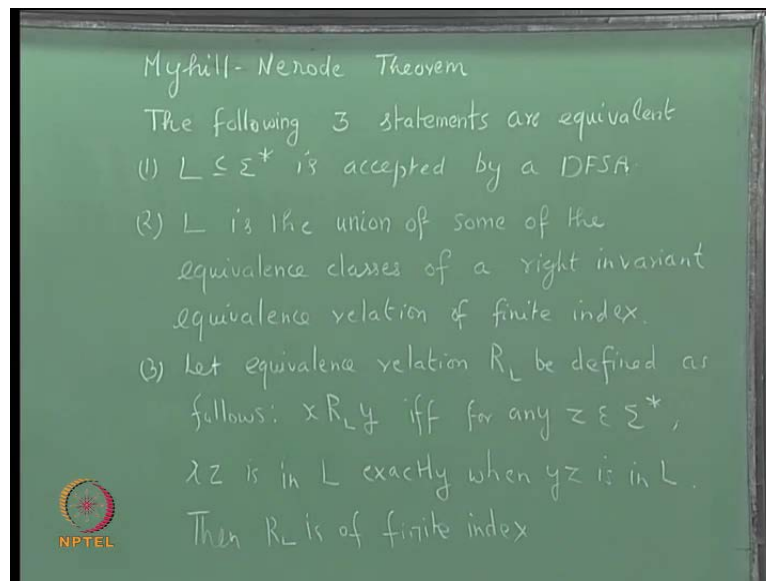


Theory of Computation
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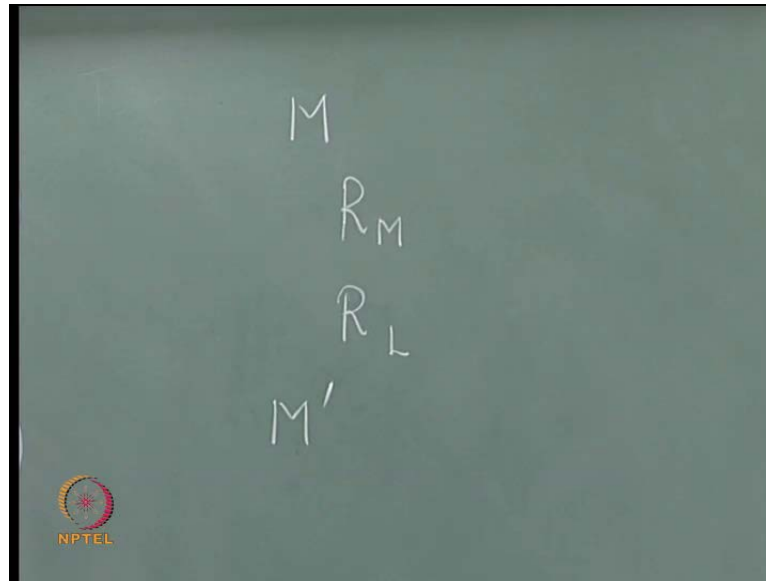
Lecture No. # 18
Minimization of DFSA

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We have been considering Myhill-Nerode theorem; let us go through the statement again. Myhill-Nerode theorem states like this. The following three statements are equivalent. L contained in Σ^* is accepted by a FSA, we can take as a DFSA. Second statement is L is a union of some of the equivalence classes of a right invariant equivalence relation of finite index. And third condition is that equivalence relation R_L be defined as follows. $x R_L y$ if and only if for any z in Σ^* xz is in L exactly when yz is in L , then R_L is of finite index. Now, we have proved this result by showing that 1 implies 2, 2 implies 3, and 3 implies 1.

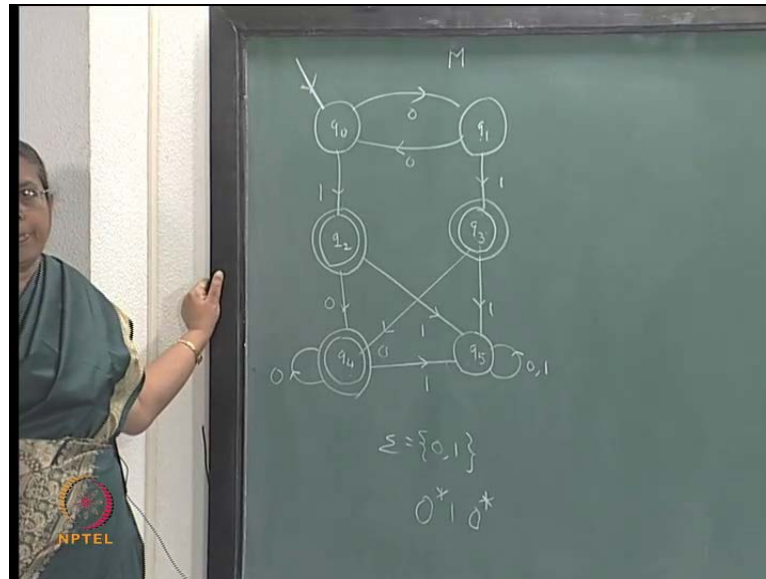
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So, actually we started with a machine M and defined an equivalence relation R_M on M . R_M was a finite index it is right invariant. And L is a union of some of the equivalence classes of R_M . Then we saw that if we take $R_L R_M$ will be a refinement of R_L . So, the index of R_L or a number of equivalence classes in R_L will be less than or equal to the number of equivalence classes in R_M . Or index of R_L will be less than or equal to the index of R_M . Because this is finite index this will be finite index.

And from this you constructed a DFA M' for a third proof. That is 3 implies 1 from the relation R_L you constructed a machine M' corresponding to each equivalence class of M . You had a string in M and equivalence class, which contains the empty string, is the it corresponds to the start state and if x is in L then an equivalence class corresponding to that will be a final state here that is what we read.

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Now, we illustrated that with one example let us take one more example here look at this state diagram it is a D F S A the alphabet is 0 1 here. Now, what sort of strings will be accepted by the machine q_2, q_3, q_4 are final states. So, starting from q_0 you can read any zeros and if you go to q_1 if you read a 1 you go to a final state. Then if you read some more zeros still you remain in a final state. But if you read 1 one extra you go to a non final state.

Once you go to q_5 you keep on remaining in the q_5 and no string will be accepted q_5 is a non final state. So, what sort strings will be accepted, any string having just exactly 1 1 if it does not have any 1 also it will not be accepted. If it has got 1 1 it will be accepted it can be followed by some zeros also. But if it has got two ones it will not be accepted 2 or more ones it will not be accepted. So, actually it corresponds to 0^*10^* it corresponds to this regular expression the language accepted corresponds to the regular expression 0^*10^* .

Now, if you consider this as A M by the machine M M induces an equivalence relation on Σ^* . What is that equivalence relation the set of strings which take you from q_0 to q_0 is 1 class. q_0 to q_1 is 1 class q_0 to q_2 is 1 class q_0 to q_3 is 1 class and so on.

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So, 1 class J_q I will write it as J_q or may be J simpler J is a equivalence class which contains a strings which take you from q to q .

What sort of strings will take you from q to q . 000000 will take you to q even number of zeros will take you to q . So, J contains the strings corresponding to 00^* even number of zeros. J_1 is a set of strings which take you from q to q_1 . What sort of strings take you from q to q_1 odd number of zeros 0 or 0000000 and so on. So, J_1 corresponds to 00^*0 I mean the. I am writing the instead of writing the language I am writing the regular expression for that.

And what is J_2 is a set of strings which take you from q to q_2 what sort of strings will take you from q to q_2 . 01 takes you and any even number of zeros followed a 1 will take you to q_2 . So, that can be represented by the regular expression 00^*1 . And J_3 is a set of strings, which take you from q to q_3 . What sort of strings will take from q to q_3 ? Odd number of zeros followed by a 1 . So, that will be 00^*01 and what is a equivalence class corresponding to q_4 that is set of strings which take you from q to q_4 J_4 . That is after getting an even number of zeros or an odd number of zeros you get a 1 and then you get 1 more 0 .

It can be followed by any number of zeros. So, J_4 corresponds to you can have odd or even number of zeros it does not matter. Then 1 , then at least 10 you can have any zeros

followed by a 1 and then at least 1 0 it can be followed by negative. J 5 is a set of strings which take you after reading 2 one b s you will go here in between you can read zeros also. So, it will be 0 star 1 then you can read some more 0 stars I mean some more zeros and another a 1. So, if you read number of zeros and get a 1 you will go here, then you can read zeros or you need not read zeros if you read a 1 one you will go here. Then after words you may read 10 or 1 does not matter.

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Now, look at the set of strings on sigma star they will belong to any 1 of this class take any binary string it will belong to one of these classes. For example, if we take 0 1 0 0 1 something like this to which class it will belong 2 one s means it will belong to J 5. If the string contains 2 one s or more it will belong to J 5 if it does not contain 1 it will belong to this if it contains only 1 one it will belong to 1 of them. If I take for example, this it will belong to this class. Now, what is L? L contains these three classes these three are the final states so L is a union of the equivalence classes corresponding to these three.

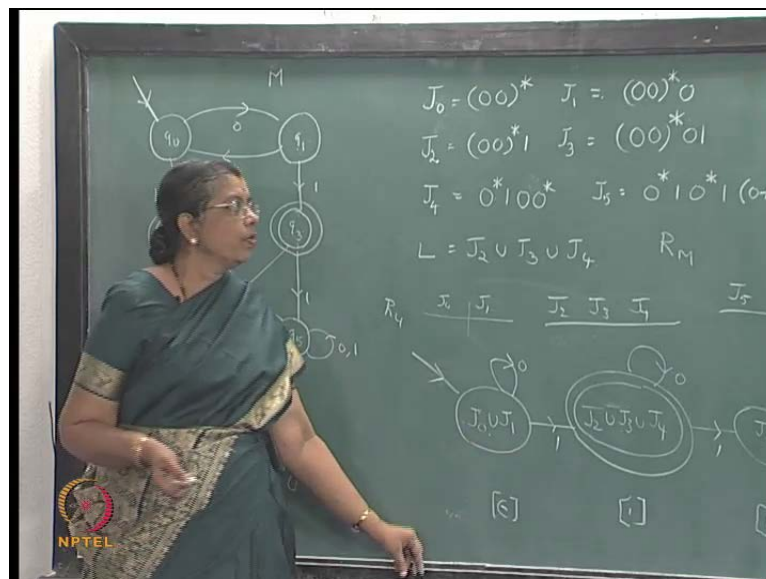
So, L is J 2 union J 3 union J 4. Now, according to R M that is this is a machine M and we have defined R M as set strings which take you from initial state to a particular state. The each 1 corresponds to a equivalence class. So it has got 6 equivalence classes like this and R language is the union of three of them. Now, consider R L R L will be a refinement of I mean R M will be a refinement of R L. So, some of these equivalence classes can be merged in R L in R L somehow the equivalence classes can be merged. See which of them can be a merged. You see if you take a string from J naught or J 1 it will be of the form a sequence of zeros.

If you take a string which belongs to the equivalence class for this or J naught and if you take a string from J 1 they are sequence of 0 whether it is odd or even is the difference. If it is followed by a string, which has 1 one you will accept it. See I take 2 strings x y 1 belongs to J naught another belongs to J 1 that means x is even number of zeros y is odd number of zeros. If I take a z like this then if z contains only zeros it will not be accepted. Whether it is x z or y z both will not be accepted if z contains just 1 one both of them will be accepted. If z contains two ones or more one s both of them will not be accepted.

So, whatever may be z xz and yz will both be accepted or both be rejected is that clear. So, you can in R_L if you consider R_L , J_{naught} and J_1 you can put in the same equivalence class. And what about $J_2 J_3 J_4$ suppose I take 1 string from, I will consider J_{naught} and J_2 now J_{naught} and J_2 . x belongs to J_{naught} y belongs to J_2 that means x is a string of zeros alone y contains 1 one. Now, if I take z and I take z to be 1 xz will be accepted because it has 1 one yz will not be accepted because it has got 2 one s. So, xz can be accepted yz need not be accepted will not be accepted. And I choose z in such a way that 1 is accepted the other is not accepted.

So, that does not satisfy the condition of R_L is not it. So, J_{naught} and J_2 cannot be in the same equivalence class of R_L you cannot merge. Similar argument holds for J_{naught} and J_3 J_{naught} and J_4 J_1 and J_2 and so on you cannot combine any of this with this.

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But look at J_2 and J_3 J_2 and J_3 take a string x and y . x has 1 one y has 1 one so if you take z , if z has zeros alone both xz and yz will be accepted. If z contains 1 one both 1 one or more one s xz and yz both will not be accepted. So, whatever way you choose that either xz and yz will both be accepted or xz and yz will not be accepted. So, they will be in the same equivalence class a similar equivalence similar argument you can give for J for also. So, actually in R_L $J_2 J_3 J_4$ will be merged.

And J 5 is of course, at if you take 1 string from J 4 and J 5 say for example, J 4 if you take and J 5 if you take, take x and y. This has got 1 one this has got 2 one s or more one s if I take z such that z is equal to 10 x z still has 1 10 y z has more zeros so x z will be accepted y z will naught be accepted. So, you cannot set of put J 4 and J 5 in the same equivalence class of R L they will be different.

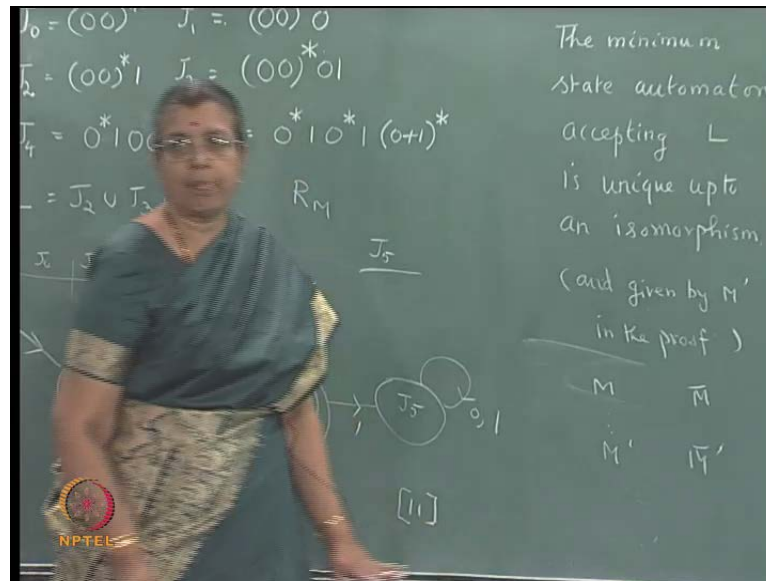
So, J 5 forms a separate class to all together. So, according to R L there are only 3 equivalence classes. Strings which do not have one s strings which have 1 one s string which have 2 or more one s. So, if you put them as a J naught union J 1 J 2 union J 3 J 4 J 5, and epsilon will belong to J naught the epsilon belong to this that will be the initial state. And J 2 J 3 J 4 contains the final states or a strings in them are accepted so that will be the final state. The transition diagram will be like this, as long as you read 10 you are here if you read 1 you go here if you read 1 more 1 you go here.

(No audio 18:12 to 18:25)

How did I get this transition diagram? By the way you have define see this has epsilon in that. Actually epsilon is the equivalence class containing epsilon is this. The equivalence class containing, 1 is this the equivalence class containing 2 one s is this. So, what is the equivalence epsilon concatenated with a I am sorry 0. That is again here now the same equivalence class so this R goes here. What is epsilon concatenated with 1? One that equivalence classes this so this R goes here and with 1 if you concatenate 0 1 0 to which equivalence class 1 0 belongs it will be here. So, 0 goes here if you concatenated with 1 and 1 one 1 belongs to this class so arc 1 goes here.

1 one 0 belongs this 1 one 1 also belongs to this class so that R goes like that. This is the way we have constructed the minimum state this is the minimum state automaton. This is also a deterministic automaton this is also a deterministic automaton, but this is the minimum state automaton.

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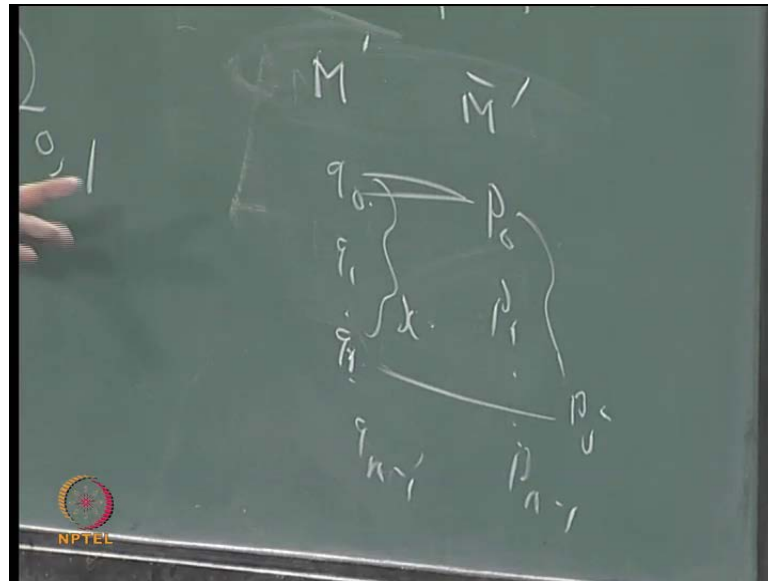


Ok, so you have the result the minimum state automaton, accepting a language a regular set up is unique up to an isomorphism. And actually it is given in the theorem and given by M dash in the proof in the proof of Myhill-Nerode theorem. So, in the Myhill-Nerode theorem is started with M and N constructed M dash for $R L$.

And that gives you the minimum state automaton. What is an isomorphism? Renaming updates instead of calling them as like this I can call it as some q naught $q L$ or P naught $P 1 P 2$ something like that that is what it means. Now, how are you justified in saying that how are you justified in saying this. First of all in the theorem if you look you started with L accepted by M constructed the relation $R M$ and we constructed $R L$ and then M dash this is the way the proof find. Now, we saw that $R M$ is a refinement of $R L$ so the number of equivalence classes in $R L$ is less than R equal to the number of equivalence classes in $R L$.

So, any $d F a$ if you start with you can reduce and have machine corresponding to $R L$. And if two have the same number of states, you can say so one has same number of states. Suppose I start with this I start with M another one say $M M$ bar say then I reduce according to $R L$ and get M dash here M bar dash both are $R L$ for L . So, actually they will be the they will have same number of states, which is equal to the number of equivalence classes of $R L$. Now, you can identify each 1 of them.

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I have 2 automaton M dash and M bar dash this has got q_0 to q_{n-1} say this has got p_0 to p_{n-1} . Now, the initial states correspond to each other and if a string x you from q_0 to q_1 another string x will take you from p_0 to some p_j . And q_j corresponds to p_j , like that each state can be identified with another state. So, that will be this identification is consistent your **identif[ier]**- your making a correspondence between the states of M dash and M bar dash. And this is consistent because they are all depending due to the equivalence classes of R L. So, the minimum state automaton is unique up to an isomorphism.

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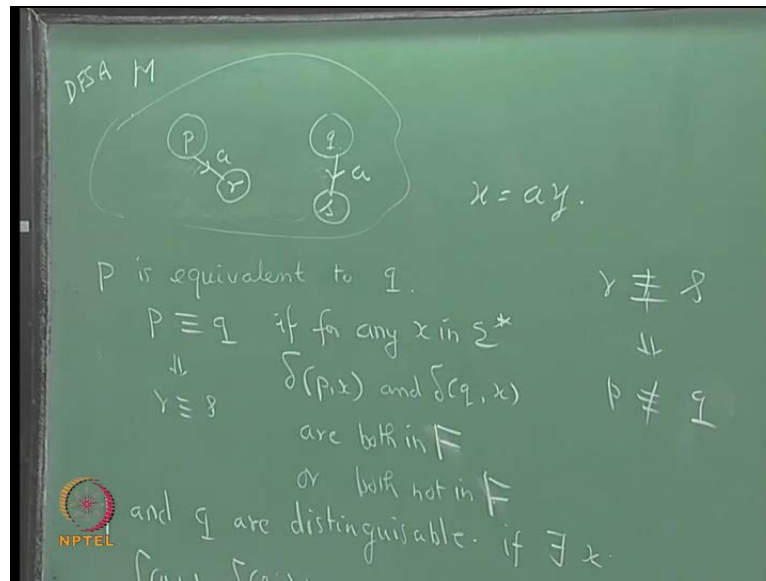
P is equivalent to Q .
 $P \equiv Q$ if for any x in Σ^*
 $\delta(P, x)$ and $\delta(Q, x)$
 are both in F
 or both not in F
 P and Q are distinguishable. if $\exists x$.
 $\delta(P, x) \in F$ $\delta(Q, x) \notin F$ or vice versa x is called
 the distinguishing string.

Now, we learn a few more definitions, I will use that example again. Now you see that you are given DFSA M and in that you are having two states P q two states. You are having in the diagram state diagram of M you say P is equivalent to q . You say you define like this you say P is equivalent to q or you write as $P \sim q$ if for any x in Σ^* underlying alphabet. $\delta(P, x)$ and $\delta(q, x)$ are both in L are both not in L . This is why how we defined $R \sim L$ similar to defining $R \sim L$. Two states P and q are equivalent if you take any string starting from P after reading x you go to state starting from q after reading a particular string you go to state another state.

Both of them should be final states or both of them should be non-final states or are both in L both in F or both not in F final state. $\delta(P, x)$ is a state that is what this meant. Starting from P after reading a string x you go here starting from q after reading x you got to another state both of them should be final states or both of them should be non-final states for any x . Then you say P and q are equivalent. (No audio 26:30 to 26:40) P and q are distinguishable, if there exist a x if there is a x such that $\delta(P, x)$ and $\delta(q, x)$ if we consider.

This may belong to F and this does not belong to F 1 of them may belong to F other may not belong F or vice versa. This may belong to F this may not belong to F if there is a string x which takes you from P to a final state. But takes q takes you from q to a non-final state or the other way around then the states are distinguishable. And x is called a distinguishing x is called the distinguishing sequence x is called a distinguishing sequence.

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Now, look at this example, you take q naught and q 1. If you get 1 or if you get string which has one one it will take you to final state. From both otherwise it will take you to a non-final state. So, any string x you take either it will take you from q naught any string x if you take either it will take you to a final states.

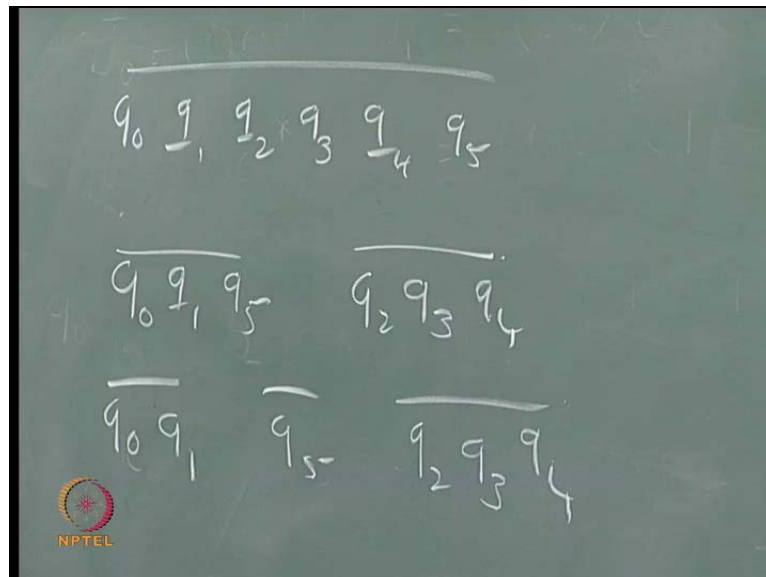
Starting from q naught or q 1 or it will take you to (()) so they are equivalent. Similarly if you consider q naught and q 2 they will not be, from q naught if you get a 1 you go to a final state. From q 2 if you get a 1 go to a non-final state. So, 1 is the distinguishing sequence here q naught and q 2 are distinguishable and, 1 is the distinguishing sequence. Now, coming back here P is equivalent to q whenever you if you take any string x it should take you to a final state and this will take you to a final state. Now, I have 1 symbol suppose some a it takes meet to state R. The same a from q it takes you to s. Now, if P and q are equivalent what can I say about R and s?

They have to be equivalent any string takes you to a final state or takes you to a non-final state in both cases. So, if it begins with a it will go to R and then it will be followed by the x you are writing as some a y that is all. So, if a y is x from P if a y is accepted from q a y will be accepted that is from R y will be accepted from s y will be accepted. So, R and s will be equivalent, so if P is equivalent to q you would imply R is equivalent to s. The other way around if R is not equivalent to s or controversy if R is not equivalent to s

there is a distinguishing sequence which takes you in one case to a final state in another case to a non-final state.

That means that string y which takes you in one case to a final state in another case to non-final state. Consider a y in one case it will take q_2 a final state and 1 case it will take you to a non-final state. So, if R and s are distinguishable P and q will be distinguishable. So, the other way around R are distinguishable I am writing it not equivalent that would imply P and q are distinguishable. So, this is the concept so we have seen in this case or it is a class equivalence class how we can group the equivalence class. And so on so for every example we cannot do that to get minimize minimum state automaton.

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The shortcut is like this are with have all of them q_0 q_1 q_2 q_3 q_4 q_5 in 1 block. Then separate them into 2 blocks having final and non-final states. So, q_0 q_1 q_5 the possibilities these can be equivalent then you keep on separating them so that only equivalence **equivalence** state come in 1 block. You partition you are going to partition q_2 q_3 q_4 this is 1 block why? Epsilon takes you from this to the final state; epsilon takes you from this to a non-final state. So, if we take 1 from this 1 from this they are distinguishable epsilon is the distinguishing sequence.

These are the final states these are the non-final states. And epsilon takes you from each 1 of them to a final state epsilon take you from each 1 of them to a non-final state. So,

they are distinguishable so this is a first separation. Now, look at this $q_2 q_3 q_4 q_2 q_3 q_4$, after getting a 0 from q_2 or q_3 or q_4 where do you go q_4 the next state is the same in this in this example it is so happen it is the same. So, after going to q_4 either it will be accepted or not accepted and so on is not it and this is a final state. And after reading a 1 where do you go from all 3 states you go to the same state.

So, further (()) of this is not possible it is not possible to further divide this block. Look at this block $q_{\text{naught}} q_1 q_5$, from q_{naught} or q_1 if you get a zero where do you go to the same block q_{naught} or q_1 . From q_5 if you get a zero you go to q_5 same block so from q_{naught} or q_1 or q_5 what are the zero successes. Zero successes means the states which you go after reading a 0 so they that is $q_{\text{naught}} q_1 q_5$ only they are in the same block. So, nothing happens now look at 1 look at the 1 success what are the 1 success? Of $q_{\text{naught}} q_1 q_5$ q_{naught} and q_1 the 1 successor are q_2 and q_3 for q_5 it is this.

So, they are in different blocks $q_5 q_2 q_3$ they are in different blocks so you have split them you split them $q_2 q_3$ and q_5 are distinguishable. So, $q_{\text{naught}} q_1$ should be distinguishable from q_5 . Is that clear $q_2 q_3 q_4$ now we have seen that this cannot be further divided this is a single state. Now q_{naught} and q_1 look at q_{naught} and q_1 what are the zero successes q_{naught} and q_1 the same block, what are the 1 successes q_2 and q_3 they are in the same block. So, further division not possible. So, you get only 3 states these 2 states can be grouped together they are equivalent q_{naught} and q_1 are equivalent.

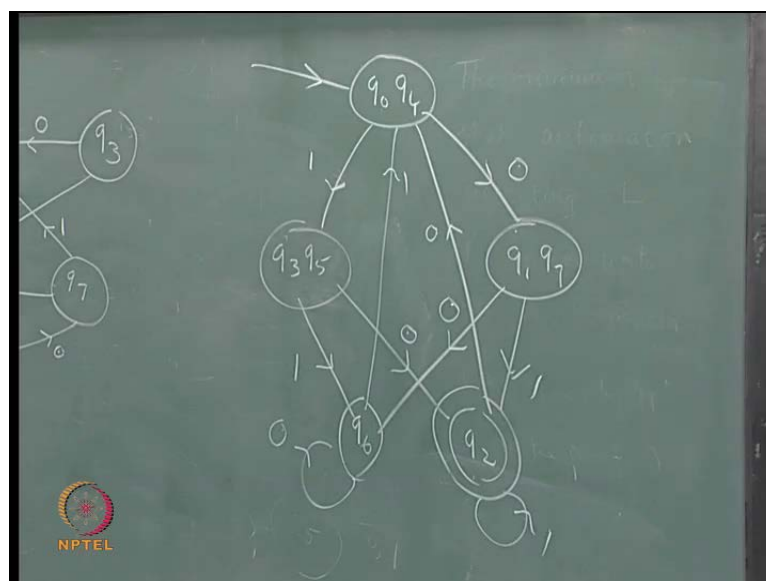
You can group them together $q_2 q_3 q_4$ or equivalent you can group them together this a idea.

here is not possible look at this q naught q 1 q 4 q 6 q 7. What are the zero successes of them q naught q naught q 1 q 1 is q 6 in the same block q 4 is q 7 the same block q 6 same block q 7 the same block. But consider the one success what are the zero successes of q naught q 1 etcetera. The one successor of q 1 and q 7 are in one block. The one successor of q naught and what is q 4 q 4 are here in this block. The one successor of q 6, is here. See the one successor of q naught and q 4 they are in one block.

So, q naught and q 4 you can split the one successor of q 1 and q 7 are in this block. q naught q 4 the one successor of q 6 is in one block q 6 q 3 q 5 so this is split in to this like this. Now, you have to see this for this no for this split is possible these are single states. So, q naught and q 4 q 1 and q 7 whether for the split is possible you have to see q naught and q 4 what are the zero successes. q 1 and q 7 they are in the same block what are the one successes same state q 5.

So, at this stage you cannot separate them out q 1 and q 7 see whether they can be separated q 1 and q 7 what are the zero successes? The zero successes are 6 q 6 and one success are q 2 same state. So, you cannot split further so further split is not possible in this case, so the minimum state automaton will have 5 states where q 3 and q 5 will be merged q naught and q 4 will be merged q 1 q 7 will be merged and so on so if you draw the diagram for minimum state automaton.

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(No audio 44:39 to 44: 50) q_0 will be 1 state, q_3 will be one state, q_1 will be one state, q_6 will be one state, q_2 will be one state. Which will be the initial state the 2 1 2 which q_0 belongs so this is the initial state q_2 is the only final state. Now, draw the transitions q_0 is q_1 q_4 0 is q_7 . So, if you get a 0 you go to this from here if you get 1 q_0 is q_5 q_4 1 is q_5 .

So, this get 1 you go here from this q_3 q_5 q_3 q_5 . If we get a 0, you go to q_2 . If you get 1, you go to q_6 from q_1 q_7 ; if you get 1, you go to q_2 ; if you get a 0, you go to q_6 . From q_6 is to get a 0 you go to q_6 if you get 1 you go to q_4 from q_2 if you get 1 you go to q_1 if you get a 0 you go to q_0 . So, this is the minimum state automaton corresponding to this. So, given any machine we can find the minimum state automaton in a systematic manner. The idea of these distinguishing sequences is very useful when you apply the idea of final state automata to computer networks.

You expect the system to be in a particular state. The whole network you are you it is in a particular state after certain things happen. Some messages and from 1 to another or some from this to another and so on tell be the whole system will be in a another state. Then after some certain things happens it will be an another state. So, the state of the status of a network can be represented as a state of a final state automaton. And you start with some initial configuration, then after something you expect it to be in a particular state. You expect the whole system to be in a particular status.

But you do not know whether that will happen or not by experimenting you find that it may go to a different thing so you want to check. So, for that you have to give proper inputs and check whether what is happening to the system whole network. Send messages from one to another or send package from this to other and so on. But what is that you are going to do what sort of thing you are going to do how many times you have to test. That really corresponds to you have to find out the distinguishing sequences. If you represent it as a network from one state to another which is the distinguishing sequence.

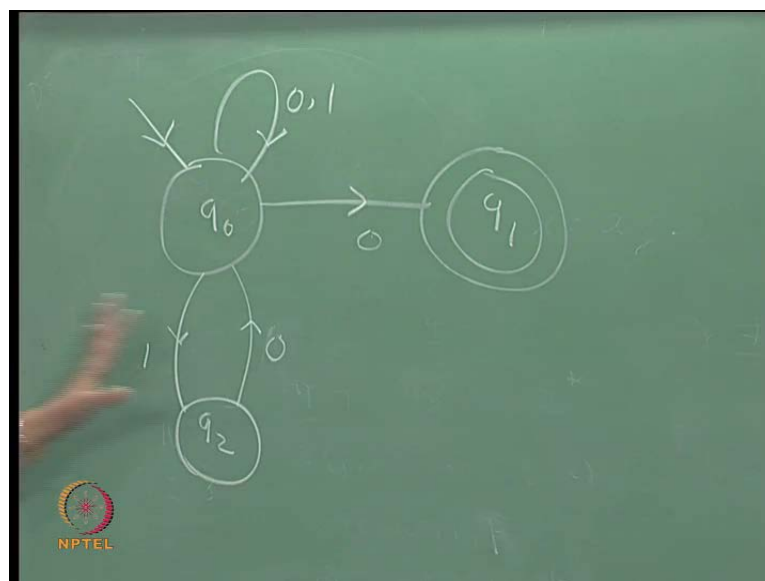
And we have to experiment only for those distinguishing sequences. We need not have to go through everything only for those distinguishing sequences you have to find out whether the system behaves as you want it to be or it is behaving in a different way. So, me sort of that idea it s what I am telling you may not be the exact thing. But a similar

idea is used in for finding out whether the computer network the system behaves in a way you want it to behave or it behaves in a different way. And puts your into trouble ok. So, lots of finite state automata ideas are used in that context.

Now having then the minimization of deterministic automata we have considered deterministic finite state automata. And minimized in fact if you remember what we did in the earlier classes. We considered a non-deterministic FSA having two zeros in a middle or having two one s in the middle and then constructed the DFSA that was not difficult. But the strings yeah that we ended up with nine states or something like that by subset construction. But the nine state can be minimized and you get only 4 states and those with ended with 2 zeros or ended with 1 2 one s again we constructed.

And we got the minimum at that end the construction itself gave you the minimum state automata. But the subset construction as it is may not give you the minimum state automaton, but you can minimize that. So, what we did is from the non-deterministic F s a, you constructed the deterministic FSA, which had many states and then you can minimize that using this procedure. You will get the minimum state automaton. Now, given a non-deterministic FSA can you apply this procedure? Can you apply the equivalent states and merge the something let us see it is not possible really.

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So, let us see this example, q naught.

(No audio 51:01 to 51:48)

See what is a language accepted by this machine it is a non-deterministic machine because from q_{naught} if you get a 10 or 1 you can go here. From q_{naught} if we get a 0 you have can go here or here from q_{naught} if we get 1 you can go here or here. So, it is a non-deterministic FSA. What sort of language will be accepted this is a final state? So any string ending with a 10 will accepted any binary string ending with a 10 will be accepted. Now, we if you try to minimize this automaton q_{naught} and q_1 it is a final state this is not a final state they cannot be equivalent. And among this q_{naught} takes you to the final 0 takes you from q_{naught} to a final state from here to a non-final state.

So, these two cannot be equivalent. If you applied try to apply the minimization procedure find out which states are equivalent this being a final state cannot be equivalent to any of them. And these two 0 takes you from here to a non-final state from here to here final state. Because the 0 takes you from here to q_{naught} and give one two possibilities are there. But anyway they are not equivalent they are not equivalent. So, you cannot minimize this but, is this the minimum state non-deterministic FSA, no this is not at all necessary. The minimum state n FSA, is just this.

So, this minimization procedure does not work for non-deterministic FSA, it will not work so this is about minimization of DFSA. Next we have to consider final automata with output which we have earlier considered in the first class like a serial adder parity checker and so on. So, in that case also we can have a set of minimization procedure. But what you would like to consider is how we define the output does that output depend on the state alone or this output depend on the state and the input.

We have to check depending upon that we call it as a Moore machine or mealy machine this will shall consider in the next lecture yes. (()) State q_3 is not reachable from q_{naught} that is correct. So, before you start the minimization procedure you can remove the inaccessible states. But in this case q_3 merges with q_5 , but q_5 is reachable so it does not create any problem starting from q_{naught} q_3 will not be reached, but q_5 will be reached.