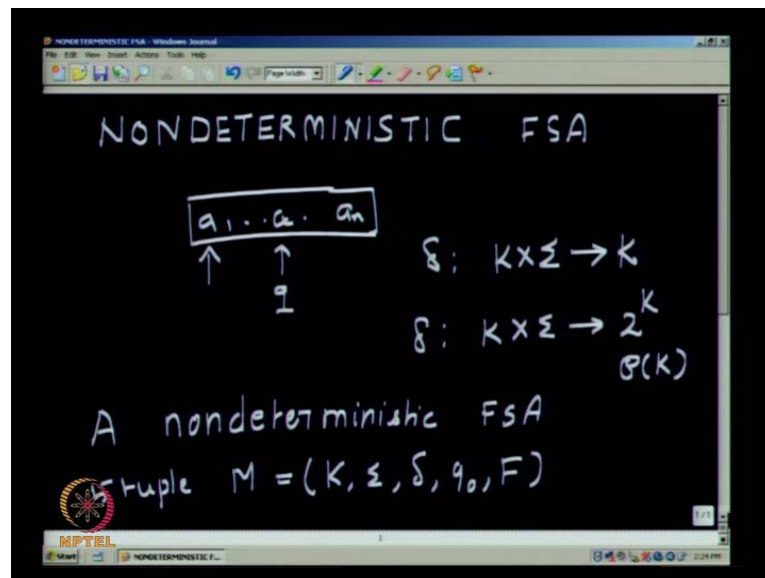


Theory of Computation
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Lecture No. # 09
Nondeterministic FSA

So, in the last lecture we considered deterministic finite state automaton. A deterministic finite state automaton when you have a tape like this.

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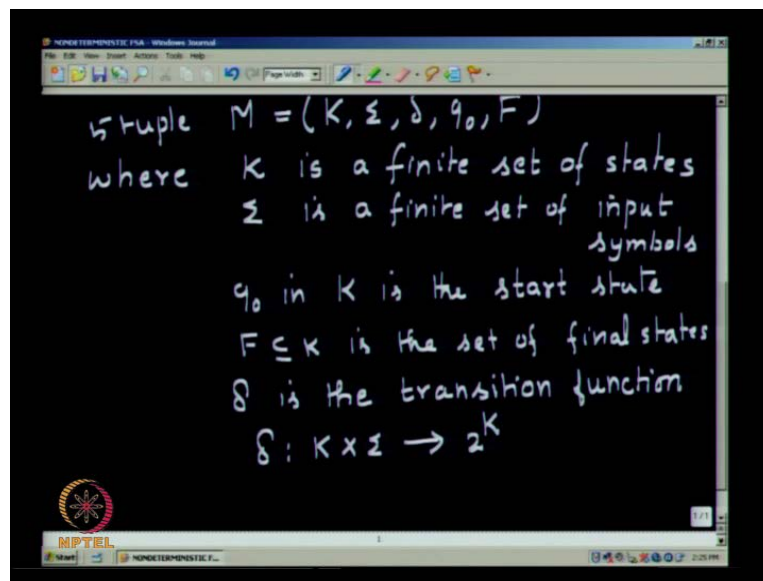


The input is kept on the input tape and it starts reading the left most symbol in the initial state and in any instance, if you have a symbol a being read in state say q , depending on q and a the next move is uniquely determined. So, the delta mapping which is the transition function is from K into Σ into K . In a nondeterministic automaton when you have a state and a symbol you have a finite number of choices for the next move, it can move into say q_1, q_2, q_3 a finite number of choices. So, the mapping for a nondeterministic automaton is from K into Σ into 2^K or power set of K subsets of K . So, this is the definition of nondeterministic of automaton.

If you want to realize a finite state automaton it can be realized as a synchronous

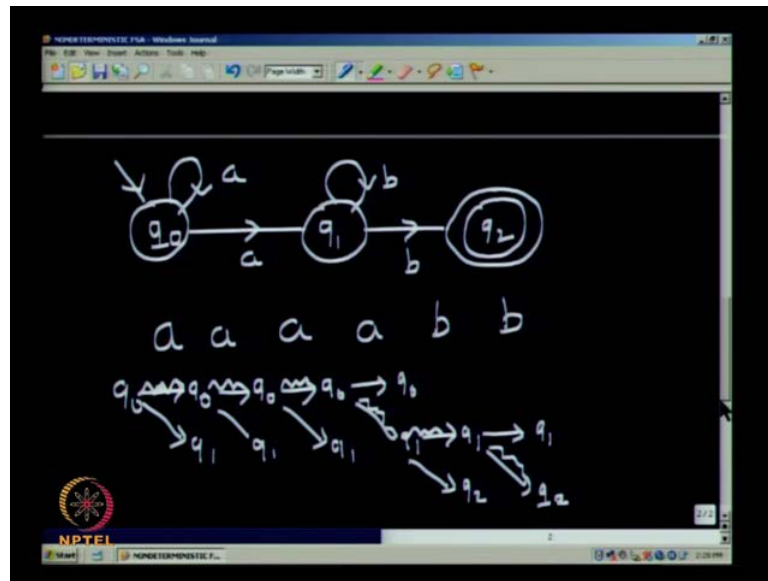
sequential circuit, deterministic automaton can be realized as synchronous sequential circuits. Whereas, nondeterministic automaton is a conceptual thing, because you are going to try out all possibilities in a simultaneous manner so it is an abstract machine. So, coming to the definition of nondeterministic automaton it is defined like this. A nondeterministic (No Audio From: 02:25 to 02:33) F S A it is a 5 tuple M is equal to K sigma delta q naught F (No Audio From: 02:55 to 03:05).

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K as usual is a finite set of states, Σ is a finite set of input symbols, q_0 in K is the start state, F contain in K is the set of final states and δ is the transition function (No Audio From: 04:25 to 04:39) δ is a mapping from K into Σ into the subsets of K . Now, we have to define what is the language accepted and how transitions can be represented.

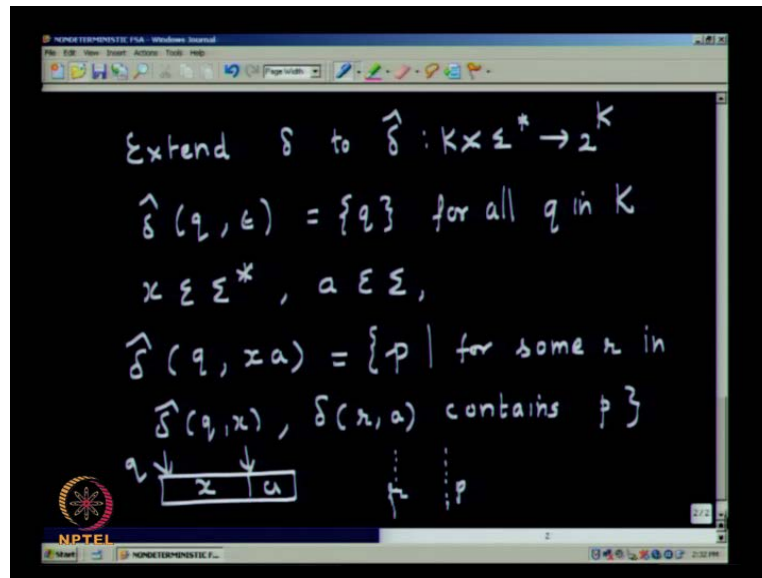
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Now, let before going in to the let us take, take an example (No Audio From: 05:06 to 05:13). Look at this state diagram you have three states q_0 , q_1 and q_2 , q_0 is the initial state and q_2 is the final state the transitions are given by this diagram. The language accepted will be a string of a's followed by a string of b's so, you have a string of a's followed by a string of b's, such a string will be accepted. And this is a nondeterministic diagram, because in state q_0 if you get a, you have a two possibilities either you can go to q_0 or you can go to q_1 , in q_1 if you get a b either you can go to q_1 or you can go to q_2 . So, this is basically nondeterministic state diagram of a machine you can draw the state table also.

So, let us see how a string say a a a a b b can be accepted, starting from q_0 you have two possibilities q_0 and q_1 , from q_1 you cannot proceed further so q_0 and q_1 , q_0 and q_1 possibilities are q_0 and q_1 . Now, in q_0 you cannot get a b so, from q_1 if you get a b, you can go to q_1 or q_2 , q_1 or q_2 . So, after reading the whole string you can be in q_1 or q_2 , if one of them is a final state you accept the string. So, the sequence of states which lead you to acceptance is this, this the sequence which leads you to acceptance (No Audio From: 07:16 to 07: 25).

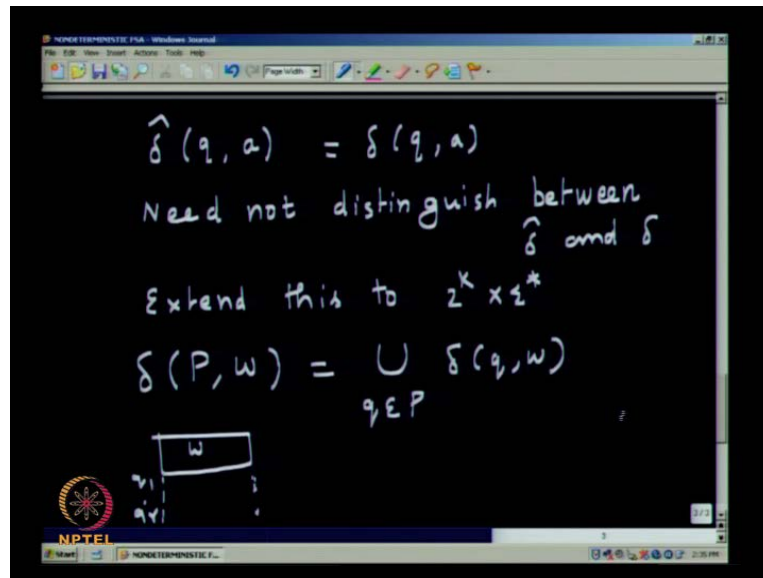
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Now, let us define formally, the accepting how we define acceptance extend delta to delta cap, delta cap is defined from K into Σ^* into 2^K . Instead of K into Σ , we tried to extend it to K into Σ^* , how do we define this? Delta cap q epsilon is equal to q for all q in K , what does that mean? If you are in a state q and you are not reading any symbol we are continue continuing in the same state. If you are in q and you are not reading any symbol, you are remain in the same state that is what it means. Then for a string x belonging to Σ^* and a symbol a belonging to Σ , what does delta cap q comma x a mean, this is a set. This set of states starting from q after reading x a what are the possible states in which the machine can be, this is what is denoted by delta cap x a.

How is this defined? This is a set of states P such that for some state r in delta cap q x , delta of r a contains p , what it really means is, starting from q after reading x you may be in a set of states one of them can be r , then from r after reading a there may a some possibilities one of them is p . So, it denotes the set of states p such that after reading x from q you are in a state r and after reading a from r you can go to p , all such possibility possible states are in this set. So, this is the way delta cap is defined (No Audio From: 10:52 to 11:02).

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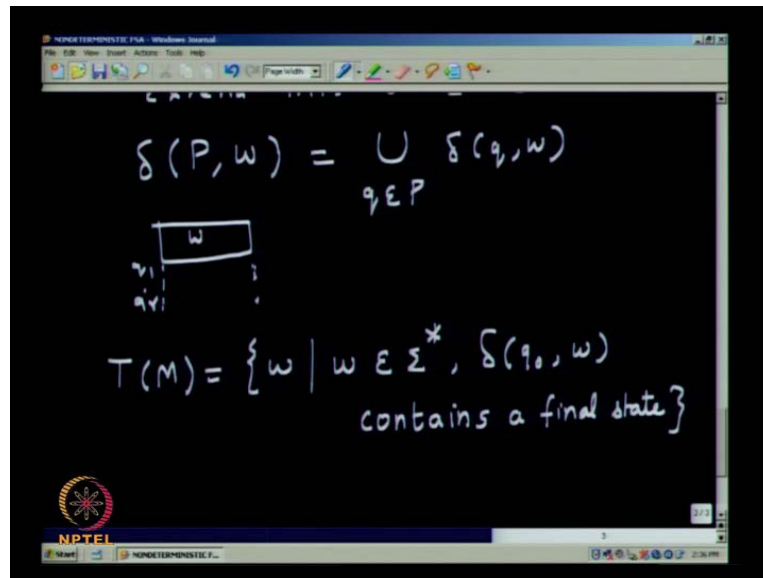


Now, what can you say about delta cap q of a for a symbol, this is the same as delta of q of a, that is starting from q after reading a, what are the symbols; what are the states we can go to, by the same whether you use delta cap or delta. So, in this case also you need not distinguish between while writing between delta cap and delta, does not matter. Whereas, next one more thing we will see in that you have to distinguish between delta and delta cap. Now, you can extend this to 2^k into sigma star map this, that is, we can say that delta of p comma a, a is a single symbol, p is a set of a's. We can since, we need not distinguish delta between delta and delta cap, otherwise put it as.

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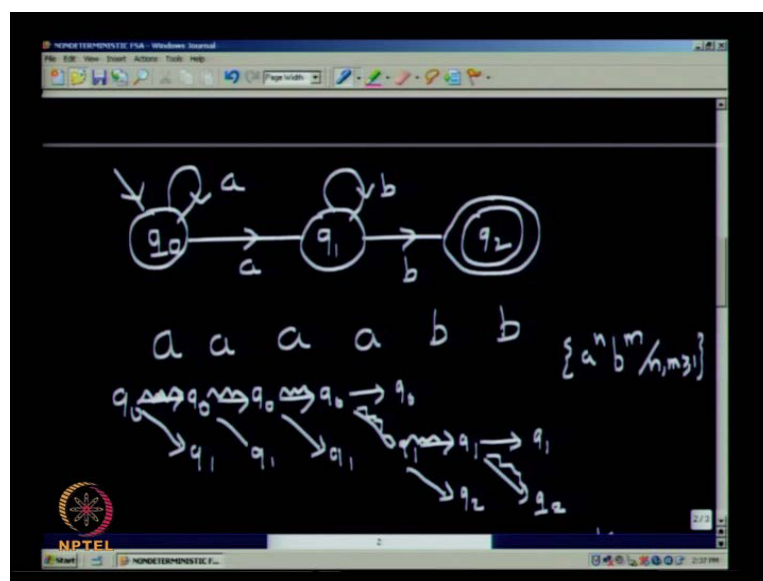
P W, where p is a set of states is union of q belongs to p delta of q w, when you want to extend it to a set of states, that is, from a set of states p after reading w, what can be the set of states in which you can be in, take each one of them and find out and find the unit that is what it means. In a sense, if you have some w like this, initially we can start with say some $q_1, q_2, q_3, \dots, q_r$, after reading w what can be the set of states, from q_1 find out the possibilities, from q_2 find out the possibilities, from q_3 find out the possibilities and so on, the union is denoted like this.

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Now, what is a language accepted? The language accepted is denoted by T of M , where M is the machine it consists of the set of strings w belongs to Σ^* and starting from q_0 $\delta(q_0, w)$ denotes the possible states in which you can be in after reading w starting from q_0 ; $\delta(q_0, w)$ contains a final state, this denotes a set of state at least one of them should be a final state in that case w will be accepted. If $\delta(q_0, w)$ has one final state at least, then w will be accepted the language accepted contains such strings. So, going back to this one.

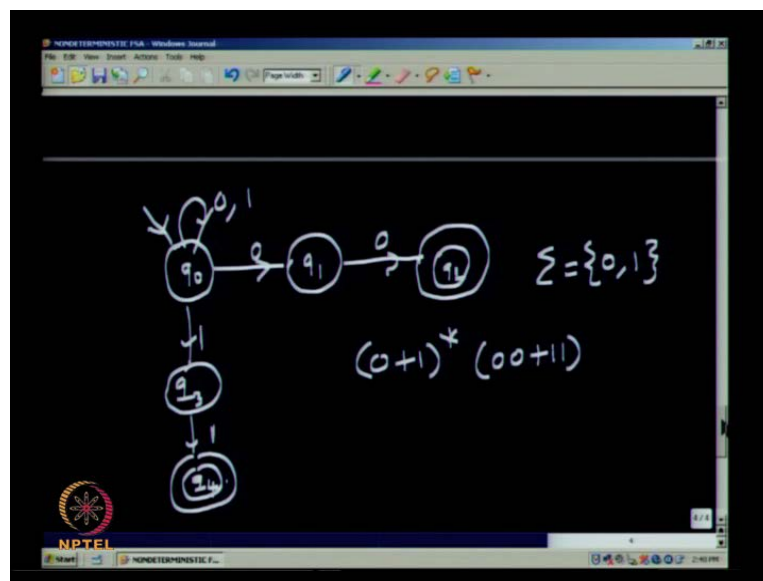
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You see that starting from q_0 after reading a you can be in q_0 or q_1 after reading two a's we can be in q_0 or q_1 and so on. So, these strings cannot be accepted after reading a a a, b you can be q_1 or q_2 , q_2 is a final state so a a a, b can be accepted, a a a b, b also will be accepted, because one of them is final state. So, the language accepted here will contain strings of the form $a^n b^m$ n, m greater than or equal to 1 (No Audio From: 16:17 to 16:29).

Let us consider one or two more examples and then see whether the power is really increased. Can a nondeterministic F S A accept something which is not acceptable by a deterministic F S A that is not true. Whatever you can accept by a nondeterministic F S A you can also accept by a deterministic F S A the set accepted is called the regular set. So, the power of nondeterministic F S A is the same as the power of a deterministic F S A and how do you get this? You want to simulate a nondeterministic F S A with the deterministic F S A, the proof consists of that. Before that we will consider one or two more examples.

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So, look at this nondeterministic diagram (No Audio From: 17:24 to 17:38) q_2 (No Audio From: 17:40 to 17:51) q_3 q_4 and the transitions are like this 0 1 0 0 1 1. So, what sort of strings will be accepted? The alphabet here, **the alphabet here** is 0 comma 1, what sort of language will be accepted? You can have any zeros or one's you can read any zero or one, but it should end up with two zeros or it should end up with two one's the

language accepted will consist of such strings. In the sigma star notation this will be 0^*1^* plus 1 star 0 0 plus 1 1 this is called a regular expression, we will come to that in a moment. So, this really means any string of zeros and one's and it has to end up with 0 or 1 1 so that is what it means.

Now, this diagram or a finite state automaton is basically nondeterministic in nature, because starting from q_{naught} , if you get a 0 you have two possibilities, you go 0 again here, if you get a 1 you can go to q_{naught} or q_3 two possibilities are there. So, basically it is a nondeterministic state diagram.

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	0	1
q_0	$\{q_0, q_1\}$	$\{q_0, q_3\}$
q_1	$\{q_2\}$	ϕ
q_2	ϕ	ϕ
q_3	ϕ	$\{q_4\}$
q_4	ϕ	ϕ

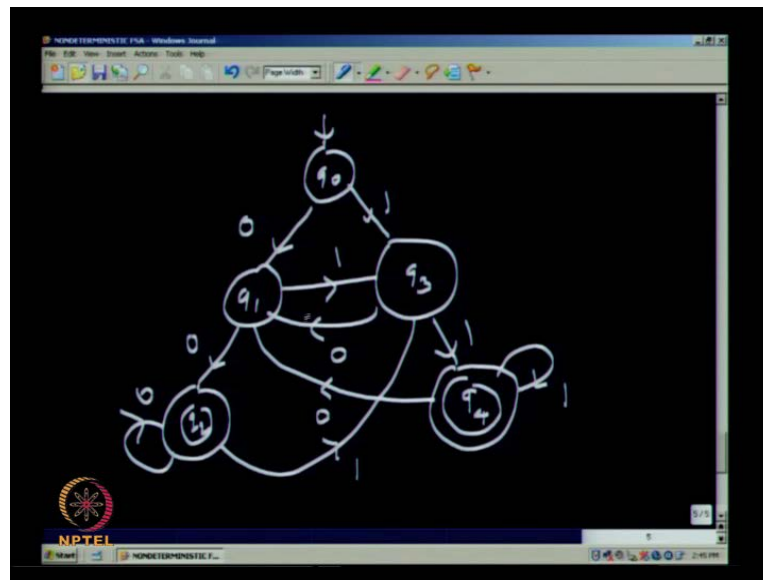
Let me draw the state table for this, this state table they will be one column for each symbol and one row for each state.

(No Audio From: 20:10 to 20:27)

Look at this state diagram (No Audio From: 20:30 to 20:38) from q_{naught} if you get a 0 you can go to q_{naught} or q_1 , if you get a 1 you go to q_{naught} or q_3 , from q_1 if you get a 0 you go to q_2 you cannot get a 1, from q_3 if you get a 1 you go to q_4 you cannot get a 0 here. So, the table will be like this, from q_{naught} if you get a 0 you go to q_{naught} or q_1 , from q_{naught} if you get a 1 you go to q_{naught} or q_3 , from q_2 you cannot get anything you cannot go anywhere, from q_4 also you cannot go anywhere from q_1 if you get a 0 you go to q_2 you cannot get a 1, from q_3 if you get a 1 you go to

q 4, but you cannot read a 0 initial state is this q 2 and q 4 both are final states. So, the state table will be written like this, we can see that in each compartment or each cell you have a subset of the set of states. Whereas, in a deterministic diagram you will have only one state that this is the state table.

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Now, let me draw another diagram.

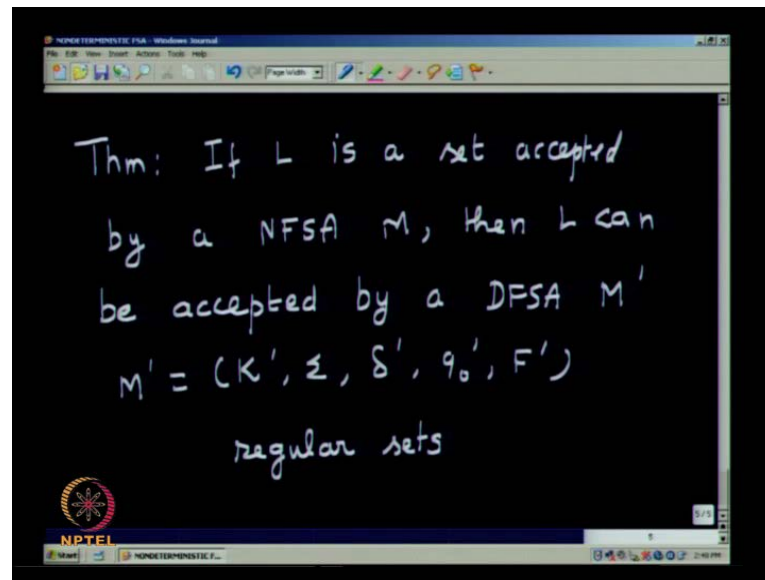
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There are five states here and q naught is the initial state q 2 and q 4 are final states, is this diagram deterministic or not. From q naught there are two (()) leaving with label 0 and 1, from q 1 there are two (()) with label 1 with 0 and 1 with label 1, q 3 0 1 from here 0 1 from here 0 1. If in any state you get a symbol 0 the next state is uniquely determined, if you get a 1 also then x state is uniquely determined. So, what can you say about this diagram it is a deterministic F S A. Now, what sort of language will be accepted by this deterministic F S A, we can see that starting from here if we get two 0's it will be accepted, if we get more 0's also it will be accepted **right**.

So, anything ending with two zeros will be accepted, if we get 1 here again you go back here and if you get one more 1 it can be get accepted. So, starting from here if you get two 1's it will be accepted any number of 1's also can be accepted, if you get a 0 you go back here, but 1 more 0 you can accept. So, anything ending with two 0's or two 1's will

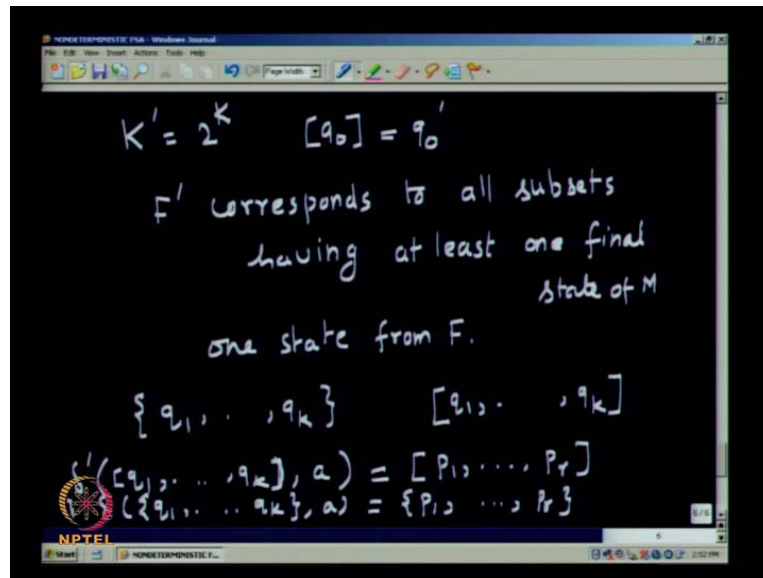
be accepted. So, this is a deterministic automaton and which will accept the same language. And in this case in this example so happens that both are having the same number of sets, but when you want to simulate a nondeterministic automaton with the deterministic automaton the number of states will be increased.

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Let us see how we can do that. So, we have this result theorem if L is a set accepted is a language or a set is accepted by a NFSA M , then L can be accepted by a DFSA M dash. M dash is equal to K dash sigma delta dash q naught dash F dash, if L is accepted by a NFSA it can be accepted by a DFSA, DFSA by definition form a subset of NFSA is it not. So, if a language accepted by a NFSA can be accepted by a DFSA, what does that means? They are equivalent, the languages accepted by DFSA and the family of languages accepted by deterministic FSA and the family of languages accepted by nondeterministic FSA or equivalent, they are called the regular sets.

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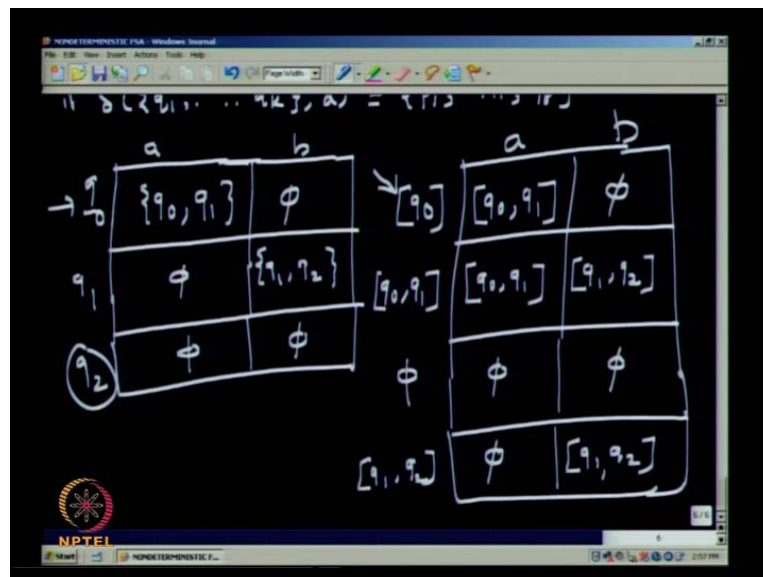
Now, how do we find out what is K' and how do we define F' etcetera. Now, K' is really the subset all subsets of K so, if we have three states in the nondeterministic automaton you can have 2^3 , eight states in the deterministic automaton. And the set q naught, the subset having q naught alone corresponds to q naught dash, initial state is a one which corresponds to the subset containing q naught alone. And F' corresponds to all subsets having at least one final state of F ; of M , that is, F' at least one state from F , one state from F . Now, we have to so a subset of states is usually denoted by $q_1 q_2 q_k$, where each one of them belong to f_k , this subset corresponds to a single state in the deterministic machine.

In the nondeterministic machine this corresponds to a subsets of states, in the deterministic machine this subset will corresponds to a single state and that is denoted by q_1, q_2, q_k . Note that to denote a subset we are using flower brackets, when it becomes a single state in the deterministic automaton we denote a square bracket. And δ' is defined like this, δ' of $q_1 q_2 q_k$ comma a this is defined as $p_1 p_2 p_r$ note that this square bracket. From this set after reading a you go this state, from this state after reading a you go to this state, if δ' of q_1 comma $q_2 q_k$ equal to p_1 comma p_r note the difference this is flower brackets, this is square brackets. From this subsets of states in the original machine after reading a you go to this subset, in the deterministic automaton you define it as from this state after reading a you go to this state.

So, we have to prove that the language accepted is the same, before proving that let us take an example and convert it into the deterministic automaton. So, the one which we are consider we will take again, maybe I should go back to the diagram, we have considered this earlier (No Audio From: 31:20 to 31:32). This is the nondeterministic machine, let us construct the deterministic machine equal, we have already seen what is the deterministic machine equivalent to that by this construction how do we get that, let us see that.

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So, first let us draw the state table.

(No Audio From: 32:10 to 32:37)

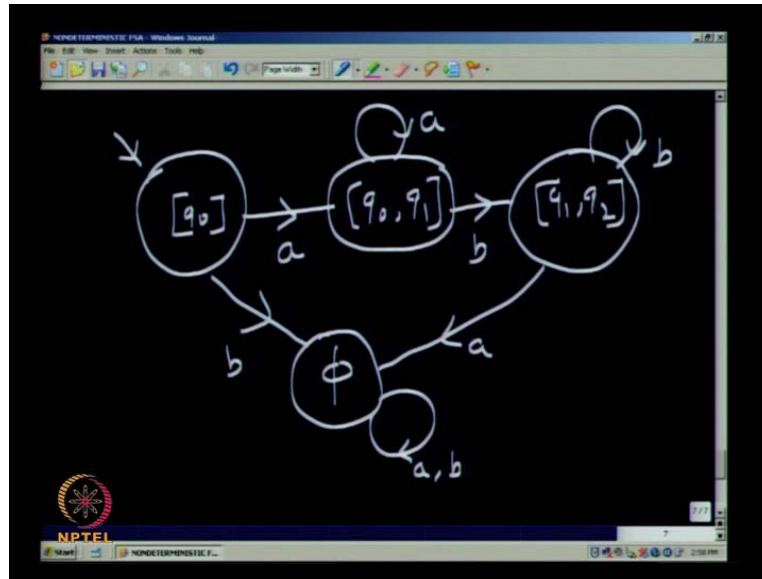
The state table is like this from q_0 if you get a you go to q_0 or q_1 , if you get a b you cannot go to anything, from q_1 if you get a b you go to q_1 or q_2 you cannot read a, from q_2 you cannot read anything this is the state table of the nondeterministic diagram, this is the initial state and this is the final state. Now, converting into the deterministic diagram you have the same number of columns one corresponding to a, one corresponding to b, the initial state is q_0 , this is the initial state. So, from q_0 after reading a what can you be, what can be the set of states in which you can be q_0 or q_1 . So, this will be q_0, q_1 , please note that to denote it a single state we

are using square brackets whereas, as a set it is denoted by flower brackets. And after reading b you cannot go to any particular state so this is empty, **empty** this is the subset of the set of states, empty set of the; empty set is also a subset **right**. Now, this empty set you will see that corresponds to something like to dead states.

Now, afterwards you take this $q \rightarrow q_1$, from $q \rightarrow q_1$ after reading a what can be the set of states in which you can be in, you have to find the union of this, that is, again $q \rightarrow q_1$. And after reading b what can be the set of states in which you can be find the union of these two that will be $q_1 q_2$. So, with this you have seen what are the next transitions of course, empty set we have to see so, empty set means of next transition will be only empty. Now, we have seen for this we have we have to see for this $q_1 q_2$, if you are in $q_1 q_2$ and if you get a, the next state is the union of these two that will be empty, if we get b the next state will be the union of this that will be $q_1 q_2$. So, we have considered this we have considered this and so on so we need not prolong the table.

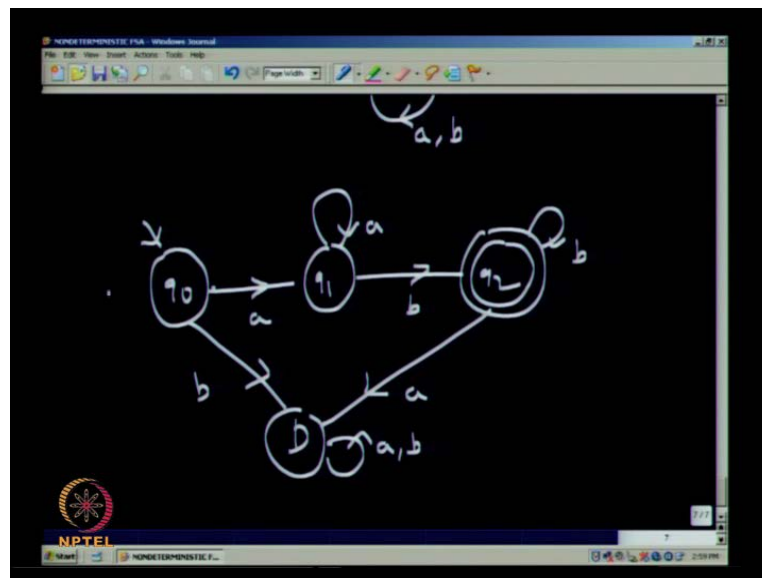
Even though 2^3 eight states are possible, you can have an empty state, one corresponding to q naught, one corresponding to q_1 , one corresponding to q_2 , then q naught q_1 $q_1 q_2$ q_2 q naught q_2 also you can have q naught $q_1 q_2$ you can have, but they will not be useful at and at this stage the table is complete so, you need not have to proceed further. Even though 2^K states, that is, in this example 2^3 eight states are possible only four will be useful.

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So, if you draw the diagram for this (No Audio From: 36:26 to 36:32) it will be like this q_0 q_1 q_2 and the empty state, the transitions will be like this a b b a a, b , you can see that this is exactly the same which we considered earlier.

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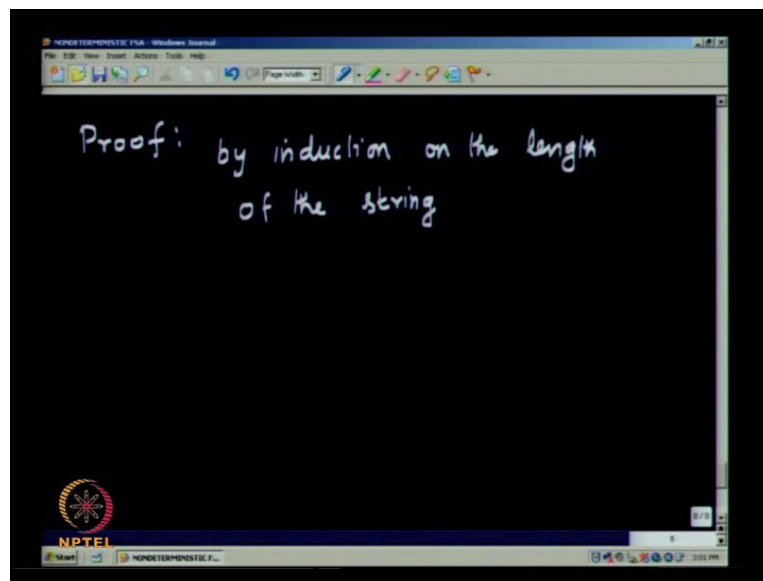


The earlier case without naming the states the diagram was exactly similar, but I think we use d symbol for this, q_1 for this, q_2 for this, q_0 for this and the transitions were exactly the same **right**. So, you can see that this is a deterministic diagram, because from here if you get a you go here, if you get b you go here, from this if you get a go

here you get b go here, from this if you get a go here, from this if you get b go here, this corresponds to the dead state, the empty set corresponds to the dead states. So, using this construction we have converted the nondeterministic automaton into a deterministic automaton. The diagram if the earlier one which you consider was like this (No Audio From: 38:30 to 38:44).

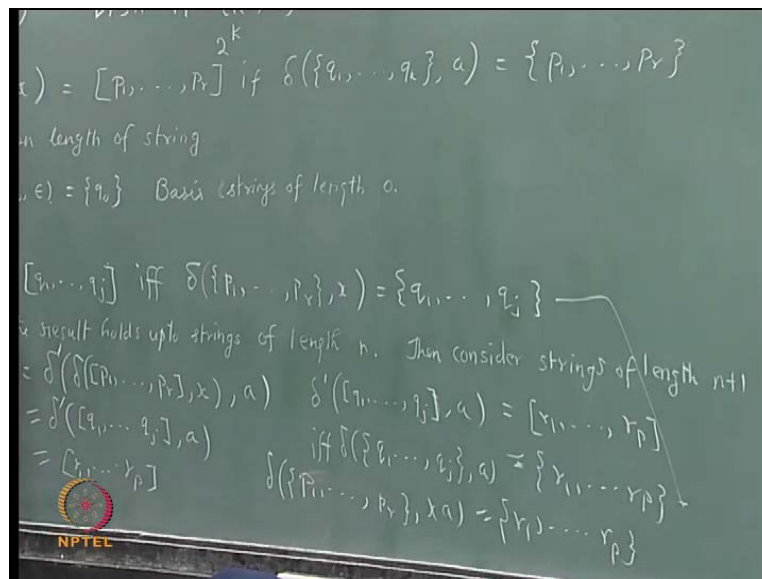
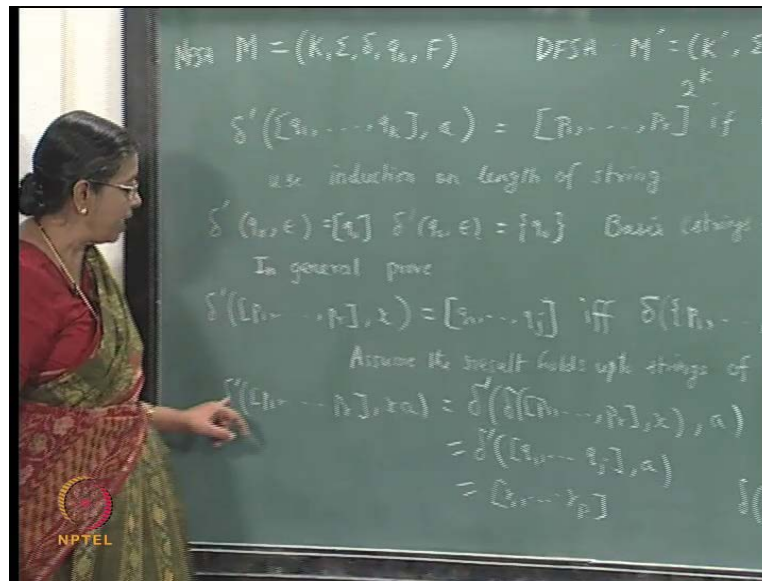
Now, what are the initial states, the initial state is just the one containing q naught alone and in the original diagram q_2 was the final state. So, any subset among these this is the only subset containing a final state so this will be made as the final state. So, if you write you can form this is the final state. So, this is to illustrate how we can convert a nondeterministic automaton into a deterministic automaton you seen this construction and this is known as the subset construction. Usually we call it the informally, we call it a subset construction, it is not you are constructing the subsets this method is called subset, because you are using the idea of subsets.

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Now, the proof you have to prove that the automaton which you have constructed, the deterministic automaton which you have constructed accept this $(())$ language as the original nondeterministic automaton. The proof is by induction on the length of the string NFSAs.

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You have given NFA $K, \Sigma, \delta, q_0, F$ and you are constructing a DFA M' equal to $K, \Sigma, \delta', q_0', F'$. Now, this is the set of subsets and we have defined δ' and F' , how did we define δ' ? We define $\delta'(q_1, \dots, q_j, a) = \{p_1, \dots, p_r\}$ if $\delta(\{q_1, \dots, q_j\}, a) = \{p_1, \dots, p_r\}$ and F' is the set of states which corresponds to subsets which contains a state from F . Now, use induction on length of string accepted (No Audio From: 41:58 to 42:13).

So, if you start from $q_0, \delta(q_0, \epsilon)$ what can you say about this? This will be $\delta(q_0, \epsilon)$ it is; it will be equal to q_0 only here it will be

equal to q_{naught} and it will be equal to q_{naught} here. And if you have, if δ of $q_{\text{naught}} x$ is equal to; so for strings of length basis this is a basis class, you consider strings of length 0 so, if ϵ is in the language it will be in this language and so on. So, if it is accepted by this it will be accepted that it will be accepted by that and so on. If q_{naught} is a final state only it can be accepted by the nondeterministic FSA and also by the deterministic FSA.

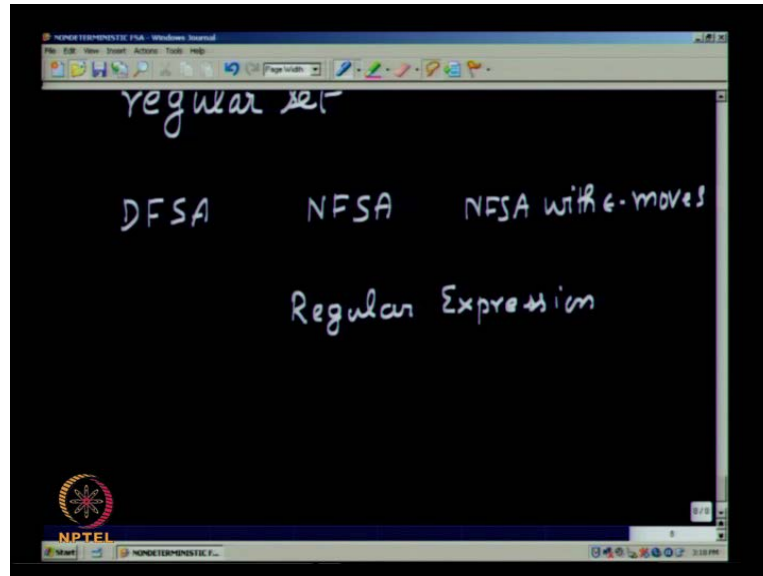
Now, we show that if δ of $q_{\text{naught}} x$ is equal to or in general you can prove like this, in general you can show that (No Audio From: 44:05 to 44:15) prove. δ of $p_1 p_2 p r x$ is equal to some $q_1 q_2 q_j$ if and only if, I am sorry this is square brackets, δ of $q_1 q_2$; δ of $p_1 p_2 p r x$ is equal to $q_1 q_2$. Now, if you take just x is equal to ϵ string of length 0 obviously δ of $p_1 p_2 p r \epsilon$ will be $p_1 p_2 p r$ and so it will hold for this. Now, assume induction hypothesis assume the result holds up to strings of length n , then consider strings of a length n plus 1. So, what can you say about this? δ of $p_1 p_2 p r x a$, what it will be this? You know that δ of $p_1 p_2 p r x a$ is by definition δ of δ of $p_1 p_2 p r x a$, this is the definition of a deterministic automaton.

But by this one this is equal to $q_1 q_2 q_j$, δ of $p_1 p_2 p r x$ is $q_1 q_2 q_j$, by the way we have defined δ I am sorry this is δ . By the way we had defined δ what can you say about δ of $q_1 q_2 q_j$, this will be equal to say some $r_1 r_2 r p$ if and only if δ of $q_1 q_2 q_j a$ is equal to $r_1 r_2 r p$, this is by definition. So, what can you say about this? This will be equal to say $r_1 r_2$ some $r p$. But we have δ of $p_1 p_2 p r x$ is equal to $q_1 q_2 q_j$ and δ of $q_1 q_2 q_j$ please note that they are subsets a is equal to $r_1 r_2$. So, from this and this you get δ of $q_1 q_2$, I am sorry δ of $p_1 p_2 p r x a$ is equal to $r_1 r_2 r p$. So, when you find that δ you get δ of $p_1 p_2 p r x a$ is equal to this and δ ; δ of $p_1 p_2 p r x a$ is equal to this and this is this is **yes** this is δ and δ of $p_1 p_2 p r x a$ is equal to this.

So, this happens, this is equal to this if and only if this happens and this proves the induction step. So, when you start with the initial state, the initial state here is q_{naught} , here it is the single subset containing q_{naught} and any subset containing a final state will be a final state here there the deterministic automaton. So, you can show that if a string is accepted by the nondeterministic automaton, then it will be accepted by the deterministic automaton and vice versa. So, the language accepted by both are the same T of M is

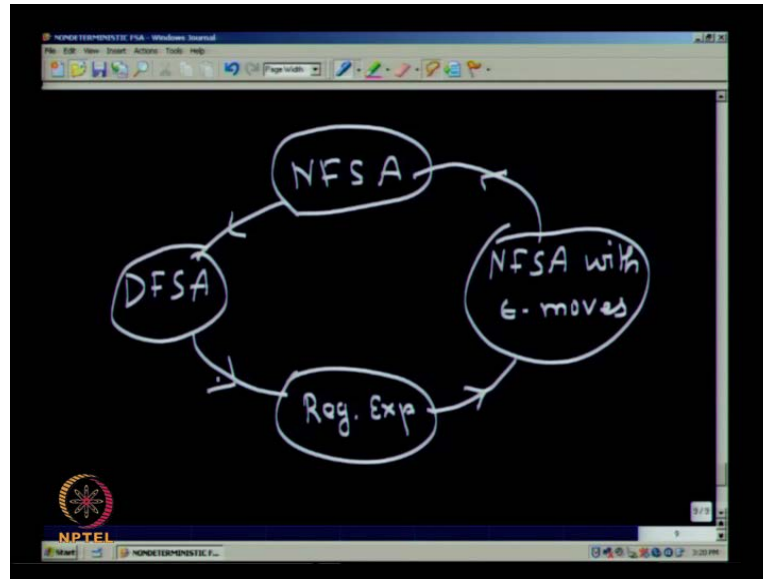
equal to T of M dash so thus we show that the power by using nondeterministic is not increased yet. Any set accepted by a nondeterministic automaton is accepted by a deterministic automaton such set is called a regular set.

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So, we this is the step we have considered (No Audio From: 51:14 to 51:24). First we have considered DFSA now, we have considered NFSA, we will also consider one more thing called NFSA with epsilon moves even in this case the power will not be increased it will accept only regular sets. So, and we will also define what is called a regular expression, all these are all equivalent none of them have more power or less power. So, the way the proof will go is like this.

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You have NFS A, you have DFSA, you have NFS A with epsilon moves and you have regular expressions, all are equivalent. So, what we proved today is only this portion given in NFS A how to construct the DFSA. In the next lecture we will see what is an NFS A with epsilon moves and how to remove the epsilon moves? We will see that given an NFS A with epsilon moves, you can construct an NFS A without epsilon moves this direction will prove. And we will also define what is meant by a regular expression and given a regular expression how to construct an NFS A with epsilon moves. Then we will also see that given a DFSA how to find the regular expression corresponding to this which will prove the equivalence of all these four. So, we shall see it in the next class.