



High Power Multilevel Converters - Analysis, Design and Operational Issues
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Lecture - 03
Basic Understanding of Converter - (Sinusoidal pulse width modulation and three phase circuit)

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Voltage obtained

- What is the expression of output voltage with sine-PWM?
 - $v_{AO}(t) = \frac{(m \sin \omega t)V_D}{2} + \frac{V_D}{2}$
- Note that we have used $V_D/2$ as the multiplying factor since $\sin \omega t$ varies from +1 to -1.
- m usually varies from 0 to 1, sometimes can be more than 1.
- Additional harmonics are also generated (to be covered later).

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So, in the half bridge, what is the expression of output voltage and it is given by this formula present here $v_{AO}(t) = \frac{m \sin \omega t V_D}{2} + \frac{V_D}{2}$. Now, this factor here $\frac{V_D}{2}$, this factor is because we have taken the reference point of the pole voltage as the lower side or negative pole of the DC bus.

If we take the reference point for calculating the pole voltage as the midpoint or the imaginary midpoint of the DC bus, then this $\frac{V_D}{2}$ will cancel out and the AC output or the AC

component inside the pole voltage is given by this expression here $m \sin \omega t$ into V_D by 2. So, the pole voltage or V_{AO} has two parts; one is the AC part and one is the DC part. The DC part comes because of the choice of the reference point.

If the choice of the reference is say it can be made in such a way that the DC part vanishes and then, we can say that V_{AO} is $m \sin \omega t$ into V_D by 2. Now, so, if we see here the AC component of the voltage, we find that it is proportional to m .

Now, m is called the modulation index. So, m can vary, but usually it will vary from 0 to 1. Under some circumstances, it can go beyond 1. When it is varying between 0 and 1, we call it linear modulation. When it is more than 1, then we call it over modulation because if m is more than 1, then the sine wave, the height of the sine wave is more than the height of the triangular wave.

Now, apart from this expression, here I have talked about the fundamental AC as well as the DC, but there are some other high frequency harmonics also generated and these harmonics we will cover later.

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Linear modulation

- The instantaneous value of output voltage ($v_{AO}(t)$) of the half bridge converter always fluctuates between 0 to V_D
- However, the fundamental output voltage of the converter is linearly proportional to m . When m varies from 0 to 1 it is called linear modulation region of operation of the half bridge converter.
- If $m=0$, $v_{AO_fund_pk} = 0$. If $m=1$, $v_{AO_fund_pk} = V_D/2$.
- If $m=1$, $v_{AO_fund_rms} = V_D/(2\sqrt{2}) = 0.35V_D$.

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So, how does the waveform look like? See it is shown in this curve here. The blue curve here is the instantaneous pole voltage or that means, $V_{AO}(t)$ with respect to the negative of the DC bus and we can see that this $V_{AO}(t)$ is varying between 0 and 600, where V_D is taken as 600 as I have shown it here, V_D is 600 volts DC bus. This is typical for 400 volt, 415 volt, three-phase AC.

So, the 600 volt DC bus, so the $V_{AO}(t)$ is varying between 0 and 600. The pink curve here, this curve pink curve is the AC which is embedded which is embedded inside this instantaneous PWM waveform. And why it is embedded? We can understand it intuitively by observing the width of the pulses. As we can see the width of the pulse is narrow here and the width of the pulse is large here and progressively, it becomes narrow until it becomes very narrow here.

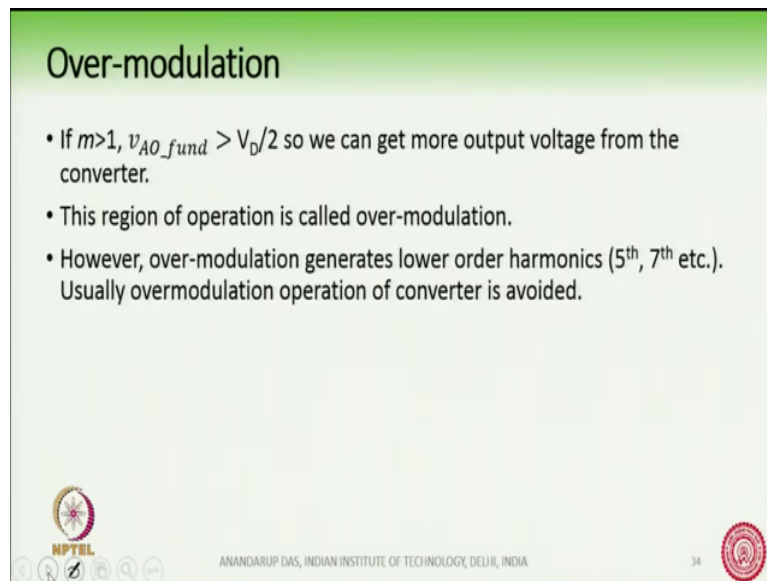
So, of course, it means that the average voltage is going down here and then, it progressively increases as I can see here and it becomes maximum at this point. So, the AC is embedded inside this PWM waveform or the Pole Voltage Waveform. Here, as I have also written it here the fundamental output voltage of the converter is linearly proportional to m and this is very important.

As I have shown it in the previous expression, the AC component in this waveform is $m \sin \omega t$ into V_D by 2. So, V_D being a constant so, the output voltage is linearly proportional to m . So, this is the advantage of the linear modulation, linear sine triangle PWM or linear sinusoidal PWM that the output voltage can be controlled, the output voltage can be controlled by varying m and it is linearly proportional ok.

So, if m is equal to 0, the fundamental V_{AO} peak of the fundamental voltage in V_{AO} is equal to 0. If m is equal to 1, then the peak of the fundamental voltage inside $A O$ or the peak of the fundamental voltage in the pole is V_D by 2. Because m equal to 1 means and at $\sin \omega t$ equal to 1 that is ωt equal to 90 degree.

This V_{AO} fundamental peak is V_D by 2. So, if m is equal to 1, the fundamental RMS voltage in V_{AO} is V_D by $2\sqrt{2}$; 1 by $\sqrt{2}$ of the previous value, 1 by $\sqrt{2}$ of this value. So, V_D by $2\sqrt{2}$ and that will be equal to almost 0.35 V_D . So, the output voltage of the pole voltage output from the half bridge converter can be controlled between 0 and V_D by $2\sqrt{2}$ or in the RMS and in the peak, it is 0 and V_D by 2; between these 2 values linearly with the change of m .

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Over-modulation

- If $m > 1$, $v_{AO_fund} > V_D/2$ so we can get more output voltage from the converter.
- This region of operation is called over-modulation.
- However, over-modulation generates lower order harmonics (5th, 7th etc.). Usually overmodulation operation of converter is avoided.

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What will happen if m goes beyond 1, which means that the AC voltage here is in more than 1 is something like this. What will happen if m is more than 1? So, if m is more than 1, then the fundamental voltage output from V_{AO} is more than $V_D/2$. So, we get more output voltage.

However, this region is called the over modulation region, but in this case we have a drawback and a serious drawback and that is the over modulation generates many lower order harmonics fifth-seventh etcetera. So, it is generally avoided; low over modulation operation of a converter is usually avoided because lot of fifth, seventh and other lower order harmonics are generated from the converter.

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Square wave operation

- When m becomes very large, square wave operation of the converter is reached. Each switch of the half bridge switches only once in the cycle.
- We can get maximum output voltage of the half bridge which is given by
$$v_{AO_fund_pk_sq} = \frac{4V_D}{\pi} = 1.27 \text{ times that obtained with sine-PWM.}$$
- However, substantial lower order harmonics (5th, 7th etc.) are generated. Usually square wave operation of converter is avoided.

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If we go on increasing the value of m , even further at one point of time, the square wave operation of the converter is reached. So, what is meant by square wave operation? In square wave operation, as you can see here the each switch of the half bridge switches once in the cycle.

So, the top switch is switched for 50 percent of the cycle and the bottom switch is switched for rest of the 50 percent of the cycle. So, here you can see that the bottom switch is switching for half the cycle. Here, so, we get 0 voltage and for half the cycle the top switch is switched. So, that we get the 600 volt output. Here, 600 volt output.

So, if we do the Fourier analysis of this waveform and if we ignore or take out the DC part, there is a and that if we take out the DC part out of this waveform, then the fundamental peak voltage which is inside this squared wave is $\frac{4}{\pi} V_D$; $\frac{4}{\pi} V_D$ by 2 as

we see and which is equal to 1.27 times that obtained with sine PWM. So, because $4/\pi$ is 1.27.

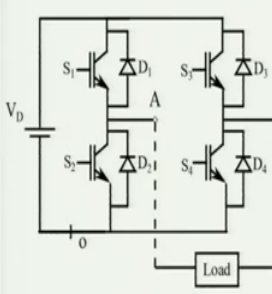
So, in sine PWM the maximum fundamental peak voltage that we got was $V_D/2$. While in square wave, the maximum fundamental we could get is $1.27 \cdot 4/\pi$ times $V_D/2$, that is 1.27 times that what we can obtain from sine PWM. So, this region between 1 and 1.27 is part of over modulation.

Later, we will see that it is possible by injection of triple n harmonics or third harmonic, it is possible to extend the linear modulation by 15 percent more. We will come to that later. But as of now we can understand that the peak fundamental voltage that we can obtain from a half bridge converter with sinusoidal PWM is $V_D/2$ that is the maximum voltage peak.

While in square wave, it is 1.27 times this value. Again with a square wave, we get the same problems as we get in over modulation that is a large number of lower order harmonics are generated. So, we usually avoid the square wave mode of operation of the converter, we usually avoid this operation.

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Full bridge circuit



- In a half bridge, $v_{AO_fund_pk} = V_D/2$.
- How much voltage can be obtained from a full bridge converter?
 - $v_{AB_fund_pk} = v_{AO_fund_pk} - v_{BO_fund_pk}$
- Leg B can produce a voltage which is 180° phase shifted from Leg A voltage.
- Thus we get double the voltage from a full bridge converter.
- $v_{AB_fund_pk} = V_D$.
- Leg B can be controlled independent of leg A.

$v_{AB}(t) = v_{Ao}(t) - v_{Bo}(t)$

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Now, once we have understood the half bridge that is now turn our attention to the full bridge circuit. Full bridge is nothing but an extension of half bridge. That is as I had told you that there is a there are 2 switches here, which is forming 1 leg of the converter and you can see V AO voltage is present here.

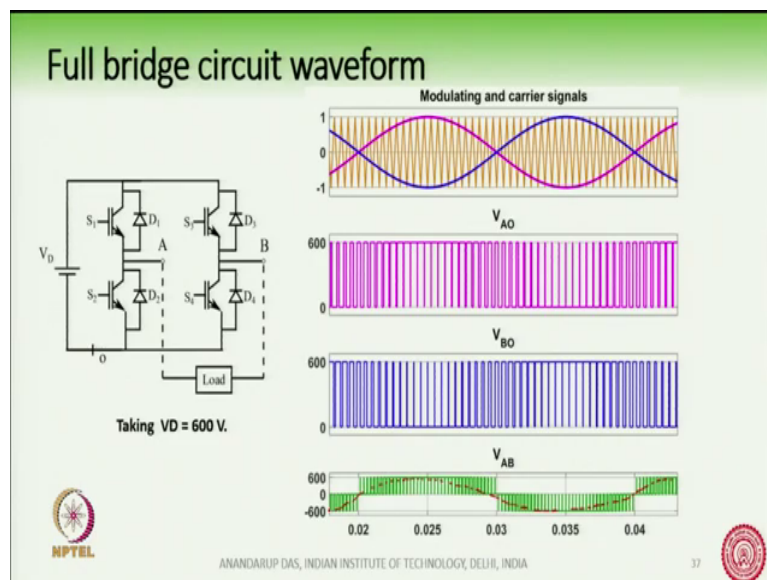
In a full bridge, we introduce 1 more leg here and these 2 legs are independent of each other. So, they do not know about each other ok. So, leg B can be controlled fully independently of leg A. So, what is the benefit, if I use a full bridge suppose I connect my load between point A and point B. So, there are 2 legs; leg A and leg B and these are their 2 outputs, A and B and the load is connected here across AB.

So, from one half bridge that is for example, from leg A, I can obtain the peak fundamental voltage as V D by 2 if I use sine PWM from 1 leg that is leg A. Now, how much voltage can

be obtained from the full bridge? Now, this voltage which is applied across the load we can term it as V_{AB} . Now, this voltage V_{AB} is nothing but V_{AO} minus V_{BO} ok.

So, I can write like V_{AB} is equal to nothing but V_{AO} minus V_{BO} ok. So, therefore, the total voltage which can be obtained across A and B is nothing but the difference of voltage between what I obtained from A leg and the B leg. So, if now I produce a voltage where leg B, the voltage produced by leg B is 180 degree phase shifted from the voltage produced by leg A ok. If I do like that, then I we can get double the voltage from a full bridge converter ok. So, if the voltage of phase B is 180 degree from phase A.

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So, here you can see this in this curve that suppose the voltage of A phase is this; the voltage of A phase the fundamental voltage inside A phase is this much the voltage produced by B phase B the fundamental component of it is shown by this blue curve. So, what happens then?

If there are 180 degree phase shifted, we can get double the voltage from the full bridge converter.

Therefore, this is what is written here V_{AB} fundamental peak is V_D and this is exactly double than the fundamental peak voltage produced from the half bridge ok. So, here we saw the half bridge is producing V_D by 2 and here, we see that the of the full bridge is producing voltage of V_D . Now, remember that leg B is fully independent of leg A. So, it is not necessary that leg B voltage is always at 180 degree to leg A voltage. We can set any other voltage from leg B also and then, the load voltage can be accordingly controlled.

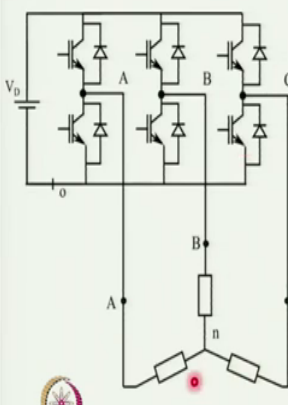
So, leg B voltage and leg A voltage, they may be 180 degree, but they can be any other value also. The differential voltage basically falls across the load. So, here we are showing you some of the waveforms. So, as I told, so this is the full bridge converter. So, V , so that the sine wave which controls or the modulating wave for A phase is the pink waveform and the modulating wave for the B phase is the blue one and they are 180 degree phase shifted. So, and they are compared with a high frequency triangle which is spanning between minus 1 and plus 1.

So, the output voltage V_{AO} is again between 0 and 600 and it is a PWM waveform the output voltage from. The leg B is again a PWM waveform like this and the differential voltage falls across the load. And so, if we see here that the differential voltage, this is the green one here, it is varying between minus 600 and plus 600 and the waveform looks like this.

The fundamental component inside this waveform lies here. This is the fundamental which is present inside this waveform and the fundamental component inside this waveform is double the magnitude as compared with what can be obtained from the half bridge.

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3 phase circuit



- 3 phase circuit is an extension of the half bridge concept.
- There are three half bridges working together. The modulating waveforms for three half bridges are usually three balanced sine waves having equal amplitude and phase shifted by 120° .
- The modulating waveforms for three half bridges need not be balanced.

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Now, we go to the next step. We have seen that this leg A and leg B can be operated independently. So, let us put a third leg and that is this leg C here, let us put it. Again, these three legs leg A leg B and leg C are completely independent of each other ok. They do not know that there is there exist one more leg. So, these three legs is by putting these three legs, we are basically extending the concept of the half bridge ok.

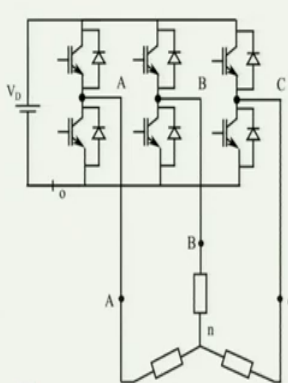
And in this converter which is a three phase converter, it is nothing but three half bridges working together ok. So, how will you control the three half bridges? Of course, if you want to get a balanced output voltage from this converter, we will give three balanced sinusoidal reference to the three legs and they will be equal in magnitude and phase shifted by 120 degree that is the balanced amplitude.

Although this is true, but I must mention that the three modulating wave forms need not to be balanced ok. There is no requirement that these three wave forms has to be balanced, it can be unbalanced also. In fact, when such a converter is used in unbalanced system, then it may be required to produce unbalanced voltage waveforms from this converter.

So, these three half bridges usually operate with balanced reference waveforms. But they can also operate with unbalanced waveform. Now, we have a star connected load here which is where we are placing equal impedances here.

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3 phase circuit



- What is the load voltage?
 - $v_{An} = v_{AO} + v_{On}$
 - $v_{Bn} = v_{BO} + v_{On}$
 - $v_{Cn} = v_{CO} + v_{On}$
- Now, $\frac{(v_{An} + v_{Bn} + v_{Cn})}{Z} = 0$
- Thus, $v_{On} = -\frac{(v_{AO} + v_{BO} + v_{CO})}{3}$
 - $v_{An} = \frac{2}{3}v_{AO} - \frac{1}{3}v_{BO} - \frac{1}{3}v_{CO}$
 - $v_{Bn} = \frac{2}{3}v_{BO} - \frac{1}{3}v_{CO} - \frac{1}{3}v_{AO}$
 - $v_{Cn} = \frac{2}{3}v_{CO} - \frac{1}{3}v_{AO} - \frac{1}{3}v_{BO}$

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So, if we have such a circuit, then we would like to know what is the voltage across the load because that is what we are interested to find out. Because ultimately the converter is

supplying to a load and we are interested to know that how much voltage can be applied to the load, what is its relationship with the converter voltages.

So, in order to find it, we write the Kirchhoff's law. So, we see that let us focus on this first line V_{An} that is this voltage V_{An} is nothing but V_{AO} that is this points voltage to this point plus V_{On} . You can see this is the voltage here V_{On} . So, basically V_{AO} plus V_{On} is V_{An} this voltage. If you just apply the Kirchhoff's voltage law, it is imagine there is a potential between this point O and n, then we can write this equation.

Similarly, V_{Bn} is also equal to V_{BO} plus V_{On} and similarly, V_{Cn} . V_{Cn} is V_{CO} plus V_{On} . Now, in this converter we see that the star point is isolated and so, the sum of the three currents which is coming to this star point must be equal to 0 and so, V_{An} plus V_{Bn} plus V_{Cn} divided Z , where Z is the impedance of the load, but phase is equal to 0. So, if I sum the left hand side and the right hand side.

Therefore, I can see that the sum on the left hand side will be equal to 0 and therefore, by equating I will see that V_{On} is equal to minus V_{AO} plus V_{BO} plus V_{CO} divided by 3. So, this V_{On} that is this voltage between point O and n, we see that there exists a voltage between point O and n. This is an important observation. What it tells us is that there is always an instantaneous pole the instantaneous voltage difference between point O and point n.

So, we cannot short the points O and n; if we short them because there is a voltage difference there will be a dead short circuit. So, we cannot short this point to this point. We cannot also short this end point to the midpoint in this DC bus, this is also not possible. Because there is always an instantaneous voltage difference and why is it so?.

Because you see the V_{AO} voltage V_{BO} and V_{CO} ; V_{AO} , V_{BO} , V_{CO} can take only 2 values. V_{AO} can take values 0 and V_D . V_{BO} , 0 and V_D and V_{CO} , 0 and V_D . So, for so, these out of these three, one will always be V_D . If all of them all three are 0, then there is nothing no output voltage from the converter. But in order to get some output voltage from the converter, one of them must be V_D . And therefore, there is an instantaneous voltage

difference between points V_O and V_n . This voltage is also sometimes called the common mode voltage v_{co} .

The sum of V_{AO} , V_{BO} , V_{CO} divided 3. This voltage is also called the common mode voltage. The common mode voltage exists for such converters because there is switching on this voltages and V_{AO} , V_{BO} , V_{CO} are not the sum is not equal to 0. This is unlike the case; this is unlike the case, if there were sinusoidal voltage sources here.

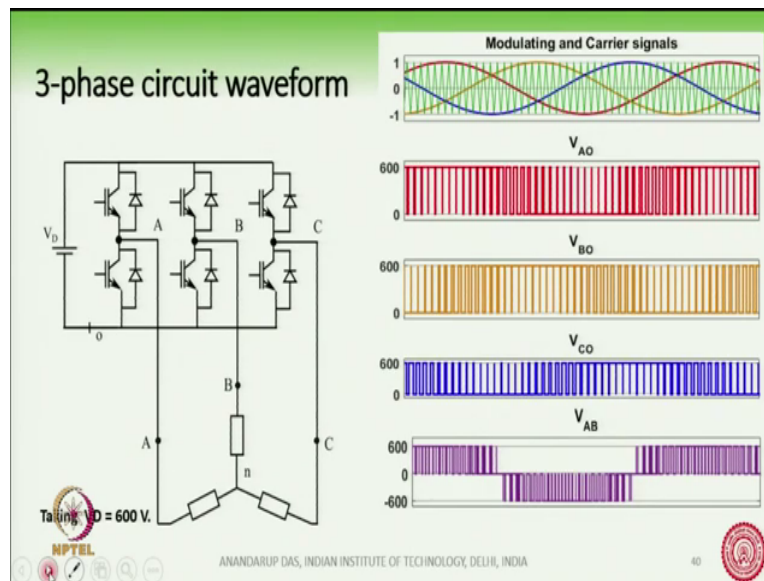
For example, if there were synchronous machine connected to the three-phase load here. Synchronous machines produce sinusoidal voltages v_{co} . And the instantaneous sum of if it is a perfectly balanced sinusoidal voltage that is produced by the synchronous machine, the instantaneous sum is always equal to 0.

But here this converter the instantaneous sum of pole voltages is not equal to 0 for all of the switching states, it is not equal to 0 and therefore, a common mode voltage exists between point O and n. The common mode has many implications v_{co} . For example, for high switching frequency inverters common mode causes varying currents in motor drives. Also we will see that this common mode voltage is something which can be utilized in order to increase the linear modulation range. We will see that later.

So, once we know that there exists a V_{On} voltage and it there is an instantaneous voltage difference between O and n, but no current is flowing because the n point is completely isolated from the O point. So, no current can flow. So, we can also find out therefore, the instantaneous voltage expressions of these phases A, B and C and these instantaneous voltage expressions are by substituting V_{On} here and V_{AO} , then we can see that V_{An} is two-third V_O minus one-third V_{BO} minus one-third V_{CO} .

So, which means that the instantaneous phase voltage across the load is now expressed as the combination of 3 pole voltages. So, this is the expression which expresses the instantaneous phase voltages as a combination of the 3 pole voltages again in turn V_{AO} can be 0 or V_D ; V_{BO} can be 0 or V_D ; V_{CO} can be 0 or V_D .

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So, therefore, we have now we are now showing you the voltage waveforms. So, as you can see here, the more if you see the modulating and the carrier signals. We see that there is a high frequency carrier here and then, there are three waveforms for A phase, B phase and C phase. But the red one, the orange one and the blue one here and they are perfectly balanced. Their amplitudes are equal and the phase shift is 120 degrees. So, this is the V_{AO} waveform, V_{BO} waveform and V_{CO} waveform.

Each of them fluctuating between 0 and 600 and the line voltage is again, if you do the line image what is the line voltage? The line voltage is V_{AB} for example, is equal to V_{AO} minus V_{BO} . So, therefore, V_{AB} here is equal to V_{AO} minus V_{BO} and we can draw it like this ok. The fundamental voltage inside this lies somewhere, the fundamental voltage which is embedded inside this V_{AB} waveform is somewhere here ok.

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Example

- Let $v_{AO} = \frac{V_D}{2} (1 + m \sin(\omega t))$, $v_{BO} = \frac{V_D}{2} (1 + m \sin(\omega t - \frac{2\pi}{3}))$, $v_{CO} = \frac{V_D}{2} (1 + m \sin(\omega t - \frac{4\pi}{3}))$
- Then $v_{An} = \frac{2}{3} v_{AO} - \frac{1}{3} v_{BO} - \frac{1}{3} v_{CO} = m \sin(\omega t) \frac{V_D}{2} = v_{AO}$ without $\frac{V_D}{2}$
- Thus the fundamental voltage across the load is the same as the fundamental pole voltage from the 3 phase converter.
- Note that there is always an instantaneous voltage difference (v_{On}) between the load neutral and the negative terminal of the DC bus. So we cannot short them.
- Note that $V_D/2$ is the common mode voltage in the output of the converter. There can be other values of common mode voltage also (to be explored later).

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So, the here is the fundamental voltage available. So, now, we can see what is the; what is the expression of V_{An} , we can find it out. So, V_{AO} here can be written as V_D by 2 into 1 plus $m \sin \omega t$. From the previous slide, we have seen it is we have said that this is the fundamental AC voltage and there is also a DC voltage in V_{AO} .

So, V_{AO} is equal to V_D by 2 into 1 plus $m \sin \omega t$ and V_{BO} is will be equal to V_D by 2 and then, V_D by 2 into $m \sin \omega t$ minus 2π by 3 and V_{CO} will be V_D by 2 $m \sin \omega t$ minus 4π by 3 because we are giving three balanced modulating wave forms to the three-phases.

And then, by applying the formula that V_{An} is equal to two-third V_{AO} minus one-third V_{BO} minus one-third V_{CO} , if we apply that formula. Then, substituting these values into V_{AO} , V_{BO} here and V_{CO} here, we get that V_{An} voltage that is the instantaneous phase load

phase voltage V_{An} is equal to $m \sin \omega t$ into V_D by 2 ok. $M \sin \omega t$ into V_D by 2 which is exactly equal to the fundamental voltage present in the pole voltage.

So, we see that the pole voltage whatever the fundamental voltage inside the pole voltage is exactly equal to the fundamental voltage that gets impressed across the load. So, the fundamental voltage inside the pole voltage will come and impress across the load and this is expected because there is no loss, there is nowhere some nothing is lost. So, the fundamental component inside the pole voltage comes across the load. But we also see that V_D by 2 the DC part which we had assumed is no longer present in V_{An} expression.

So, that V_D by 2 x part. So, the DC part inside the pole voltage that we had assumed earlier is no longer present on the load. So, the load will only see a pure AC voltage and that we can see here V_{An} is equal to V_{AO} . V_{An} is equal to V_{AO} or without the AC part, I should write it here. This is the V_{AO} without V_D by 2. So, it is only the V_{An} is only this expression, the V_D by 2 that the DC part here, the V_D by 2 that part vanishes.

So, therefore, by changing the value of m , by changing this value here by changing the value of m , it is possible to change the output voltage. So, m by say if m is equal to 0.5, then you get some voltage on the load; if m equal to 1, you get more voltage on the load in this way ok. There is also a common mode voltage between V_O and n .



So, there is a common mode voltage or the voltage is available between O and n , but since n point is isolated from O point, so that common mode voltage can be utilized in adding some third harmonic. We will use this concept in some slides later.

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Load voltage magnitudes

Circuit	Peak Pole voltage	RMS load voltage	RMS line voltage
Half Bridge	$mV_D/2$	$mV_D/(2\sqrt{2})=0.35V_D$	
Full Bridge	mV_D	$mV_D/\sqrt{2}=0.7V_D$	
3 phase	$mV_D/2$	$mV_D/(2\sqrt{2})=0.35V_D$ (per phase)	$\sqrt{3}mV_D/(2\sqrt{2})=0.6V_D$

- The modulation index m can vary between 0 and 1 with sinusoidal PWM avoiding over modulation operation.
- 3-phase RMS load voltage (per phase) can be increased by another 15% by addition of common mode voltage (to be covered later).

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So, let me see therefore, let me summarize what are the load voltage magnitudes possible. So, from a half bridge, the peak pole voltage is equal to $m V D$ by 2; m can vary between 0 and 1. So, the RMS load voltage that can be obtained is $m V D$ by 2 divided by root 2 that is $0.35 V D$. In case of a full bridge, we can get double the voltage from what we can get from the half bridge. So, therefore, from a full bridge, we get $m V D$ that is the peak pole voltage possible.

And the RMS load voltage is $m V D$ divided by root 2 and that is $0.7 V D$ that much of voltage we can get the AC voltage from the full bridge. Now, in case of a three phase, in case of a three phase system the peak AC part of the pole voltage is again $m V D$ by 2. The AC component of the peak pole voltage and so, the RMS load voltage is $m V D$ by 2 root 2 that is $0.35 V D$ per phase and the RMS line voltage, the peak value will be root 3 times this value

and which will be equal to $0.6 V_D$ ok. So, the three phase RMS load voltage can be increased by another 15 percent by addition of a common mode voltage.