψ is equal to this term. Here can be represented by some let us say some constant.

Let us say k or you can say this is basically see if you consider the size of the form e to the power $i k x$. So, double derivative $\frac{d^2 \psi}{dx^2}$ will be $-i k$ times $i k$. So that will be $-k^2 e$ to the power $i k x$. So, if there is some coefficient A we can just put A here. Then we can use high quality sign now. This is basically k^2 so, from here you can find out that k is equal to $\sqrt{\frac{2m E}{\hbar^2}}$.

So, this is A times e to the power $i k x$. So, whether U is $+i k x$ or $-i k x$ you will get the same expression basically because minus, minus will become plus. So, $i^2 k^2$. So, this is the solution and the other part which is time dependent, is e to the power $-i \omega t$. So, overall solution will be A times e to the power $\pm i k x - i \omega t$. So, this is the solution if you look at the physical meaning of this equation, it simply means a plane wave.

You see $i k x - \omega t$. So, if you use plus sign that means it is moving in the positive x direction and if you use other sign, it means it is moving in minus x direction. There is a simply a meaning of this, so, this direction, basically, we say phase velocity, so, it is how the phase is changing. You can basically plot this at different time, so, you can think like this. Let us say this is A times e to the power let us consider plus sign so, $i k x$.

So, A times e to the power $i k x$ there is to be some kind of so, you can write $A \cos kx + i \sin kx$. Then, basically, you can observe the evolution of this wave with time you change the time, so, this will slightly change the phase. So, this phase will basically decrease basically. So, you can see a plane wave which is moving in x direction. Now, another thing you can see we found the k is equal to $\sqrt{\frac{2m E}{\hbar^2}}$.

So that gives you a relationship that E is equal to $\hbar^2 k^2 / 2m$ where m is the mass of this particle. So, energy is proportional to k^2 , so, this is E by $\hbar$ E is equal to $\hbar \omega$. So, ω determines E by $\hbar$. So, you can use this axis as E or ω and this can be written as k . So, both are proportional to actually k^2 . So, this ω or E vs k diagram will have this parabolic nature.

Now, here in this case, there is no constraint on the value of k or all on the value of energy. That means all the energy on this curve are allowed but if you select certain ω or k if you select ω then corresponding k is fixed. Let us say this is ω so, corresponding you can have either plus k or $-k$. So, $+k$ means it is moving in $+x$ direction $-k$, is moving in $-x$ direction.

So, space velocity is in $+x$ direction is phase velocity is in $-x$ direction, so that is the meaning. So, corresponding to one energy there are two waves one moving in $+x$ direction, other moving in $-x$ direction. So, this is for one dimension case. You can use the same logic to extend this idea to 2D or 3D cases. So now, when we put the boundary conditions here is a plane wave unconstrained.

So, when we apply the boundary condition then this discrete nature will come into the picture. So, to summarize, this slide a free electron as E_k relationship which is parabolic in nature and this is written by $\psi(x)$ is equal to $E \psi$, where E is the energy. Now, for unconstrained electron there is no quantization. So, you do not have to use this N here, all the values of energy it can assume and ψ are basically the Eigen vectors or stationary states of the electron.

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FREE ELECTRON UNDER POTENTIAL, $U(x)$

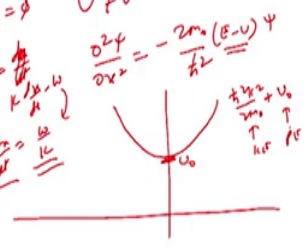


$$\frac{\partial^2 \psi(x)}{\partial x^2} + \frac{2m_0}{\hbar^2} [E - U(x)] \psi(x) = 0$$

Case I : $E > U(x)$, $\Psi(x) = Ae^{i(kx - \omega t)}$, $k = \sqrt{2m_0[E - U(x)]}/\hbar \Rightarrow E = U + \frac{\hbar^2 k^2}{2m_0}$

Travelling wave with Phase $= (kx - \omega t) = \phi$ $U \neq 0$
 Phase velocity, $v_p = \omega/k$
 Wavelength, $\lambda = 2\pi/k$

$E = U(x) + \hbar^2 k^2 / 2m_0$
 Momentum, $p = \hbar k$

$\frac{d\phi}{dt} = \frac{d(kx - \omega t)}{dt} = k \frac{dx}{dt} - \omega = 0$
 $\Rightarrow \frac{dx}{dt} = \frac{\omega}{k} = v_p$
 $\frac{\partial^2 \psi}{\partial x^2} = -\frac{2m_0(E - U)}{\hbar^2} \psi$


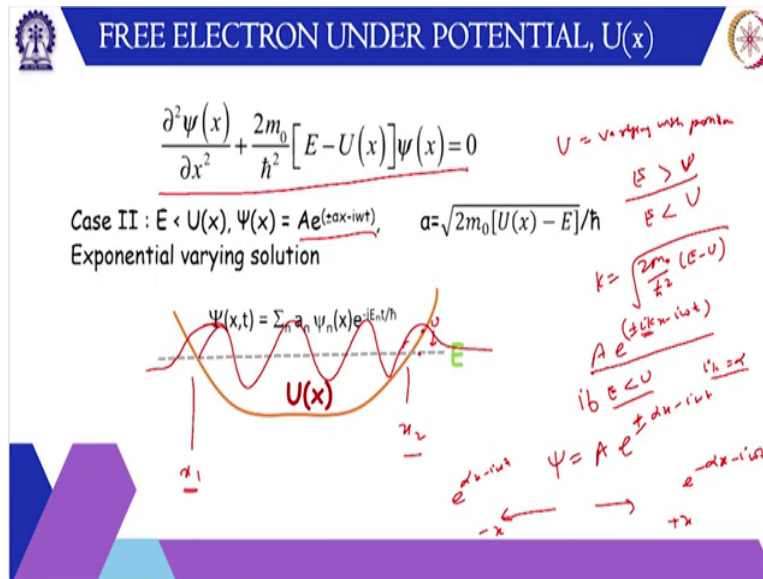
Let us introduce a background potential U . So now, in this case U is not 0 so, U is not 0. So, again, this is simple case. You can write $\frac{\partial^2 \psi}{\partial x^2}$ is equal to $-2m_0 U / \hbar^2 \psi$. We can replace E by $E - U$ times ψ . So, everything will be same except now E is replaced by $E - U$, U is the background potential. So, it is $E - U$ and rest is same E to E times $e^{i(kx - \omega t)}$.

So, travelling wave so, this is the phase $i(kx - \omega t)$. So, phase velocity can be found from the phase. So, this lets say this is a phase you can write $\frac{d\phi}{dt}$ will be $k \frac{dx}{dt} - \omega$. So, this is a derivative ϕ . Now, if you want to find the constant phase, so then this $\frac{d\phi}{dt}$ has to be 0. So, if you equate this to 0 then it gives you the $\frac{dx}{dt}$ is equal to ω/k . So, this is basically the phase velocity ω/k .

So, this is basically point how the particular phase point is moving and there is another concept of group velocity that we will discuss only. Now, this wavelength is of course, $2\pi/k$ and now energy U naught if you use this equation, it tells you that energy is now $U + \hbar^2 k^2 / 2m_0$. So, this is the expression for energy and momentum is $\hbar k$. So if we plot it, what you will get?

Now this curve will be slightly shifted, so, this minima will not be 0 but it will be U_0 . Then so energy is $\hbar^2 k^2 / 2m_0 + U_0$. So, this is a potential energy, this is the kinetic energy, so, this is E , this is a potential energy. So, this electron in background with background potential U .

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Now, we can vary the background potential. So now, this background potential U is not constant. So, U is varying with position, so, you can discuss two scenarios. Even in the previous case, there can be two scenarios that the energy of electron which is E is more than U or energy of electron is less than U . So, when energy is more than U then we can say it is a traveling wave because what you will get here?

The solution is let us say that k is equal to $2m$ naught by $\hbar$ square times $E - U$ square root. And the solution was a times e to the power $\pm i k x - i \omega t$. So, this k is real when E is more than U this is real. So, $i k x - i \omega t$ is a plane wave which is not decaying. For the second case, if E is less than U then this k becomes imaginary.

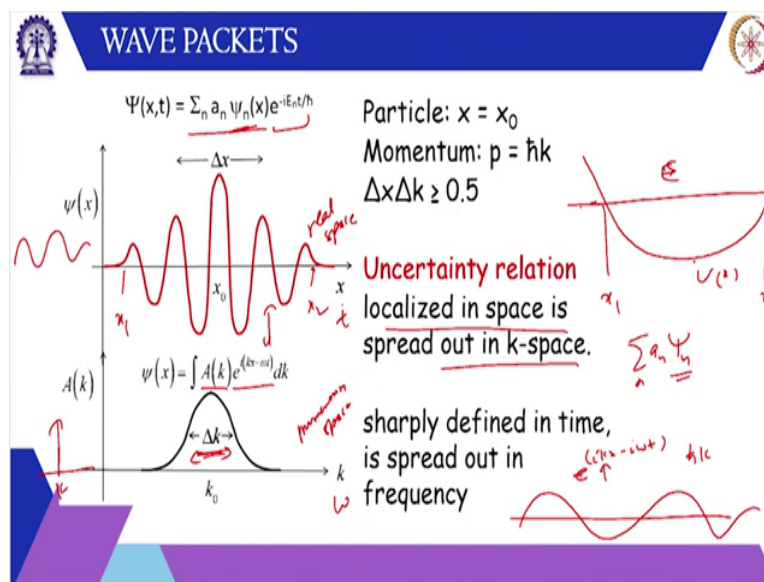
So, $i k$ will become real, so, you will have solutions e to the power $\pm \alpha x - i \omega t$. Because now this is real so, $\pm \alpha x - i \omega t$. Now, for a positive triangle with traveling wave when x goes to infinity, we cannot use $+$ sign because this ψ goes to infinity this is not possible basically. So, we can have minus sign for positive x axis, so, it will be e to the power $-\alpha x - i \omega t$.

And for negative axis we can have e to the power $\alpha x - i \omega t$. So, this will be basically kind of decay. So now, if you consider a situation where there is this potential is U and which is less than E in certain region let us say x_1 to x_2 and outside this energy is less than the potential energy. So, potential is more here and less in this region. So that means between x_1 and x_2 you will have the solution e to the power $\pm i k x - i \omega t$.

So that that means traveling wave can exist in this region but outside this region this k becomes imaginary because energy is less than U . So, this is U this is E so, this is energy is less than potential energy. So, it will be decaying function basically, so, you can think of it like this, so, you can have some kind of traveling wave here. Then here it will basically decay. So, this kind of wave will exist. Now, what will happen?

Because of this boundary condition, it cannot be a simple sinusoidal wave it has to respect the boundary condition basically. So, the solution will can be evaluated by solving this Schrodinger equation. So, for arbitrary potential profile we may not be able to get the analytical solution. So, we may have to go through the numerical solution but there are some regular profile where we can get the analytical expressions.

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Now, if you consider a potential profile like this and this, let us say the energy of the electron. So, here, if you see this is U this is function of position? This is energy, so, this E is more than U . So, there is a high probability of finding electron here and there is a of course here it is decaying. So, there is a less probability of finding electron in this region. That say this is x_1 this is x_2 .

So, between x_1 , x_2 there is a high probability of finding electron beyond x_1 or beyond x_2 this is a less probability of finding electron. So now, actual waveform may look something like this. So, let us say this is your x_1 this is let us say, x_2 . So, in between you will find the

so that means this electron is now localized. So now, this waveform is not a single ψ_n but it is a combination of ψ_n .

So, you can write some a_n coefficient times ψ_n and that is added over some n basically. So, you have this one then multiplied by $e^{-i\omega t}$ or $e^{-i\frac{E}{\hbar}t}$. So that means this waveform or this electron is localized in this region. Now, we can recall the Heisenberg uncertainty principle that if it is localized in position then its momentum cannot be determined accurately.

So that means it will have some spread in k . So, you can see here this ψ_n basically. So that means they have different ψ_n will have different k . So that means there will be range of momentum and if you compare this thing with $e^{ikx - i\omega t}$, here is a plane wave unlimited and this momentum is fixed is $\hbar k$. So, its momentum is basically.

For a plane wave it is some kind of this thing as some k value it is fixed. Then this is basically moving like this. When it is constant in x direction then this momentum basically spreads. You can see some spread here, so, this $\psi(x)$. If you write in momentum space, you can write some coefficient times $e^{ikx - i\omega t} dk$. So, there is some kind of Fourier relationship between real space and the momentum space.

So, this we call real space and this we call momentum space. So, this basically satisfies the uncertainty relationship that it is localized in position real space it spreads out in k space. And similar relationship exists between time and frequency. So, if you look in terms let us say this is the same. If it is versus time then this versus ω the similar relationship you can explore in time and frequency space. So that part we will not explore.

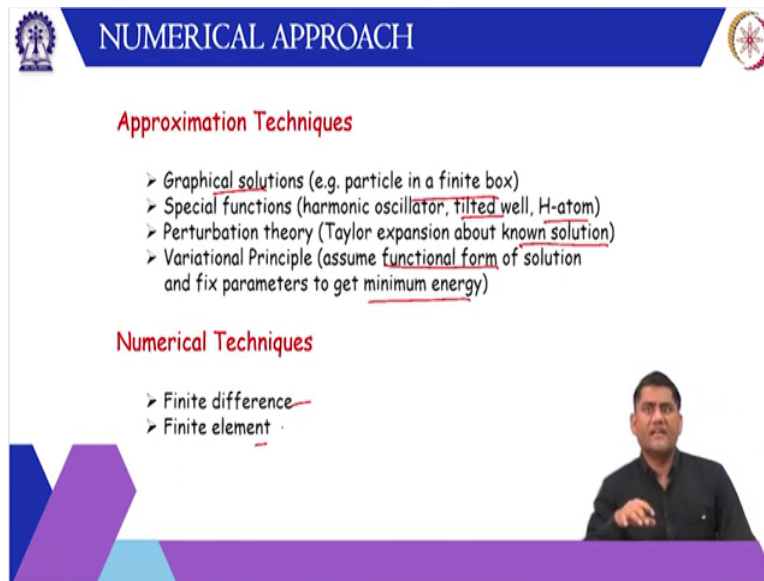
We are only exploring the position space but you can solve this equation with respect to time and frequency and get the similar relationship.

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And but in real crystals there is some deviation from this relationship. So, the group velocity and phase velocity are different. Now, to highlight more about it phase velocity is basically the ratio of ω by k . So, this is basically that if you consider phase and if you take the derivative $d\phi$ by dt is equal to 0. Then you will get this relationship that how a position with a constant phase is moving. So that is the phase velocity.

Group velocity is more related to this slope here. So, if you consider all these values, let us call it k_1 , k_2 , k_3 , k_4 and then this will give you some kind of this relationship. And then how this envelope is moving basically, so that is the group velocity, so, group velocity is the movement of this envelope. Of these always these values in that region, k_1 , k_2 , k_3 , k_4 and phase velocities is individual related to individual phase movement. So, individual phase point how it is moving that is ω by k .

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NUMERICAL APPROACH

Approximation Techniques

- Graphical solutions (e.g. particle in a finite box)
- Special functions (harmonic oscillator, tilted well, H-atom)
- Perturbation theory (Taylor expansion about known solution)
- Variational Principle (assume functional form of solution and fix parameters to get minimum energy)

Numerical Techniques

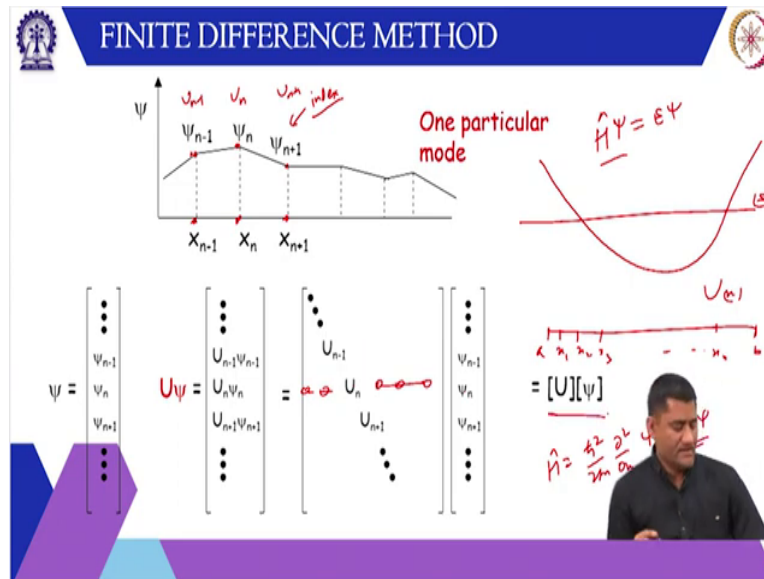
- Finite difference
- Finite element

Now, we will discuss how to numerically solve the Schrodinger equation. So, there are several approximation techniques for example there are graphical solutions for particle in a finite box. Then there are some special function that are used to discuss harmonic oscillator tilted well, or hydrogen atom. Then they are perturbation theory. So, using the Taylor series, expansion are about non solutions.

So, to get the solutions in the vicinity of known solutions then they have variational principles where some functional form is assumed for the solution. Then these parameters are fixed to get the minimum energy. So, through energy minimization we get those wave functions a numerical technique. We can use finite difference of the finite element method here.

So, in this lecture we will discuss how the finite difference method a simple method which is very useful to solve the Schrodinger equation.

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So, for example, we can consider any potential profile. Let us say this is the potential profile U as a function of position. So, we will solve for only one dimension. Let us say this is the energy. So, if you recall that the Schrodinger equation time independent Schrodinger equation is $\hat{H}\psi = E\psi$ where $\hat{H}$ is basically $-\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + U$ times ψ .

So, there are two terms here basically one is the derivative term double derivative and other is a $U\psi$. So, what we can do? Let us consider this let us say this is your x axis so, from a to b these are the point x_1, x_2, x_3 and so on. Let us say, x_n so, what we do? Let us say this is the how we discretize. So, this is x_n, x_{n+1}, x_{n-1} . And this is the whole x axis which is discretized then on each axis we can basically do the Taylor series expansion of the differential equation.

And we can assume that this is a ψ here. So, at x_n the value is ψ_n, x_{n+1} ψ_{n+1} . So, this $n, n+1$ are the index or they are tailing all the position basically. So, this indexed tailing all the position. So, at each point we can have. What is the value of potential? U_n, U_{n+1}, U_{n-1} . So, if you see this $\hat{H}$ is $\hat{H} = -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + U$ times ψ .

So, $U\psi$ is basically product of potential at that particular position multiplied by the value of wave function at that particular position. So, this will be $U_1\psi_1, U_2\psi_2$ and so on $U_n\psi_n, U_{n+1}\psi_{n+1}$. So, we will get one vector basically for one dimensional case and if you

write it as a product then what you can write? You can write this as a some diagonal matrix multiplied by unit by a column vector.

So, if you multiply first row, you get $U_1 \psi_1$. Second row you will get $U_2 \psi_2$ and so on. For of this row, you will get $U_n \psi_n$ because others are 0 basically here. So, this zeros are not shown here so, this is $U \psi$.

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The slide is titled "DISCRETIZING KINETIC ENERGY". It shows a diagram of a potential well with discrete points x_{n-1} , x_n , and x_{n+1} . The wave function ψ is shown at these points. The finite difference approximation of the second derivative is given by:

$$\frac{d^2\psi}{dx^2} \approx \frac{\psi_{n+1} - 2\psi_n + \psi_{n-1}}{\Delta x^2} = \frac{d^2\psi}{dx^2} \bigg|_{x_n}$$

The kinetic energy operator T is then represented as a matrix:

$$T_{ij} = \begin{cases} -\frac{\hbar^2}{2m\Delta x^2} & \text{if } |i-j|=1 \\ 2\frac{\hbar^2}{2m\Delta x^2} & \text{if } i=j \\ 0 & \text{otherwise} \end{cases}$$

The total Hamiltonian H is the sum of the kinetic energy T and the potential energy U :

$$[H] = [T + U]$$

Then other term is the double derivative $d^2 \psi$ by dx square. So, this you can recall we discuss over the finite difference expression. So, this is the central difference for the double derivative. So, this is written as ψ of $n+1 - 2\psi_n + \psi$ of $n-1$ divided by Δx square. This is $d^2 \psi$ by dx square so, this is what, in this form, we can write. So, this is a derivative at n at x_n so, you can say at x_n , this is a derivative at x_n .

So, at n th position, this double derivative is basically 2 times the ψ at x_n and then 1 times at $n+1$ and n . So, these are the two neighbouring points basically. So, the coefficient is -2 here coefficient is 1 here 1 here n divided by this Δx square times $\hbar$ bar square by $2m$. So, here Δx is written as a . So, this a is actually the Δx . Now, this we are assuming uniform grade expressing if it is non-uniform then you have to accordingly discretize.

Now, if you multiply this vector $\hbar$ bar square by $2m$ times $d^2 \psi$ by dx square at x_n . So, the derivatives at x_n . So, this a square and $\hbar$ bar square $2m$ can be combined and we will call it let us say T . So, this $\hbar$ bar square by $2ma$ square is called T , so, the coefficient is $2T$ for ψ_n

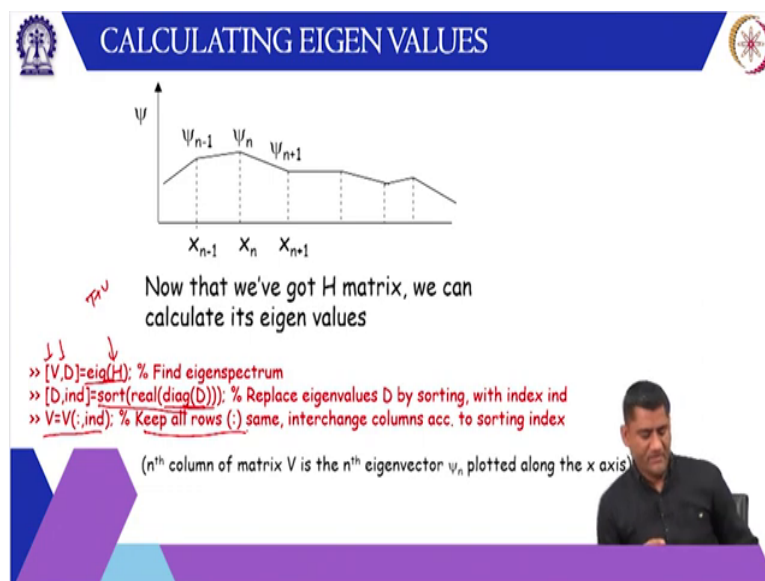
and $-T$ and $-T$ for ψ_{n+1} and ψ_{n-1} . So, again, this can be written as $T\psi$ again this is a matrix multiplied by ψ vector.

So, if you consider, let us say any n th term here so, this will be this others will be 0 here. So, n th ψ_n is multiplied by $2T$. So, ψ_{n-1} is multiplied by $-T$ and ψ_{n+1} is multiplied by $-T$ and so on. If you go to next term then ψ_{n+1} is multiplied by $2T$. So, ψ_n by $-T$ and ψ_{n+2} by $-T$. So that is how you can basically write another point you might like to know what happens at the end? So, at the end basically let us say this is a last point.

Let us say this is x_1 . So, this is a last point so, here what you will write? So, this boundary condition thing we have already discussed. There can be Dirichlet boundary condition. Then you already know the value of ψ . So, for example, in a situation you can assume that far away from this as the ψ is 0, so, you can put Dirichlet boundary condition or you can put other boundary condition where the derivative of ψ is some value.

So that is called Neumann boundary condition. So, here we will use a Dirichlet boundary condition because sufficiently away from this, let us say ψ is 0. So now, we have two expressions this is $T\psi$ the other one is $U\psi$. So, $T\psi + U\psi = E\psi$. So now, what we do? We have, this $H = T + U$. So, T is this matrix, U is this matrix. So, when you add $T + U$ then you have to just get the Eigen solution of this matrix.

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The slide is titled "CALCULATING EIGEN VALUES". It features a graph of ψ versus x with points x_{n-1} , x_n , and x_{n+1} marked on the x-axis. Below the graph, the text reads: "Now that we've got H matrix, we can calculate its eigen values". Below this, there is MATLAB code:


```

  >> [V,D]=eig(H); % Find eigenspectrum
  >> [D,ind]=sort(real(diag(D))); % Replace eigenvalues D by sorting, with index ind
  >> V=V(:,ind); % Keep all rows (:) same, interchange columns acc. to sorting index
  
```

 A note at the bottom states: "(nth column of matrix V is the nth eigenvector ψ_n plotted along the x axis)". A small inset video shows a man speaking.

So, in Matlab there is a function called Eigen eig so, just find the Eigen which H is basically $T + U$ these two matrix we have already created. Then we just find the Eigen value of $T + U$

then that will give you the energies and the corresponding wave functions. So, this V is basically kind of wave functions and this Eigen energies basically and we have to sort it basically.

So, this is basically D is basically kind of diagonal matrix, where only the diagonal elements are non-zero rest are 0. Because these energies are fixed for each wave function. So, what we are doing here, we are sorting it out in terms of energy so that we get the lowest energy then the second higher energy than third higher energy and so on. And then corresponding index is used to calculate the wave function. So then, so that we can plot it, so, this is basically to plot it basically

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MATLAB CODE

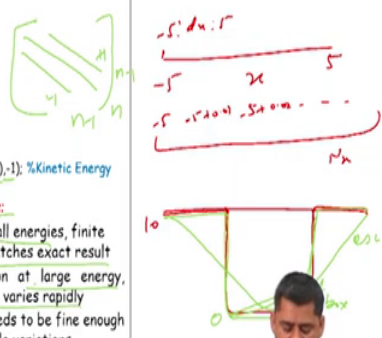
```

clear all; close all
m=9.1e-31; hbar=1.05e-34; q=1.6e-19;
%% x (nm), U (eV) %%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
dx=0.01; x=-5:dx:5; Nx=max(size(x));
U=10*ones(1,Nx); temp=floor(Nx/3)*2*floor(Nx/3);
U=(1/2.5)*x^2; % Oscillator
% U(temp)=0; % Particle in a box
% U(temp)= linspace(0,1,max(size(temp))); % Tilted box
t=(1e18/q)*(hbar^2)/(2*m*dx^2);
%% Write matrices
T=2*t*eye(Nx)-t*diag(ones(1,Nx-1),1)-t*diag(ones(1,Nx-1),-1); % Kinetic Energy
U=diag(U); % Potential Energy
H=T+U;
[V,D]=eig(H);
[D,ind]=sort(real(diag(D)));
V=V(:,ind);
% Plot
for k=1:5
    plot(x,-10*V(:,k)+10*D(k),'r',linewidth,3)
    hold on
end
plot(x,diag(U),'k',linewidth,3); % Zoom if needed
axis([-5 5 -2 15])
%% En=(pi*hbar/3.45e-9)^2/(2*m*q)

```

Grid issues:

- For Small energies, finite diff. matches exact result
- Deviation at large energy, where y varies rapidly
- Grid needs to be fine enough to sample variations



Now, this is the Matlab code, so, maybe just I will. I will describe it briefly so, clear all close all of the Matlab commands to clear everything any the variable if it is there. Then mass is defined here 9.1×10^{-31} kg. This is the $\hbar$ then q is the charge. Then dx is the a thing that will be defined as a then. We are considering x from -5 to 5 . So, this is your x axis this is from -5 to 5 . Then $n \times$ is basically this is a max size X .

So, this is basically from -5 . It goes in the step of dx 2, 5. So, dx is 0.01. So, this is a -5 point here. Another point next point is $-5 + 0.01$ next point will be $-5 + 0.02$ and so on. So, these are all the points and we count. How many points are there that is N_x ? Then we define U as a potential. So, what we do here. We say that it is U is 10 and once it creates a matrix with size 1, N_x . So, this is basically simply a matrix with value 10.

Then, this is basically is used to select some portion of it and we define this oscillator particle in the box. So, this code basically there are three types of potential considered here, one is oscillator, others are commented out. This percentage sign is comment. So, if you comment first one and then uncomment the second one then you will simulating particle in the box. So, you see, U Temp is 0.

That means in this region U is 0. So, you will have profiles like this. Let me use different colour. So, you will use some so, for particle in a box the profile will be like this. So, these indexes are written by temp, for the oscillator it is U times $1 \text{ by } 2.5 \text{ times } x \text{ square } x \text{ dot}$ means it is a matrix operation. So that means for all the elements of x are squared basically. So, at x equal to 0 this will go to 0 and this is $1 \text{ over } 2.5$.

So, it may be something like this so, here this stamp is not used, so, it is just basically oscillator. This is oscillator and this is box particle in the box and third one is tilted box. So, this is basically U is not 0 here but it is between 0 and 1. So, here it is 0 this is 1 here. So, this is a tilted box basically. So, for all three cases same code will work out basically. So now, T is defined as $1 \text{ e } 18 \text{ by } q \text{ times } h \text{ bar square by } 2m \text{ by } dx \text{ square}$.

Then $h \text{ bar square } k \text{ square by } 2m$. So, you see what is a T here? $h \text{ bar square by } 2ma \text{ square}$. So, this is $h \text{ bar square by } 2ma \text{ square}$. Then this factor is there because we are considering this x is in nanometre. And U is in electron volt so, to take care of these values because this quantization will appear when the length scale are appropriate and energy scales are appropriate.

So, to take care of this thing this scaling factor is used so that x can be nanometre. So, you can substitute actual values of x in terms of nanometre. So, this will be multiple over $10 \text{ is to } -9$ and then electron, volt, $1.6 \text{ times } 10^{-19}$. So, to take care of this factor this is basically multiplied here. Because this is $9 \text{ so, } -9$, so, this is divided by $x \text{ square}$ now, so, this basically $10 \text{ is power } -19 \text{ times } 2$.

So, it becomes $10 \text{ is power } 9 \text{ times } 2 \text{ means times for } 18$. So, times for 18 and divide by this q because this is electron volt so that has to divide by q . So, this factor you should note down then we are creating the matrix. So, this T is all the diagonal elements are $2T$, so, $2T$

multiplied by this diagonal matrix. This I basically creates a identity matrix with diagonal element equal to 1 so that is multiplied by $2T$.

Then T is multiplied by off diagonal element. So, this off diagonal elements are created by this diagonal matrix with 1 to $n \times -1$ to right side. This is to the left side. So, this now, if you see a matrix here, the length here will be n the length for this diagonal will be $n - 1$. For this diagonal it will also be $n - 1$. So that is why we have taken this once $n - 1$ here and then this is $+1$, this is -1 diagonal. So, these are T matrix then U is basically diagonal U .

So, U is also discretized here is already created here. So, the potential is included here. Now, H is defined as $T + U$ then we calculate the Eigen values of this H . Then we sort this in terms of the energies from low energy to high energy and then corresponding index is here. So, this sort is a function in Matlab. You can write `help sort`. It will give you the information then V can written a V times colon times index.

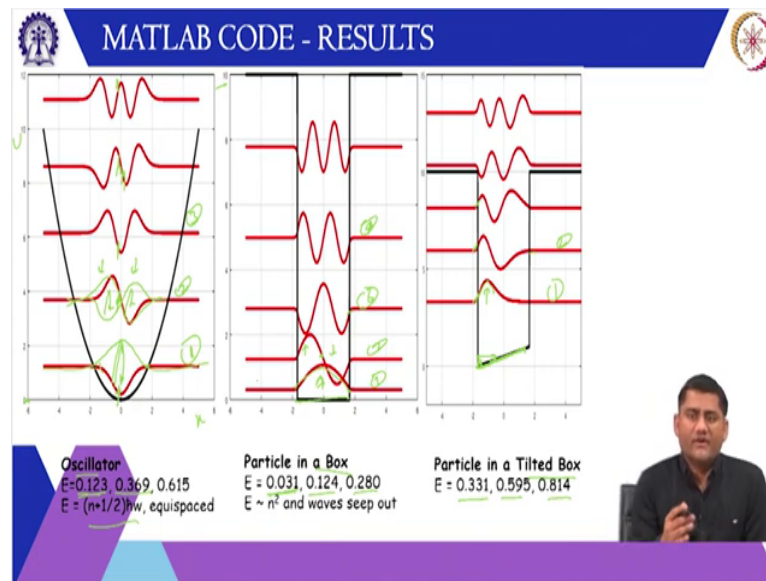
So that is this values are arranged in terms of the index basically that we have chosen for these energies. Then, of course we can plot for first five energies. Let us say $k = 1$ to 5 so, plot x so, x is this we have created here times -10 times $V + 10$ times D . So, V is basically the purpose of this thing is basically to plot this wave function at different energies so that we can visualize it.

If we do not use this, we simply write V then what will happen? They all will overlap. So, you cannot distinguish so, this is only for display purpose. What you can do? You can plot for individual waveform, each figure for individual waveform. Here what I am doing, I am plotting all the five wave functions in the same plot. So, I am basically I have plotted at different energy level so that you can see it basically.

So, this is the wave function V and then some offset is added for the display purpose. Then colour is R , line width is 3 and so on the grid on all those things. Then plot x dial U . So, this is also plot of potential profile on the same hold on means it the plot command will be executed at the same diagram. So, it also plot the potential profile along with the wave function. Then x is a limited to -5 to 5.

And then this for x axis y axis limited from - 2 to 15. Because this value is I think maximum 10 or so and then this value is commented out. But this will give you the energies corresponding to the wave function. So, there are some great issues that need to be considered. So, for a small energy is finite difference matches the exact result and there is a division at large energy where y varies rapidly. So, for that grid needs to be fine enough to sample this variation in the potential.

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So, here, these three cases, oscillator particle in a box and particular tilted box are considered here. So, for oscillator you see this is first waveform. So, it is plotted here and basically, whether you plot it like this or you plot it like this. It does not really matter you can you just as a sign difference, basically because this wave is basically oscillating like this. It goes up, goes down like this it is oscillating.

And the energy is corresponding with this one is 0.123. So, this is 0 here and it is basically some parabolic potential profile. So, this is U versus x and if you relate it with the analytical solution for harmonic oscillator quantized energy is given by $n + \text{half times } \hbar\omega$. So, for first state n is 0. It is simply half $\hbar\omega$. Then second will be $1 + \hbar\omega$, so, the difference will be $\hbar\omega$. So, this 0.123 it moves 0.369.

So, this is second and this is third and so on and you see here, this waveform is like this or you can also assume this is like this. So, it is basically as oscillating like this, so, there are one minima here, 1, 0 crossing. Then there are 230 crossing here and so on. And you can notice

one more thing here it is symmetric, so, this see it is symmetric around symmetric, is symmetric here is around symmetric here. It is symmetric here.

You can see symmetric here symmetric here, so, this is basically symmetric. Then particle in a box that is also symmetric, so, lowest is 0.031 and you notice the value here. This value is small compared to this one because the value is kind of narrow here. So, when it is constrained in position then in a momentum space, it will be broadly spaced. So, this energy will be larger here.

Same thing you can see here these are tilted, so, it is a narrow here that we see that this should be more. So, you see 0.03 is 0.331. The next is 0.5, 0.8 here 0.124, 0.28. This is a one waveform, so, this is only one maxima here. This is a second so, third, this is a 4 and so on. This is the 1, this is 2 and for the tilted waveform you can see this maxima occurs somewhere here. For a normal box it appears exactly in the middle.

Because this is symmetric. It is asymmetric, so, here is a lower potential, so, probability is supposed to be maximum here but it is slightly away from the surface. So, these results you can find out for any arbitrary potential profile. You can solve the Schrodinger equation and use this real to analyse, what happens with the energy? And the wave function because energy tells you the ground state energy.

And this wave functions tell you what is the probability of finding electron here? So, you see there is a maximum probability in the middle here for first one. Second one it is exactly 0, so, maximum probability is somewhere here for rectangular is in the middle then for the second one is 1 by fourth from either side and so on. Here for tilted one it is close to the lower potential side but not at the boundary because boundary has to be 0.

And another thing you can notice here, these values are not this is not infinite potential is a finite potential value. So that is why there is some leakage. You see there is some leakage to the outside, see there is some leakage. It is not exactly 0 here, so, there is some leakage to the side also. Because of this finite potential barrier but this 10 electron volt is already quite high. So, you can see this leakage is small here.

If you, let us say, rerun the simulation with a small value, let us say 4 or something then you will see a bigger leakage or a more leakage to the sides where the potential energy is lower.

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CONCLUSION

- Schrodinger Equation solved with boundary conditions provide wavefunction. Averages of observables can be computed by associating the electron with a probability wave whose amplitude satisfies the Schrodinger equation.
- Boundary conditions imposed on the waves create quantized modes at specific energies. Only a few problems can be solved analytically. Numerically, however, many problems can be handled relatively easily.

SEMICONDUCTOR DEVICE MODELING AND SIMULATION

So, in this lecture, we have discussed how to solve the Schrodinger equation with boundary conditions, so, this boundary condition give rise to the particular shape to the wave function and now wave function is not $e^{i(kx - \omega t)}$ but It is a combination of these waves to give certain shape which satisfy the boundary condition and again I am reiterating that boundary condition lead to the quantization of these energy states and the localization. So, thank you very much.