

Microwave Theory and Techniques
Prof. Girish Kumar
Department of Electrical Engineering
Indian Institute of Technology, Bombay

Module - 02
Lecture - 07
Waveguides - II: Parallel Plane Waveguides

Hello. In the last lecture, we will discussed Maxwell's equations after that we; so, transverse fields in terms of longitudinal fields and then how longitudinal fields are derived using wave equations or Helmholtz equation. After that we applied these concepts of the fields in a simple wave guiding structure parallel plane waveguide and we saw how fields vary in different modes of parallel plane waveguide.

Today, we will see the cutoff frequency of parallel plane waveguides in different modes and phase constant intrinsic impedances phase velocity and group velocities of the waves in different modes, after that we will start the discussion on rectangular waveguide. So, let us start with cutoff frequency in parallel plane waveguide.

(Refer Slide Time: 01:21)

Cut-off Frequency: Parallel Plane Waveguide


$$h^2 = \gamma^2 + k^2 = k_x^2 \Rightarrow \gamma = \sqrt{k_x^2 - k^2}$$

Case1 Evanescent: $\gamma = \alpha \Rightarrow k_x^2 - k^2 > 0 \Rightarrow \omega^2 \mu \epsilon < \left(\frac{m\pi}{a}\right)^2 \Rightarrow f < \frac{1}{2\sqrt{\mu\epsilon}} \frac{m}{a}$

Case2 Propagation: $\gamma = j\beta \Rightarrow k_x^2 - k^2 < 0 \Rightarrow \omega^2 \mu \epsilon > \left(\frac{m\pi}{a}\right)^2 \Rightarrow f > \frac{1}{2\sqrt{\mu\epsilon}} \frac{m}{a}$

Case3 Cut-off: $\gamma = 0 \Rightarrow k_x^2 - k^2 = 0 \Rightarrow \omega^2 \mu \epsilon = \left(\frac{m\pi}{a}\right)^2$

$$\Rightarrow \omega_c = \frac{1}{\sqrt{\mu\epsilon}} \frac{m\pi}{a} \text{ \& } f_c = \frac{1}{2\sqrt{\mu\epsilon}} \frac{m}{a}$$

$$f_c = \frac{mv}{2a}; \text{ For } TM_m \text{ \& } TE_m \text{ modes}$$


Microwave Theory and Techniques | Prof. Girish Kumar, IIT Bombay

So, as we discussed earlier that h square is equal to gamma square plus k square which is equal to kx square where gamma is a propagation constant which is equal to alpha plus j beta and k is wave number which is equal to omega under root mu epsilon and k x is wave number along x direction which is equal to m pi by a.

So, from here, we can get propagation constant which will be under root $k_x^2 - k^2$. There are 3 cases of the values of γ , first case is when γ is real, then β will be 0 and γ is equal to α , the γ to be real this quantity inside this root should be positive. So, $k_x^2 - k^2$ is greater than 0, we can put the values of k_x and k in this equation and we will get $\omega^2 \mu \epsilon < m^2 \pi^2 / a^2$. From here, we can get the frequency which is less than $1 / \sqrt{2 \mu \epsilon} m / a$.

So, for all the frequencies less than this frequency or less than this number the propagation constant will be real number. And in this case, when propagation constant is real, then the variation of the fields along z direction will be $e^{-\alpha z}$ which is attenuating field or we can say; the fields will die down as they will move along the z direction. So, because of this these fields are called as evanescent fields because they are attenuating field or non propagating fields. So, below this frequency there will not be propagation of wave as there are attenuating fields.

Now, second case is when γ is imaginary in that case α will be 0 and γ is equal to $j\beta$ and for γ to be imaginary the term inside this root should be negative. So, $k_x^2 - k^2$ is less than 0, from there, we will get $\omega^2 \mu \epsilon > m^2 \pi^2 / a^2$ and from here, we can get the frequency f which is greater than $1 / \sqrt{2 \mu \epsilon} m / a$.

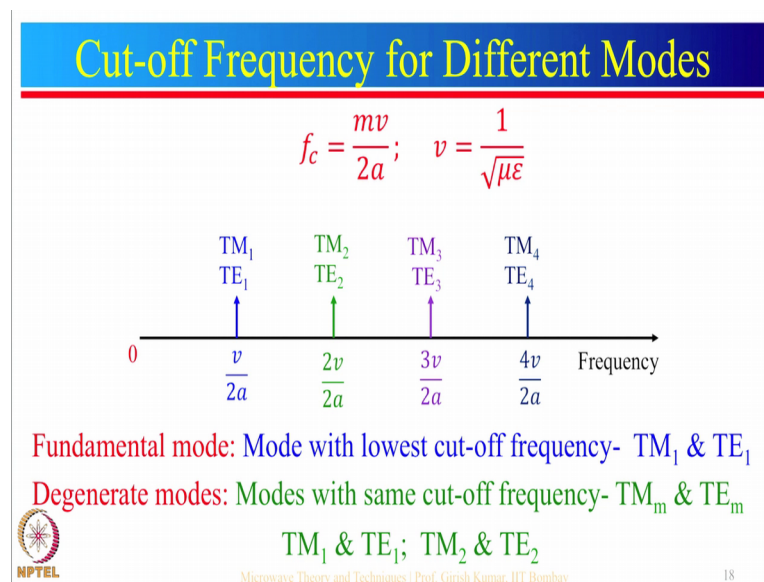
And for all the frequencies greater than this term, the propagation constant will be imaginary number and in this case, for γ imaginary number the variation of the fields, along z direction will be $e^{-j\beta z}$ which is propagating wave. So, when γ is imaginary, then propagation of waves will take place.

Now, the third case is when γ is 0; that means, α is 0 and β is also 0 and in this case, there will be neither attenuation nor propagation of the fields and for γ to be 0 this quantity should be 0 $k_x^2 - k^2$. So, make this 0 and then we will get $\omega^2 \mu \epsilon = m^2 \pi^2 / a^2$. From here, we can get the cutoff frequency ω_c which is equal to $1 / \sqrt{2 \mu \epsilon} m / a$ and cutoff frequency f_c is equal to $1 / \sqrt{2 \mu \epsilon} m / a$. We are calling these frequencies as cutoff frequency because, below this frequency there is attenuating fields or non propagating fields and above this frequency, there are propagating fields. So,

below this frequency there will not be any propagation of waves and above this frequency there will be propagation of the waves.

So, these are the cutoff frequencies or we can write this cutoff frequency as $\frac{mv}{2a}$ also because v is one upon root mu epsilon. So, cutoff frequency for TM m mode and TE m mode is given by f_c is equal to $\frac{mv}{2a}$ where m is a mode number and it is a integer n is the waveguide is filled with air then cutoff frequency will be f_c is equal to $\frac{mc}{2a}$; where c is a speed of light in vacuum which is equal to 3×10^8 meter per second or 3×10^{10} centimeter per second. So, this is the equation for cutoff frequencies for different modes.

(Refer Slide Time: 06:36)



Now, let us see the graph of cutoff frequencies for different modes. So, in general the cutoff frequencies given by f_c is equal to $\frac{mv}{2a}$ where v is one upon root mu epsilon and if we put m equal to 1 in this equation, then we will get $\frac{v}{2a}$ cutoff frequency for TM 1 mode and TE 1 mode. And if we put m equal to 2, then we will get cutoff frequency for TM 2 and TE 2 mode which will be $\frac{2v}{2a}$ or we can say $\frac{v}{a}$ similarly by putting the value of m , we can get the cutoff frequency for any mode.

Now, in this the lowest cutoff frequency is $\frac{v}{2a}$ which is of mode TM 1 and TE 1 and the mode with lowest cutoff frequency is called as fundamental mode. So, in parallel plane waveguide the fundamental modes are TM 1 and TE 1.

And in this TM 1 and TE 1 have same cutoff frequency which is v by $2a$ and TM 2 and TE 2 mode have same cutoff frequency which is $2v$ by $2a$. Similarly, TM m mode and TE m mode have same cutoff frequency which is mv by $2a$ and the modes with same cutoff frequency are called as degenerate modes.

So, the degenerate modes in parallel plane waveguides are TM m and TE m modes for example, TM 1 and TE 1 or TM 2 and TE 2 mode and so on. So, this is all about the cutoff frequency of parallel plane waveguide for different modes. Now, let us see phase constant and intrinsic impedance of the waves inside the waveguide in different modes.

(Refer Slide Time: 08:36)

Phase Constant and Intrinsic Impedance

$$\gamma = \sqrt{\left(\frac{m\pi}{a}\right)^2 - \omega^2\mu\epsilon}$$

Phase Constant: $\beta = \sqrt{\omega^2\mu\epsilon - \left(\frac{m\pi}{a}\right)^2} = \omega\sqrt{\mu\epsilon}\sqrt{1 - \left(\frac{f_c}{f}\right)^2}$

Intrinsic Impedance: $\eta = \frac{E_x}{H_y} = -\frac{E_y}{H_x}$

$\eta_{TM} = \frac{E_x}{H_y} = \frac{\beta}{\omega\epsilon} = \sqrt{\frac{\mu}{\epsilon}}\sqrt{1 - \left(\frac{f_c}{f}\right)^2} = \eta_0\sqrt{1 - \left(\frac{f_c}{f}\right)^2}$ For air filled waveguide

$\eta_{TE} = -\frac{E_y}{H_x} = \frac{\omega\mu}{\beta} = \sqrt{\frac{\mu}{\epsilon}}\frac{1}{\sqrt{1 - \left(\frac{f_c}{f}\right)^2}} = \frac{\eta_0}{\sqrt{1 - \left(\frac{f_c}{f}\right)^2}}$ For air filled waveguide

NPTEL $\eta_0 = 120\pi \text{ ohm}$ Microwave Theory and Techniques | Prof. Girish Kumar, IIT Bombay

So, as we discussed earlier that the propagation constant of the wave is equal to under root $m\pi$ by a whole square minus $\omega^2\mu\epsilon$ and for a propagating wave γ is imaginary. So, α is equal to 0 and γ will be $j\beta$. So, equate $j\beta$ to this. So, $j\beta$ is equal to under root $m\pi$ by a whole square minus $\omega^2\mu\epsilon$.

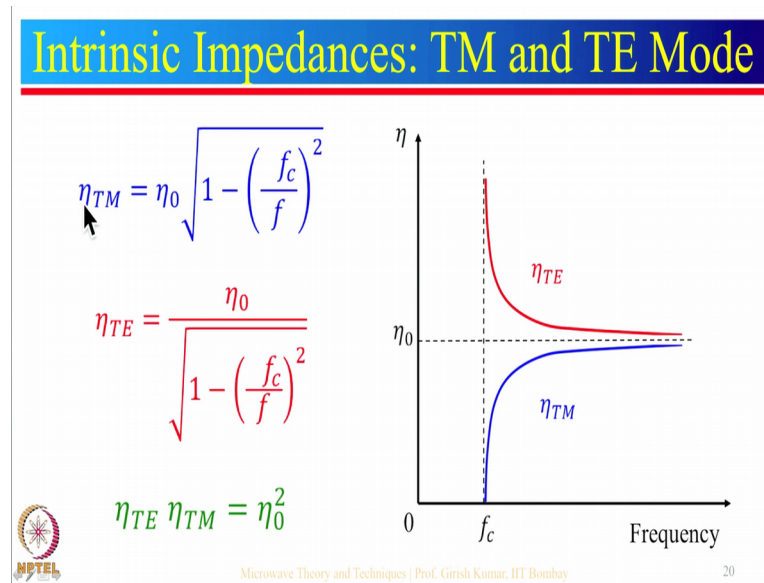
From here we can get β which is a phase constant β is equal to under root $\omega^2\mu\epsilon$ minus $m\pi$ by a whole square take $\omega^2\mu\epsilon$ outside of this root and we will get $\omega\sqrt{\mu\epsilon}$ into under root $1 - \left(\frac{f_c}{f}\right)^2$. So, this is the phase constant β .

Now, let us see intrinsic impedance intrinsic impedance is given by ratio of electric field and magnetic field that is either E_x divided by H_y or minus E_y divided by H_x in transverse magnetic mode as we have seen that E_y and H_x are 0 and E_x and H_y are nonzero and we have derived E_x and H_y in terms of longitudinal field is an sock put those field equations in this, then we will get η_{TM} intrinsic impedance and TM mode is equal to β divided by $\omega \epsilon$. So, β we have derived which is this one. So, divide this by $\omega \epsilon$, then we will get under root μ divided by ϵ into under root $1 - \beta^2$ by β^2 .

So, this is the intrinsic impedance for TM propagation now if the waveguide is filled with air, then the term under root μ by ϵ can be replaced by a constant η_0 which is impedance of wave in free space and the value of η_0 is one twenty pi ohms or 377 ohms. So, η_{TM} will be η_0 into under root $1 - \beta^2$ by β^2 for air filled waveguide.

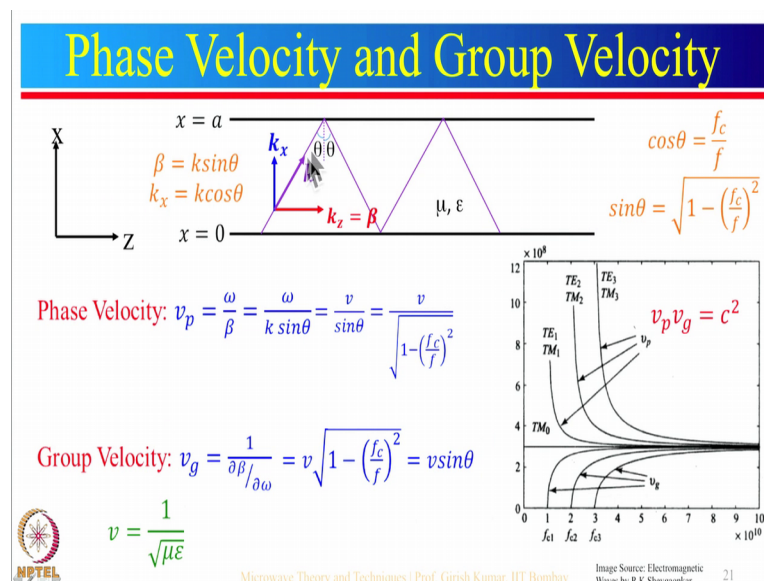
Now, similarly we can find intrinsic impedance for TE mode. So, in transverse electric mode as we have seen that E_x and H_y are 0 and E_y and H_x are nonzero and we have a derived this in terms of longitudinal field H_z . So, put the values of the fields and we will get η_{TE} is equal to $\omega \mu$ by β and by putting the value of β here, we will get under root μ by ϵ into $1 - \beta^2$ upon under root $1 - \beta^2$ by β^2 and for air filled waveguide it will be η_0 divided by under root $1 - \beta^2$ by β^2 this is for air filled waveguide. So, these are the equations of intrinsic impedances in TM mode and TE mode. Now, let us see how these impedances vary as frequency changes.

(Refer Slide Time: 11:58)



So, for air filled waveguide eta TM is this one and eta TE is this one. Now if we plot these equations with the respect to frequency, then the plot will be something like this. So, eta TM varies from 0 to eta naught as frequency increases from cutoff frequency to infinity this eta TE decreases from infinity to eta naught as frequency changes from cutoff frequency to infinity. But, the product of these 2 is always a constant which is equal to eta naught square for air filled waveguide in general the product of impedances of TM and TE modes is equal to mu by epsilon. So, these are the impedance variations. Now, let us move on to phase velocity and group velocity of waves in a waveguide.

(Refer Slide Time: 13:00)



These are the 2 plates in parallel plane waveguide which are separated by a distance a in X direction and these plates are extended to infinity in Y direction and this is Z direction which is the direction of propagation. In general, the wave is propagated in any waveguide because of the total internal reflection and; let us say if wave is launched inside, this waveguide at an angle θ , then by that second law of reflection which states that the angle of reflection is equal to angle of incidence. So, r angle of reflection θ_r will be same as θ_i angle of incidence which is equal to θ . So, if we have launch the wave at an angle θ then it will be reflected from the walls of the waveguide at an angle θ .

Now, again this reflected wave will go to other wall at an angle θ and that will be reflected at an angle θ . So, this is how by total internal reflections from the walls of the waveguide the wave is propagated in this zigzag path and because of this zigzag path, there are 3 components of wave number, this k is a wave number along the path of the electromagnetic wave where k is equal to $\omega \sqrt{\mu \epsilon}$ and the k_z is the wave number along z direction k_x is wave number along x direction

Since the resultant reflected waves will travel along z direction only. So, k_z is same as β and we can take component of this k and then we will get β is equal to $k \sin \theta$ and k_x is equal to $k \cos \theta$ where $\cos \theta$ is f_c by f and $\sin \theta$ is under root $1 - f_c^2$ by f whole square.

Now, if we put the value k and $\sin \theta$, we can get the value of β which comes $\omega \sqrt{\mu \epsilon}$ into one under root one minus f_c^2 by f whole square. So, this is the same value of β which we have derived earlier and similarly we can derive the value of k_x also. So, k_x is $\omega \sqrt{\mu \epsilon} \cos \theta$ and $\cos \theta$ is f_c by f . So, put the value of f_c and then we will get k_x is equal to $m \pi$ by a which is same as we got earlier and same as this wave number the velocity of the electromagnetic wave will have 3 components.

One is v which is equal to $1 / \sqrt{\mu \epsilon}$, another one is phase velocity and the third one is group velocity, the phase velocity is the velocity with which the locus of constant phase surfaces propagate inside the waveguide and it is given by v_p is equal to ω / β we know β which is equal to $k \sin \theta$ and ω / k is equal to v . So, v_p will be v divided by $\sin \theta$ or $\sin \theta$ is equal to under root one minus f_c^2 by f

whole square. So, we can get phase velocity is equal to v divided by under root one minus fc by f whole square.

We can get phase velocity by putting β directly here also. So, β is $\omega \sqrt{\mu \epsilon}$ under root $1 - fc$ by f whole square. So, we will get the same value of phase velocity. So, if the waveguide is filled with air then this phase velocity will be c divided by $\sin \theta$ or c divided by under root one minus fc by f whole square.

Since $\sin \theta$ is always less than equal to 1; that means, phase velocity is always greater than equal to c or phase velocity is always greater than equal to a speed of light, but Einstein's relativity theory says that message or information cannot travel faster than speed of light and for waveguides energy cannot travel faster than velocity of light.

So, does this v_p greater than equal to c violates the Einstein's relativity theory the answer to this question is that no it does not violate the Einstein's relativity theory because energy does not travel inside the waveguide with the phase velocity, whereas, it travel in the waveguide with group velocity and the group velocity is the velocity with which the resultant reflected waves travel inside the waveguide and it is given by v_g is equal to $1/\beta$ upon $d\beta/d\omega$.

Now, we know the value of β which is equal to $\omega \sqrt{\mu \epsilon}$ into under root one minus fc by f whole square. So, if we differentiate it with respect to ω then we will get group velocity is equal to v into under root one minus fc by f whole square or we can write it as $v \sin \theta$ and if the waveguide is filled with air, then the phase velocity will be c into $\sin \theta$ and since $\sin \theta$ is less than equal to 1. So, the group velocity is always less than or equal to a speed of light and because of this there will not be violation of Einstein's relativity theory because the energy in the waveguide will travel with this velocity group velocity.

So, these are the equations of phase velocity and group velocity. Now let us see; how these 2 vary as frequency changes. So, if we plot phase velocity with frequency, then the plots will looks something like this. So, this is the plot for phase velocity of TE 1 and TM 1 mode, the second one is for TE 2 and TM 2 mode, third one is for TE 3 and TM 3 mode. Similarly, if we plot group velocity then the plots will be something like this. So, first one is for TE 1 and TM 1 mode, second one is for TM 2 and TE 1 mode third one for TE 3 and TM 3 mode.

So, as you can see from these curves, the phase velocity decreases from infinity to a speed of light which is 3×10^8 meter per second as frequency changes from cutoff frequency to infinity. Whereas, this group velocity increases from 0 to a speed of light as frequency changes from cutoff frequency to infinity these f_{c1} , f_{c2} and f_{c3} are cutoff frequencies for mode TM₁ TE₁; this one is for TM₂ and TE₂ and this f_{c3} is for TE₃ and TM₃.

So, this is the variation of the velocities with frequency, but the product of these 2 velocities is always a constant which is equal to c^2 for air filled waveguide and in general, the multiplication of phase velocity and group velocity is always a constant which is equal to $\mu \epsilon$ and one more thing. Since, this phase velocity and group velocity change with the frequency it means that different frequency components travel in the waveguides with different velocities. And because, of this the time taken by different frequency components will be different to reach from input to output and at the output different frequency components will reach at different time instants and this effect is called as dispersion effect.

So, in waveguide, there will be wave dispersion which is not really desirable. So, this is all about the phase velocity and group velocity. Now let us take an example of a parallel plane waveguide and see how calculations are done in parallel plane waveguide.

(Refer Slide Time: 21:44)

Example: Parallel Plane Waveguide

An air-filled parallel plane waveguide has height $a = 10 \text{ cm}$.


(i) Find cut-off frequencies in TE₂ and TM₃ modes.

Cut-off frequency: $f_c = \frac{mc}{2a} = \frac{2 \times 3 \times 10^{10}}{2 \times 10} = 3 \text{ GHz}$ for TE₂ mode
 $= \frac{3 \times 3 \times 10^{10}}{2 \times 10} = 4.5 \text{ GHz}$ for TM₃ mode

(ii) If the phase velocity in TE₂ mode is $\sqrt{3}c$, then find the frequency and guided wavelength.

$$v_p = \frac{c}{\sqrt{1 - \left(\frac{f_c}{f}\right)^2}} = \sqrt{3}c \Rightarrow 1 - \left(\frac{f_c}{f}\right)^2 = \frac{1}{3} \Rightarrow \frac{f_c}{f} = \sqrt{\frac{2}{3}} \Rightarrow f = \sqrt{\frac{3}{2}} f_c = 3\sqrt{\frac{3}{2}} \text{ GHz}$$

$$\lambda_g = \frac{v_p}{f} = \frac{\sqrt{3} \times 3 \times 10^{10}}{3\sqrt{\frac{3}{2}} \times 10^9} = 10\sqrt{2} \text{ cm}$$


Microwave Theory and Techniques | Prof. Girish Kumar, IIT Bombay
22

So, let us say, we have in air filled parallel plane waveguide in which the 2 plates are separated by a distance a is equal to 10 centimeter. Now we need to find cutoff frequencies in TE₂ and TM₃ modes. So, general formula for cutoff frequency is f_c is equal to $\frac{mc}{2a}$. So, if we put m equal to 2, then we will get cutoff frequency for TE₂ mode which will be $\frac{2}{10}$ into a speed of light which is 3×10^{10} centimeter per second and 2 into a is 10 centimeter. So, by simplifying this, we will get 3 gigahertz for TE₂ mode.

Similarly, we can get cutoff frequency for TM₃ mode. So, put m equal to 3, then we will get $\frac{3}{10}$ into c is 3×10^{10} centimeter per second divided by 2 into 10 centimeter. So, we will get 4.5 gigahertz for TM₃ mode. Now, the next question is if the phase velocity in TE₂ mode is $\sqrt{3}c$, then what will be the frequency and guided wavelength. So, the formula for phase velocity is v_p is equal to $\frac{c}{\sqrt{1 - (f_c/f)^2}}$. Now equate this 2 given phase velocity which is $\sqrt{3}c$. Now cancel this c and c ; a square these 2 sides.

So, we will get $1 - (f_c/f)^2$ is equal to $1/3$ and $1 - 1/3$ is $2/3$. So, we will get $(f_c/f)^2$ is equal to $2/3$. So, f_c/f will be $\sqrt{2/3}$ from here the frequency will be f is equal to $\sqrt{3/2}$ times f_c and we have already calculated cutoff frequency for TE₂ mode which is 3 gigahertz. So, put this value here. So, frequency comes out to be $3\sqrt{3/2}$ gigahertz.

Now, to find guided wavelength. So, guided wavelength is given by λ_g is equal to phase velocity divided by frequency phase velocity is $\sqrt{3}$ times speed of light. So, $\sqrt{3}$ times 3×10^{10} centimeter per second divided by frequency is $3\sqrt{3/2}$ gigahertz. So, $3 \times \sqrt{3/2}$ into gigahertz is 10^9 hertz. So, after simplifying this we will get λ_g is equal to $10\sqrt{2}$ centimeter.

So, this is how the problems in parallel plane waveguides are solved. So, till now, we have discussed all about parallel plane waveguide in which we discussed different modes TE_m mode TE mode TM modes and how fields vary in these modes. And what is the cutoff frequency for these modes and after that we discussed phase constant intrinsic impedance and phase velocity and group velocity inside the waveguide

We have developed some concept for parallel plane waveguide, we extend these concept to rectangular waveguide because, there is only one difference in the structure of parallel

plane waveguide. And rectangular waveguide which is in parallel plane waveguide the wave is confined in only one direction which is in x direction and z is the propagation direction in y direction the wave is not confined because of that all the fields are constant along y direction and they vary along x and z directions only.

Whereas, in rectangular waveguides, the wave is confined in 2 directions which are x and y and wave is propagated along z direction. So, all the fields will be vary in all the 3 directions x, y and z directions. So, we will extend the concepts of parallel plane waveguide in rectangular waveguide and we will see what happens to the transverses fields and what happens to the longitudinal fields and how fields are different and TEM mode TM mode and TE modes and we will see the cutoff frequency intrinsic impedances phase velocity and group velocity we will discuss all these thing in the next lecture..

So, in the next lecture we will start from rectangular waveguide and after that; we will discuss some applications of the waveguides.

Thank you.