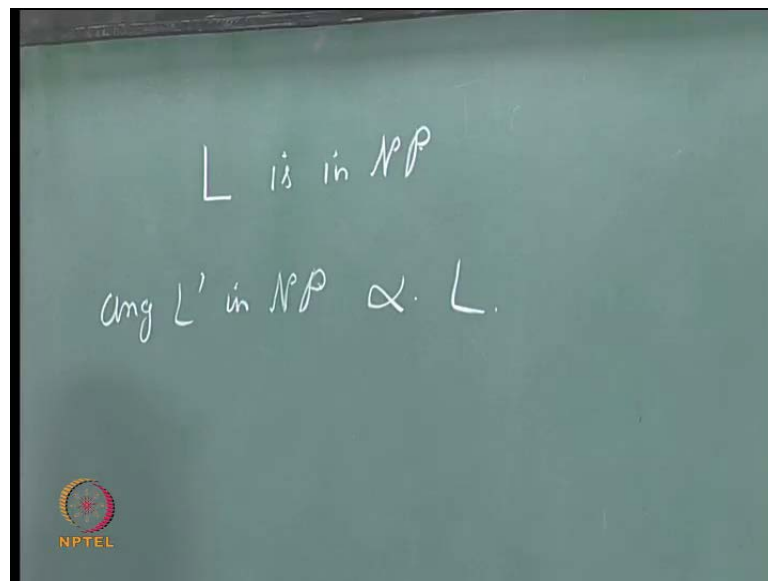


**Theory of Computation**  
**Prof. Kamala Krithivasan**  
**Department of Computer Science and Engineering**  
**Indian Institute of Technology, Madras**

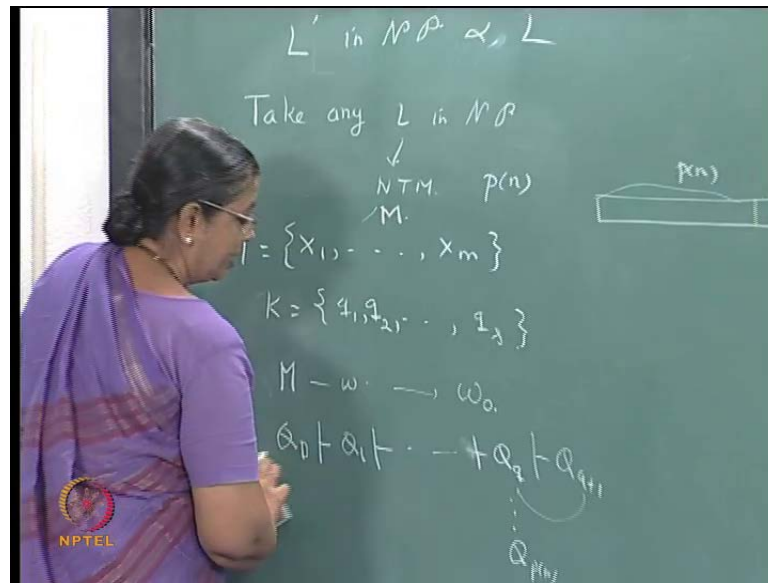
**Lecture No. # 37**  
**NP-Complete Problems (Contd.)**

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So, we were considering the problem of Boolean satisfiability. We are trying to show that Boolean satisfiability is NP-complete. How do you show that a problem is NP-complete, when do you say a problem is NP-complete or a language is in NP-complete? If  $L$  is in NP any  $L'$  in NP is polynomially transformable to  $L$  these two conditions have to be satisfied. Now, we have seen that given a Boolean expression non-deterministically, you can guess an assignment and evaluate that in order  $n^2$  time. If the length of the Boolean expression is  $n$  a non-deterministically you can evaluate in order  $n^2$  time.

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So, the other thing you have to prove this any  $L$  in NP is polynomially transformable to  $L$  or Boolean satisfiability for that. Take any language  $L$  in NP.  $L$  is accepted by a non-deterministic Turing machine in polynomial time  $p(n)$  and it has got symbols  $x_1, x_2, \dots, x_m$  this is the tape alphabet and the state alphabet set of states is given by  $q_1, q_2, \dots, q_s$ . Now,  $q_1$  is taken as an initial state and  $q_s$  is taken as a final state.  $x_1, x_2, \dots, x_m$  are the symbols, this is the blank symbol, this is taken as the blank symbol.

Now, if the word given  $M$  is given  $M$ . This is the  $M$  given  $M$  and you can write Boolean expression  $w_0$ . Now, given  $M$  and  $w$  there will be a sequence of ID's  $Q_0, Q_1, Q_2$  up to  $Q_p$  where it will accept.  $Q$  will be less than or equal to  $p(n)$ , but  $Q + 1$  you take to be identical with  $Q$  same afterwards up to  $Q + p(n)$ , it is same and the tape cells. It uses at most  $p(n)$  and without loss of generality, you assume that every ID has  $p(n)$  cells. So, with this assumption you write down the expression  $w_0$  and how do you go about writing  $w_0$ .



Boolean expressions making use of Boolean variables  $C_i, j, t$ . What is the meaning of that the  $i$ th cell contains the  $j$ th symbol at time  $t$ .  $H_i, t$  means the head is scanning the  $i$ th cell at time  $t$  and  $S_k, t$  means that state at time  $t$  is  $q, k$ . So, these Boolean variables you define.

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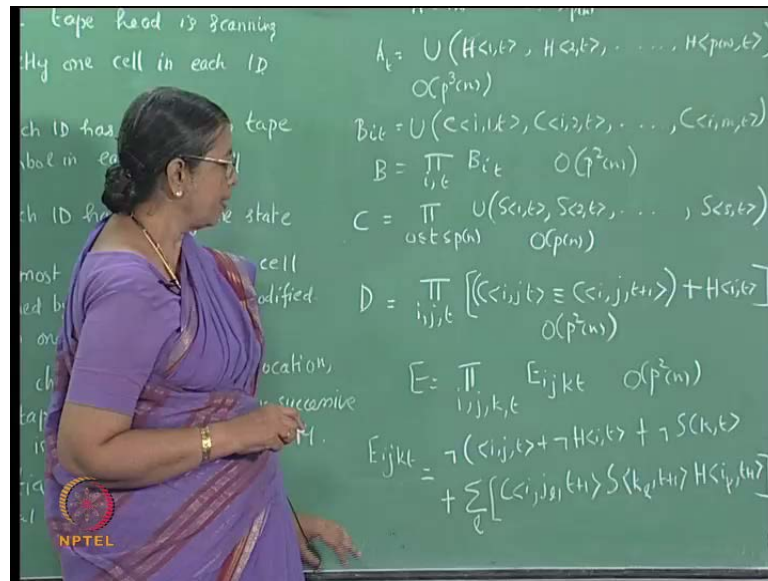
$$U(x_1, \dots, x_r)$$

$$(x_1 + \dots + x_r) \prod_{i \neq j} (\neg x_i + \neg x_j)$$

$$O(r^2)$$

Now, we make use of 1 expression  $U(x_1, x_2, \dots, x_r)$  and this is defined as  $x_1$  plus  $x_2$  plus  $x_r$ ,  $\prod_{i \neq j} (\neg x_i + \neg x_j)$ . This the length of this expression is order  $r$  squared and this is equal to 1 exactly. When 1 of this 1 others are 0 this all we have seen. Now, how do you write the expressions for them the tape head is scanning exactly 1 cell in each ID.

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So, you write at time  $t$  this is the expression  $H\langle 1,t \rangle, H\langle 2,t \rangle, \dots, H\langle p,n,t \rangle$  only 1 of them will be true rest of them will be 0. And you have to specify that from the zeroth instance to  $p$ th instance. So, the length of this expression is of order  $p^3 n$  and you have  $p n$  of them or  $p n$  plus 1 of them. So, the length of this expression will be order  $p^3 n$ . The second condition is each ID has exactly 1 tape symbol in each tape cell. So, you write it as expression  $B$ .

$B$  is product of  $B_{it}$  where it ranges over  $i$  and  $t$  and  $B_{it}$  is the  $i$ th cell at time  $t$  contains either symbol 1 or symbol 2 or symbol 3 or symbol  $n$  only one of them will be true the  $i$ th cell at time  $t$  can contain only one of them.

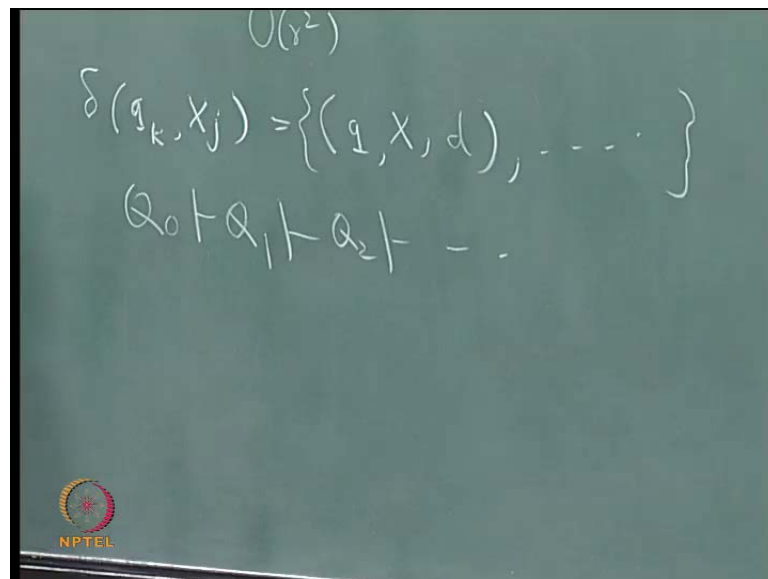
So, there are  $m$  symbols here so the length of that is order  $m^2$ , but  $m$  is a constant and  $s$  are constant. So, that is constant, but this itself ranges over  $i$  and  $t$  ranges from 1 to  $p n$   $t$  ranges from 0 to  $p n$ . So, it is order  $p^2 n$  similarly, the expression for this thing is each ID has exactly 1 state. So, the state can be only one of them first state 1 or state 2 or state  $S$  only. One of them will be true that is given by the, this expression and you have to take for all  $t$  this is for 1 particular  $t$  you have to take for all  $t$  the length of this expression will be order  $S^2$ , but  $S$  is a constant. So, the length of this will be order  $p, n$ .

Then, the next condition is at most 1 tape cell. The cells can be modified by the tape head from 1 ID to next ID. So, the expression for that is  $D$  the product of some expressions like

this, where each product tells that either the head is scanning the  $i$ th cell at time  $t$  in that case, the cell's contents will change otherwise the  $i$ th cell if it contains the  $j$ th symbol at time  $t$ . It will continue to have the same symbol at time  $t + 1$ , the identically equal to here again, this length of this is fixed, but you are taking the product to over  $i, j, t$ .  $J$  will vary from 1 to  $m$  which is a constant, but  $i$  and  $t$  will vary from 1 to  $p \cdot n$  and 0 to  $p \cdot n$  respectively. So, its order  $p^2 \cdot n$ .

Then the fifth condition is the change in the state head location and tape cell contents between successive ID's is allowed by a move of  $m$ .

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Now, you must remember that we are considering a non-deterministic Turing machine and if you have  $\delta(q_k, X_j)$  there will be one possibility will be  $q, X, d$  there will be several possibilities like that, finite number of choices. So, what we are considering when I speak of ID  $q_0, Q_1, Q_2$  this is one sequence, we are considering. One sequence which is leading to acceptance there may be many sequences one of them we are considering.

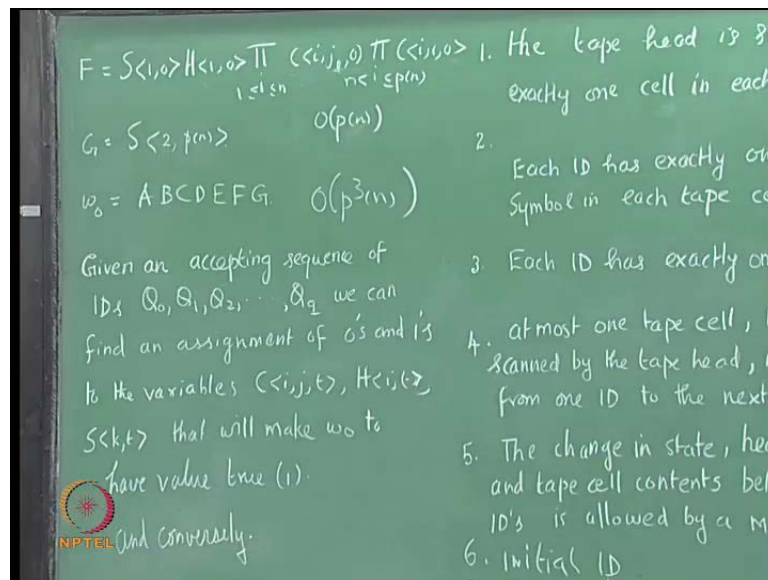
One of them which is leading to the acceptance, we are considering that you must remember and the fifth one is the change in state head location and tape cell contents between successive ID's is allowed by a move of the Turing machine. The expression for that is  $E$ .  $E$  is the product of expressions  $E_i, j, k, t$ , but what is  $E_i, j, k$ , neither the  $i$ th cell

does not content, the symbol  $j$  or the head is not scanning the  $i$ th cell or the state is not  $q$ ,  $k$  at time  $t$ .

So, if the state is  $q$ ,  $k$  and head is scanning the  $i$ th cell and the  $i$ th cell is containing  $X$   $j$  then the next move has to be specified like this then maybe finite number of choices. 1 of them if you take  $q, X, d$  that is given by this. The  $i$ th cell will contain the symbols specified by  $j_l, j_r, X$ . If we look into that at time  $t$  plus 1, the state will change from  $k$  to  $k$  some other state and the head position will change to  $i$  minus 1 or  $i$  plus 1 depending upon whether, the move is left or right you are having a finite number of choices, of moves.

that is why this sigma any one of them will be true, you choose one of them. So, one of them will be true then you have to specify the initial ID and the final ID before that the length of this expression each 1 is of finite length, but it is a product over  $i, j, k, t$ .  $J$  ranges from 1 to  $m$ ,  $k$  ranges from 1 to  $s$ . So, they are all constant, but  $i$  ranges from 1 to  $p$ ,  $n$  and  $t$  ranges from 0 to  $p$ ,  $n$ . So, the length of the expression will be order  $p^3 n$ .

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Now, the initial ID is specified like this you are taking  $t$  is equal to 0 and initial state is  $q_1$ . Head is pointing to cell 11 initially 1,1 0. And the first  $n$  cells contain some symbols the input right rest of them contain, the blank from  $n$  plus 1 to  $p$ ,  $n$ , it contains the blank symbol first  $n$  cells contain the input, the final thing is at time  $p$ ,  $n$ ,  $q_2$  is the state  $q_2$  is

taken as a final state. Now, if even it goes to a final state at an earlier instant the remaining ID's you keep them as the last Qq is the final ID say it stops.

At that point then  $Qq + 1, q + 2$  we take as identical to  $Qq$ . So, anyway at time  $p, n$  it will be in final state so, the expression  $w_0$  is given as the product  $A, B, C, D, E, F, G$  and what can you say about this length of this each 1 is at most order  $p^3 n$ ,  $p^2 n$ ,  $p$ , nor something like at most  $p^3 n$  and there are several such expressions. So, at the most it will be order  $p^3 n$  the length of  $w_0$  is order  $p^3 n$  and you can write it down in a time proportional to that. So, given  $m$  and  $w$  we can write down  $w_0$  in a time which is polynomial that is where the polynomial transformability comes.

Now, we can very easily see that the way we have found the Boolean variables  $C, i, j, k, t, H, i, t, S, k, t$  etc. The way we have defined them we can see that if there is an accepting sequence of ID's  $Q_1, Q_2, \dots, Q_q$  then you can find an assignment to the variables such that,  $w_0$  will evaluate to 1 or 2. Conversely, if there is an assignment for which  $w_0$  evaluates to 1 that means. Successive ID's you can have which leads you to acceptance. So, this shows that any  $L$  is polynomially transformable to the Boolean satisfiability problem. So, Boolean satisfiability is NP-complete.

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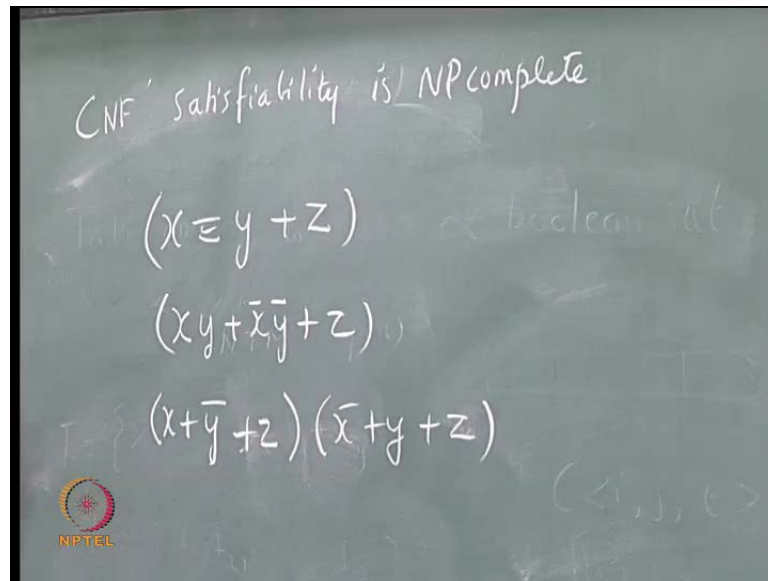


Now, once you have shown that Boolean satisfiability is NP-complete. 1 problem you know that is NP-complete this is the clause, NP and NP I kept this is the clause  $p$  whether, they are equal is not known this is NP complete. Now, if I know there is one language  $L_0$ ,



which is Boolean satisfiability, any other problem if I have I can show that. This can be transformed to that and if this can be polynomially transformed to another problem, that will be also NP-complete provided it is in NP. So, that is what you make use of for proving the clique problem, vertex cover problem and so, many other problems you reduce to 3-SAT problem or Boolean satisfiability.

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Now, generally you take the expression in CNF. CNF satisfiability is NP-complete. So, here we are making 1 more restriction that the given Boolean expression is in CNF. The same proof we are going to follow same proof we will hold only 1 or two places it is not in CNF rest of the thing it is CNF. The way we have written the U function it is in CNF and this is in CNF everything is in CNF except D and E. Except D and E rest of them are in CNF. A, B, C are making use of that U. That U expression itself is in CNF and the last two expressions F and G trivially, they are in CNF they are product of single literals they are also in CNF.

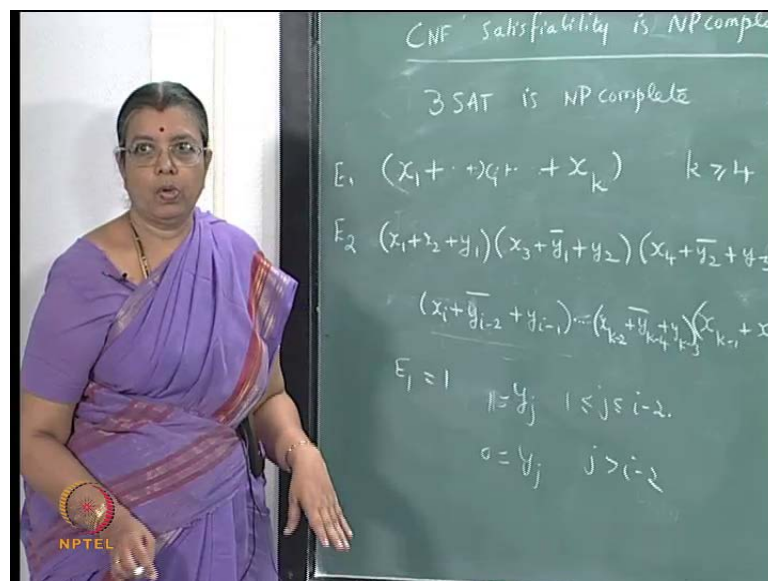
So, the only problem for this will be D and E. Now, E itself if you take it is a product of some expressions like this and these expressions are of finite length. They are of finite length using  $r, 0$  etc. And any finite length expression you can bring into CNF, you know that any expression of finite length, we can bring it to CNF. So, each 1 of  $E_i, j, k, t$  you can bring to CNF by a procedure and that should not take long time bringing to CNF

again, will not take much of time and the length also will not increase too much it may increase by a constant factor.

So, this is the product of such expressions so, it will be you can bring it to CNF and the time taken the polynomial or non-polynomial not will is not going to get affected.  $D$  is of the form something equivalent to something plus something, this is fixed length, but again this is the product over  $i, j, k, t$ . So, it is of this form if you take  $D$  it is of this form  $x$  is identically equal to  $y$  plus  $z$ . Each expression is of that form this you can write as  $x, y$  plus  $x$  bar,  $y$  bar plus  $z$  or this is equivalent to  $x$  plus  $y$  bar plus  $z$ .  $x$  bar plus  $y$  plus  $z$ . This will be equivalent to this convinced  $x$  bar and  $C$  this is equivalent to this and this is in CNF right this is in CNF.

So, each factor the expression  $D$  you can bring it to CNF. So, everything you can bring to CNF and the time taken to do that is not too much. The length when you bring it to CNF, the length will not increase too much isn't it by linear factor or by a constant factor only it will increase. So, the length of  $w_0$  is still again a polynomial in  $L$ , we had  $p$  cubed  $n$  may be the constant factor will get slightly affected you will get an expression. So, the same proof with slight modification you can use for showing that CNF satisfiability is NP-complete.

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Now, we are assuming that the given Boolean expression in CNF. **right** Then 3SAT is NP-complete, we put some more restriction that the expressions have to be in

conjunctive normal form that is it is a conjunction of disjunctions, but each one has only three literals like this  $\bar{x}_1 \vee x_2 \vee x_k$  each factor has only three literals, that is variables or negation of the variables. How do we prove this? Any expression say  $x_1 \vee x_2 \vee x_k$ . This is in this is the factor of the CNF,  $k$  is greater than or equal to 4.

Now, I want to find an expression which is equivalent to this such that, if this is satisfiable the other one is satisfiable and vice versa. And the expression which I am going to write is in such a way that each factor has only three literals, it is in 3-SAT form this is 1 expression  $E_1$ . I am going to write an equivalent expression  $E_2$ , I would not say equivalent where we make another expression  $E_2$ , where we make use of more variables. Now, if this is satisfiable if there is an assignment which evaluates this 1 to 1 there will be another assignment which will evaluate  $E_2$  to 1 and vice versa.

How do I write the expression  $E_2$ ? You introduce variables this is  $x_k, x_1, x_2, x_k$ . So, you introduce new variables  $y_1, y_2, y_{k-3}$ . New variables Boolean variables you introduced and write an expression like this  $x_1 \vee x_2 \vee y_1 \vee \bar{x}_3 \vee y_2 \vee x_4 \vee y_2 \vee y_3$  and so on. General term will be  $x_i \vee y_{i-2} \vee \bar{y}_{i-1}$ . And you proceed up to last 1 will be  $x_{k-1} \vee x_k \vee y_{k-3}$ . The previous 1 will be  $x_{k-2} \vee x_{k-2} \vee y_{k-4} \vee \bar{y}_{k-3}$  and so on.

First factor you introduced  $y_1$ , the second factor the negation of that and  $y_2$  then next factor negation of  $y_2$  and next one and so on. Until for  $x_{k-2}$ , the negation of  $y_{k-4}$  and  $y_{k-3}$  then the last factor is  $x_{k-1} \vee x_k$  and negation of  $y_{k-3}$ . Now, if there is an assignment to the variables which makes this equal to 1 there is an assignment to the variables, which makes this expression equal to 1 and if there is an assignment, which makes this expression equal to 1 there is an assignment, which makes this expression equal to 1 how do you prove that.

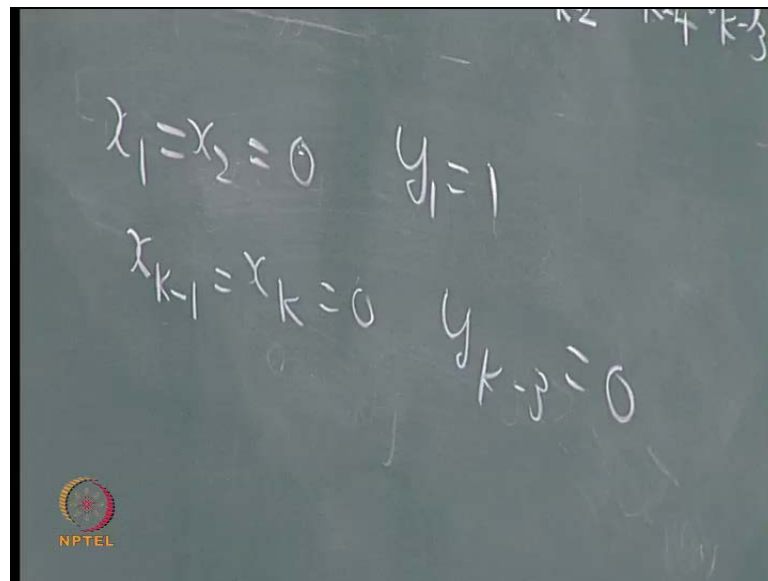
Now, suppose  $E_1$  is equal to 1, that is  $E_1$  evaluates to 1 that is, there is some  $x_i$  which is true. Some  $x_i$  must be 1 then only that expression will evaluate to 1 and look at this factor here it is 1. So, all  $y_j$  where  $j$  is between 1 and  $i-2$ , make them equal to 1 give the assignment value 1 give them value 1 and all  $y_j$  such that,  $j$  is greater than  $i-2$  make them 0.

So, what happens  $y_1$  is 1. So, this will be 1,  $y_2$  is 1. So, this will be 1 each of the factors will be 1 and look at these factors when it is greater than  $i-2$  it is 0. So, the barred

version will be true this is 1, this is 1 and so on. So, those factors will be true, but look at this factor this is 1 so, barred version this is 0.  $y_i - 2$  is 1,  $y_i - 2$  is 0,  $y_i - 1$  is 0, but  $x_i$  is 1.

So, this factor will also evaluate to 1 so, each of the factors evaluates to 1. So, if there is an assignment which makes this expression equal to 1 there is another assignment which makes this assignment equal to 1.

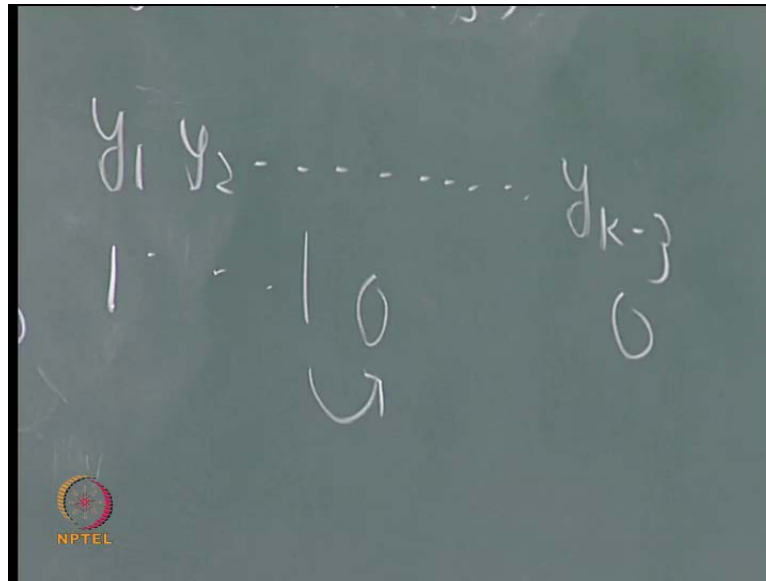
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And conversely  $E_2$  is equal to 1 implies  $E_1$  is equal to 1. I mean  $E_2$  is equal to 1 what I mean is  $E_2$  evaluates to 1 then,  $E_1$  evaluates to 1. How do I prove that this expression evaluates to 1? So, each 1 of the factor should be 1, if each 1 of the factor is 1, then I say that this also evaluates to 1 you start with that suppose  $x_1$  or  $x_2$  is 1 obviously, the result holds. If  $x_1$  is 1 or  $x_2$  is 1 this  $x_2$  is 1 this will evaluate to 1.

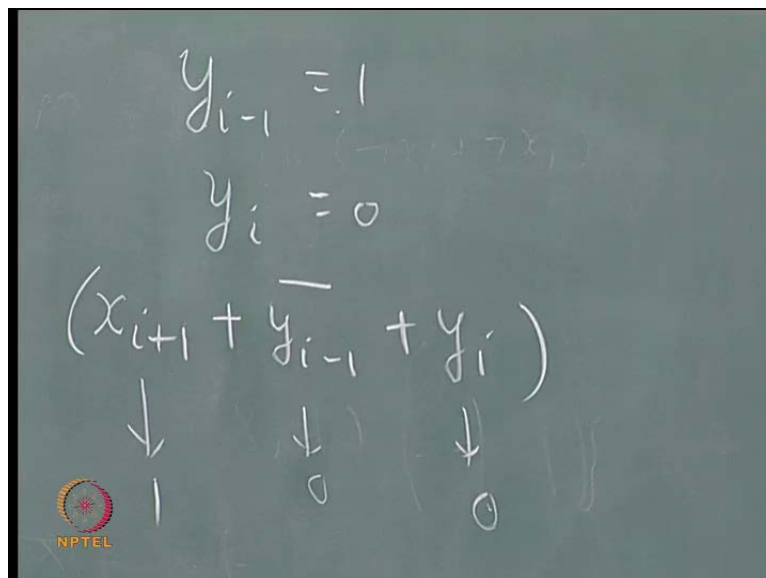
So, you have to consider the case the  $x_1$  is  $x_2$  is equal to 0 and similarly, if  $x_{k-1}$  is 1 or  $x_k$  is 1 or  $x_{k-1}$  is 1 or  $x_k$  is 1 then, 1 of them is 1 means, this will also be 1. So, you have to consider the case when  $x_{k-1}$  is equal to  $x_k$  is equal to 0. Now, this factor must evaluate to 1, if  $x_1$  is equal to  $x_2$  is equal to 0,  $y_1$  must be 1 and if this evaluates to 1 if this is 0, this is 0, this must be 1 that is  $y_{k-1}$  must be equal to 0, then only the barred version then a negative version will be 1.

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So, if you look at the assignment for  $y_1, y_2, \dots, y_{k-3}$  this is 1 this is 0 so, somewhere it will change from 1 to 0 at some position it will change from 1 to 0 it may change in many places but, at least 1 position.

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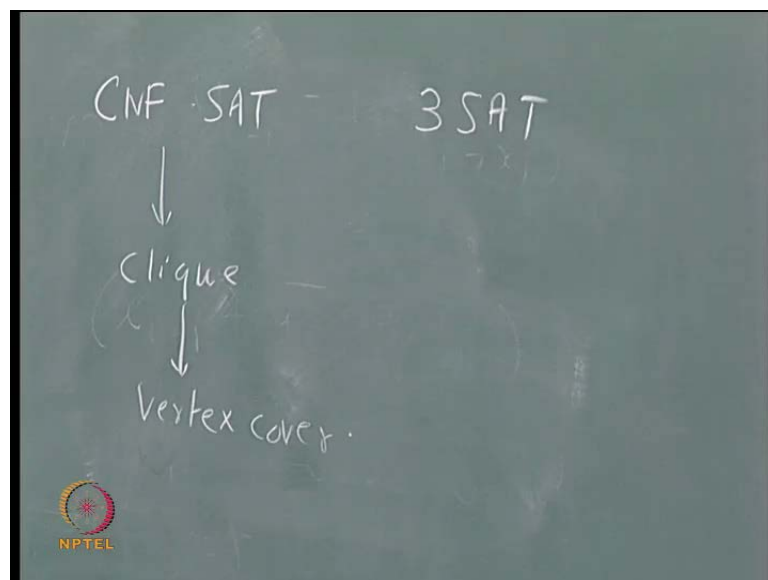
It will change from 1 to 0 that is  $y_{i-1}$  is 1,  $y_i$  is 0. And here we have a factor  $x_{i+1} + y_{i-1} + y_i$  there will be a factor like this. And this is  $y_{i-1}$  is 1 though this is 0 this is 0. So, this has to be 1 every factor must evaluate to 1. So, there should be 1 variable which evaluates to 1 so, if there is 1 variable which literal which

evaluates to 1 then this expression becomes 1. so, if this is satisfiable, this is satisfiable and if this is satisfiable, this is satisfiable.

What can you say about the length of this expression? It will be some constant times the length of this some seven or eight whatever it is, but each 1 you have a factor whose length will be some 1, 2, 3, 4, 5, 6, 7 or something like that. So, the length is only increased by in linear way I mean 7 times. If the length of the original expression is  $n$  this will be some 8 times  $n$  or something like that. So, from this you can write down this in a very systematic manner and that is not going to take a lot going to take linear amount of time.

So, in the original problem you had everything in CNF the first 1, some expressions were not in CNF, then we saw that they can be converted into CNF. Now, once the expression is CNF, each 1 of the factors we can make it into expression like this where each factor has only three literals and the time to do that will be only linear in terms of the length of the original expression. This is the polynomial or the non-polynomial this I mean the polynomial question is not affected.

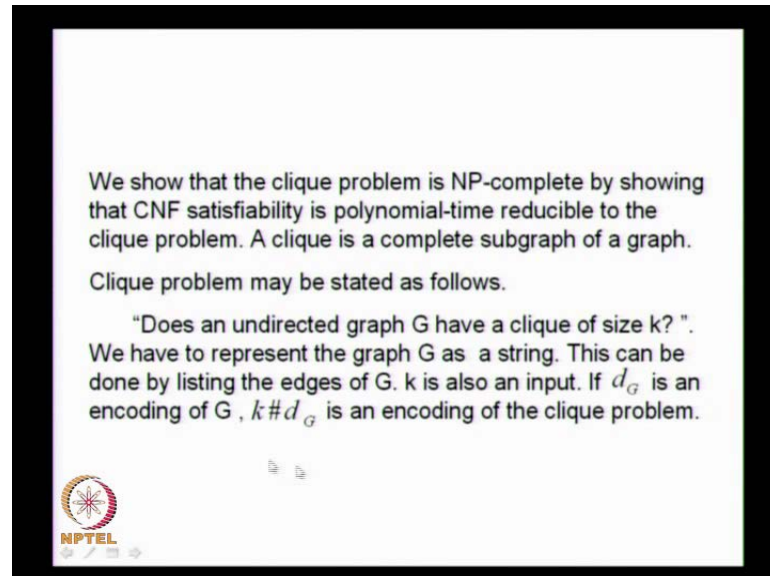
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So, from this we conclude that 3 sat is become linear. So, once you have this 3SAT you have CNF satisfiability, 3 sat many problems we can immediately, reduce this you can reduce to clique, this you can reduce to vertex cover and so on like that. Once, you have 1 problem which is known to NP-complete, you can reduce it to some other problem by a

polynomial transformation and so that, that is NP-complete this is the method which is followed.

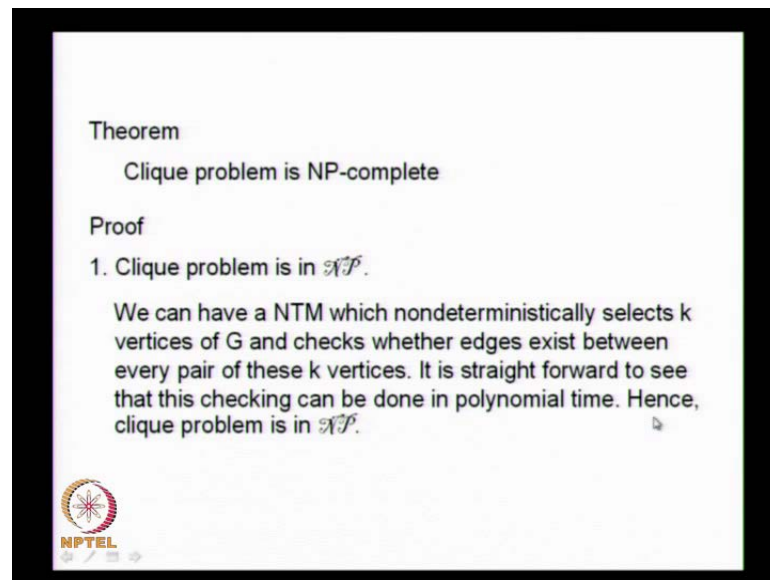
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We shall show that the clique problem is NP-complete by showing that. The CNF satisfiability is polynomial-time reducible to the clique problem. Actually, once we know that a problem is NP-complete, we can reduce it to another problem and show that the new problem is NP-complete that is what, we are going to do now. We know that CNF satisfiability is NP-complete. Now, we are going to reduce it to the clique problem now,

What is the clique problem? A clique is a complete subgraph of a graph, the Clique problem may be stated like this. Does an undirected graph  $G$  have a clique of size  $k$ , we have to represent the graph  $G$  as a string. This can be done by listing the edges of  $G$ .  $k$  is also an input where you have to find out, whether it has a clique of size  $k$ . If  $d_G$  is encoding of  $G$  then  $k \# d_G$ , you can take it as the encoding of the clique problem.

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Theorem  
Clique problem is NP-complete

Proof

1. Clique problem is in  $\mathcal{NP}$ .

We can have a NTM which nondeterministically selects  $k$  vertices of  $G$  and checks whether edges exist between every pair of these  $k$  vertices. It is straight forward to see that this checking can be done in polynomial time. Hence, clique problem is in  $\mathcal{NP}$ .




Now, we want to show that the clique problem is NP-complete. To show a problem is NP-complete two things you have to do. 1 is you have to show that it is in NP and then we have to reduce an known NP-complete problem to that. So, to show that it is in NP what we do is. We can construct a non-deterministic Turing machine which will accept this, in polynomial time. So, we can have a non-deterministic Turing machine, which non-deterministically it selects  $k$  vertices of  $G$  and then it checks whether edges exist between, every pair of these  $k$  vertices.

If edges exist between every pair of these  $k$  vertices then, there will be a subgraph which is a complete subgraph of size  $k$  that is a clique. So, nondeterministically, it can select  $k$  vertices and do this it is straightforward to see that this checking can be done in polynomial time. So, we have proved that the clique problem is in NP.



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2. Next, we show that CNF-satisfiability is polynomial-time reducible to the clique problem. Given an instance of expression in CNF with  $k$  clauses, we construct a graph, which has clique of size  $k$  if and only if the CNF expression is satisfiable. Let  $e = E_1 \dots E_k$  be a Boolean expression in CNF. Each  $E_i$  is of the form  $(x_{i_1} \vee \dots \vee x_{i_{k_i}})$  where  $x_{i_j}$  is a literal. Construct an undirected graph  $G = (V, E)$  whose vertices are represented by pairs of integers  $[i, j]$  where  $1 \leq i \leq k$  and  $1 \leq j \leq k_i$ , the number of vertices of  $G$  is equal to the number of literals in  $e$ . Each vertex of the graph corresponds to a literal of  $e$ . The edges of  $G$  are these pairs  $[i, j][k, l]$  where  $i \neq k$  and  $x_{ij} \neq \neg x_{kl}$ , i.e.,  $x_{ij}$  and  $x_{kl}$  are such that if one is variable  $y$  the other is not  $\neg y$ .

Now, we have to show that the CNF satisfiability is polynomial-time reducible to the clique problem. Given an instance of the expression in CNF with  $k$  clauses, we construct a graph which has clique of size  $k$ , if and only if the CNF expression is satisfiable. Let us take the CNF expression is  $e$  that is  $E_1, E_2, \dots, E_k$  there are  $k$  clauses each  $E_i$  clause this is the Boolean expression. Now, each  $E_i$  is a clause and it is of the form  $X_{i_1} \vee X_{i_2} \vee \dots \vee X_{i_{k_i}}$  there are  $k_i$  literals in this clause.

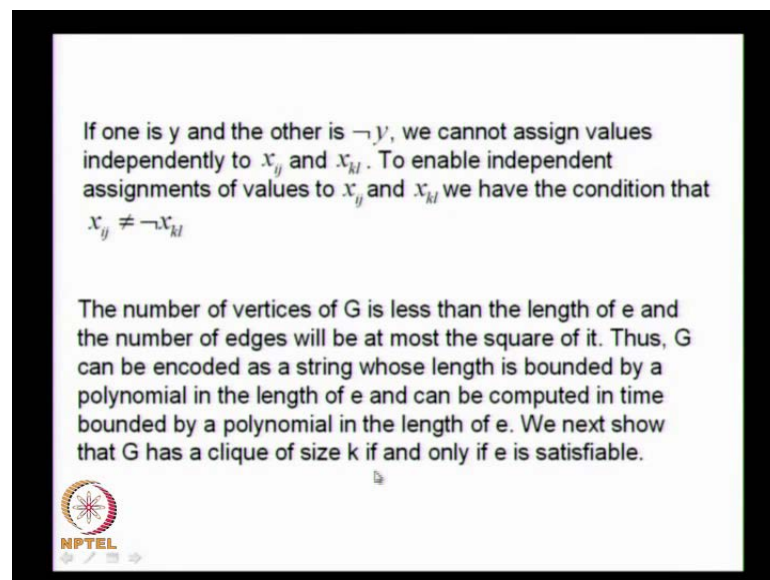
So, each  $X_{i_j}$  is a literal it is a variable or the negation of the variable. Now, construct an undirected graph in the following manner given an instance of  $e$  in CNF you construct a graph in the following manner  $G$  has vertices  $V$  and edges  $E$ , the vertices are represented by pairs of integers  $[i, j]$ . Where  $i$  will be from 1 to  $k$  and  $j$  will be from 1 to  $k_i$  that is the first component of the label of a vertex will be 1 to  $k$  that is  $k$  clauses it will denote the clause which the variable will belong.

And  $j$  will denote the literal in that clause. Suppose, you have  $[i, j]$  that means it is corresponding to the  $i$ th clause and the  $j$ th literal in that clause. So,  $j$  will vary from 1 to  $k_i$ . The number of vertices in  $G$  is equal to the number of literals in the given CNF each vertex of the graph corresponds to a literal of  $E$ . Now, once we have formed the vertices of  $V$  we have to find a edges of  $G$ . How do we join two vertices by an edge in  $G$ ? Two pairs  $[i, j]$  and  $[k, l]$  can be joined by an edge or an edge exist between these two pairs  $[i, j]$  and

$k, l$ . If  $i = j$  that is the first component, should be different and  $X_{ij} = 0$  is equal to 0 of  $X_{kl}$ .

That is it should be possible to assign the values such that, both can have value 1 that is that this corresponds to a literal in the  $i$ th clause and this corresponds to a literal in the  $k$ th clause. They should not be such that 1 is  $y$  and another 1 is not  $y$ , that is 1 should not be the negation of the other 1 is variable and another should be negation of the variable. They should not be of that form, if they are not of that form and it can exist between them.

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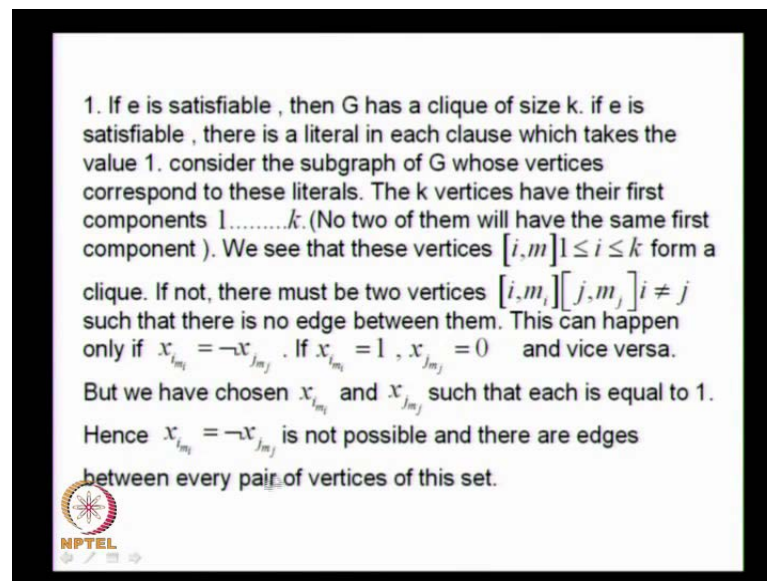


That is if 1 is, why we have this condition if 1 is  $y$  and the other is not  $y$ , we cannot assign values independently. If we assign some value to  $y$  the other 1 automatically will take the other value, if we assign values to 1, the other will take the value 0 and so on, to enable independent assignment of values to  $X_{ij}$  and  $X_{kl}$ , we have the condition that  $X_{ij}$  is not equal to not of  $X_{kl}$ . Now, how many vertices do we have? It is equal to the number of literals in the expression and number of vertices in  $G$  is less than the length of  $e$ .

Because  $e$  consists of the literal cell has some more symbols right and the number of edges will be at most the square of it. So,  $G$  can be encoded as a string whose length is bounded by a polynomial in the length of  $e$  the number of vertices in  $G$  will be equal to the number of literals and hence less than the length of  $e$  and the number of edges at the most could be of the order  $n$  squared.

Where  $n$  is a number of vertices, if you want to encode  $G$  it can be encoded in such a way that the length is bounded by a polynomial in the length of  $e$ . And this can be returned down in time bounded by a polynomial in the length of  $e$  that is you have a polynomial time algorithm which will convert 1 instance of CNF to 1 instance of the clique problem. Now, we show that  $G$  has a clique of size  $k$  if and only if  $e$  is satisfiable.

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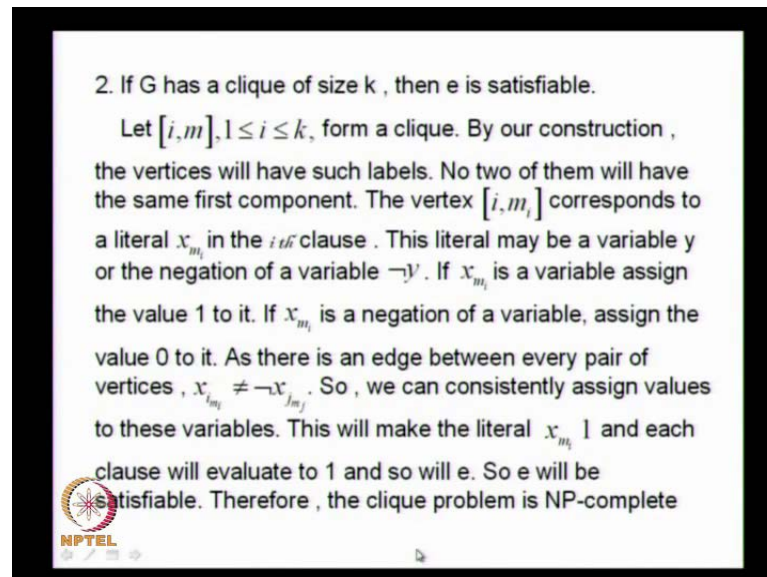


Now, if  $e$  is satisfiable we have to show that  $G$  has a clique of size  $k$  and vice versa. If  $e$  is satisfiable then every clause has a literal which takes the value 1, that is a literal in each clause which takes the value 1. Now, you construct a graph from  $e$  and in this you consider the subgraph of  $G$ , whose vertices correspond to the literals, which take the value 1 in each clause. We have at least 1 literal taken the value 1 from each clause, which has the value 1 considered that the subgraph of  $G$  corresponding to those vertices.

We will find that it is a clique it is a complete subgraph, the  $k$  vertices have their first components as 1 to  $k$ . No two of them will be the same and we considered the vertices  $i, m$  where  $i$  varies from 1 to  $k$ , these vertices form a clique suppose, there does not exist an edge between two of them  $i, m, i, j, m, j$ ,  $i$  and  $j$  are different, the edge will not exist or this can happen only if  $x_{i, m_i}$  is equal to the negation of  $x_{j, m_j}$  that is 1 is the negation of another that is 1 is a variable and another is the negation of that variable.

So, if  $X_{i m_i}$  is equal to 1  $x_{j m_j}$  will be 0 or the other way around, but we have chosen the literals in such a way that, all of them have values 1 from each clause, we have chosen a literal, which has the value 1. So, this cannot happen that is  $X_{i m_i}$  equal to naught  $X_{j m_j}$  is not possible. So, between every pair of vertices we have an edge.

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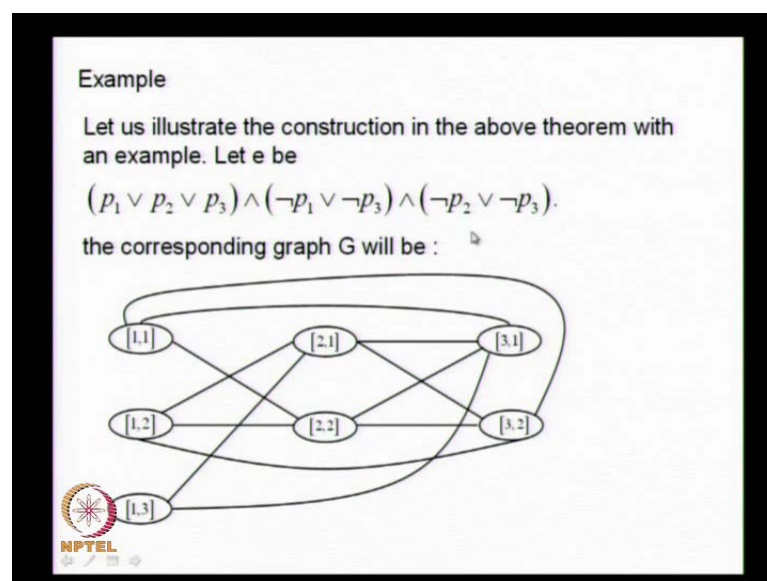
Now, the other way around if  $G$  has a clique of size  $k$ . Then we show that  $e$  is satisfiable. Let  $i, m$  be a clique that is  $i$  should be all different by our construction, the vertices will have such labels and no two of them will have the same first component, the vertex  $i, m_i$  corresponds to the literal  $X_{m_i}$  in the  $i$ th clause. And this literal may be a variable or it may be the negation of a variable, it may be of the form  $y$  or  $\neg y$ . If it is a variable assign the value 1 to it, if it is a negation of the variable assign the value 0 to it. As there is an edge between every pair of vertices  $X_{i m_i}$  is not equal to  $\neg X_{j m_j}$ .

So, consistently we can assign values to the variables because 1 is not the negation of another. So, consider the vertices  $i, m_i$  this will correspond to the literal  $X_{m_i}$  in the  $i$ th clause and if it is a variable, make it  $y$  if it is the negation of the variable make the variable 0. If we assign values to the variable this way then each clause will evaluate to 1 and so the expression  $e$  will evaluate to 1. So,  $e$  will be satisfiable. So, what we have done is from 1 instance of CNF we have constructed an instance of a graph such that, the CNF

expression is satisfiable if and only if, the graph has a clique of size k that is we have reduced CNF satisfiability to the clique problem.

And this transformation has been done in the polynomial time. So, polynomially we have reduced the CNF problem to the clique problem in polynomial time and so the clique problem is NP-complete. So, this way known NP-complete problems can be reduced to unknown problems in polynomial time. And the new problems can be shown to be NP-complete, this is the method followed to prove that some problem is in NP-complete.

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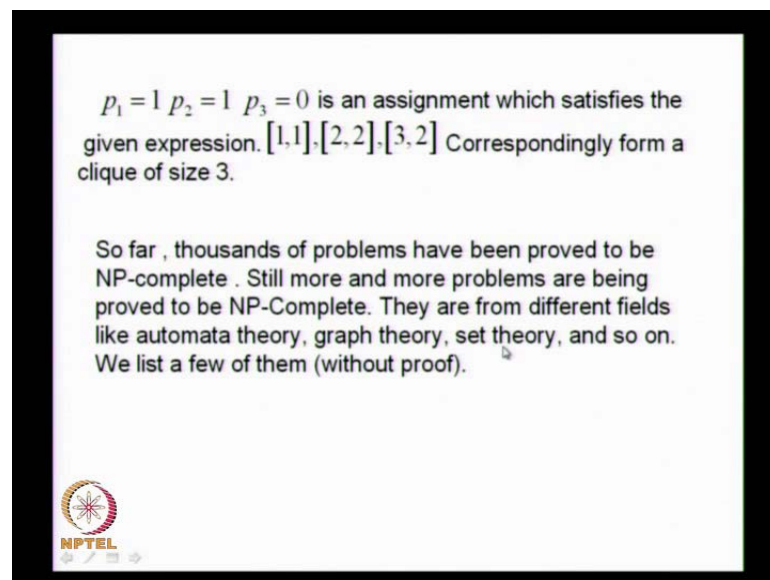
Let us illustrate the construction by an example suppose, the CNF expression is this p 1 or p 2 or p 3 and naught p 1 or naught p 3 and naught p 2 or naught p 3 corresponding to each literal, we have a vertex. So, for these 3, we have these 3 vertices the first component tells you that it belongs to the first clause. Now, there are two literals in the second clause. So, we have two vertices there are two literals in the third clause so, two vertices with first component three. Now, from p 1 there will be an edge to every literal corresponding to this or every vertex corresponding to the literal unless that is naught of p 1.

If p1 is there if it is naught p 1 there will not be an edge between this and this. So, the you defined that there is no edge between this and this so, there will be an edge between this and this, that is this and here p 1 does not exist at all. So, from p 1 there will be an edge to this, there will be an edge to this, that is from this there is an edge to this and there is an

edge to this similarly, from  $p_2$  there will be an edge to both this so, from this there is an edge here, there is an edge here and here.

We have naught  $p_2$ . So, there will not be an edge between this and this there is no edge, but there will be an edge between this and this. This is given by this like wise the address have been drawn. Now, you see that if  $p_1$  is equal to 1 this is an assignment  $p_1$  is equal to 1,  $p_2$  is equal to 1,  $p_3$  is equal to 0. It takes the value 1 this is evaluates to 1,  $p_3$  is 0, naught  $p_3$  evaluates to 1. So, this is 1 again  $p_3$  is 0, naught  $p_3$  evaluates to 1. So, this clause is true.

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So, you find that this and this form a clique of size three this. So, for thousands of problems have been proved to be NP-complete more and more problems are being proved to be NP-complete. They are from different fields some are from automata theory, some are from graph theory, some are from set theory and so on.

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Some NP complete problems

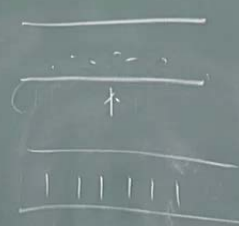
1. Vertex cover: Let  $G=(V,E)$  be a graph. A vertex cover of  $G$  is a subset  $S \subseteq V$  such that each edge of  $G$  is incident upon some vertex in  $S$ .  
Problem: Does an undirected graph have a vertex cover of size  $k$  ?
2. Hamiltonian circuit: A Hamiltonian circuit is a cycle of  $G$  containing every vertex of  $V$ .  
Problem: Does an undirected graph have a Hamiltonian circuit ?



Some of them are vertex cover problem in a graph, Hamiltonian circuit problem in a graph, set cover problem in set theory, regular expression inequivalence in automata theory. The three dimensional matching in set theory integer programming problem these are some of the problems, which have been proved to be NP-complete. So, I will just deviate and talk about the Busy Beaver problem which I give you.


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Busy Beavers



$T$   $n$  states  
+ Halt

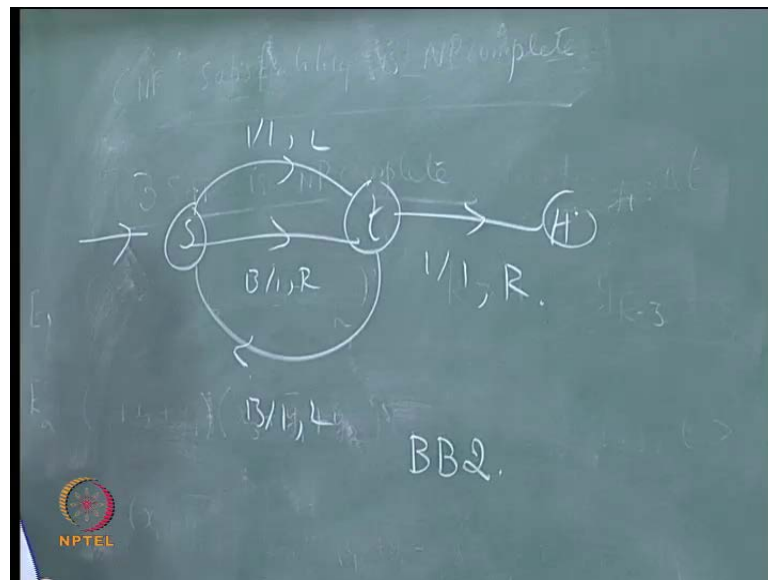
$\Sigma(1) = 1$   
 $\Sigma(2) = 4$



What is a Busy Beaver? There are slightly different versions given in different books. So, when you read a book it is slightly different you, I mean you may feel that, but the

original problem is given like this consider a Turing machine with  $n$  states and a halting state,  $n$  non-halting states and final halting state. If started on a blank tape what is the maximum number of 1's it will print and then halt, that is the known as this sigma is given by a function  $\sigma(n)$ ,  $\sigma(0)$  will be 0.  $\sigma(1)$  is 1,  $\sigma(2)$  is 4 the machine for that.

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We can have. ((C)) Two states and t this the initial state then a halting state this machine is the solution for BB2, the assignment I have asked you to construct BB3.

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The chalkboard displays the following values for the sigma function:

$$\begin{aligned} \sigma(1) &= 1 \\ \sigma(2) &= 4 \\ \sigma(3) &= 6 \\ \sigma(4) &= 13 \end{aligned}$$

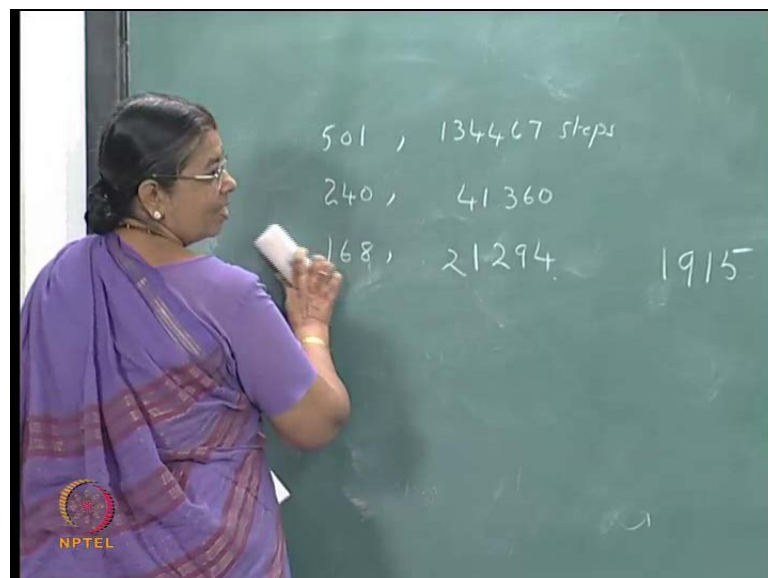


The value for that is sigma 3 is 6, sigma 4 is 13, sigma 5 start a computable function it is very difficult to find out. What is the value of sigma 5? Sigma 5 so, people we have to try out all possibilities and see what is this in fact in some books, the variation is slightly its slightly different the problem is specified as the tape alphabet is taken as 0, 1 and blank you start with 1 on the tape n 1's and the machine has n halting states plus 1 more state then, ultimately when it halt, how many what is the maximum number of 1's that is print slightly different version of this, but it computes the same function. right

Now, at one time there was a competition held for I mean, find out. What is the value of sigma 5? This is a something GA conference which was held in (C) in 1982 or 83 and they were given prizes (C) have to run a program and check this, these are something like Oscar prizes know, which the Beaver. What is a Beaver? Beaver is something like, ant which brings the twigs and builds something. So, the prizes were given as in terms of a statute its look like a beaver.

So, this first prize, second prize, third prize were given. So, the solution at that time was now, this sigma 3 is 6, but that machine makes 13 moves. Number of moves which it makes for printing is also important.

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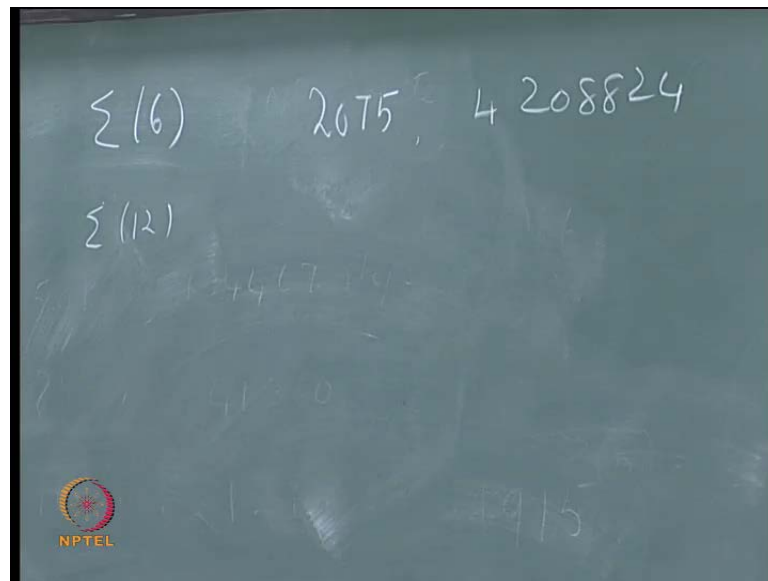


So, in that the first prize was won by a person (C) from Hamburg, he had 501 printed in 134467 steps and the second prize was 240 printed in 41360 steps and the third prize went to one second prize (C) from Baden Switzerland and another one is third prize is ((

168 ones with 21294 steps, but that is not the final one later on 84 or 85 with 5 states so, many ones were printed. I do not have the correct reference, but [Duedney 1984](#) or 85 there were 1950 ones were printed with 5 states.

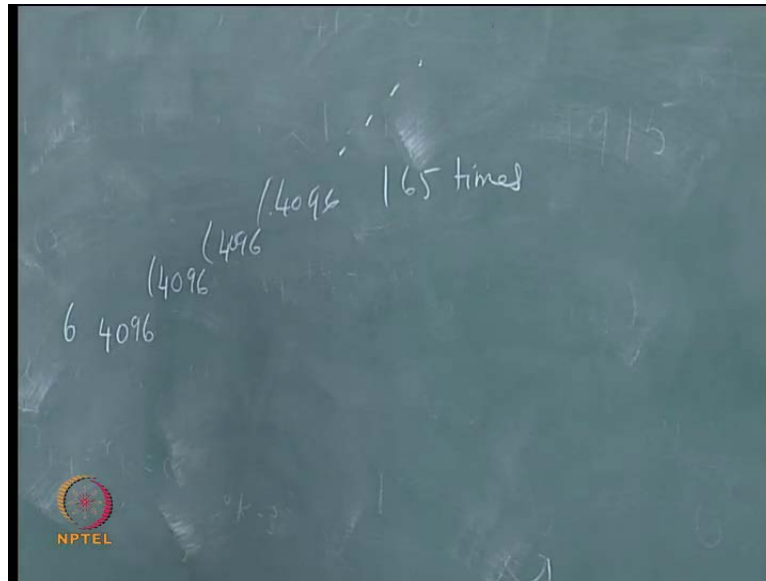
It is not compute if the function is grows very fast, it will not computable, like you know Ackermann function is computable it is a not primitive recursive because it grows very fast compared to any primitive recursive functions here.

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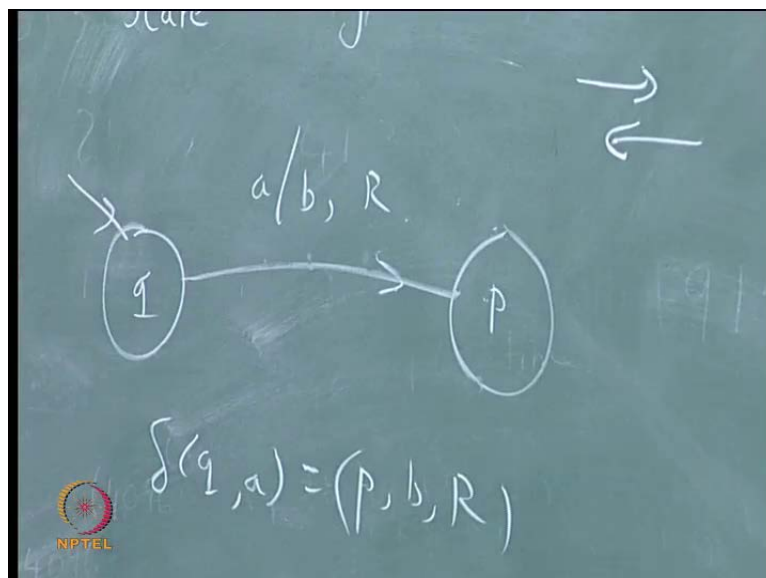
So, sigma 5 has so many values. What about sigma 6? At in 83 itself there was a machine which could print 2075 ones in 4208824 steps, later on it might have been improved at see at that time in 83 or so the interest was so, much on this finding out similarly, at one time trade off between the states and the symbols. State symbols of Turing machine was of very big importance people, many people were working at that time nowadays nobody works on that I think so, then sigma 12 apparently it is more than this at least there is one machine.

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Which has so many one's printed 6 times 4096 to the power of 4096 to the power of 4096 to the power of 4096 like that, this is 165 times in 83 they had a machine which could do this. So, it may be larger than this so, for twelve states itself number is very large that means, you can see that it is not a computable function it is very difficult to compute. So, this is a just a  $\langle \langle \rangle \rangle$  and regarding the state, I do a diagram of about state diagram of Turing machines.

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State diagram of Turing machines can be like this, from one state you go to another state the move can be written like this. That is  $\delta(q, a) = (p, R)$  the mapping can be written in this manner sometimes instead of  $R, R, L$  this symbol else also used to denote  $R, R, L$ , but this is in the recent books, recent book means books written after say 87, 88 the earlier books. Books which were written in the 70's self loops will be usually omitted.

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And the state will be the, state name will be written here and  $R, R, L$  will be written within the cell. Within the circle the earlier books, which are written in 60s and 70s the state diagram is denoted like this. And this state that means from  $q_0$  after reading  $a$  you rewrite it with  $b$  and go to  $q_1$  and move left.

When you go to  $q_1$  you move left, when you go to  $q_0$  you move right, this is the way I think is written and usually, if you just do not rewrite, but move right in the same state or move left self loops will be there, though self loops are usually not given in the diagram that is the convention followed in earlier books. Books which are written say the 70's and 60's. So, if you take a book see. What notation he is following? So, with this I stop.