

Cloud Computing and Distributed Systems
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Lecture – 11
Global State and Snapshot

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Preface

Content of this Lecture:

- In this lecture, we will discuss about the Global states (i.e. consistent, inconsistent), Models of communication and Snapshot algorithm i.e. Chandy-Lamport algorithm to record the global snapshot.

Cloud Computing and Distributed SystemsGlobal State and Snapshot

Global State and Snapshot Recording Algorithms. Content of this lecture. We will discuss global states, models of communication snapshot algorithms. Especially, we will focus on Chandy-Lamport's algorithm for recording of a global state.

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Snapshots

Here's Snapshot: Collect at a place



Distributed Snapshot
How do you calculate a "global snapshot" in this distributed system?
What does a "global snapshot" even mean?



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Snapshots. So, we have seen here in this particular picture that all the head of the nations, they have collected at one place and snapshot was taken up. Idea is that, everyone has to come and gather at one place. Whereas, we will see on the right side, the concept of a distributed snapshot, without coming at one place, how can you take the snapshot that is called a distributed snapshot.

So, snapshot in a distributed system that all, that scenario is also there in the cloud system, how this snapshot that is called a global distributed snapshot is taken up in such a scenario. So, this example is a geographically distributed cloud, which is nothing but a distributed system. And the distributed snapshot is a challenge herel and this is the topic for our discussion. So, what does this global snapshot even mean all these things we will explore.

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In the Cloud: Global Snapshot

- In a cloud each application or service is running on multiple servers
- Servers handling concurrent events and interacting with each other
- The ability to obtain a “global photograph” or “Global Snapshot” of the system is important
- Some uses of having a global picture of the system
 - **Checkpointing**: can restart distributed application on failure
 - **Garbage collection of objects**: objects at servers that don't have any other objects (at any servers) with pointers to them
 - **Deadlock detection**: Useful in database transaction systems
 - **Termination of computation**: Useful in batch computing systems

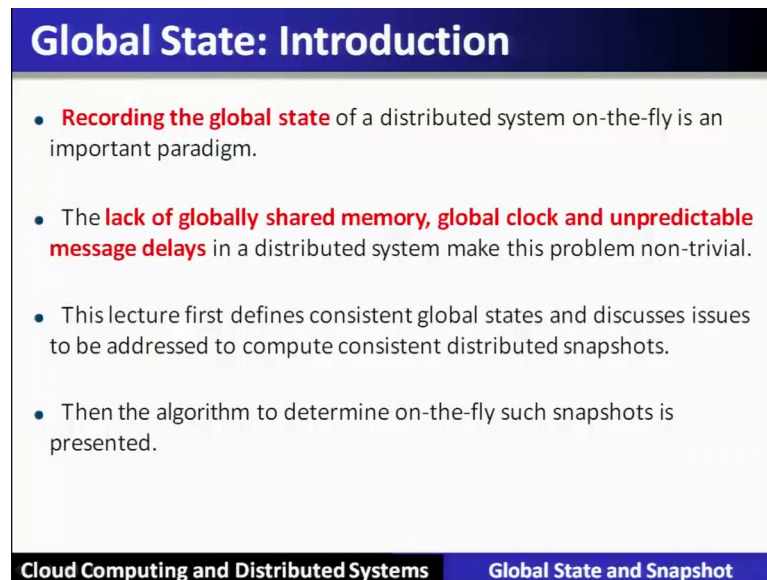
Cloud Computing and Distributed Systems Global State and Snapshot

So, in a cloud, each application or a service is running on multiple servers and the servers handling the concurrent event and interacting with each other. So, the ability to obtain the global snapshot of the entire system is important because of the following applications.

For example, sometimes check pointing requires a global snapshot or a global picture of the system. So, if a checkpoint is available, and if there is a failure, then system can restart without much lost that is called check pointing requires, the input of a global snapshot. Similarly, the garbage collection of the objects to find out about the objects, which are not even pointed or not being used by the other servers, they are to be removed off that also requires the global snapshot.

Deadlocks; in such a system is also useful that can only be done or that can only be analyzed, if the global snapshot of the entire system is available. Similarly, in a batch computing system, the termination of the computation is important and that can be analyzed from the input of a global snapshot.

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Global State: Introduction

- **Recording the global state** of a distributed system on-the-fly is an important paradigm.
- The **lack of globally shared memory, global clock and unpredictable message delays** in a distributed system make this problem non-trivial.
- This lecture first defines consistent global states and discusses issues to be addressed to compute consistent distributed snapshots.
- Then the algorithm to determine on-the-fly such snapshots is presented.

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So, to understand, this let us see some of the preliminaries and some definitions, then only we will see the recording of a global snapshot algorithm. So, recording the global state of a distributed system on-the-fly, that means, without stopping the system, how the snapshot is to be taken is an important paradigm.

Now, the challenge over here is that the model of a distributed system that is the geographically distributed cloud system lacks a global shared memory, and also a global common clock and it also has the messages, which have the finite, but unpredictable delays making this particular problem of global snapshot a non-trivial problem. So, as I told you that we will first build up the basics, to understand the global snapshot problem. And then, we will see the algorithm of global snapshot or snapshot recording global state recording.

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System Model

- The system consists of a collection of n processes $p_1, p_2, \dots, p_n$ that are connected by channels.
- There are no globally shared memory and physical global clock and processes communicate by passing messages through communication channels.
- C_{ij} denotes the channel from process p_i to process p_j and its state is denoted by SC_{ij} .
- The actions performed by a process are modeled as three types of events: Internal events, the message send event and the message receive event.
- For a message m_{ij} that is sent by process p_i to process p_j , let $send(m_{ij})$ and $rec(m_{ij})$ denote its send and receive events.

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System model. So, the system consist of the collection of n processes p_1 to p_n , we assume that they are all connected by the channels. We also assume that there is no globally shared memory; we also assume that there is no global common physical clock. Therefore, the processes communicate only by the means of messages using communication channels.

Let C_{ij} denotes the channel from a process i to j ; and that is denoted by state of a channel SC_{ij} . The actions performed by the by the process are modeled as three different types; internal events, message send event, and message received events. For message m_{ij} that is sent by a process i to process j , let $send\ m_{ij}$ be the send of an event; similarly, $receive\ m_{ij}$ denotes the receive of an event.

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System Model

- At any instant, the state of process p_i , denoted by LS_i , is a result of the sequence of all the events executed by p_i till that instant.
- For an event e and a process state LS_i , $e \in LS_i$ iff e belongs to the sequence of events that have taken process p_i to state LS_i .
- For an event e and a process state LS_i , $e \notin LS_i$ iff e does not belong to the sequence of events that have taken process p_i to state LS_i .
- For a channel C_{ij} , the following set of messages can be defined based on the local states of the processes p_i and p_j

Transit: $transit(LS_i, LS_j) = \{m_{ij} \mid \underbrace{send(m_{ij}) \in LS_i}_{\checkmark} \wedge \underbrace{rec(m_{ij}) \notin LS_j}_{\checkmark}\}$

→ Transit

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At any instant, the state of a process p_i is denoted by LS_i , is a result of the sequence of all the events executed by p_i , till that instant. So, for an event e and a process state LS_i , e is an LS_i , if e belongs to the sequence of events that have taken in the process p_i to the state of LS_i . For event e and a process state LS_i , is not in LS_i , if e does not belong to the sequence of event, which has taken place in a process to their state. Similarly, for a channel C_{ij} , the following set of messages can be defined based on the local state of a process.

So, the state of the channel is called transit. If given two different states of two different processes i and j , there exist a message m_{ij} . Such that the send of that particular message is part of the state of a channel i . And the received of m_{ij} is not recorded here in LS_j that means, the messages message is in the channel or that is called in the transit.

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Consistent Global State

- The global state of a distributed system is a collection of the local states of the processes and the channels.
- Notationally, global state GS is defined as,
$$GS = \{ \bigcup_i LS_i, \bigcup_{i,j} SC_{ij} \}$$
- A global state GS is a consistent global state iff it satisfies the following two conditions :
 - ✓ **C1:** $send(m_{ij}) \in LS_i \Rightarrow m_{ij} \in SC_{ij} \oplus rec(m_{ij}) \in LS_j$
($\oplus$ is Ex-OR operator)
 - ✓ **C2:** $send(m_{ij}) \notin LS_i \Rightarrow m_{ij} \notin SC_{ij} \wedge rec(m_{ij}) \notin LS_j$

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Consistent global state definition. So, a global state of a distributed system is a collection of the local state of the processes and the corresponding channel. Notationally, global state GS is basically the collection of the local state of all the processes that is the union of LS_i 's; and the union of state of channel SC_{ij} 's.

So, the global state the GS , which we have just defined is a consistent global state, if an only satisfies two conditions; C 1, and C 2. C 1 says that if the send of a particular message m_{ij} is in the state of a channel state of a local channel i , this implies that the message is in state of a channel or it is received by a process. So, this is an exclusive or operation. Similarly, if the message is not in the any of the local states, this will imply that neither it is in the state of a channel, nor it is received at any of the local states that is then only it is called a consistent state.

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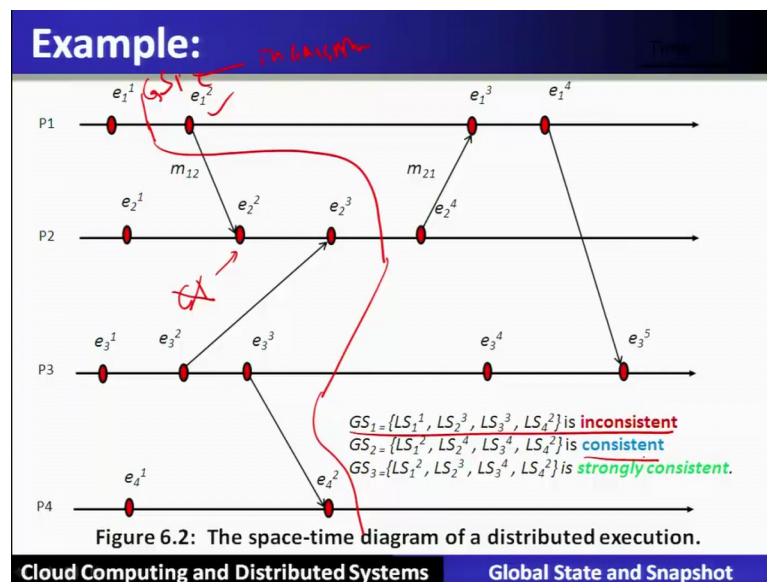
Global State of a Distributed System

- In the distributed execution of Figure 6.2:
- A global state GS_1 consisting of local states $\{LS_1^1, LS_2^3, LS_3^3, LS_4^2\}$ is **inconsistent** because the state of p_2 has recorded the receipt of message m_{12} , however, the state of p_1 has not recorded its send.
- On the contrary, a global state GS_2 consisting of local states $\{LS_1^2, LS_2^4, LS_3^4, LS_4^2\}$ is **consistent**; all the channels are empty except c_{21} that contains message m_{21} .

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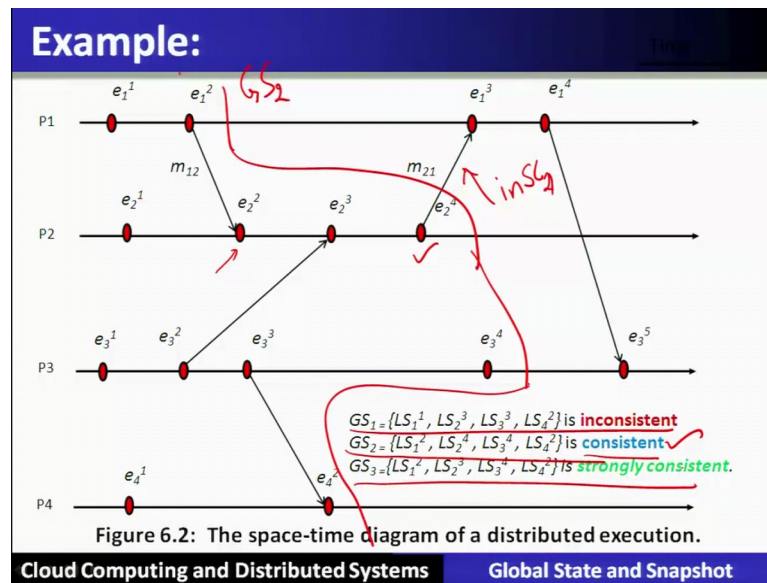
We will see the example of a local state, which is inconsistent that means, it does not follow the property C 1 and C 2. Let us see here in this particular example.

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So, global state 1 is inconsistent. Let us figure out in this particular diagram, where is local state 1, that is 1 that is p 1 up to 1; here this is line. Then for p 2 up to 3, this is the line; then 3 and 3, this is the line and 4 and 2, this is the line. So, this is a global state 1 and this is inconsistent. Why, because of this particular message is recorded as I received, but it does not recorded as the send, so that means, C 1 is violated.

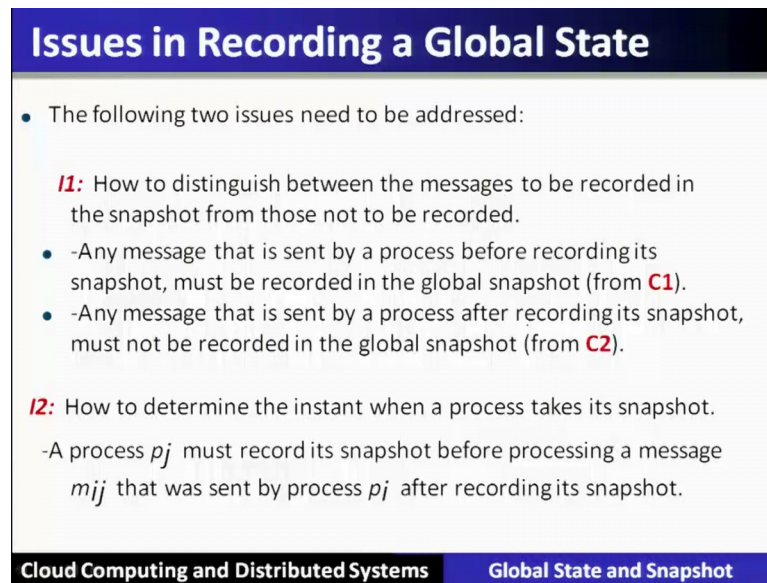
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Now, we will see another one, which is called consistent that is LS, LS 1 of 2, this; then LS 2 of 4, this; then LS 3 of 4 this, and this one. This is the consistent global state. You can see here, the send of message is recorded, but the receive is not recorded that is fine, but send is recorded. So, this is called consistent global state. So, this message must be in the in the state of a channel that is 2 1.

Similarly, there is another global state, which is called GC GS 3; and this is called strongly consistent. Let us trace 3 2, and then 3 4, and then this is the 2. So, you just see that all the messages, which are sent is being received. So, there is no message, which is in the transit. Hence, it is called strongly consistent global state.

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Issues in Recording a Global State

- The following two issues need to be addressed:
 - I1:** How to distinguish between the messages to be recorded in the snapshot from those not to be recorded.
 - -Any message that is sent by a process before recording its snapshot, must be recorded in the global snapshot (from **C1**).
 - -Any message that is sent by a process after recording its snapshot, must not be recorded in the global snapshot (from **C2**).
 - I2:** How to determine the instant when a process takes its snapshot.
 - -A process p_j must record its snapshot before processing a message m_{ij} that was sent by process p_i after recording its snapshot.

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So, let us see the definitions, we have already understand through the diagram and examples. So, global state is transitness that means, there is no message in the channels that means, all the channels are empty that means, whatever message is sent, they are being received. If that is the condition, then that state is called strongly consistent state.

Now, let us see the issues in recording of a global state in a distributed systems. So, the first issue I 1 says that how to distinguish between the messages to be recorded in a snapshot from those, which or not to be recorded. So, that means, any message that is sent by a process before recording its snapshot, must be recorded in a global snapshot that is it has to follow the condition C 1. Any message that is send by a process after recording its snapshot must not be recorded that is the condition C 2. So, the issue 1 is to differentiate between the messages, which are to be recorded; and the messages, which are not to be recorded that is the issue number 1.

Issue number 2 says that how to determine the instant, when the process takes the snapshot. A process p_j must record a snapshot before processing a message m_{ij} that was sent by a process i after recording its snapshot. So, these two issues are important. And let us see how it is going to be taken care, while we discuss the recording of a snapshot.

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Example of Money Transfer

- Let S_1 and S_2 be two distinct sites of a distributed system which maintain bank accounts A and B, respectively. A site refers to a process in this example. Let the communication channels from site S_1 to site S_2 and from site S_2 to site S_1 be denoted by C_{12} and C_{21} , respectively. Consider the following sequence of actions, which are also illustrated in the timing diagram of Figure 6.3:
- Time t_0 : Initially, Account A=\$600, Account B=\$200, $C_{12} = \$0$, $C_{21} = \$0$.
- Time t_1 : Site S_1 initiates a transfer of \$50 from Account A to Account B.
- Account A is decremented by \$50 to \$550 and a request for \$50 credit to Account B is sent on Channel C_{12} to site S_2 . Account A=\$550, Account B=\$200, $C_{12} = \$50$, $C_{21} = \$0$.

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There is an example of a money transfer that we will take up, when we will discuss the algorithm. Let us understand this example of a money transfer. Let there be two sites; S_1 and S_2 in a distributed system, and which maintain the bank account A and B, respectively that is site S_1 maintains bank account A, and bank account B is maintained at site S_2 . Let the communication channel from site S_1 to S_2 and from site S_2 to S_1 be denoted by C_{12} and C_{21} , respectively.

Now consider the following sequence of actions, which are illustrated. At time t_0 , the account of A is 600 dollars, account B is 200 dollars and the channels are empty. At time t_1 , site S_1 will initiate a transfer of 50 dollars from account A to account B. So, accounting A is decremented by 50 dollars and a request to credit 50 dollars is being sent the message as a message to the account B over a communication channel C_{12} . So, the account A becomes 550, whereas the account B is not changed. And the request to credit that 50 dollar is in the message, which is in the communication channel C_{12} , C_{21} is 0.

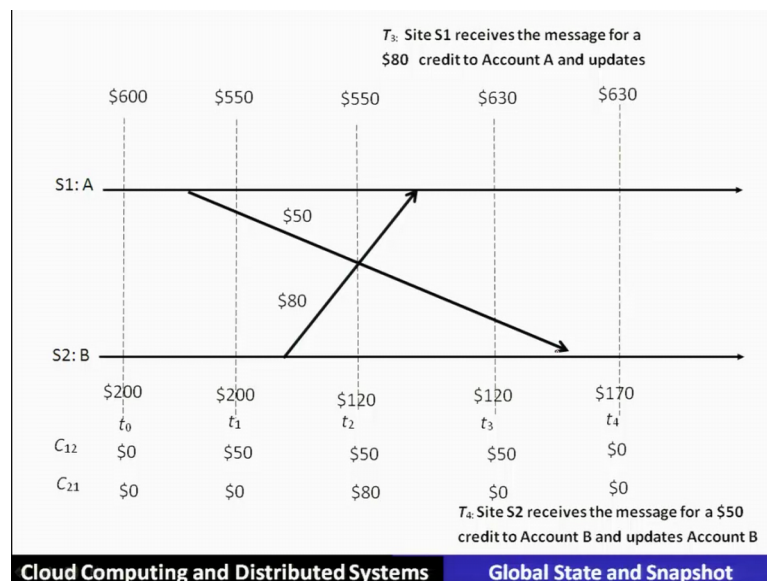
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- Time t_2 : Site S2 initiates a transfer of \$80 from Account B to Account A.
- Account B is decremented by \$80 to \$120 and a request for \$80 credit to Account A is sent on Channel C_{21} to site S1. Account A=\$550, Account B=\$120, C_{12} =\$50, C_{21} =\$80.
- Time t_3 : Site S1 receives the message for a \$80 credit to Account A and updates Account A.
Account A=\$630, Account B=\$120, C_{12} =\$50, C_{21} =\$0.
- Time t_4 : Site S2 receives the message for a \$50 credit to Account B and updates Account B.
Account A=\$630, Account B=\$170, C_{12} =\$0, C_{21} =\$0.

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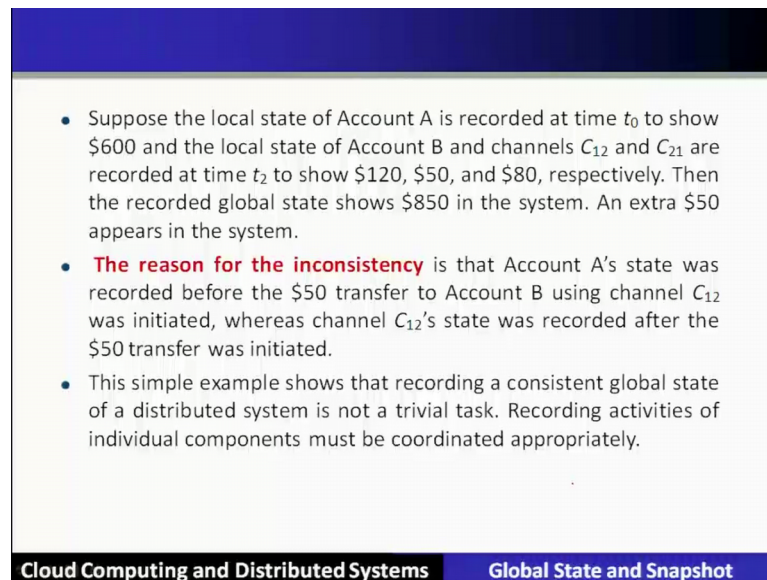
And so on, we will see this example here in this particular way.

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So, here as I told you that 50 dollar is being sent, and it will be debited, similarly here in at time t_1 . Before time t_2 , 80 dollar is being sent from B to A and that corresponding things are being reflected in this state time diagram.

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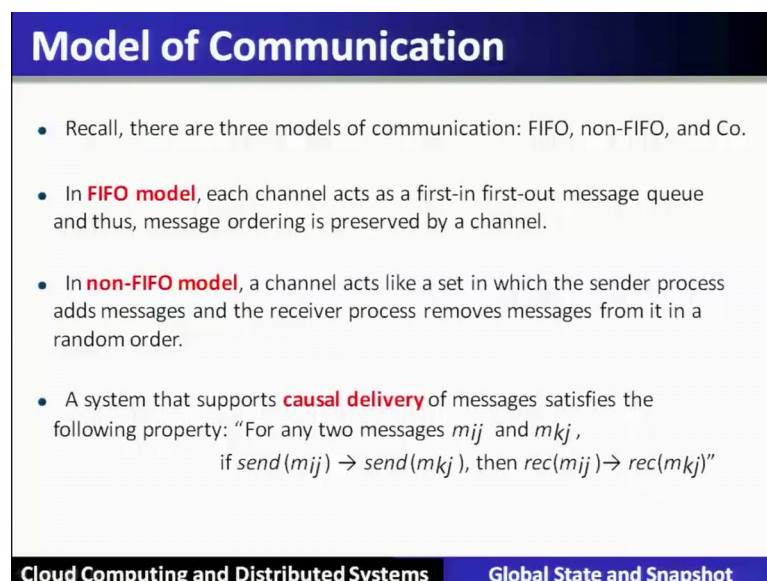


- Suppose the local state of Account A is recorded at time t_0 to show \$600 and the local state of Account B and channels C_{12} and C_{21} are recorded at time t_2 to show \$120, \$50, and \$80, respectively. Then the recorded global state shows \$850 in the system. An extra \$50 appears in the system.
- **The reason for the inconsistency** is that Account A's state was recorded before the \$50 transfer to Account B using channel C_{12} was initiated, whereas channel C_{12} 's state was recorded after the \$50 transfer was initiated.
- This simple example shows that recording a consistent global state of a distributed system is not a trivial task. Recording activities of individual components must be coordinated appropriately.

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Now, here in this case, if we record something like that we are recording 600 dollar, and then 120 and we are taking this. At time t_0 , we are taking the snapshot of A; and at time t_2 , we are taking the values of time t_2 . Then this kind of recording will have inconsistent information. Why, because the total value should be 800 at any point in the recording recorded global state. So, if you see if you count this, it will be 750 dollars, and so on. So, this is not or this is an inconsistent snapshot, if we take.

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Model of Communication

- Recall, there are three models of communication: FIFO, non-FIFO, and Co.
- In **FIFO model**, each channel acts as a first-in first-out message queue and thus, message ordering is preserved by a channel.
- In **non-FIFO model**, a channel acts like a set in which the sender process adds messages and the receiver process removes messages from it in a random order.
- A system that supports **causal delivery** of messages satisfies the following property: "For any two messages m_{ij} and m_{kj} , if $send(m_{ij}) \rightarrow send(m_{kj})$, then $rec(m_{ij}) \rightarrow rec(m_{kj})$ "

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Now, let us assume some of the models of communication. We will consider three different model of communication in this model, for the global snapshot algorithm FIFO non-FIFO, and the causal order.

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Snapshot algorithm for FIFO channels

Chandy-Lamport algorithm:

- The **Chandy-Lamport** algorithm uses a **control message**, called a **marker** whose role in a **FIFO system** is to separate messages in the channels.
- After a site has recorded its snapshot, it sends a **marker**, along all of its outgoing channels before sending out any more messages.
- A marker separates the messages in the channel into those to be included in the snapshot from those not to be recorded in the snapshot.
- A process must record its snapshot no later than when it receives a marker on any of its incoming channels.

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Now, let us consider the Chandy-Lamport algorithm for the FIFO channels. So, Chandy-Lamport algorithm uses the control messages, which are called marker messages, and whose role in FIFO is to separate the messages, which are to be recorded from the messages, which are not to be recorded.

So, the marker is basically dividing the messages, which are to be recorded, which are not to be recorded. So, when a marker comes, all the message before the marker arrives are to be recorded; and after marker arrival, whatever messages are coming are not going to be recorded. So, marker is basically a separating point. So, marker will is used to decide what are things to be recorded, what are the messages which are not to be recorded.

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Chandy-Lamport Algorithm

- The algorithm can be initiated by any process by executing the “**Marker Sending Rule**” by which it records its local state and sends a marker on each outgoing channel.
- A process executes the “**Marker Receiving Rule**” on receiving a marker. If the process has not yet recorded its local state, it records the state of the channel on which the marker is received as empty and executes the “Marker Sending Rule” to record its local state.
- The algorithm terminates after each process has received a marker on all of its incoming channels.
- All the local snapshots get disseminated to all other processes and all the processes can determine the global state.

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So, the Chandy-Lamport algorithm can be initiated by any process by executing the marker sending rule by which it records its local state and it will send the marker on each outgoing channels. So, a process executes the marker receiving rule on receiving the marker. If the process has not yet recorded its local state, will record the state of a channel on which the marker is received as empty and executes the marker sending rule to record its local state. Therefore, this algorithm is a recursive algorithm. Now, this algorithm will terminate after each process has received a marker on all of its incoming channels.

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Chandy-Lamport Algorithm

Marker Sending Rule for process i

- 1) Process i records its state.
- 2) For each outgoing channel C on which a marker has not been sent, i sends a marker along C before i sends further messages along C .

Marker Receiving Rule for process j

On receiving a marker along channel C :

if j has not recorded its state **then**

 Record the state of C as the empty set

 Follow the “Marker Sending Rule”

else

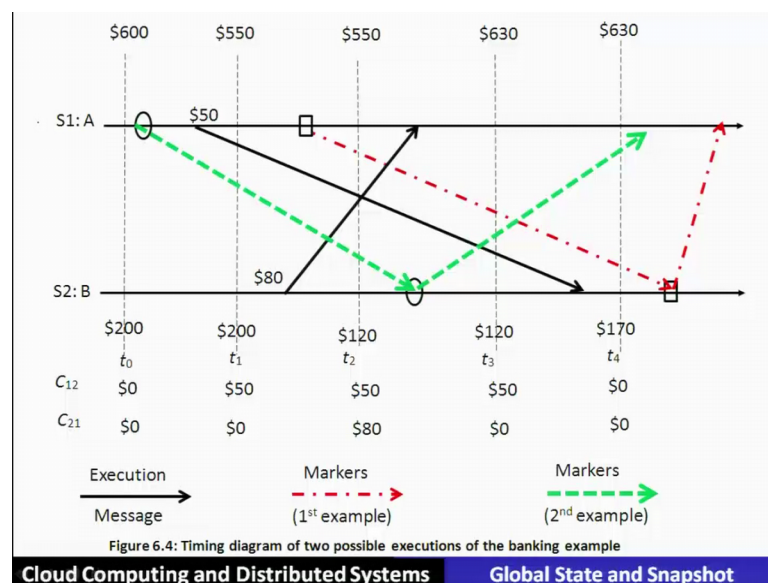
 Record the state of C as the set of messages received along C after j 's state was recorded and before j received the marker along C

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Let us see the algorithm in more details. This algorithm is called a Chandy-Lamport algorithm. So, marker sending rule for a process i has two steps; the first step says that process i will record its state, second rule says that for each outgoing channel C on which the marker has not been sent, i sends a marker along C before i sends the further messages along C .

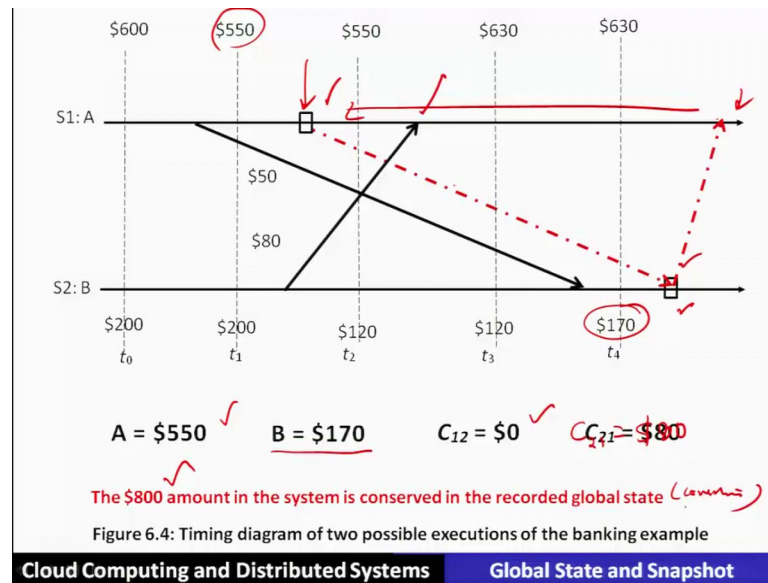
The marker receiving rule for a process j or receiving the marker along channel C , if j has not recorded its state, then record the state of a channel is an empty, and follow the marker sending rule that means, marker sending rule means again it will follow this; it is a recursive call. So, follow the marker sending rule that means, then it will record its state and send the marker to another level of outgoing channels, if it is not. Now, if j has been recorded, then it will record the state of a state of channel C as the set of messages received along C after j 's state was recorded, and before j received the marker along C .

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So, we will see this particular working of Chandy-Lamport's algorithm through an example. The same set of the example, but there are two different initiation, which is shown here; this is one set of initiation, this is another set of initiation.

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So, when this particular algorithm is initiated at this point of time, so as per the algorithm is concerned, it will record its state that is A will be recorded as 550, and it will send the marker on this channel. And when the marker is received, then what it will do, it will record its state as empty. And then, marker sending rule it will apply out of that, it will record its state as 170 and send the marker further when the marker is received at this point, then since it has already recorded its state. So, what it will do, it will check all the messages, since last time it has recorded and up to the receive the marker any messages, it has received in the communication channel. Yes, this message is received.

So, the state of a channel C_{21} that will be denoted as dollar 80, so that will be the recorded snapshot. So, if you sum up all the values, it basically will give you that 800 that is the total amount is preserved, hence it is a consistent global state recording algorithm, which is recording the state, which is global state, which is a consistent state.

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Properties of the recorded global state

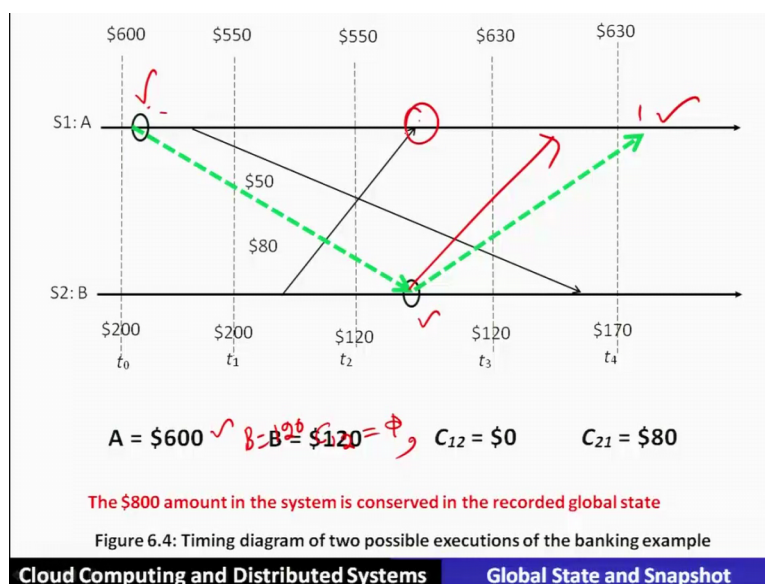
2. (Markers shown using green dotted arrows.)

Let site S1 initiate the algorithm just after t_0 and before sending the \$50 for S2. Site S1 records its local state (account A = \$600) and sends a marker to site S2. The marker is received by site S2 between t_2 and t_3 . When site S2 receives the marker, it records its local state (account B = \$120), the state of channel C_{12} as \$0, and sends a marker along channel C_{21} . When site S1 receives this marker, it records the state of channel C_{21} as \$80. The \$800 amount in the system is conserved in the recorded global state,

$$A = \$600, B = \$120, C_{12} = \$0, C_{21} = \$80$$

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Now, let us see another example. In this example, the algorithm is initiated at this instant of time that is after just after t_0 . Now, as far as the marker, the rule one says that it will record its state that is A will be 600, and then it will send the marker. So, when the marker is received by a site S 2, it will record its; it will record its state of a channel C 1 2 as empty. And then, it will apply the marker sending rule, and it will then it will record its state that is B will be equal to 120, and it will send the channel; and it will send the marker on the further channels, like this.

Now, when the marker is received, since this state is already recorded, since the last time up to this marker, any message which is being arrived, that will be in the state of a channel. So, state of a channel will be recorded as the 80.

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Properties of the recorded global state

- In both these possible runs of the algorithm, the recorded global states never occurred in the execution.
- This happens because a process can change its state asynchronously before the markers it sent are received by other sites and the other sites record their states.
 - But the system could have passed through the recorded global states in some equivalent executions.
- The **recorded global state** is a valid state in an equivalent execution and if a stable property (i.e., a property that persists) holds in the system before the snapshot algorithm begins, it holds in the recorded global snapshot.
- Therefore, a recorded global state is useful in detecting stable properties.

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So, this completes the illustration of this particular working of this algorithm. So, we have seen that it preserves all the properties, which we have defined for the consistent global snapshot in this particular algorithm.

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Conclusion

- **Recording global state** of a distributed system is an important paradigm in the design of the distributed systems and the design of efficient methods of recording the global state is an important issue.
- This lecture first discussed a formal definition of the **global state of a distributed system and issues** related to its capture; then we have discussed the **Chandy-Lamport Algorithm** to record a snapshot of a distributed system.

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Conclusion. Recording the global state of a distributed system is an important paradigm in the design of a distributed system and the cloud system. And the design of efficient method for recording global state is also an important issue. In this lecture, we have formally defined the global snapshot the state of a global state, and snapshot recording algorithm using Chandy-Lamport's algorithm.

Thank you.