

Advanced Graph Theory
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Lecture - 17
Brook's Theorem and Color-Critical Graphs

Brook's Theorem and Color-Critical Graph.

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Preface

Recap of Previous Lecture:
In previous lecture, we have discussed k-coloring of a graph, optimal coloring, clique number, cartesian product, Upper bounds *i.e.* greedy coloring, register allocation and interval graphs.

Content of this Lecture:
In this lecture, we will discuss the Brooks' Theorem and elementary properties of k-critical graphs.

Advanced Graph Theory Brooks' Theorem and Color-Critical Graphs

Recap of previous lecture we have discussed k-coloring of graph, optimal coloring, clique number, Cartesian product of a graph, upper bounds in terms of colorings that is upper bounds of greedy coloring algorithm.

Then we have also cover the greedy coloring when the graph is interval graph and such kind of graphs basically arises in the problems of register allocation application in compiler design.

Content of this lecture this lecture we will discuss the Brooks's theorem which will give the bound on the chromatic number of a graph and you will see the proofs of it then we will also look into the k critical graphs. So, k critical graph here means that k color critical graphs.

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Brooks' Theorem

- The bound $\chi(G) \leq 1 + \Delta(G)$ holds with equality for complete graphs and odd cycles. ✓ $\chi(G) \leq 1 + \Delta(G)$
- By choosing the vertex ordering more carefully, we can show that these are essentially the **only such graphs**. ⊕
- This implies, for example, that the Petersen graph is 3-colorable, without finding an explicit coloring. To avoid unimportant complications, we phrase the statement only for connected graphs.
- It extends to all graphs because the chromatic number of a graph is the maximum chromatic number of its components. Many proofs are known; we discuss a modification of the proof by Lovász [1975].

Advanced Graph Theory Brooks' Theorem

Brook's theorem let us see the background of the Brooks's theorem before we state this particular theorem.

The bound of a chromatic number in a graph is given by 1 plus maximum degree of a graph. Now this particular bound we have earlier stated. So, let us see that what are the graphs when this bound will become equal so that equality will hold for the complete graphs and the odd cycles.

So, for complete graphs an odd cycles χ of G is less than or equal to 1 plus maximum degree of a graph for complete graphs and odd cycle so this is one point. Now by choosing the vertex ordering by carefully we can show that these are essentially the only such graphs where this equality in this particular bound will hold. If the graph is this implies for example, the Petersen graph is 3-colourable, without finding explicit coloring.

To avoid unimportant complications we state that the graphs are connected graphs for disconnected we can also extend it for finding out the chromatic number of the components and take the maximum of it that becomes. So, without loss of generality we will consider only the cases for connected graphs.

So, it extends to all the graphs because chromatic number of a graph is the minimum or a maximum chromatic number of its components so that I have already stated. We will see

the proof by Brook of the Brook's Theorem by given by Lovasz in 1975.

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Theorem (Brooks [1941])^{5.1.22}

$\chi(G) \leq 1 + \Delta(G)$ for complete & odd cycle

- If G is a connected graph other than a complete graph or an odd cycle, then $\chi(G) \leq \Delta(G)$.

Proof:

- Let G be a connected graph, and let $k = \Delta(G)$. We may assume that $k \geq 3$, since G is a complete graph when $k \leq 1$, and G is an odd cycle or is bipartite when $k = 2$, in which case the bound holds.

$\Delta(G) = k \geq 3$ Cases!

$\Delta(G) = 1 \rightarrow \chi(K_2) = 2$ $\Delta(G) = 2 \rightarrow \chi(K_3) = 3$

- Our aim is to order the vertices so that each has at most $k-1$ lower-indexed neighbors; greedy coloring for such an ordering yields the bound.

Advanced Graph Theory Brooks' Theorem

So, the Brooks theorem is stated as under which is given in 1941 and the proof will give by Lovasz in 1975. So, if graph G is connected graph other than the complete an odd cycle for complete an odd cycle this chi of G is 1 plus for complete graph and odd cycles.

So, if the graph is not complete other than the complete graph then the Brooks theorems says that the chromatic number of a graph G is less than or equal to the maximum degree of a graph. Let us see the proof given by Lovasz, let G be a connected graph and this particular symbol k small k we are using for the maximum degree of a graph G which we have considered in our discussion.

We may also assume that the maximum degree of a graph should be 3 or more in our discussion. Why because? If it is less than that then it becomes a complete graph or the odd cycle and where this particular equation will be applicable that is chi of G is less than or equal to 1 plus delta G if you want to see that if the values are 1 so this will be the complete graph $k = 2$, here the degrees are equal to 1.

So, what is the chromatic number of $k = 2$ so, here the big delta G is equal to 1, so this becomes 1 plus 1 that becomes 2. So, if you color this vertex with a label 1 you cannot color the other vertex with same label for a proper coloring it has to be another colour

which is required hence 2 colors are required minimum to colour this particular graph. If it is odd cycle, where k is equal to 2, then k is equal to 2 that becomes odd cycle here the degree of this graph is equal to 2.

So, hence we also call it as k is equal to 2 here in this case. So, what is the chi value of $k=3$ this is a complete graph. So, this particular vertex can be coloured with the label 1 or index one of that colour the second vertex which is adjacent to the previous one cannot be coloured with one.

So, another colour is required third vertex which is adjacent will other previously given colour 1 and 2 cannot be coloured with either one or 2 hence another colour three is required. So, χ of G is $\Delta + 1$ that is $2 + 1$ that is 3 so this particular theorem or this particular bound for complete graph and odd cycles we have seen that it is applicable if the Δ is basically.

Now, we will consider that when Δ data of G which we call it as k should be greater than or equal to 3 cases only in this particular proof. Now here our aim is to order the vertices so that each has at most $k - 1$ lower index neighbours and then apply the greedy coloring algorithm which will yield the bound for our discussion.

So, let us see how we are going to order the vertices so that this particular condition that at most $k - 1$ lower index it has basically already $k - 1$ lower index neighbours then only we can give a $\Delta + 1$ coloring or k with k number of colours.

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Theorem (Brook [1941]) continue

- When G is not k -regular, choose a vertex of degree less than k as v_n . Since G is connected, we can grow a spanning tree of G from v_n , assigning indices in decreasing order as we reach vertices. Each vertex other than v_n in the resulting ordering v_1, \dots, v_n has a higher-indexed neighbor along the path to v_n in the tree. Hence each vertex has at most $k-1$ lower-indexed neighbors, and the greedy coloring uses at most k colors.

- In the remaining case, G is k -regular. Suppose first that G has a cut-vertex x , and let G' be a subgraph consisting of a component of $G-x$ together with its edges to x . The degree of x in G' is less than k , so the method above provides a proper k -coloring of G' . By permuting the names of colors in the subgraphs resulting in this way from components of $G-x$, we can make the colorings agree on x to complete a proper k -coloring of G .

Advanced Graph Theory Brooks' Theorem

So, let us see the steps in the Brooks theorem consider that here the graph G which is given is not a regular is not k regular graph. So, what we will do? We will choose a vertex of degree less than k so let us take an example of a graph which is not a k regular so that means, not all vertices are having a degree k in a particular graph.

Let us choose this graph and let us have this is the graph that is called a kite so it is not a regular k graph. Now here it says that choose a vertex of degree less than k here the k is 3. So, the vertex with a degree less than k is let us say it is v_n which is degree less than k . Now since this particular graph is connected. So, we grow a spanning tree of G from.

Let us draw a spanning tree assign the indices in the decreasing order as we reach the vertices so it is v_n . So, let us say that v_n minus 1 and this is let us say v_n minus 2 and this is v_n minus 3 let us call it as 1, this is 2, this is 3, and this is n so let us label it accordingly. So, let us call it as this is v_1, v_2, v_3, v_4, v_5 and so on up to v_n . So, we say that this particular v_n is here.

So, we have obtained the vertex ordering according to the construction of the spanning tree and then basically from v_n to all other nodes connecting to that particular tree are given a lower indexes. So, this particular ordering we have obtained so in this particular graph example we order v_n minus 3, v_n minus 2, v_n minus 1, and v_n .

So, this particular way if we order it will follow the following property so higher indexed


neighbour along this particular path to v_n in this particular tree here each vertex has a post k minus 1 lower index neighbours. So, let us take v_n v_n has only one neighbour so it is on the so it is 1 neighbour on the left side so that becomes k minus 2, v_n minus 1 is concern it is having 1, 2; 1 and 2 so, it is nothing, but k minus 1 lower index neighbours are basically placed on the left side.

So, if we apply a greedy coloring in this particular ordering we can use at most k colours because k minus 1 colours are already used by the neighbours that is k minus 1 lower index neighbours and so basically k -th color will be use for that purpose so at most k colors will be used here in this particular way so if the graph G is not regular. So, it will give you the k colours. So, hence χ of G is equal to k colours that is nothing, but Δ of G .

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Theorem (Brook [1941]) continue

- **When G is not k -regular**, choose a vertex of degree less than k as v_n . Since G is connected, we can grow a spanning tree of G from v_n , assigning indices in decreasing order as we reach vertices. Each vertex other than v_n in the resulting ordering v_1, \dots, v_n has a higher-indexed neighbor along the path to v_n in the tree. Hence each vertex has at most $k-1$ lower-indexed neighbors, and the greedy coloring uses at most k colors.



- In the remaining case, **G is k -regular**. Suppose first that **G has a cut-vertex x** , and let G' be a subgraph consisting of a components of $G-x$ together with its edges to x . The degree of x in G' is less than k , so the method above provides a proper k -coloring of G' . By permuting the names of colors in the subgraphs resulting in this way from components of $G-x$, we can make the colorings agree on x to complete a proper k -coloring of G .

Advanced Graph Theory Brooks' Theorem

In the remaining case let G is k regular suppose first that G has a cut vertex x and let G' be the sub graph of consisting of the components of G without x together with the edges to x the degree of x in G' is less than k . So, the method about provides the proper k coloring of G' by permitting the names of the colours in the sub graph resulting in this way from the components of G without x we can make the coloring agree on x 2 complete a proper k coloring.

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Theorem (Brook [1941]) continue

- Hence it suffices to show that every 2-connected k -regular graph with $k \geq 3$ has such a triple v_1, v_2, v_n . Choose a vertex x . If $\kappa(G-x) \geq 2$, let v_1 be x and let v_2 be a vertex with distance 2 from x . Such a vertex v_2 exists because G is regular and is not a complete graph; let v_n be a common neighbor of v_1 and v_2 .
- If $\kappa(G-x) = 1$, let $v_n = x$. Since G has no cut-vertex, x has a neighbor in every leaf block of $G-x$. Neighbors v_1, v_2 of x in two such blocks are nonadjacent. Also, $G - \{x, v_1, v_2\}$ is connected, since blocks have no cut-vertices. Since $k \geq 3$, vertex x has another neighbor, and $G - \{v_1, v_2\}$ is connected.

Advanced Graph Theory Brooks' Theorem

So, now we will see the cases in this particular way. Now if let us say that G is k regular in this particular case we have 2 different cases for the one is that that G has a cut vertex and G is 2 connected. So, let us take the first case when G is k regular and also has the cut vertex. Now to see this scenario let me give you an example graph which will fit in this scenario.

So, here this is the example of a of a 3 regular graph. Now in this particular hence it is suffices to show that every 2 connected k regular graph with k is equal to three has such a triple v_1, v_2 and choose a vertex x if $\kappa(G-x)$ is greater than or equal to 2, let v_1, v_x and v_2 be the vertices with distance 2 from x such a vertex v_2 exists because G is regular and is not a complete graph let v_n be common vertex common neighbour of v_1 and v_2

Let us take a example graph and understand this particular case. Now here this particular graph is 2 connected and k regular and we will consider 3 triples; v_1, v_2 , and v_n . Now chose a particular vertex x so here let us say that this is equal to x now if you remove x so without x this the remaining part of the graph is having connectivity $\kappa(G-x)$ of 2.

So, let v_1, v_x that we have already assumed and let v_2 be a vertex with a distance 2 from x so this particular distance you see that this is one half and this is another half so this distance is 2. So, distance of x and v_2 here is equal to 2 and we assume a vertex v_n

between x and v_2 . Now such a vertex exists because this particular graph is regular and is not a complete graph.

Hence v_n is basically be a common neighbour of v_1 and v_2 hence we have shown that in this particular scenario when the graph is k regular and 2 connected there exist 3 such triple. Hence this particular case will also be satisfied with k coloring of the graph so k means with the big Δ of a graph

Now, let us see that if there exist if κ of G without x is equal to 1. So, let us say that G without x is equal to 1. Let us take another example graph. Now this particular graph if we consider without without x so this will be the connectivity of κ value of this particular graph G without x is equal to 1. So, x is removed then basically the connectivity is 1 and let us consider v_n is equal to x this is another case.

Now, since this particular graph G has no cut vertex so x has the neighbour in every leaf block of G minus x . So, meaning to say that this particular x has basically is a neighbour in every leaf block so there are 3 leaf blocks of G minus x . So, neighbours of neighbour v_1 and v_2 of x into such blocks are nonadjacent. So, v_1 so this particular block they are nonadjacent; that means, there exist another such block also G minus x v_1 and v_2 that means, if we remove; that means, if we remove v_1 , if we remove v_2 and if we remove x the remaining part of this particular graph which is shown here this is this part of the graph is G without x , v_1 and v_2 is connected.

Since the blocks have no cut vertices by the definition which we can see the previous videos of blocks. Since the blocks have no cut vertex that is why removing one vertex from this particular block will not disconnect the blocks hence for k that is the maximum degree is greater than or equal to 3 that is why this particular x has another besides v_1 and v_2 has another neighbour and therefore, G minus v_1 and v_2 is connected so that means, if x is included only v_1 and v_2 are removed then x will also be have a connection with a node let us say y because the degree of degree of x in this particular graph is equal to 3.

So, this is one neighbour with v_1 , another with v_2 so x is also connected. So, hence this show that G minus v_1 and v_2 is connected. So, we have shown that in this particular scenario also the 3 triples v_1 , v_2 , and v_n they exist and thus this proves the Brooks theorem.

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Remark 5.1.23

- The bound $\chi(G) \leq \Delta(G)$ can be improved when G has no large clique. Brooks' Theorem implies that the complete graphs and odd cycles are the only $(k-1)$ -regular k -critical graphs. Gallai [1963] strengthened this by proving that in the subgraph of a k -critical graph induced by the vertices of degree $k-1$, every block is a clique or an odd cycle.
- Brooks' Theorem states that $\chi(G) \leq \Delta(G)$ whenever $3 \leq \omega(G) \leq \Delta(G)$. Borodin and Kostochka [1977] conjectured that $\omega(G) < \Delta(G)$ implies $\chi(G) < \Delta(G)$ if $\Delta(G) \geq 9$ (example show that the condition $\Delta(G) \geq 9$ is needed). Reed [1999] proved that this is true when $\Delta(G) \geq 10^{14}$.
- Reed [1998] also conjectured that the chromatic number is bounded by the average of the trivial upper and lower bounds; that is, $\chi(G) \leq \lceil \frac{\Delta(G)+1+\omega(G)}{2} \rceil$

Advanced Graph Theory Brooks' Theorem

The bound given by the Brooks theorem can be improved when G has no large cliques the Brooks theorem implies that the complete graph and the odd cycles are the only k minus 1 regular k critical graphs. Gallai strengthened this by proving that in the subgraphs of k critical graph induced by the vertices of degree k minus 1 every block is a clique or an odd cycle

So, Brooks theorem is states that χ of G is less than or equal to the maximum degree of a graph whenever ω G that is the clique number of a graph is bounded above by big Δ of G and it is basically at least of 3 degree. So, there are various other readers given a conjectured about the chromatic number which is bounded by the average of the trivial upper bound and the lower bound.

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Color-Critical Graphs

Remark 5.2.12

A graph G with no isolated vertices is **color-critical** if and only if $\chi(G - e) < \chi(G)$ for every $e \in E(G)$.

Hence when we prove that a connected graph is color-critical, we need only compare it with subgraphs obtained by deleting a single edge.

Advanced Graph Theory Color-Critical Graphs

Now, you will see what is the color critical graphs the graph G with no isolated vertex is color critical if and only if $\chi(G - e) < \chi(G)$ that means, if we remove an edge from a graph the chromatic number of that particular graph will reduce then that graph is called color critical graph; that means, it is critical in the sense it is colour critical in the sense that is every edge it is removed it will also reduce the number of colours.

Hence such graphs are called color critical graphs. Hence when we prove that a connected graph is colour critical we need only compared with the sub-graphs obtained by deleting a single edge.

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Proposition 5.2.13

- Let G be a k -critical graph.
- a) For $v \in V(G)$, there is a proper k -coloring of G in which the color on v appears nowhere else, and the other $k-1$ colors appear on $N(v)$.
- b) For $e \in E(G)$, every proper $k-1$ -coloring of $G-e$ gives the same color to the two endpoints of e .

Proof:

(a) Given a proper $k-1$ -coloring f of $G-v$, adding color k on v alone completes a proper k -coloring of G . The other colors must all appear on $N(v)$, since otherwise assigning a missing color to v would complete a proper $k-1$ -coloring of G .

(b) If some proper $k-1$ -coloring of $G-e$ gave distinct colors to the endpoints of e , then adding e would yield a proper $k-1$ -coloring of G .

For any graph G , Proposition 5.2.13a holds for every $v \in V(G)$ such that $\chi(G-v) < \chi(G) = k$, and Proposition 5.2.13b holds for every $e \in E(G)$ such that $\chi(G-e) < \chi(G) = k$.

Advanced Graph Theory
Color-Critical Graphs

And we will see the proposition let G be a critical graph now for a vertex v in the vertices in the set of vertices of a graph G there is a proper k colouring of a graph in which the colour on v appears nowhere else and other k minus one colour appears in the neighbours of that particular vertex.

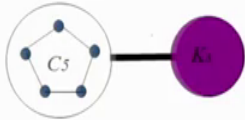
So, if that particular vertex is removed what will happen the number of so the chromatic number will also be reduced why because that colour will not be present. So, number of colours will be reduced by 1. Similarly, if an edge is present so every proper k minus 1 coloring of the graph without that edge with the same colour to the 2 endpoints of v . Hence the graph of G is k critical; meaning to say that if this is the graph G minus e , if you do the k coloring of G minus e graph these 2 vertices are receiving the same colour. Hence, $\chi(G - e) = \chi(G) - 1$ because we are in χ in G these two vertices which are having an edge they may not be having the same color, they may receive the different colours hence this is the proof.

So, let us see the proof again given proper k minus one colorings of the graph without a particular vertex v . Now adding the colour k on v alone completes a proper k coloring of the graph. The other colours must all appear in neighbours since otherwise assigning a missing colour to be would complete a proper k minus 1 colouring. If some proper k minus 1 coloring of the graph without that edge e gave distinct colours to the endpoints of v , then adding e would yield a proper k minus 1 coloring of that particular graph g .

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Example_{5.2.14}

- The graph $C_5 \vee K_2$ of Example 5.1.8 is color critical. In general, the join of two color-critical graphs is always color-critical.
- This is easy to prove using Remark 5.2.12 and Proposition 5.2.13 by considering cases for the deletion of an edge; the deleted edge e may belong to G or H or have an endpoint in each.



Advanced Graph Theory Color-Critical Graphs

The graph $C_5 \vee K_2$ is an example of a colour critical graph. It is easy to prove using this particular remark and the proposition by considering the cases of and deleted edge e may belong to G or H or have an endpoint at each.

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Conclusion

- In this lecture, we have discussed the Brooks' Theorem and elementary properties of k -critical graph.
- In upcoming lecture, we will discuss the Properties of the counting function and further related topics.

Advanced Graph Theory Brooks' Theorem and Color-Critical Graphs

In this lecture we have discussed the Brooks theorem and we have also seen a critical graph. Upcoming lecture, we will discuss the properties of a enumeration in reference to the colorings and also some the few other topics.

Thank you.