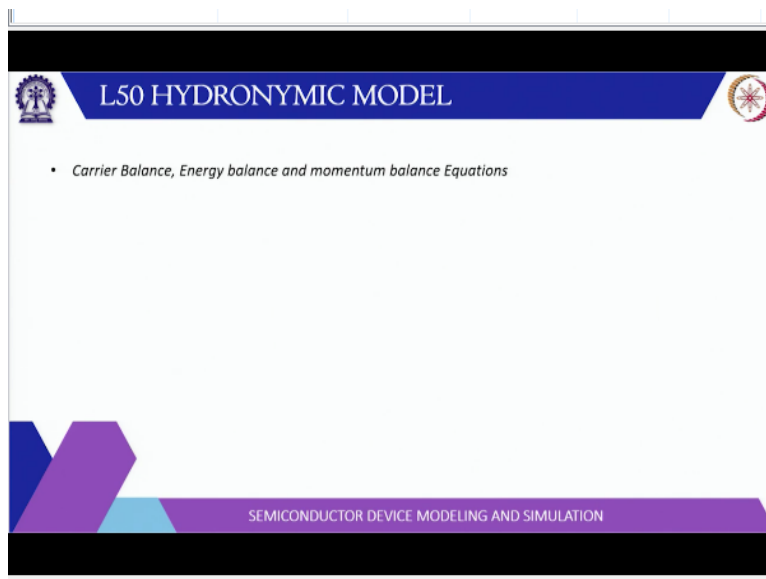


Semiconductor Device Modelling and Simulation
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Lecture-50
Hydrodynamic Model (Contd.,)

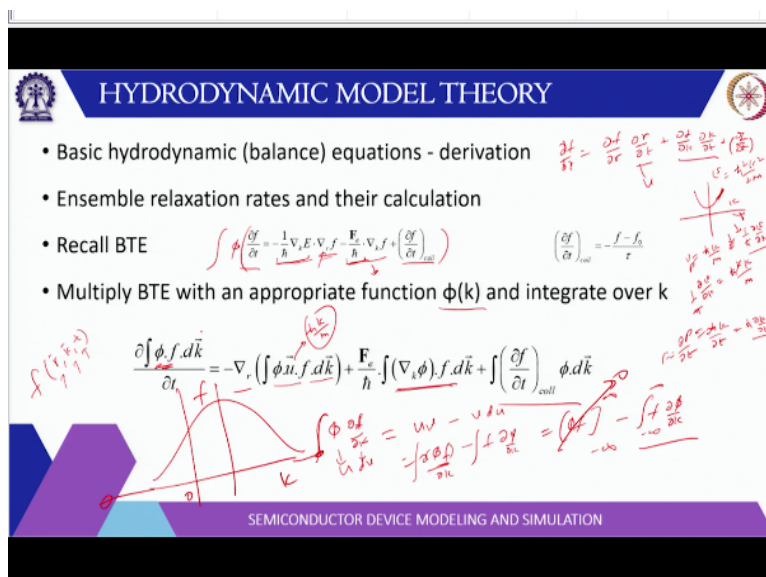
Hello welcome to lecture number 50; we will continue our discussion on hydrodynamic model.

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So, in this lecture we will drive the governing equations for carrier balance, energy balance and the momentum balance equations.

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We will derive them from the Boltzmann transport equation. So, let us consider let us recall the Boltzmann transport equation where f is the distribution function. So, that means it tells you the number of electron or carriers with position r movement wave vector k at k 1 time t . So, it tells you the probability of finding electron at position r wave vector k at k 1 time t and of course if you integrate this distribution function you will get the number of carriers.

So, if you integrate it over k space then you will get the density at given position at a given time and then da by dt is basically you can take partial derivative with respect to all the three variables. So, $\frac{df}{dr}$ $\frac{df}{dk}$ and $\frac{df}{dt}$ and then of course you can write $\frac{df}{dr}$ times $\frac{dr}{dt}$ and $\frac{dr}{dt}$ is basically your velocity. So, force $\frac{df}{dt}$ total derivative is equal to $\frac{df}{dr} \frac{dr}{dt}$ plus $\frac{df}{dk} \frac{dk}{dt}$ plus $\frac{df}{dt}$.

So, $\frac{df}{dr}$ is this term, this is of course in 3D and $\frac{dr}{dt}$ is a velocity. Now this velocity we get from the energy band diagram. So, if you recall this is the E-K diagram. So, if you look at E-K diagram, for parabolic band $E = \frac{\hbar^2 k^2}{2m}$ and you can get the velocity from here. So, velocity is $\frac{\hbar k}{m}$, because $\hbar k$ is a momentum. So, this is also called the phase velocity, but for parabolic band this is same as the group velocity and that you can find $\frac{dE}{dk} = \frac{\hbar^2 k}{m}$.

So, if you divide by \hbar you get $\frac{\hbar k}{m}$. So, this is your velocity. So, $\frac{1}{\hbar} \frac{dE}{dk}$. Of course when you do in 3D then becomes gradient. So, $\frac{1}{\hbar} \frac{dE}{dr}$. So, this is the group velocity. So, this is actually called group velocity and this is the phase velocity and they are equal for parabolic band structure. Then other part is $\frac{df}{dk}$ times $\frac{dk}{dt}$.

So, $\frac{dk}{dt}$ you can say that momentum is $\hbar k$. So, $\frac{dp}{dt} = \frac{d}{dt} \hbar k$. So, this is $\hbar \frac{dk}{dt}$ and this is your force. So, this is force by \hbar is now force is basically minus q times E . So, for electron plus times E for whole, so you can add f by \hbar times $\frac{df}{dk}$ and this $\frac{df}{dt}$ is due to the collisions. So, this is your equation. Now what we do to derive the hydrodynamic model?

We multiply this Boltzmann transport function with an appropriate function ϕ and integrated over k space. So, if you integrate this one you multiply by ϕ and integrate. So, multiply by

phi everything is multiplied by phi integrate. Now here if you see phi is a function of k, so if you see this $\frac{\partial f}{\partial t}$ that means this derivative can be taken out. So, this derivative and the integral kind of interchange.

So, instead of operating $\frac{\partial f}{\partial t}$ on f we are integrating over the product of phi and f. That basically tells you the $\frac{\partial}{\partial t}$ of $\phi f \int dk$. So, we just extend the integral and the differentiation because phi is function of k only not t. Similarly here $\frac{\partial E}{\partial k} \frac{\partial f}{\partial r}$ times ϕdk . So, this is basically you can write u the group velocity. So, $\frac{\partial E}{\partial k}$ by \hbar , so $\phi u f dk$ and this $\frac{\partial}{\partial r}$ is taken outside.

So, this derivative is taken outside because phi is a function of k and u is a function of velocity basically. So, you can take this $\frac{\partial}{\partial r}$ outside, because you can use $\hbar k$ or something. So, it is a function of k. So, you can take it inside. So, this $\frac{\partial}{\partial r}$ can be taken out. Similarly, $\frac{\partial}{\partial k}$ of f then it is $F e$ by \hbar . So, force due to electric field is a constant, can be taken out. So, this is $\frac{\partial f}{\partial k}$ times phi.

Now here there is some change here. So, what we are doing here for the third term? This term here phi times $\frac{\partial f}{\partial k}$ and we are integrating it. Now we can say this is $u dv$. So, we can use this mathematical law where there is an integral of $u dv$ can be written as $uv - v du$. So, this is u, this is dv. So, this can be written as phi times $f - f$ times $d\phi$ by dk . So, you can write like this. So, this is $\frac{\partial}{\partial k}$.

Now if you integrate this one this is ϕf . So, this will be simply $\int \phi f - \int f \frac{\partial \phi}{\partial k}$. So, this will be limit will be basically you can say minus infinity and if you look at the phi and f, phi is basically function of k, f is basically something like this because distribution function is like this, f versus k and if it is displaced Maxwell n or some field is applied then origin may be here and it looks but in any case at plus infinity and minus infinity it goes to 0 phi.

So, ϕf because f is finite, so it will also go to 0. So, this term will always be 0. So, this can be written as $\int f d\phi$ by dk . So, this is written $\int f d\phi$ by dk and of course the sign is changed here. So, from minus it becomes plus here and this is basically $\frac{\partial f}{\partial t}$ due to collision. So, we can do ϕdk . Now we can calculate all the three equations the carrier balance, momentum balance and energy balance.

If you see here if phi is 1 then it will be simply f dk. So, that will tell you that dn by dt. If phi is k then k times f dk will give you some type of current because k is basically related to the velocity, phi is related to n. So, it will be current density. So, this will be the current density balance, so you can say the momentum balance and if you take f is some proportional of k square then it is related to energy. So, this will be energy balance equation.

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BALANCE EQUATIONS

$$\frac{\partial \int \phi \cdot f \cdot d\vec{k}}{\partial t} = -\nabla_r \cdot \left(\int \phi \cdot \vec{u} \cdot f \cdot d\vec{k} \right) + \frac{\mathbf{F}_v}{\hbar} \cdot \int (\nabla_v \phi) \cdot f \cdot d\vec{k} + \int \left(\frac{\partial f}{\partial t} \right)_{coll} \phi \cdot d\vec{k}$$

$$\frac{\partial n_\phi}{\partial t} = -\nabla_r \cdot \mathbf{F}_\phi + G_\phi - R_\phi$$

$n_\phi = \int \phi \cdot f \cdot d\vec{k}$ — average of any quantity ϕ
 $\mathbf{F}_\phi = \int \phi \cdot \vec{u} \cdot f \cdot d\vec{k}$ — flux of n_ϕ
 $G_\phi = \frac{\mathbf{F}_v}{\hbar} \cdot \int (\nabla_v \phi) \cdot f \cdot d\vec{k}$ — generation of ϕ due to momentum change
 $R_\phi = -\int \left(\frac{\partial f}{\partial t} \right)_{coll} \phi \cdot d\vec{k}$ — recombination

SEMICONDUCTOR DEVICE MODELING AND SIMULATION

So, let us go one by one. So, this is your equation Boltzmann transport equation multiplied with phi and integrated. So, this term integral phi f dk, you can say it is n phi. Now n phi is basically you can say this is the average of any general quantity phi which is function of k. So, this is the n phi. So, this is basically the left side is telling the time evolution of this quantity. Then second is phi u f dk. So, this basically tells you there is a velocity here. So, this is some kind of flux.

So, this is basically you can say it is a flux of n phi the first quantity. So, the increase in the quantity n phi is proportional to the negative gradient of the flux of n phi plus due to the force this f is basically changing. So, this distribution function the carriers are moving from one k space to another k space. So, their k vectors are changing. So, this basically tells you a generation.

It is not carrier generation as such, but is the generation of n phi due to momentum change, this is due to momentum change. Similarly due to collision they are scattered, so you can say this is a recombination due to scattering. So, if you look at this equation it is very similar to

the content equation. So, the content equation it tells you that $n \phi$ the rate of evolution of $n \phi$ is the negative greatness of flux plus generation minus recombination due to the momentum change and the scattering. So, if you consider a space here, so there is a k space, there is a position here. So, this carries scattered, so from one volume there can be a scattering.

So, it goes like this scattering then there may be an entrance into this k space. So, there may be due to the field, so in this volume this quantity $n \phi$ is basically increasing. Then of course there is negative gradient of flux that basically tells you that there are more plugs or the entering quantity is more than the leaving quantity. So, entering flux is more, leaving flux is less? So, there will be negative gradient of flux which will give rise to increase in the quantity $n \phi$.

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MOMENTUM BALANCE EQUATIONS

Balance equation for the momentum: $\phi(k) = -q\mathbf{p}/m^* = -q\hbar\mathbf{k}/m^*$:

$$n_\phi = \int \phi \cdot f \cdot d\mathbf{k} = J_n = \frac{q\hbar}{m^*} \int \mathbf{k} f d\mathbf{k} = \frac{q\hbar}{m^*} \int \mathbf{k} f d\mathbf{k}$$

$$F_\phi = \int \phi \cdot \mathbf{u} \cdot f \cdot d\mathbf{k} = -2qW_n / m^*$$

$$G_\phi = \frac{q}{\hbar} \int (\nabla_\mathbf{k} \phi) \cdot f \cdot d\mathbf{k} = q^2 n \bar{E} / m^*$$

$$R_\phi = -\int \left(\frac{\partial f}{\partial t} \right)_{\text{coll}} \phi \cdot d\mathbf{k} = \frac{J_n}{\tau_M}$$

$$\frac{\partial n_\phi}{\partial t} = -\nabla_r F_\phi + G_\phi - R_\phi$$

Final form of the momentum balance equation:

$$\frac{\partial (J_n)}{\partial t} = \frac{2q}{m^*} \nabla_r \cdot W_n + q^2 n \bar{E} / m^* - \frac{J_n}{\tau_M} = 0$$

$$J_n = \frac{2q}{m^*} \nabla_r \cdot W_n + \frac{q^2 n \bar{E}}{m^*}$$

SEMICONDUCTOR DEVICE MODELING AND SIMULATION

Now if we assume that ϕ is 1 then this $n \phi$ $f \phi$ $G \phi$ and $r \phi$, this term simplify. So, this becomes integral $f d\mathbf{k}$ which is basically carrier concentration. So, left term becomes dn by dt . Then flux vacancy $u f d\mathbf{k}$. Now u is the velocity $f d\mathbf{k}$. So, $f d\mathbf{k}$ you can set is dn . So, it is like $u dn$. So, that will give you ∇n times the average velocity or the drift velocity and which is basically related to the current density because $j = q n v d$.

So, if electron charge then you can say $-q$. So, it will be $j n$ by q . So, that means if $n \phi$ quantity is the carrier concentration then the flux will be current density by q . So, the flux of these carriers give rise to the current density. The generation will be $\text{del } \phi$ by $\text{del } k$. Now

because k is 1, ϕ is 1. So, $\frac{\partial \phi}{\partial k}$ will be 0. So, there is no generation as such. So, this generation term is 0.

Then similarly that recombination term $\frac{\partial f}{\partial t} \phi dk$. So, this you can write $f - f_{\text{not } y} \tau \int f dk$. So, you have $\phi f dk$ and $\phi f_{\text{naught}} dk$. So, ϕf will be n and ϕf_{naught} will be n_{naught} . So, $n - n_{\text{naught}}$ by τ . So, this is basically some kind of this is τ is a collision relaxation time and it is $n - n_{\text{naught}}$ by τ . So, if you substitute these values in the equation what you get $\frac{dn}{dt}$ is $\frac{\partial J_n}{q}$ because it is $\frac{\partial f}{\partial t}$.

And then g term is 0. So, r is $\frac{dn}{dt}$. So, if you see here this is exactly the carrier continuity equation and of course for equilibrium transport where there is no change in n . So, you can say $\frac{dn}{dt}$ is 0 so, because there is no change in the carrier concentration due to the collision. So, n is same as n_{naught} . So, then you can say $\frac{dn}{dt}$ is the flux of current derivative of the current density special value divided by q .

So, that tells you that $\frac{dn}{dt}$ and of course here you can add a term electron hole pair generation minus recombination due to maybe some optical source or whatever reason that generation term can be there. So, please find the difference this $G \phi$ and $r \phi$ are different then this optical generation recombination. Now similarly you can get the momentum balance equation by substituting ϕ is equal to $q p$ by m or you can substitute p or simply k .

These options are there only the scaling factor will change. So, you can write $q \hbar k$ by m . The purpose of choosing this is basically you get J , if you choose just k then you will get J by $q \hbar k$ by m those things. So, the main factor here is the k . So, when ϕ is proportional to k you have term related to the current density. So, now $n \phi$ becomes J_n because you see here it will be $q \hbar k$ by $m \int k f dk$.

So, this will give you n times $k d$, $k d$ is the mean position of the wave vector due to the field. So, $\hbar k d$ by m becomes $v d$ that the drift velocity. So, it becomes $q n$ by $v d$. So, this is your J basically and you can use $-q$ for electron. Now the flux of this electron becomes $\phi f \phi u f dk$. So, $\phi k u k$. So, it becomes k^2 . So, k^2 means is energy term. So, with this scaling factor it goes $-2q W$ by m .

So, W is a kinetic energy density. So, if kinetic energy is increasing as a function of position that means this $n \phi$ is now J_n . So, J_n basically increasing. Similarly the generation term f by h terms $\frac{df}{dk}$ now because ϕ is proportional to k . So, $\frac{d\phi}{dk}$ will be constant. So, this constant will be $q \hbar / m$. So, it becomes $F_e = q \hbar / m \times f dk$. So, it will be simply n here and f is q times E .

So, it is basically if you see here this f is q times E by \hbar and $\frac{dF}{dk}$ becomes $q \hbar / m \times f dk$ which is n . So, \hbar will cancel out. So, $q^2 E / m \times n$. So, this is your generation term basically. So, that means the selective field give rise to the current density. Electric field generates the current density then similarly recombination term. So, it is $f - f_{naught} / \tau = \int \phi dk$. So, it is also proportional to k and dk .

So, $f k$ becomes your $J_n - J_{n,naught} / \tau$. So, this τ will be average time, moment of the accession time. So, now you see here if there is for equilibrium case $J_{n,naught}$ will be 0. So, this will be basically 0. So, you can write J_n by τm here. So, if you substitute this in the equation you will get dJ_n / dt is equal to the gradient of the kinetic energy plus electric field times $q^2 n / m - J_n / \tau$. Now if you consider a steady state case that means $dJ_n / dt = 0$.

So, that tells you that J_n is $2q / m \tau, m \times \text{del} \cdot W_n + q^2 n \tau / m \times$ electric field. So, you get exactly this is a drift term due to the electric field and this is due to the gradient of energy density. Now energy density you can write some $k T / 2 + \frac{1}{2} m v^2$ or you can write $k T E$ also. So, the gradient of these things will give you the gradient of the temperature and so this will give you a diffusion term due to the temperature gradient.

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MOMENTUM BALANCE EQUATIONS

Balance equation for the momentum: $\phi(k) = -q\hbar k/m^* = -q\hbar k/m^*$:

$$n_p = \int \phi \cdot f \cdot d\vec{k} = J_n$$

$$F_p = \int \phi \cdot \vec{u} \cdot f \cdot d\vec{k} = -2qW_n / m^*$$

$$G_p = \frac{F_p}{\hbar} \cdot \int (\nabla_s \phi) \cdot f \cdot d\vec{k} = q^2 n \bar{E} / m^*$$

$$R_p = - \int \left(\frac{\partial f}{\partial t} \right)_{coll} \phi \cdot d\vec{k} = \frac{J_n}{\tau_M}$$

$$\frac{\partial (J_n)}{\partial t} = \frac{2q}{m^*} \nabla \cdot W_n + q^2 n \bar{E} / m^* - \frac{J_n}{\tau_M}$$

$$\left[\begin{aligned} \frac{\partial (n v_n)}{\partial t} + \frac{q n}{m_n} \bar{E} + \frac{1}{m_n} \frac{\partial (n k_B T)}{\partial r} &= - \frac{n v_n}{\tau_n} \quad \text{electrons} \\ \frac{\partial (p v_p)}{\partial t} - \frac{q p}{m_p} \bar{E} + \frac{1}{m_p} \frac{\partial (p k_B T)}{\partial r} &= - \frac{p v_p}{\tau_p} \quad \text{holes} \end{aligned} \right.$$

SEMICONDUCTOR DEVICE MODELING AND SIMULATION

Now so if you substitute this phi, here we are substituting only $q\hbar k$ by m . So, if you take out the charge term here, if you just integrate with respect to $\hbar k$ you get d by dt of $n v t + qn$ by $E + n k T$. So, this is the energy term. So, $\text{del } I \text{ del } r$. So, the energy density is $n k T$ because for single electron is $\frac{1}{2} m v^2 + \frac{3}{2} k T$ and for n electron is multiplied by n .

So, W_n is basically the total of these n electrons. So, you get the derivative of n as well as T . So, the derivative of n will give you the concentration gradient and derivative of T will give you the temperature gradient. So, then the thermal candidate will come into the picture and then you can write these two equations for both electrons and holes. So, d by dt is the electric field term then the diffusion term, temperature gradient term and so on.

So, this is total dn by dt basically. So, what we have done? We have basically rearranged it basically. So, from right side we move to the left side and right side is basically remaining $n v$ by τ and $p v$ by τ for holes.

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ENERGY BALANCE EQUATIONS

Balance equation for the energy : $\phi(k) = \hbar^2 k^2 / 2m^*$:

$$n_p = \int \phi \cdot f \cdot d\vec{k} = W_n$$

$$F_p = \int \phi \cdot \vec{u} \cdot f \cdot d\vec{k} = F_n$$

$$G_p = \frac{E}{h} \int (\nabla_s \phi) \cdot f \cdot d\vec{k} = \vec{E} \cdot \vec{J}_n \quad \text{--- Joule heating}$$

$$R_p = - \int \left(\frac{\partial f}{\partial t} \right)_{coll} \phi \cdot d\vec{k} = \frac{W_n - W_0}{\tau_E}$$

Final form of the energy balance equation:

$$\frac{\partial (W_n)}{\partial t} = -\nabla \cdot (F_n) + \vec{E} \cdot \vec{J}_n - \frac{W_n - W_0}{\tau_E}$$

Handwritten notes:
 $C \rightarrow \hbar \rightarrow \vec{J} = F_n$
 $n \rightarrow \vec{J} \rightarrow W = E_n$
 $u \rightarrow \vec{J} \rightarrow F_n$
 $E \rightarrow \vec{J} \rightarrow F_n$

SEMICONDUCTOR DEVICE MODELING AND SIMULATION

Now similarly we can draw the balance equation for energy by substituting phi equal to $\hbar^2 k^2 / 2m$. So, now $n \phi$ becomes $\hbar^2 k^2 / 2m$ times $f dk$. So, that gives the energy density and the flux of the energy density is ϕ times u . So, it becomes $k \cdot q$ basically and then generation is derivative. So, it becomes only k instead of k^2 it becomes k . So, $k \cdot f dk$ becomes J . So, $f \cdot E$ times J is basically electric field times J and that you can say this kind of joule heating.

And then recombination term $\partial \phi / \partial t$ is $f - f_{naught}$ by τ times ϕdk . So, for f into ϕ into $f - f_{naught}$ by τ . So, that becomes energy density and equilibrium energy density. So, $W_n - W_0$ by τE and then of course you substitute you get dW by dt is minus derivative of flux plus joule heating minus $W_n - W_n$ by τE .

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CLOSURE

- Each new balance equation introduces new variable
- Carrier concentration \rightarrow carrier flux or momentum \rightarrow momentum flux or Kinetic energy \rightarrow energy flux
- To have a closed set of equations, one either:
 - (a) ignores energy (heat) flux altogether
 - (b) use a phenomenological "closure" relation for heat flux

SEMICONDUCTOR DEVICE MODELING AND SIMULATION

So, that means if you see all these three equations the equation for the carrier introduce J. So, in equation for carrier balance equation then we have the momentum balance equation. So, J it enters the W, then we have energy balance equation W which introduce the derivative of this F, the flux of energy density. So, the flux of carrier is current density, flux of current densities energy density and flux of energy and so on.

So, that means each equation is introducing a new variable. So, that way we can go on. So, we can infinite number of such equations basically. So, we have to close it somewhere. So, generally these three equations are considered carrier balance, momentum balance and energy balance. To close it basically what we can do we can either ignore the last heat flux term all together or we can use a phenomenological relation for the heat flux.

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COMPLETE BALANCE EQUATIONS

CB
$$\frac{\partial n}{\partial t} = \frac{1}{q} \nabla \cdot (J_n) + \left(\frac{\partial n}{\partial t} \right)_{coll}$$

MB
$$\frac{\partial (J_n)}{\partial t} = \frac{2q}{m^*} \nabla \cdot W_n + q^2 n \bar{E} / m^* - \frac{J_n}{\tau_M}$$

EB
$$\frac{\partial (W_n)}{\partial t} = -\nabla \cdot (F_n) + \bar{E} \cdot J_n - \frac{W_n - W_0}{\tau_E}$$

HEAT
$$\rho c \frac{\partial T_L}{\partial t} = \nabla \cdot [k(T) \nabla T_L] + E_a J + E_g [R - G]$$

SEMICONDUCTOR DEVICE MODELING AND SIMULATION

So, heat flux we can use phenomenological model. So, where you can say that the lattice temperature gradient $\frac{\partial T_L}{\partial t}$ is basically the heat flux plus joule heating plus the energy absorbed due to the recombination process. So, in the recombination this electron will combine and give energy equal to the band gap energy. So, E_g times R and of course there is generation then this energy is taken away. So, we have $R - G$. So, this is electron voltage generation recombination.

Then the joule heating and then plus the heat flux basically. So, the heat flux is basically the gradient of heat conductivity times the temperature gradient and this basically increases the temperature of the material. So, that is given by ρc times $\frac{\partial T}{\partial t}$. So, ρ is basically here, this is the density of the material, c is the heat capacity and k is a thermal conductivity and so

on. And these are the relationships which are carrier balance, momentum balance and energy balance.

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DD AS A SPECIAL CASE

CB
$$\frac{\partial n}{\partial t} = \frac{1}{q} \nabla \cdot (J_n) + \left(\frac{\partial n}{\partial t} \right)_{coll} + (G-R)_{eff} - \text{Continuity Eq}$$

MB
$$\frac{\partial (J_n)}{\partial t} = \frac{2q}{m^*} \nabla \cdot W_n + q^2 n \bar{E} / m^* - \frac{J_n}{\tau_M} \rightarrow J_n = \tau_M \left(\frac{\partial n}{\partial t} + \frac{2q}{m^*} \nabla \cdot W_n + \frac{q^2 n \bar{E}}{m^*} \right)$$

EB
$$\frac{\partial (W_n)}{\partial t} = -\nabla \cdot (F_n) + \bar{E} \cdot J_n - \frac{W_n - W_0}{\tau_E}$$

HEAT
$$\rho c \frac{\partial T_L}{\partial t} = \nabla \cdot [k(T) \nabla T_L] + E \cdot J + E_g [R - G]$$

SEMICONDUCTOR DEVICE MODELING AND SIMULATION

Now we can understand that drift diffusion is a special case of this carrier balance and the momentum balance. So, the carrier balance if you recall if it is equilibrium dn by dt 0 plus you have generation term like electron hole pair generation. So, $G - R$ due to electron generation mechanism. So, this is your basically carrier balance give you the continuity equation, it is a carrier continuity equation.

Then the momentum balance in equilibrium, this is 0. So, this gives the equation for $J_n = \tau_m$ times $2q$ by m del dot $W + q$ square and E by n . So, this gives you and then this del dot W is basically your $n k T$, that is a energy density derivative. So, this is a drift term, then derivative of n is a diffusion term, derivative of temperature is temperature gradient term. So, these two equations give you the drift diffusion model. So, drift diffusion model is a special case of generalized thermodynamic model.

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The image shows a video lecture slide. At the top, there is a blue header with the word "CONCLUSION" in white capital letters. On the left side of the header is a circular logo, and on the right side is another circular logo. Below the header, the main content area is white and contains a single bullet point: "• Derived and discussed balance equations for hydrodynamic model." In the bottom right corner of the slide, there is a small video feed of a man with dark hair, wearing a dark shirt, looking towards the camera. At the bottom of the slide, there is a purple bar with the text "SEMICONDUCTOR DEVICE MODELING AND SIMULATION" in white capital letters. Below the purple bar is a black bar with a white left-pointing arrow. At the very bottom, there is a white progress bar with a blue line indicating the current position.

So, in conclusion we have derived and discussed the three balance equations for the hydrodynamic model and in next lecture we will consider how to solve these equations or how to discretize time domain and later on we will take up some problems. Thank you very much.