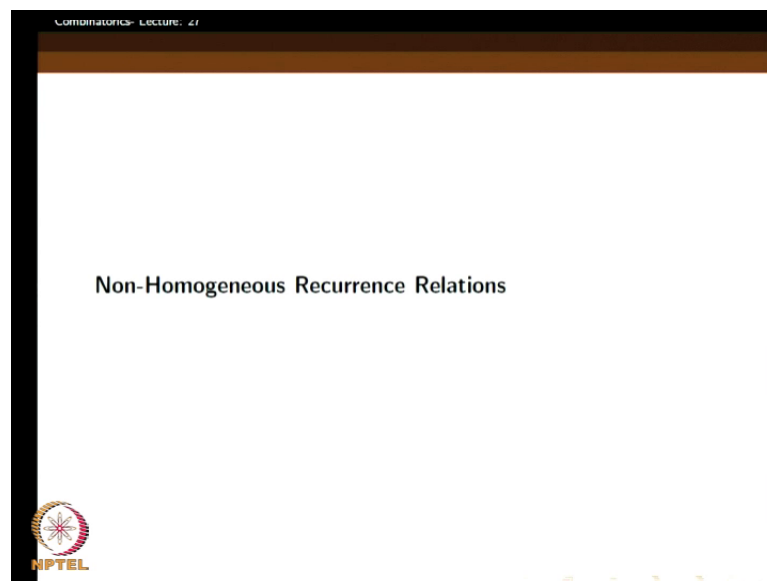


Combinatorics
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Lecture - 27
Recurrence Relations – Part (5)

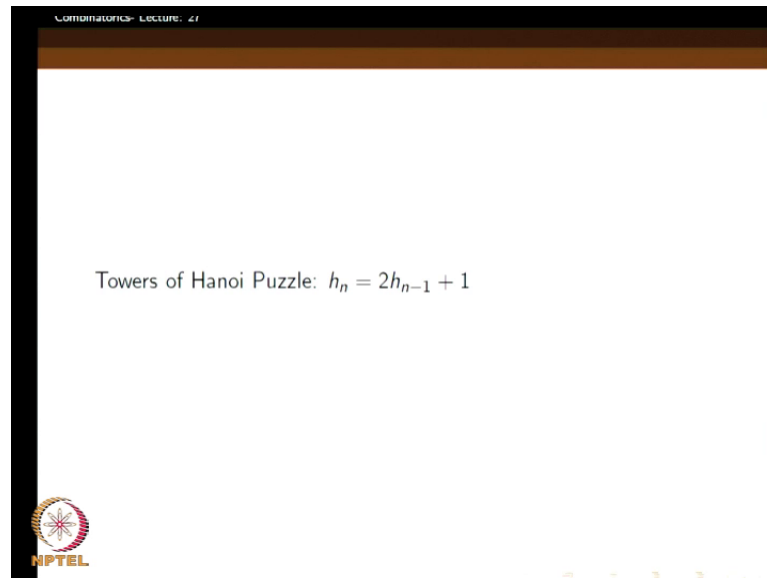
Welcome to the twenty seventh lecture of combinatronics.

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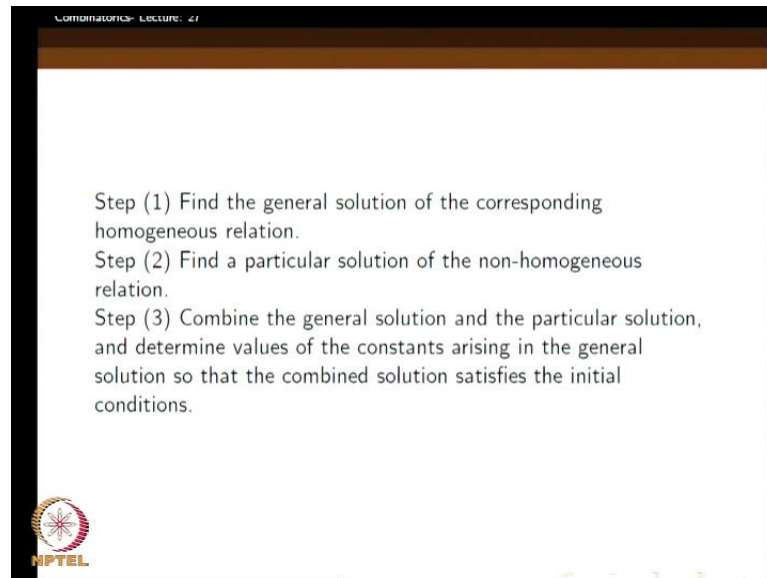
In this class, we will look at non-homogenous recurrence relations and propose some methods to solve it. It is not that these methods will work always, but this is the way one can try to solve it.

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In the last class, we had discussed this tower of hanoi puzzle. And, we show that, we can formulate. So, the puzzle will give rise to a recurrence relation – h_n equal to $2h_{n-1} + 1$. So, the question was how to solve this recurrence relation. We show how to unroll this recurrence relation; that means h_n equal to $2h_{n-1} + 1$; that is equal to two times – 2 of $h_{n-2} + 1$. Like that we got a sequence of numbers, which we added in the end. And, it was like $1 + 2 + 2^2 + 2^3 + \dots$. And we got in the end, the answer $2^n - 1$. So, now, the intension here is to look at a different procedure, which probably can be called a little more general; that we can try to apply whenever we have a situation like this.

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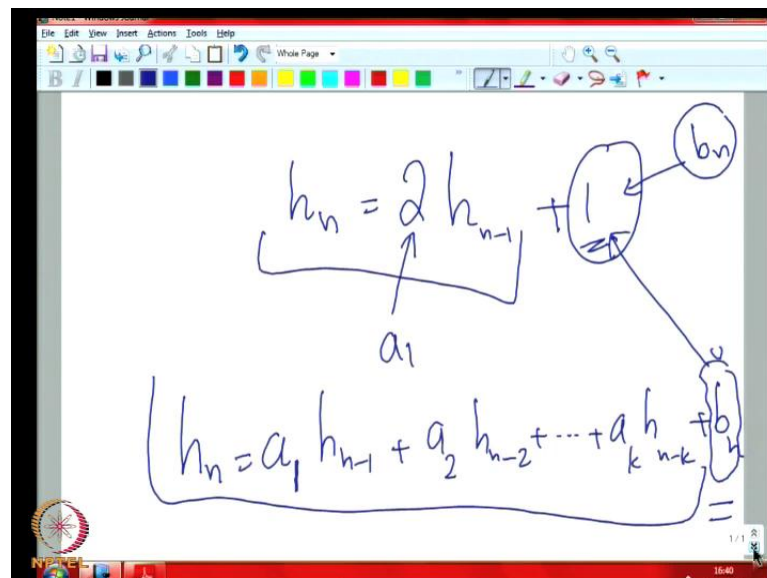
Combinatorics- Lecture: 47

Step (1) Find the general solution of the corresponding homogeneous relation.
Step (2) Find a particular solution of the non-homogeneous relation.
Step (3) Combine the general solution and the particular solution, and determine values of the constants arising in the general solution so that the combined solution satisfies the initial conditions.

NPTEL

There are three steps. First step – we find the general solution of the corresponding homogenous relation. What do we mean by that?

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$$h_n = a_1 h_{n-1} + b_n$$

$$h_n = a_1 h_{n-1} + a_2 h_{n-2} + \dots + a_k h_{n-k} + b_n$$

For instance, in this tower of hanoi case, we have h_n equal 2 into h_{n-1} plus 1 . So, what is the homogenous part here? We know that, the homogenous part is this, because this is that b_n , remember – b_n when we formulated the k -th order recurrence relation as h_n equal to a_1 into h_{n-1} plus a_2 into h_{n-2} plus finally a_k into h_{n-k} plus b_n . This is what we wrote. And we told this when this b_n is 0 ; then it is a

homogenous recurrence relation of order k and linear off case – linear homogenous recurrence relation of order k ; that is what it is. But then, suppose b_n is not equal to 0; then, we say that it is non-homogenous. Here this is a non-homogenous recurrence relation, because here h_n equal to 2 into h_{n-1} ; here a_1 is equal to 2 and h_{n-1} . And, there is nothing more, because k is equal to 1 here. This is the first order recurrence relation; and then, this plus 1; plus 1 corresponds to this b_n here.

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The image shows a presentation slide with handwritten mathematical work. At the top, the recurrence relation $h_n = 2h_{n-1} + b_n$ is written. The term $+ b_n$ is circled in blue and has a diagonal line drawn through it, indicating it is to be discarded for the homogeneous case. Below this, the equation $h_n - 2h_{n-1} = 0$ is written, with an arrow pointing from the circled part of the original equation to this one. Underneath, the characteristic equation $x - 2 = 0 \Rightarrow x = 2$ is written, with the final result $x = 2$ underlined.

Now, there are two parts to it. There is a homogenous part to it. The homogenous part is h_n equal to 2 into h_{n-1} ; which means we just discarded the other part; this plus b_n part plus b_n ; in which case, here it is 1; this – we just remove; and, this is called the homogenous part of the recurrence relation. This we can easily solve, because this is h_n minus 2 h_{n-1} is equal to 0. And, the characteristic equation is x minus 2 is equal to 0. And, that implies x equal to 2, is the solution of the characteristic equation.

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$$h_n = c \cdot 2^n$$

$$\begin{cases} h_0 = 0 \\ h_1 = 1 \end{cases}$$

$$h_n = 2h_{n-1} + 1$$

$$\Rightarrow h_n = k$$

$$k, k, k, k, k, \dots$$

And then, the general solution for the homogenous part is h_n equal to some constant c times 2 to the power n . Remember – 2 was the solution of the characteristic equation. This we remember. Now, what we do is remember – we have the initial conditions h_0 equal to 0 and h_1 equal to 1 for the tower of hanoi. There are zero d 's; there is zero moves required. There is just one disc on the first peg; we just need one move. These are the initial conditions. We have to fit this thing. And, not only that; this only fits the homogenous part; the actual recurrence relation is not h_n equal to 2 into h_{n-1} , but rather h_n equal to $2h_{n-1} + 1$. So what we do is; we find the particular solution of this thing. We will go back to the steps (Refer Slide Time: 05:33) – the first part was find the general solution of the corresponding homogenous relation.

Then, we find a particular solution of the non-homogenous relation; non-homogenous relation means this full relation. What is this particular solution? What we do is; we will find the solution, which will work for some initial condition – some particular value of h_0 . For instance, what I do is I will substitute. So, I will see whether if I take some constant, it will work or not. For instance, take a number say d or we can say a number k , let us say; k being a constant. So, some solution like h_n equal to k is what I am saying; that means for some number k , can this sequence k, k, k, k, k – the sequence satisfies h_n equal to 2 times $h_{n-1} + 1$? This is the question.

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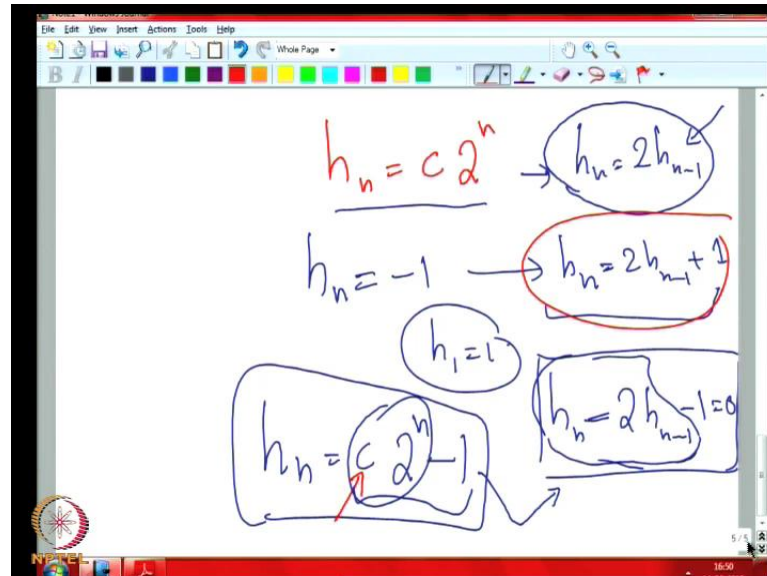
Handwritten notes on a whiteboard showing the derivation of a particular solution for the recurrence relation $h_n = 2h_{n-1} + 1$. The characteristic equation is $k = 2k + 1$, leading to $k = -1$. The corresponding particular solution is $h_1 = 1$, and the sequence of values is $-1, -1, -1, -1, -1, \dots$.

Let us try it. h_n has to be equal to k ; that is, 2 into h_{n-1} plus 1 . That is again has to be k , because h_{n-1} and $h_n - 1$ both are k . All of these h_i 's are k 's – plus 1 . So, is it possible to get some solution for this thing? This is the case if we cancel k here, we get zero here. And, this becomes $1 = k$; that means k is equal to -1 . So, if we take k is equal to -1 , it will indeed be true. And, this sequence – consider a sequence $-1, -1, -1, -1, -1$, so on. So, it is true that, if you add two times -1 plus 1 , that is indeed another -1 . 2 into -1 plus 1 is -1 . So, it is satisfying the recurrence relation $h_n = 2h_{n-1} + 1$. So, this is a particular solution. We could find this particular solution.

The good thing now is what, why did we find a particular solution like this? See this particular solution will not be a valid solution for us, why? Because this indeed satisfies the recurrence relation, but it does not satisfy the initial condition namely, $h_0 = 0$, $h_1 = 1$. These things are not satisfied. So, we need only one initial condition. So, h_0 is equal to... We can start with say $h_1 = 1$ for instance. That is more clearer that, this is what we are talking about, because when one disc is there, we need one move, because these are all -1 's. Then, h_1 is not equal to 1 here. So, this is not a correct solution for us. But, then we can use this particular solution (Refer Slide Time: 08:43) and the general solution to create a lot more solutions. How? Combine the general solution and the particular solution and (C_1, C_2) and the values of the constants arising in the

general solution, so that the combined solution satisfies the initial conditions. This is what we should do. This is the third step.

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Again, I am saying, if h_n equal to c times 2 raised to n will satisfy what? This will satisfy this relation – h_n equal to 2 times h_{n-1} . Now, this sequence – h_n equal to $2h_{n-1} + 1$ will satisfy this entire relation h_n equal to $2h_{n-1} + 1$. So, what is not satisfied is the initial condition; that means h_1 equal to 1 . This is what is not satisfied. So, to satisfy this also, what we should do is; we should create another solution; namely, we will add up these two. For instance, h_n equal to c times 2 raised to n plus 1 – will this be a valid solution for the recurrence solution? It is indeed, because if you substitute see h_n equal to c into 2 raised to n minus 1 – this h_{n-1} . So, what I did is; I added these two things together. Then, what happens is; if we consider this part h_n minus $2h_{n-1}$ minus 1 equal to 0 ; this is the way we write the recurrence relation.

And, if we substitute this to here; $c \cdot 2^n$ minus 2 times $c \cdot 2^{n-1}$ – that will become 0 , because this is homogenous part of the recurrence relation; that means h_n equal to $2h_{n-1}$ or h_n minus 2 times h_{n-1} is $()$ equal to 0 is satisfied by c times 2 raised to n for any c . So, it does not have any effect; that is what. This part does not have any effect on it; it simply disappears from this itself. But, the rest is -1 . If you put -1 for h_n and here also -1 , we will get -1 , minus

2, another minus 1. So, what happens is, this minus 1 and minus 1; that is, it will become minus 2; here minus 2 times minus 1 will become plus 2. So, that is, indeed becomes $\times 0$. That is clear, because when I put h_n equal to minus 1, this entire thing is anyways satisfied. The extra c times 2 raise to n has no effect on this, because h_n minus 2 h_n minus 1 itself will nullify the effect of them, because if we substitute $c \cdot 2$ raise to n here and 2 into $c \cdot 2$ raise to n minus 1 here; that becomes 0. That is what the meaning of this; h_n equal to c times 2 raise to n is the solution of h_n equal to 2 into h_n minus 1. So, we understand that, since h_n equal to c times 2 raise to n is a solution of the homogenous part – that h_n equal to 2 into h_n minus 1; and, h_n equal minus 1 is a particular solution for this one – h_n equal to 2 times h_n minus 1 plus 1. So, indeed h_n equal to c times 2 raise to n minus 1 is a solution for this recurrence relation – this part.

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Handwritten equations on a whiteboard:

$$c \cdot 2^n - 1 = c \cdot 2 - 1 = h_1 = 1$$

$n=1$ (with an arrow pointing to the first equation)

$$c \cdot 2 = 2$$

$c=1$ (circled)

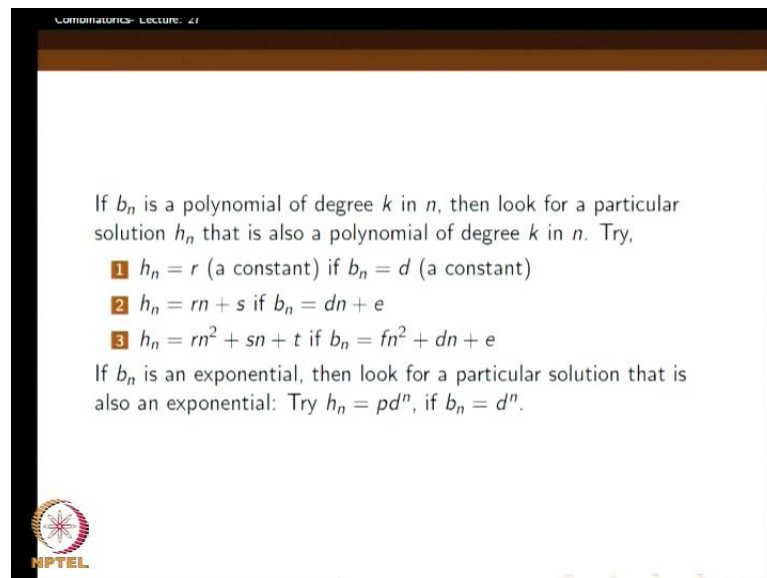
$$h_n = c \cdot 2^n - 1 = \boxed{2^n - 1}$$

Now, we have a c there; that is a constant here; which we can decide the way we want; that means c times 2 raise to n minus 1. Suppose if you put n equal to 1; that is... Then, what will happen? This will become c times 2 minus 1. But, when n equal to 1, we need the answer to be h_1 equal to 1. So, what is this? c times 2 equal to 2; that means c equal to 1. c equal to 1 will satisfy our initial condition; which means c times 2 raise to n minus 1 is a solution is what we told. Put c equal to 1; that means 2 raise to n minus 1 is indeed the solution for the recurrence relation, which satisfy the initial condition. So, this is exactly what we got in the other (())

We elaborately described it to explain the methodology. There are three steps in it. First, we consider only the homogenous part and solve the homogenous part first by the techniques we have already learnt for solving the homogenous linear recurrence relation with the constant coefficients. Then, we get a particular solution for the entire non-homogenous recurrence relation. It will just work. It will work for some initial values, but not for every possible initial values. In particular, the initial values given to the problem may not be satisfied by this particular solution.

Now, what we do is; we combine the general solution for the homogenous part plus with a particular solution for the non-homogenous recurrence relation we have. And, that is indeed a solution for the non-homogenous recurrence solution given to us. But, then the good thing is there is a constant available there, which we can manipulate; which we can fine tune to meet our requirement. What is the requirement? We have to meet the initial condition. We substitute n equal to whatever; maybe 0; whatever. And then, we get an equation involving c and we solve for it; that is what. We will take yet another example and see what happens.

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COMBINATORICS - LECTURE 21

If b_n is a polynomial of degree k in n , then look for a particular solution h_n that is also a polynomial of degree k in n . Try,

- 1 $h_n = r$ (a constant) if $b_n = d$ (a constant)
- 2 $h_n = rn + s$ if $b_n = dn + e$
- 3 $h_n = rn^2 + sn + t$ if $b_n = fn^2 + dn + e$

If b_n is an exponential, then look for a particular solution that is also an exponential: Try $h_n = pd^n$, if $b_n = d^n$.



If b_n is a... Before getting further, we will just mention that, here off case, there are two parts. One is first find the general solution for the homogenous part. There you have to solve the characteristic equation off case. We can easily find the characteristic equation. The difficult part is to get the solutions for the characteristic equations; which may not be

so easy, because we do not have techniques to solve any polynomial equations. For instance, if it is a higher degree polynomial, we may not be able to do it so easily. So, that is the difficulty in it in using this method. Somehow we can find the roots of the polynomial. So, we can get by the earlier methods some particular solution for this thing. We have given general solution for this – general solutions in the case of all distinct roots and also when the roots are repeating, we have given solutions for this thing.

But, the next part is to find the particular solution. Particular solution – how will you go about finding the particular solution? In the tower of hanoi case, what we did is; we just substituted; we saw whether a constant would work or not; will a sequence like k, k, k, k – I mean unchanging values – will it fit the given recurrence. Definitely, it need not fit in all cases. So, here we need... Sometimes we may need some different kind of substitutions also. Here there is a list – what kind of particular solutions we may try. For instance, if the b_n is indeed a constant; like now, previous example, it was 1 there. Then, we can try a constant like this if it may work.

If b_n is of the form $dn + e$ – some linear in n ; that d times n plus e ; then, we can use h_n equal to $r_n + s$. See not that always if we substitute this thing, this will always work; but, this is the thing to try. Then, similarly, if b_n is equal to f times n square plus d n plus e ; then, we can try h_n equal to r times n square plus s n plus t ; where r, s, t , etcetera are some constants; f, d, e – all of them are constants here. If b is an exponential; that means like b equal to d raise to n for some constant d ; then, what we do is try h_n equal to p times d raise to n for some other constant p . So, these are some guidelines for finding the particular solution. Now, you see once you get the particular solution, then see what happens is; you can sum up the general solution for the homogenous part and the particular solution and then solve for the constant, so that the initial conditions are met; that is what we should do.

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Solve $h_n = 3h_{n-1} - 4n, (n \geq 1), h_0 = 2.$

NPTEL

Now, we will get some experience by looking at some problems. For instance, look at this problem – h_n equal to 3 times h_{n-1} minus $4n$ for n greater than equal to 1; and, for h_0 , you have 2. Initial condition is h_0 is equal to 2. h_n equal to 3 times h_{n-1} minus $4n$. Here you can see that it is a non-homogenous recurrence relation, which is linear and of order 1. And, non-homogenous, because b_n is not 0 here; b_n equal to minus 4 and here.

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$h_n = 3h_{n-1} - 4n$ | $(h_0 = 2)$

① $h_n = 3h_{n-1}$

$x - 3 = 0 \Rightarrow x = 3$

$h_n = c \cdot 3^n$

Now, this is the recurrence solution – h_n is equal to 3 times h_{n-1} minus 4n. We have h_0 equal to 2 for our initial condition. The first step is find the general solution of the homogenous part. Homogenous part is h_n equal to 3 times h_{n-1} . Clearly, the characteristic equation here is x minus 3 equal to 0; which means x equal to 3. So, general solution for this one is h_n equal to some constant c times 3 to the power n . For this thing, you can substitute c times 3^n ; whichever is the constant – c times 3^n ; it will work; you can see that – c times 3^n minus you put here; c times 3^n is coming. And, that is exactly the value for (())

(Refer Slide Time: 20:31)

$$h_n = 3h_{n-1} - 4n$$

$$h_n = r^n + s$$

$$r^n + s = 3(r^{n-1} + s)$$

$$= 3r^n - 3r + 3s - 4n$$

The next step is to find a particular solution for this one – 3 into h_{n-1} minus 4n. Now, we are saying that, this is of the second type. Second type means we will go for the chart here (Refer Slide Time: 20:48) dn plus e . Then, rn plus s is what we should try. If this is minus 4n plus 0; this is of this form – second category. So, we will try h_n equal to rn plus s for the further particular solution. This is what we will try – h_n equal to rn plus s . Here this r and s – both are constants. See whether will work or not; that means you substitute for h_n . So, what we get is rn plus s equal to 3 times r into – here $n-1$ – plus s minus 4 into n . Now, we have to simplify. So, this is 3 rn or we get here 3 times rn minus 3r plus 3s minus 4n here.

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$$r_n + s = (3r-4)n + (3s-3r)$$
$$r = 3r-4 \Rightarrow 2r=4 \Rightarrow r=2$$
$$s = 3s-3r$$
$$2s = 3r = 6 \Rightarrow s=3$$

Now, we can collect the terms involving n together; that is, $3r$ minus 4 – this is equal to rn plus s is equal to $3r$ minus 4 into n plus $3s$ minus $3r$. This is what we say. Now, we can compare the coefficients of n . If we have the coefficient of n as r here; r has to equal to the coefficient of n here namely, $3r$ minus 4 ; which would imply that, $2r$ is equal to 4 is equal to... which will imply that r equal to 2 . Now, we can compare the constant terms. Here it is s ; s equal to $3s$ minus $3r$ is the constant term here. So, that would be $2s$ equal to $3r$; that is, 3 into 2 – 6 . What does it mean? s equal to 3 . We get r equal to 2 and s equal to 3 .

(Refer Slide Time: 23:26)

$$2n+3 \quad h_1=3 \quad h_0=2$$
$$h_n = 3h_{n-1} - 4n$$
$$2n+3 = 3(2(n-1)+3) - 4n$$
$$= 6n+3-4n = 2n+3$$

So, our particular solution is $2n$ plus 3 . So, we can try it out. See if we substitute $2n$ plus 3 in the recurrence relation h_n equal to 3 times h_{n-1} minus $4n$. It should indeed work, is what it says. It will work. If we want to try it, we can just check it – $2n$ plus 2 equal to – it will become 3 into 2 into n minus 1 plus 3 minus $4n$. So, here we have $6n$; here we have minus 6 plus 9 ; that is, plus 3 minus $4n$; which will make it $2n$ plus 3 s we want. This and this are same. I just verified this particular solution is indeed one.

But, only one problem here – if $2n$ plus 1 is the solution, this will not meet the initial condition. For instance, we wanted h_0 to be 2 . This is what we mentioned before – h_0 equal to 2 . h_0 equal to 2 is what we wanted. But, in this case, if we put n equal to 0 , what will happen is 2 into 0 plus 3 ; that will be 3 ; h_0 will be 3 here. But, we want h_0 equal to 2 . What we will do? This particular solution is indeed valid for the recurrence relation, but it is not satisfying the initial condition. And, we do not have any thing to tune, because there is no constant, which we can select according to our requirement.

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$$h_n = 2n + 3 + c \cdot 3^n \leftarrow$$

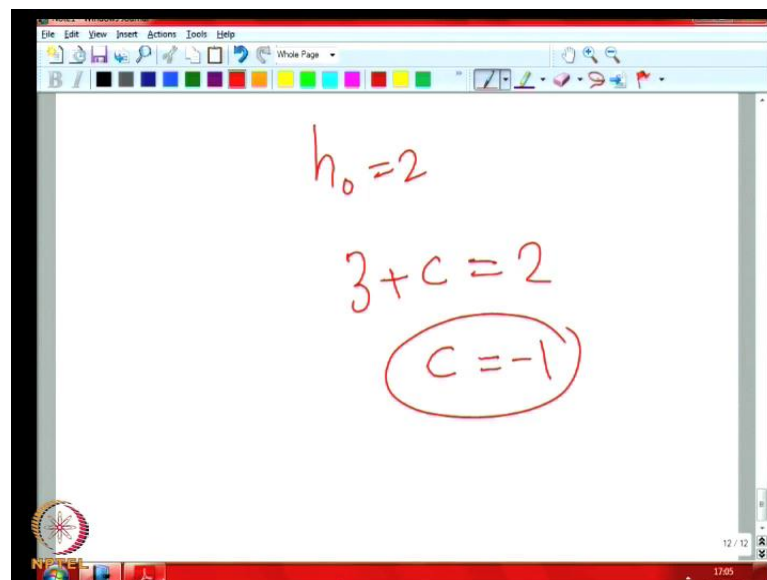
$$h_n = 3h_{n-1} - 4n$$

So, what we do is, we will combine the particular solution – $2n$ plus 3 with a general solution of the homogenous part; which was c times 3^n . This is indeed going to be a solution for your... If I take h_n is equal to this; this will indeed satisfy h_n equal to 3 into h_{n-1} minus $4n$. Why? Because you know this part is a solution for this thing. And, when I substitute this, the contribution due to this will be cancelled here. So, here and here the same contribution. And now, we only have to worry about, whether this will

balance both sides when I give this thing here and this thing is for instance, $2n$ plus 3 part is given to n equal to n minus 1 here. Substitute; will it satisfy it or not? That is all we have to worry. And, we have already seen that, this solution h_n equal to $2n$ plus 3 will satisfy this thing. Contribution from this thing is anyway balanced due to the fact that, this was the solution for this h_n equal to 3 into h_n minus 1 – the homogenous part.

Therefore, this is indeed a solution for this thing. What is good about this new solution? The good thing about this new solution is that, there is a c here. And, this c we can choose; it is irrespective of whichever c we put. This relation will be satisfied, because that does not depend on this c . But, on the other hand that, tuning this c , fixing the c at a correct value will help us to get our initial conditions satisfied.

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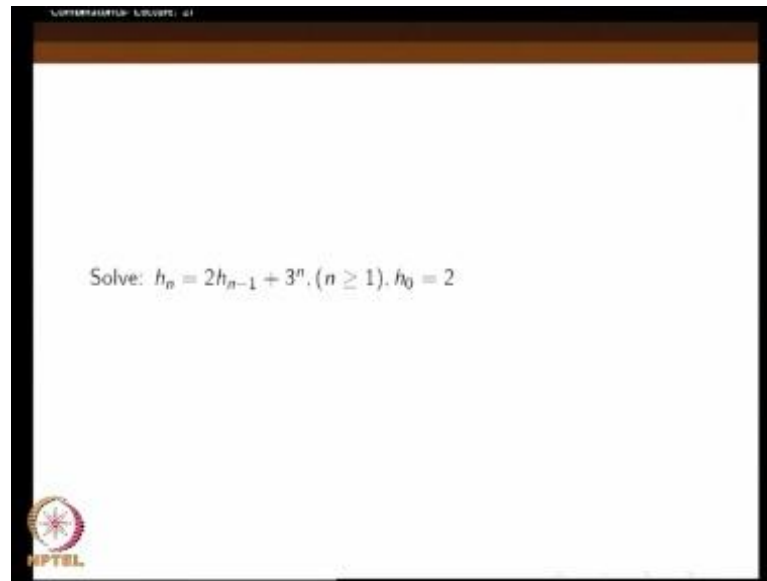
The image shows a whiteboard interface with a toolbar at the top. The whiteboard contains the following handwritten text in red ink:

$$h_0 = 2$$
$$3 + c = 2$$
$$c = -1$$

The equation $c = -1$ is circled in red. The whiteboard also shows a status bar at the bottom right with the text "12 / 12" and a small icon.

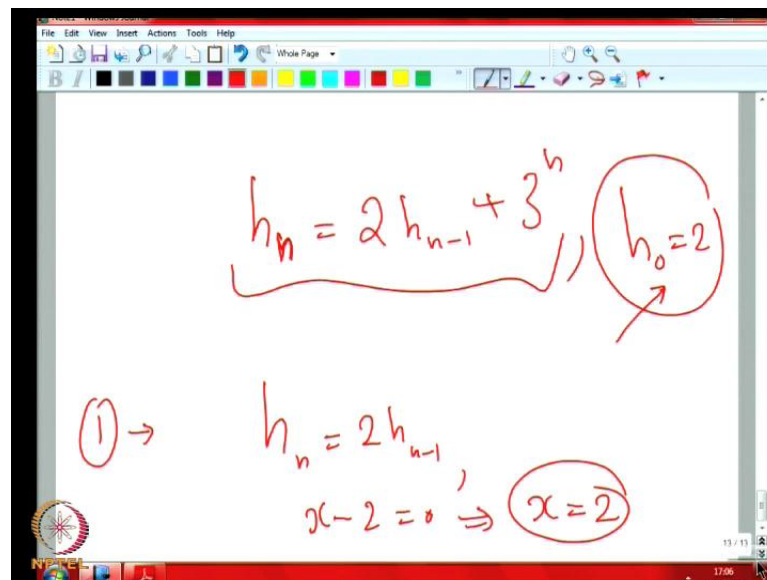
What is the initial condition? h_0 equal to 2. Now, you try putting (Refer Slide Time: 27:22) n equal to 0; we get... This will go away. We get 3 plus c ; 3 plus c equal to... that means 3 plus c has to be 2 is what we say. So, we can decide c to be minus 1. If you decide c to be minus 1, it will work; that means here we have to put minus $2n$ plus 3 minus $3n$; that is all. So, we could find the value of c , so that not only satisfy the recurrence relation; but, it also satisfy the initial condition. h_0 equal to 2 here. So, that is the idea. So, we can recall that, how we have gone through three steps and then how we got the solution (Refer Slide Time: 28:18) in the end by solving for the constants.

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Now, we will try one more example that, h_n equal to 2 into h_{n-1} plus 3 raise to n . This is a slightly different example to show that, this may not always easily work.

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h_n equal to 2 into h_{n-1} plus – what is that? 3 raise to n . Let us see what this is. n greater than equal to 1 ($(n \geq 1)$) h_0 equal to 2. We have h_0 equal to 2. This is the initial condition, because we can only... This is the first order relation. So, we have this recurrence relation working starting from n equal to 1 onwards; n equal to 0 – we have to give the initial value right. Now, to solve this thing, the first step – as

usual, separate out the homogenous part – h_n equal to 2 into h_{n-1} ; and, its characteristic equation – that is, x minus 2 equal to 0. The solution of the characteristic equation is x equal to 2.

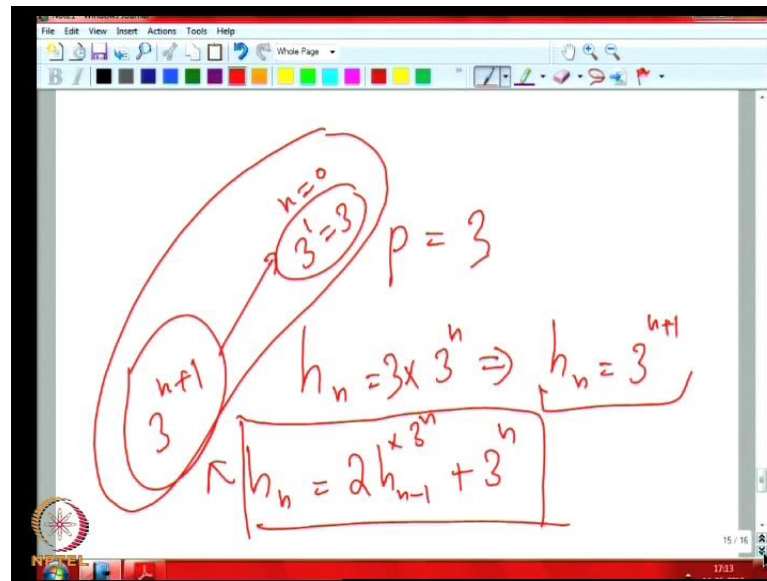
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The image shows a whiteboard with handwritten mathematical work. At the top, the homogeneous solution is given as $h_n = c \cdot 2^n$. Below this, the recurrence relation is written as $h_n = 2h_{n-1} + 3^n$. A particular solution is proposed as $h_n = p \cdot 3^n$, which is circled in red. To the right of this, the substitution process is shown: $p \cdot 3^n = 2p \cdot 3^{n-1} + 3^n$. The terms 3^n are cancelled from both sides, resulting in the equation $p \cdot 3 = 2p + 3$.

Now, if the solution of the characteristic equation is x equal to 2; then, we know that the general solution is h_n equal to c into 2 raise to n . This is for the homogenous part. Now, we have to find a particular solution for the entire non-homogenous equation namely, h_n equal to 2 into h_{n-1} plus 3 raise to n . How will you find the particular solution and for this thing? We look at the chart; when we look at the chart, we see (Refer Slide Time: 30:28) that, if b_n is an exponential – like b_n is equal to d to the power n form; then, we can try h_n equal to something like p into d raise to n . Here 3 raise to n .

So, we will try h_n equal to some p times 3 raise to n . This is what we will try; that means we substitute it – p into 3 raise to n equal to 2 into p into 3 raise to n minus 1 plus 3 raise to n . Now, we can cancel off this 3 raise to n minus 1 from all here; we get 3 here, 3 here; that means $3p$ equal to $6p + 1$. This is what we get. So, we put h_n equal to p into 3 raise to n . So, 2 into p into 3 raise to n minus 1 we put, because h_{n-1} only we consider; and, this is 3 raise to n . Off case, we can cancel off 3 raise to n from everywhere. But, (()) wheter I am (Refer Slide Time: 31:58) (()) this 2 only. So, we get...

(Refer Slide Time: 32:07)



From this thing, what do we get? $3p + 1 = 0$ is what we are getting. So, we get $p = -1/3$. Now, off case this (Refer Slide Time: 32:19) will... $-1/3$; what will be h_n then? $h_n = p \times 3^n$; that is, $-1/3 \times 3^n$; that is, -3^{n-1} . This will be the particular solution. If you want to check whether it is working; it is -3^{n-2} here. And then, -3^{n-1} . This is $2 \times 3^{n-1}$. This is $3 \times 3^{n-1}$; this is, $1 \times 3^{n-1}$ will stay here. And then (()) We wanted to find a particular solution; I put $h_n = 2h_{n-1} + 3^n$.

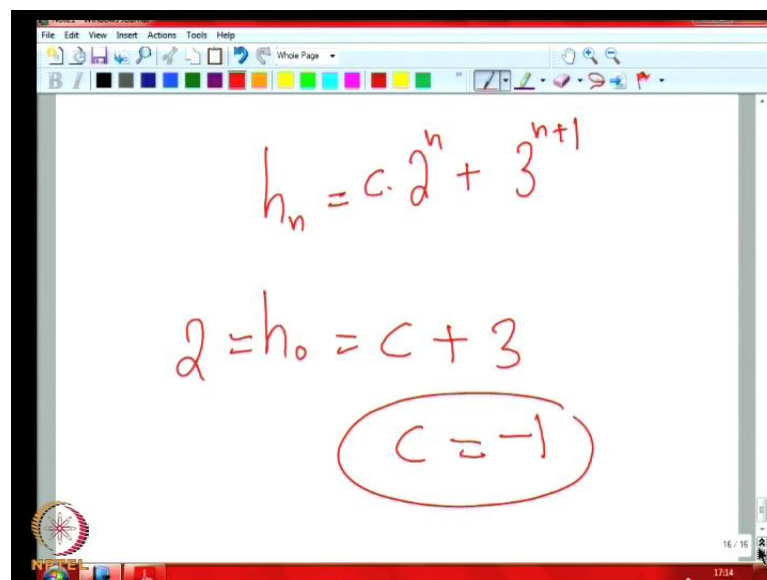
So, we get $p \times 3^n = 2p \times 3^{n-1} + 3^n$. Now... This is not 1; this is $3 - 3$ (Refer Slide Time: 34:06) because here I cancel 3^{n-1} ; here 3 is remaining; here $2p$ is remaining; this is $2p - 2p$ is remaining. And then, here it is $3p$. So, we can see $p = 3$. So, this was wrong. $p = 3$ is what is coming. So, $p = 3$. So, this will be $h_n = 3^{n+1}$.

Now, we will check whether it is working out on this thing that, $h_n = 2h_{n-1} + 3^n$, because this 3^{n+1} . When I substitute $h_n = 3^{n+1}$, h_{n-1} will be $3^n - 2$ into $3^{n+1} + 3^n$ is 3×3^n ; that is, 3^{n+1} . So, its working out. So, that is indeed a particular

solution for the given non-homogenous recurrence relation – this one. Now, we will combine this particular solution. See again not that this particular solution – it is working fine with the recurrence relation, but the initial condition will not be met, because the initial condition is again h_0 is equal to 2.

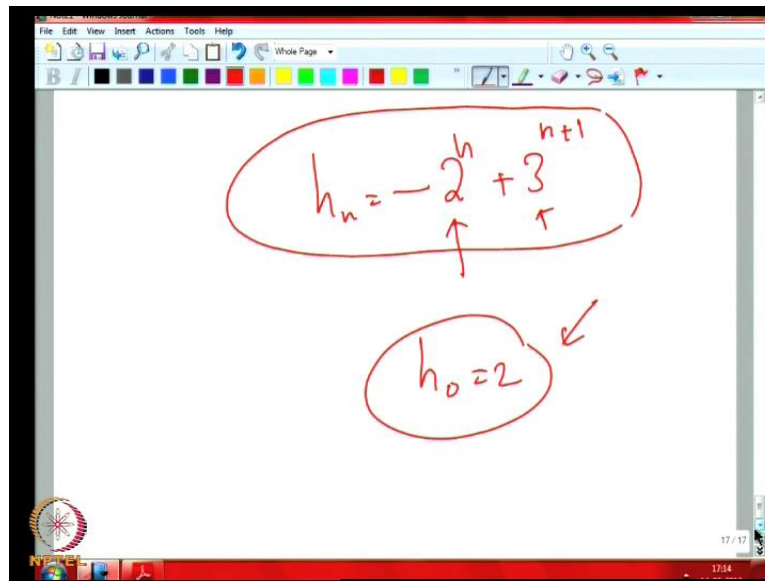
So, that is not met here, because we see 3 raise to n minus 1. If we put 0; that 3 raise to n plus 1 is... Put n equal to 0. That will only give 3 raise to 1 equal to 3; that is not 2. For n equal to 0, we want h_n to be 2; h_0 is equal 2. We are given that. But, if we take this particular solution alone, it is not true; it is actually 3. So, how will we find a solution, which will satisfy our initial condition also?

(Refer Slide Time: 36:20)


$$h_n = c \cdot 2^n + 3^{n+1}$$
$$2 = h_0 = c + 3$$
$$c = -1$$

What we do is we combine the general solution for the homogenous part namely, c times 3 raise to n – c (Refer Slide Time: 36:31) times 2 raise to n . This is the homogenous part of solution. c times 2 raise to n and our particular solution – 3 raise to n plus 1. And, try putting n equal to 0. You get h_0 equal to 2. So, putting n equal to 0, we get c plus 3 equal to 2; that is, c equal to minus 1.

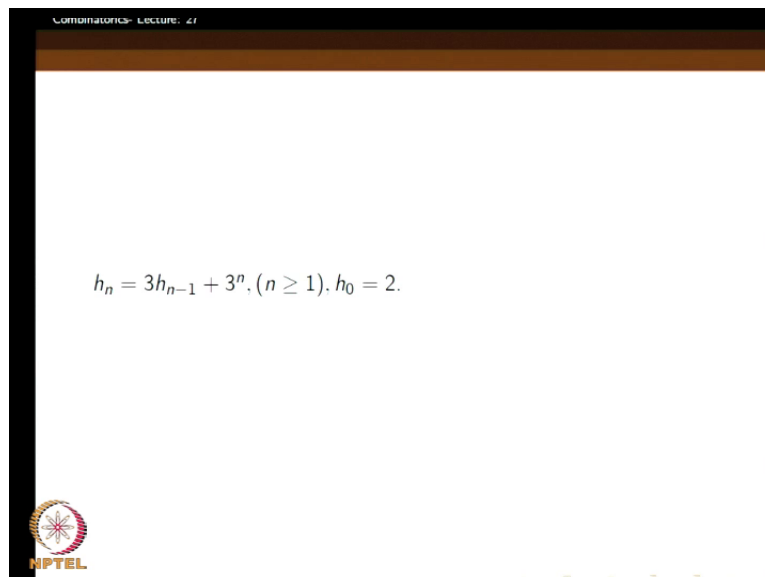
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A screenshot of a whiteboard interface showing handwritten mathematical equations. The top equation is $h_n = -2^h + 3^{n+1}$, where the exponent h is written above the 2 and $n+1$ is written above the 3. Red arrows point from the h and $n+1$ to their respective bases. Below this, the equation $h_0 = 2$ is written, with a red arrow pointing to it from the right. The whiteboard interface includes a menu bar (File, Edit, View, Insert, Actions, Tools, Help) and a toolbar with various drawing tools. A small logo is visible in the bottom left corner, and the text "17/17" is in the bottom right corner.

That means our solution will become h_n equal to minus 2 raise to n plus 3 raise to n plus 1. So, indeed this will satisfy the recurrence relation. Now, if you put n equal to 0, what you get is here 3. This is 3 and this is minus 1 ($(-1)^3 - 1$) is equal to 2. As we want, h_0 is indeed 2 here. So, it is satisfying the initial condition also. This was our last...

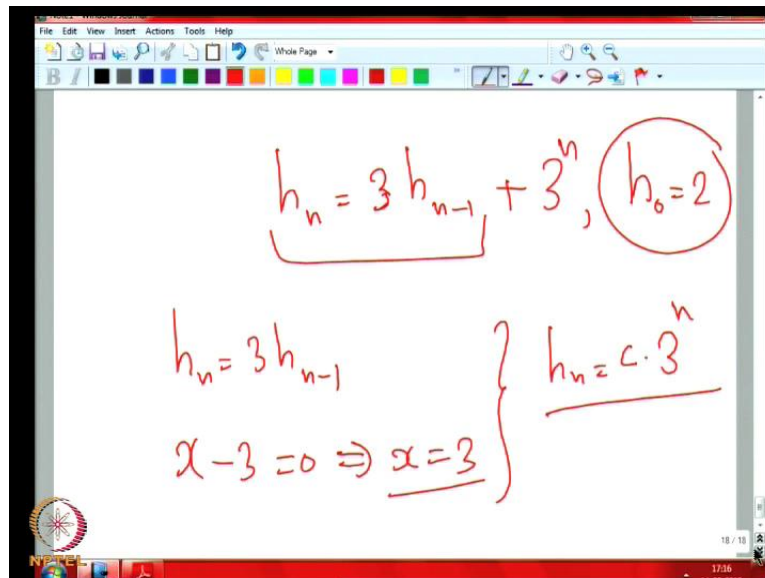
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A slide from a presentation titled "Combinatorics- Lecture: 21". The slide contains the recurrence relation $h_n = 3h_{n-1} + 3^n, (n \geq 1), h_0 = 2.$ in the center. In the bottom left corner, there is a logo for NPTEL (National Programme on Technology Enhanced Learning).

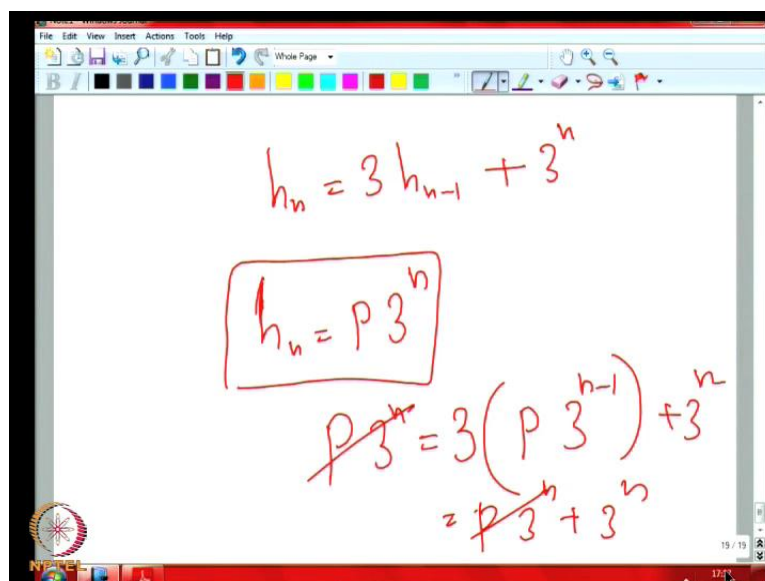
Now, we will look at the last example namely... So, it is a slight modification – h_{n-1} plus 3 raise to n is what we looked now.

(Refer Slide Time; 38:01)


$$h_n = 3h_{n-1} + 3^n, (h_0 = 2)$$
$$\left. \begin{array}{l} h_n = 3h_{n-1} \\ x - 3 = 0 \Rightarrow x = 3 \end{array} \right\} \underline{h_n = c \cdot 3^n}$$

We will look now h_n equal to 3 times h_{n-1} plus 3 raise to n . h_n equal to 3 times h_{n-1} plus 3 raise to n . So, this is only valid for n greater than and equal to 1. So, we need to give the initial condition h_0 . That is again 2. Now, particular solution... First, we take the homogenous part; that means h_n equal to 3 into h_{n-1} . We write the characteristic equation x minus 3 equal to 0. Solve it; that means x equal to 3 here. Now, we infer that, the general solution for this thing is h_n equal to c times 3 raise to n for any constant c . This is (()) solution.

(Refer Slide Time: 38:52)


$$h_n = 3h_{n-1} + 3^n$$
$$\boxed{h_n = p \cdot 3^n}$$
$$\cancel{p \cdot 3^n} = 3 \left(p \cdot 3^{n-1} \right) + 3^n$$
$$= \cancel{p \cdot 3^n} + 3^n$$

Now, we look for a particular solution for this h_n equal to 3 into h_{n-1} plus 3 raise to n . What we can do, we go back to the chart. If you recall the chart; chart would say because its (Refer Slide Time: 39:07) an exponential b_n equal to d raise to n . Then, try p times d raise to n . That is what it will say. So, h_n is equal to p times 3 raise to n . That is what it proposes to try.

But, we have tried it earlier for h_n equal to 2 into h_{n-1} plus 3 raise to n twice. Let us try it again. So, this is p times 3 raise to n is equal to 3 times p into 3 raise to $n-1$ plus 3 raise to n . Now, this is what. This is again... This is p into 3 raise to n plus 3 raise to n . Cancelling this, we get 3 raise to n equal to 0 . This is what; which is not true. When you cancel three raise to n everywhere, p equal to $p + 1$ is what we are getting.

(Refer Slide Time: 40:15)

p equal to $p + 1$ is what we are getting; which is absurd. So, we cannot get such a value of p ; that means (Refer Slide Time: 40:24) h_n equal to p times 3 raise to n . If you take h_n equal to p times 3 raise to n , there is not going to be a particular solution for this non-homogenous recurrence relation of that form. So, what we do which... We then change the pattern of this particular solution a little bit. We will try h_n equal to p times n into 3 raise to n . This is a slight change we give and then we again try that.

(Refer Slide Time: 40:57)

$$h_n = 3 h_{n-1} + 3^n$$

$$p n 3^n = 3 p(n-1) 3^{n-1} + 3^n$$

$$p n = p n - p + 1$$

$$p = 1 \leftarrow$$

Now, h_n equal to 3 times h_{n-1} plus 3 raise to n will become p into n into 3 raise to n equal to 3 into p into $n-1$ into 3 raise to $n-1$ plus 3 raise to n . Now, we can cancel off all the three raise to n 's here; that means $p n$ equal to $p n - p + 1$. This is what we get. So, $p n$ also goes away. So, we get p equal to 1. So, we get a solution for p now.

(Refer Slide Time: 41:54)

$$h_n = p n 3^n = n 3^n$$

$$h=0$$

$$h 3^n = 3(n-1) 3^{n-1} + 3^n$$

$$n 3^n = n 3^n - 3^n + 3^n$$

We get a particular solution h_n equal to p times n into 3 raise to n ; that is, p being 1 as n into 3 raise to n . This is the solution. We can try it out here (Refer Slide Time: 42:07).

We will see that it is correct, because this is n into 3 raise to n . This is 3 into n minus 1 into 3 raise to n minus 1 ; n into 3 raise to n equal to 3 into n minus 1 into 3 raise to n minus 1 plus 3 raise to n . So, this is n into 3 raise to n here minus 3 raise to n plus 3 raise to n . So, here n equal to 3 raise to n . This is true. This is indeed correct. So, n into 3 raise to n indeed a particular solution. Again as we were always seeing, if you try whether the initial condition is met; it is not met, because if you put n equal to 0 , this will become 0 . So, n into 3 raise to n is indeed 0 . But, we want h_0 equal to 2 . So, how will it happen now?

(Refer Slide Time: 43:21)

Handwritten work on a whiteboard:

$$c3^n + n3^n$$

When $n=0$, $c=2$

$$23^n + n3^n$$

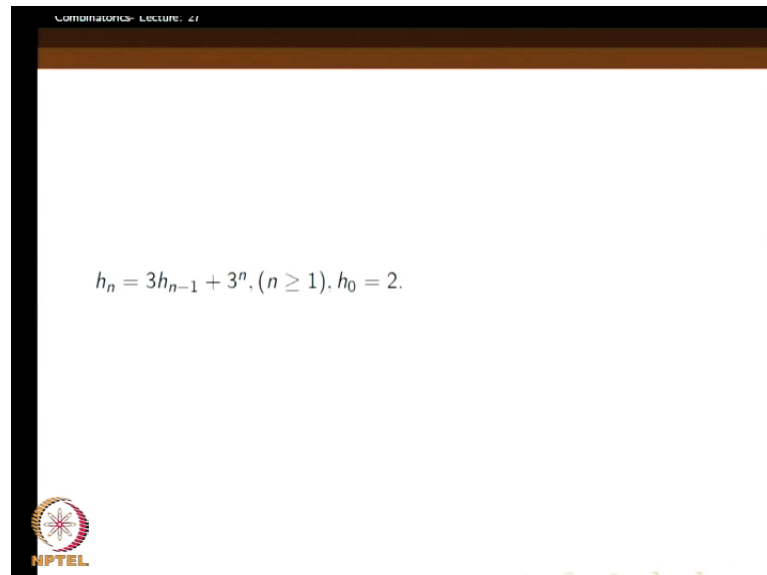
$$z=(n+2)3^n$$

What we do is we combine the general solution equation c into 3 raise to n . This general solution was for the homogenous part alone – h_n equal to 3 times h_{n-1} . This is combined with the particular solution that we obtained for the non-homogenous recurrence relation – the full recurrence relation namely, n into 3 raise to n . Now, we want... When... We will check when n equal to 0 , what happens. When n equal to 0 , this will be c ; this will be simply be c , because this will go away; this is c . And, this has to be equal to 2 .

Therefore, we select c equal to 2 and substitute here. So, 2 into 3 raise to n plus n into 3 raise to n ; that is, n plus 2 into 3 raise to n is indeed a solution for the given recurrence relation, which satisfies the initial condition also. That is what we get, because if we put

n equal to 0, we will get 2 here; indeed by our construction of the solution we know that satisfies the recurrence relation.

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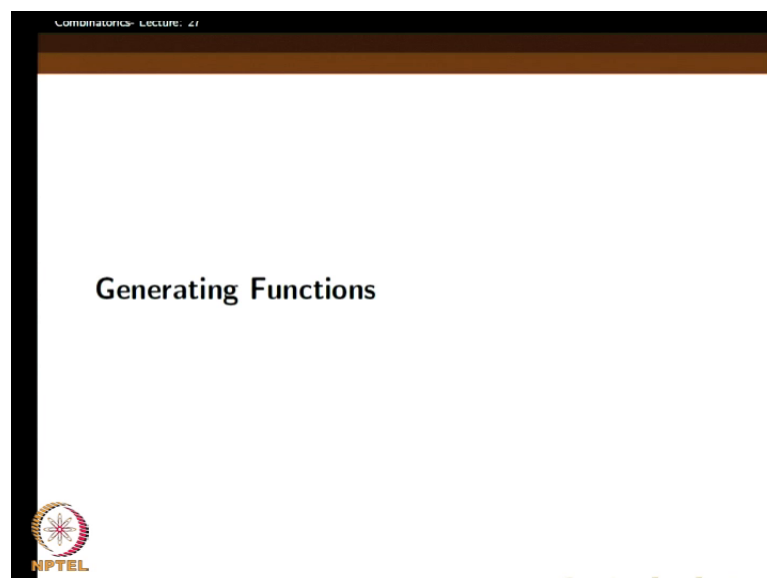
COMBINATORICS- LECTURE: 21

$$h_n = 3h_{n-1} + 3^n, (n \geq 1), h_0 = 2.$$

NPTEL

Now, we are going back to the... This is our last example. Off case, this whatever we have done are some examples. But, it illustrates say general strategy to attack this kind of kind of problems. But, success is not guaranteed always. Sometimes it may feel; in which case, you may have to do some slight adjustments and go forward or sometimes it may not workout. So, I have taken some examples to illustrate all those points.

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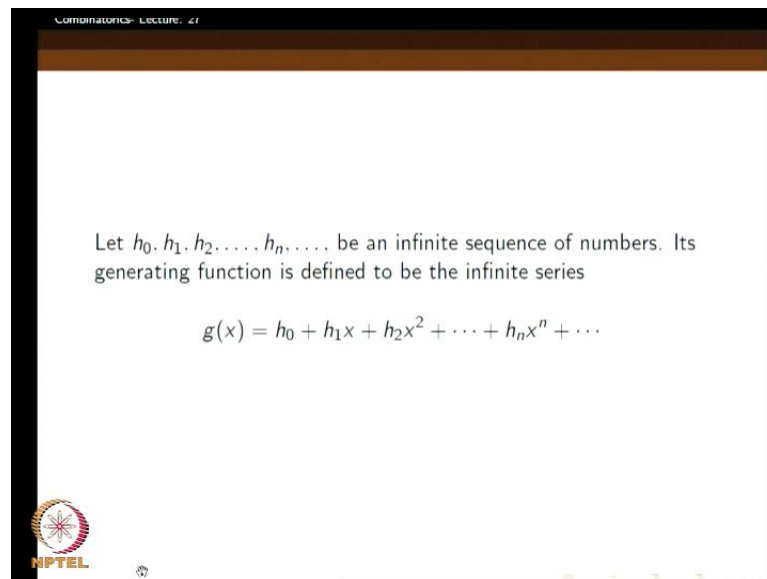
COMBINATORICS- LECTURE: 21

Generating Functions

NPTEL

Now, what we do is we will look at the next topic namely, generating functions.

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COMBINATORICS- Lecture: 41

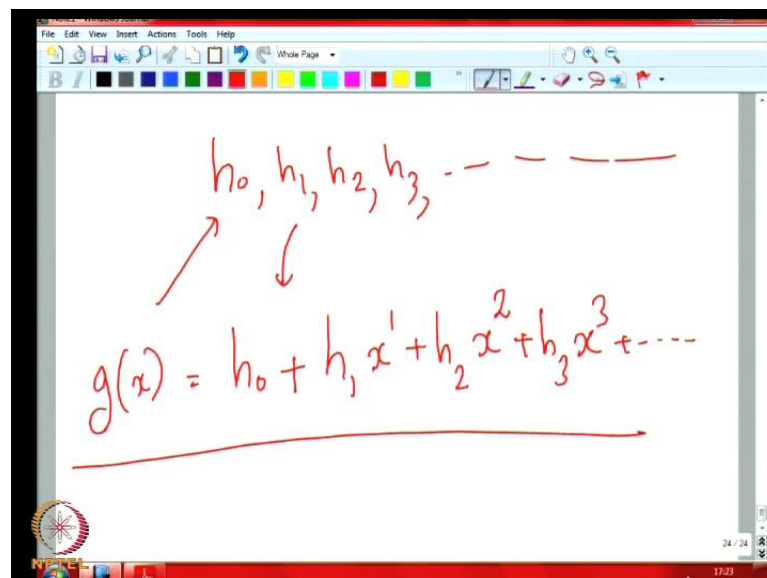
Let $h_0, h_1, h_2, \dots, h_n, \dots$ be an infinite sequence of numbers. Its generating function is defined to be the infinite series

$$g(x) = h_0 + h_1x + h_2x^2 + \dots + h_nx^n + \dots$$

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What are these generating functions?

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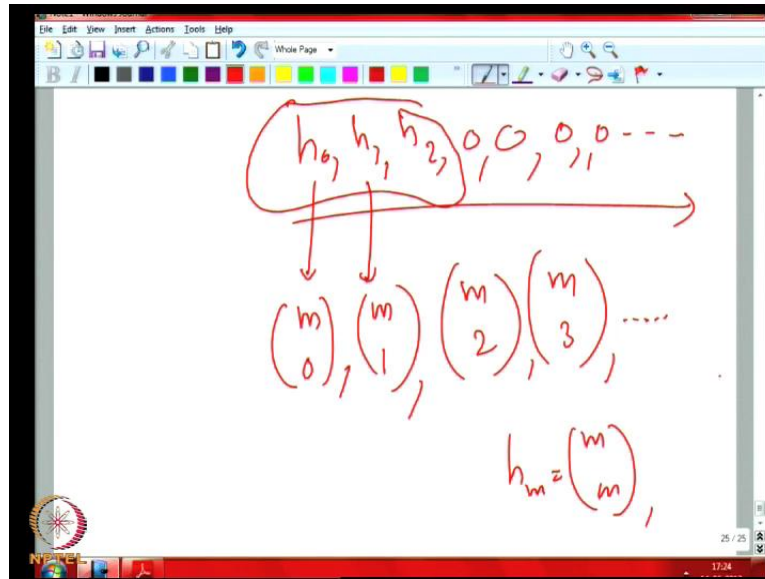
$h_0, h_1, h_2, h_3, \dots$

$g(x) = h_0 + h_1x + h_2x^2 + h_3x^3 + \dots$

NPTEL

Consider a sequence h_0, h_1, h_2, h_3 like this. Now, the generating function corresponding to this sequence is given by this power series h_0 plus h_1 raise to x plus h_2 into x raise to 2 plus h_3 into x cube plus so on. This is the power series. Let us say you write it as g of x . This g of x is the generating function of this sequence h_n .

(Refer Slide Time: 46:31)



Now, it is not always necessary that we should have all these h_i 's to be an infinite sequence. Suppose in some cases it may be just h_0, h_1, h_2 . And, after sometime, all of them may become 0. So, this is indeed an infinite sequence in that sense; otherwise, only the relevant nonzero terms come only in the first m terms say finite number of terms. That is also possible.

We will look at some examples. We will start with the most familiar example namely, let h_0 equal to m choose 0; let h_1 equal to m choose 1 – second number; third number be m choose 2; and, fourth number be m choose 3 and so on. So, h_m equal to m choose m ; and, h_{m+1} onwards – it is all 0. Now, h_{m+1} onwards – that is 0, 0, 0, 0. So, this is a sequence. What is the generating function of it?

(Refer Slide Time: 47:38)

$$\binom{m}{0} + \binom{m}{1}x^1 + \binom{m}{2}x^2 + \binom{m}{3}x^3 + \dots + \binom{m}{m}x^m$$

↑ h_0 ↑ h_1 ↑ h_2 ↑ h_3 ↑ h_m

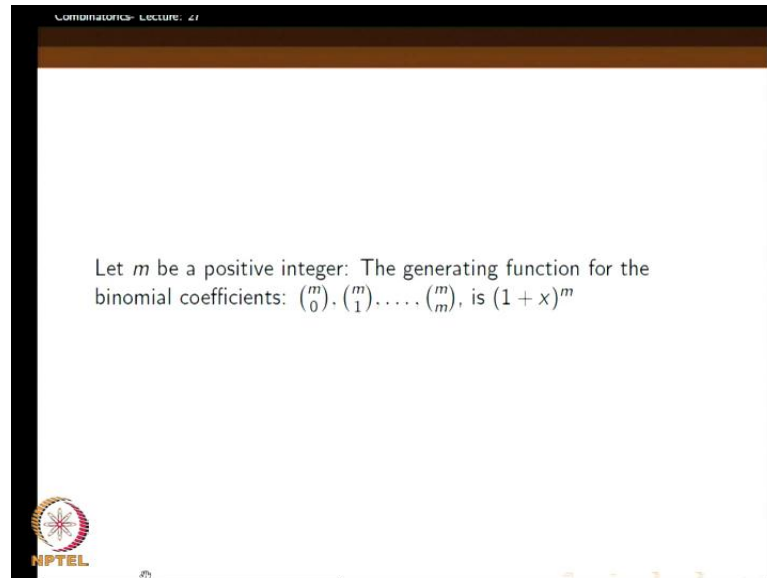
The generating function of this is given by m choose 0 plus – because we are writing this as h_0 off case – m choose 1 into x raise to 1 plus m choose 2 into x square plus m choose 3 into x cube plus like that until you reach m choose m into x raise to m . So, the rest of the terms are all zeros. So, we can discard them. So, this corresponds to h_0 ; this is h_1 ; this is h_2 and until... This is h_m .

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$$g(x) = (1+x)^m$$
$$\binom{m}{0}, \binom{m}{1}, \dots, \binom{m}{m}$$

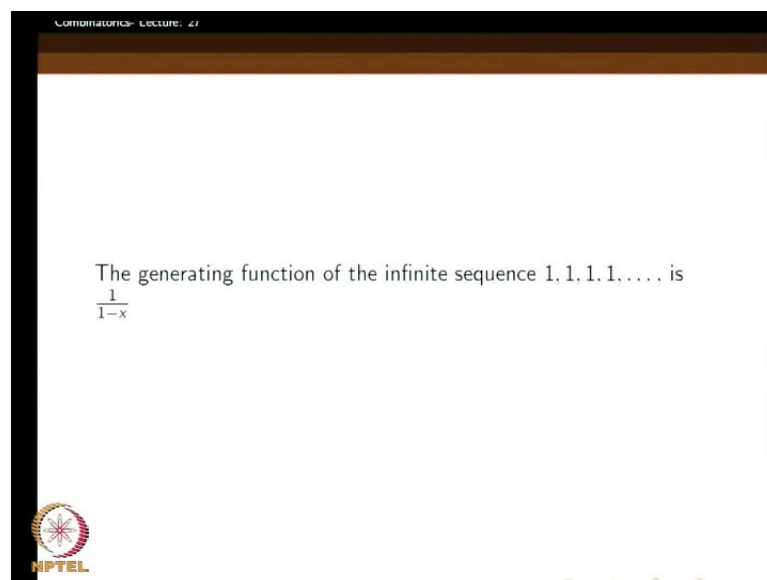
Now, what is this? This we know already; that is.. This is 1 plus x whole power m. So, the generating function g of x for the given sequence m choose 0, m choose 1 to m choose m is 1 plus x raise to m .

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Now, that is probably the most familiar generating function for us.

(Refer Slide Time: 48:48)



Now, we will look at some infinite series.

(Refer Slide Time: 48:56)

$h_0 \ h_1 \ h_2 \ h_3 \ \dots$
 $1, \ 1, \ 1, \ 1, \ \dots$
 \downarrow
 $1 + x + x^2 + x^3 + \dots$
 $= \frac{1}{1-x} = g(x)$

This is 1 plus 1 plus... So, this is infinite series. This is h_0, h_1, h_2, h_3 like that. So, 1, 1, 1, 1, 1, 1. So, the generating function is 1 plus x plus x^2 plus x^3 plus this infinite series. So, this is equal to $1 / (1 - x)$. So, this is the generating function for it.

(Refer Slide Time: 49:39)

$1, \ 1, \ 1, \ 1, \ \dots, \ 1, \ 0, \ 0, \ 0$
 $h_0 \ h_1 \ h_2 \ \dots \ h_n$
 \downarrow
 $1 + x + \dots + x^n = \frac{1 - x^{n+1}}{1 - x}$
 $(1 - x)(1 + x + \dots + x^n) = 1 - x^{n+1}$
 $(1 + \dots + x^n) = \frac{1 - x^{n+1}}{1 - x}$

If you want to convince yourself... You can recall that... Suppose if 1, 1, 1, 1 – suppose there are n terms – n 1's and later all are zeros. Then, what will be the corresponding generating function? It will be like this – $1 + x + x^2 + \dots + x^n$. n terms means

including 0 upto n plus 1-th term; that means this corresponds to h 0; this is h 1; this is h 2... So, we are writing upto h n. So, we have 1 plus x plus up to h n. So, this we know is 1 minus x raise to n plus 1 by 1 minus x. For instance, you can try multiplying 1 minus x into 1 plus x plus x raise to n. This will be indeed 1 minus x raise to n plus 1, because when you multiply by 1, you will get this. And, when you multiply by minus x, you will get minus x here, minus x square from here. And finally, minus x raise to n plus 1.

So, all the terms except the first one and the last series, n will be... For instance, if you want to see this, it is like x raise to... When I multiply by 1, here this (()) will come – x raise to n minus... When I multiply by x, we will get x plus x square plus x raise to n plus x raise to n plus 1 will come. So, these terms will go away. So, we get 1 from here; minus x raise to n plus 1 here. This is what we get.

So, that is why this sequence has n rating function – 1 minus x raise to n plus 1 by 1 minus x. Now, we can see that, the same technique will tell us... For instance, if this was infinite sequence, it is not ending at x raise to n; it is 1 plus x plus x square plus x cube plus... The same argument would tell us that, that is equal to 1, because there is no... In the end, what happens is this x raise to n plus 1 – that is tending to 0.

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$$(1-x)(1+x+x^2+\dots) = 1$$

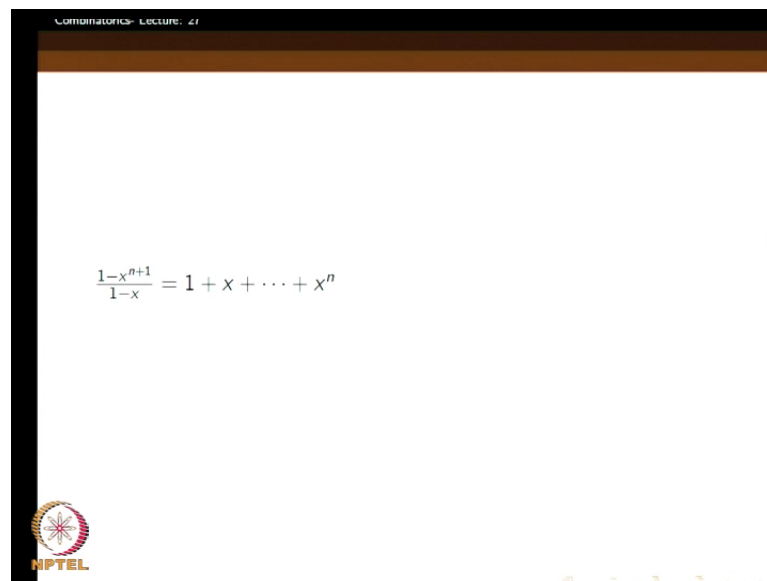
$$1+x+\dots = \frac{1}{1-x}$$

$$|x| < 1$$

So, we can say that, 1 minus x into 1 plus x plus x square plus is equal to 1; that means here 1 plus x plus – this sequence is equal to 1 by 1 minus x. Only thing we have to worry about is... When we argue this thing, we have to worry about whether this

converges or not. See it will converge when x is less than 1. In all of our discussions, we will not worry too much about this part – convergence, because we will be worried more about the coefficients of the powers of x , which is coming here and we will not be discussing the issue of convergence all the time. Therefore... And, we will be dealing with familiar forms, where... Therefore, some ranges – it indeed some converges; which range converges will not be relevant to our discussion. We will not be trying to sum up the series anyway.

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Combinatorics- Lecture: 47

$$\frac{1-x^{n+1}}{1-x} = 1 + x + \dots + x^n$$

NPTEL

Now, the next one. So, we will... Now that we have seen this one;

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Combinatorics- Lecture: 47

$$\frac{x}{(1-x)^2} = 0 + x + 2x^2 + 3x^3 + \dots$$

NPTEL

So, we cannot also cook up some other sequences.

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$\frac{1}{1-x} = 1 + x + x^2 + \dots$

$g(x) = \frac{1}{1-x}$

1, 1, 1, 1, 1, ...
has
1, 2, 3, ...

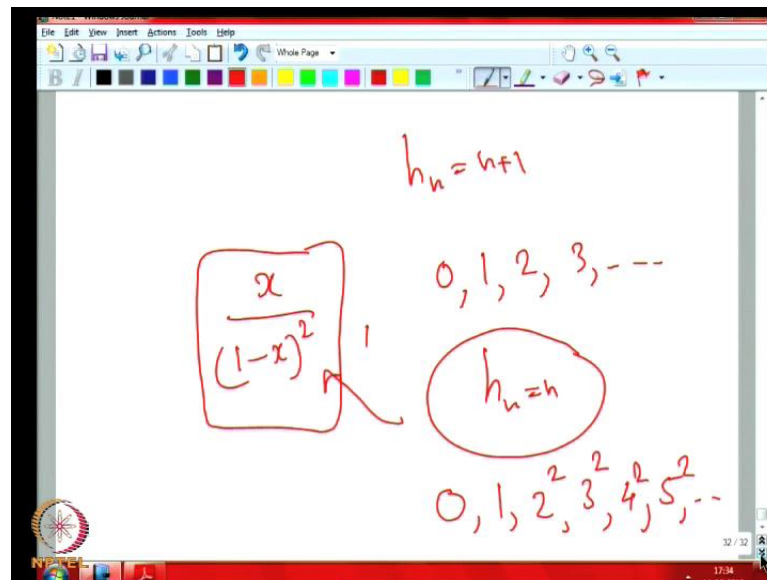
$\frac{x}{(1-x)^2} = 0 + x + 2x^2 + 3x^3 + \dots$

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See from this thing – 1 by 1 minus x – this is 1 plus x plus x square plus this thing; that is, this g of x equal to 1 by 1 minus x is the generating function of the sequence 1, 1, 1, 1, 1, etcetera. Now, what we can see is that, this part – if you differentiate, we will get 0 plus 1 plus 2x plus 3x square plus and so on. So, if you differentiate this portion, we will get minus 1 by x whole square, because this is minus 1 by x square and there is a minus x here. Therefore, it is plus 1 by x square. But, then here we have 0. That we can anyway

remove -1 plus $2x$ plus $3x$ square. The only thing is here 2 is the coefficient of x ; that either we can say this is the generating function of the sequence $1, 2, 3$ and so on. So, h_0 is equal to 1 ; h_1 equal to 2 ; and, h_2 is equal to 3 and so on.

(Refer Slide Time: 55:17)



That means the sequence h_n equal to $n + 1$. That is what it is. So, this is the generating function 1 by $1 - x$ whole square, is such a sequence. Or, what we can do is, we can multiply here this side by an x ; this side by an x . So, x into $1 - x$ whole square. That will introduce an x everywhere here like this; that means this exponent, which is coming x to the power what?

That will be the same as the coefficient in that term. Therefore... Just that we are starting with x ; not with a constant term. Therefore, we can put the constant term as 0 . The first term is $0 - 0$ plus x plus $2x$ square plus $3x$ cube and so on. So, we see that, x by $1 - x$ whole square is the generating function of the sequence $0, 1, 2, 3$ and so on; that is, h_n equal to n . Before getting into the one, what we can mention is... If you want to generate a... So, it is a good exercise to try to find the generating function for $0, 1, 2$ square, 3 square – this sequence – 4 square, 5 square and so on.

(Refer Slide Time: 57:10)

$$g(x) = \frac{x}{(1-x)^2} = x + 2x^2 + 3x^3 + \dots$$

$$x \frac{d}{dx} (g(x)) = 1 + 2x + 3x^2 + \dots$$

$$= x + 2x^2 + 3x^3 + \dots$$

How do we do that? Because we know that x by 1 minus x whole square is the generating function for the sequence 0 plus 0 , 1 , 2 and so on; that is x plus $2x$ square plus $3x$ cube plus... This is the sequence that is representing – This one. So, you can see that, if you differentiate it further – d by dx of this one – this $g(x)$ if I take; this being $g(x)$. Then, what will happen here is – this will give 1 ; this will be 2 square x plus 3 square x square and so on.

So, we see the terms, which we want here. But, just that 2 square is the coefficient of x , what we can do is we can multiply by what? x here. So, this will be x ... So, if you multiply by x here; then, we will get x plus 2 square x square plus 3 square x cube and so on here. Now, this function will be the generating function of this; that means this is the generating function corresponding to 0 , 1 , 2 square, 3 square etcetera. And, if you consider this function; that will correspond to that thing. We will discuss in the next class.