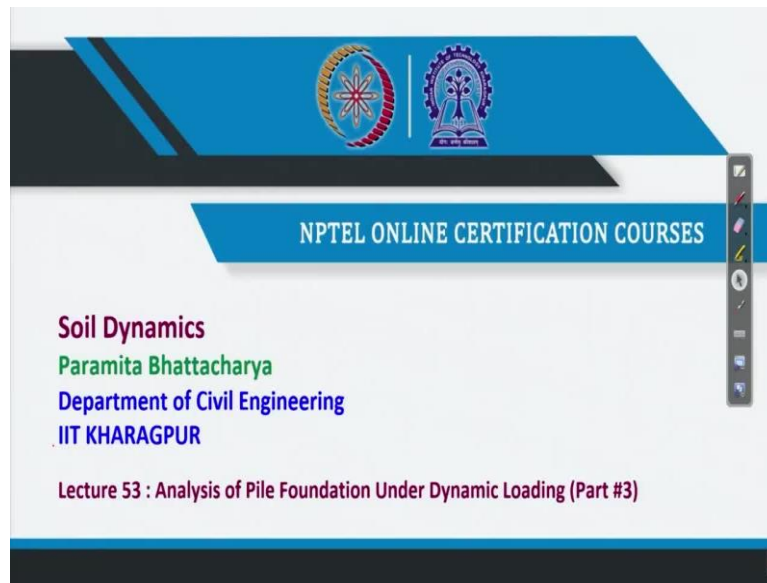


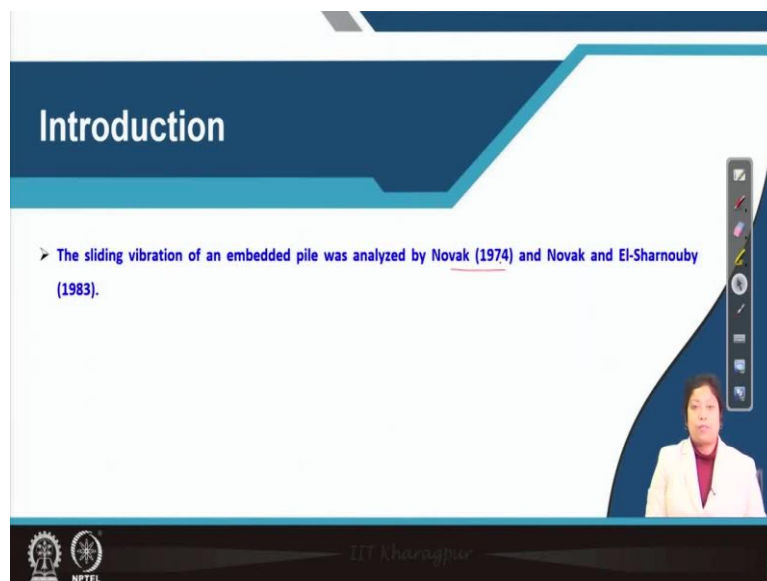
Soil Dynamics
Professor. Paramita Bhattacharya
Department of Civil Engineering
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Lecture No. 53
Analysis of Pile Foundations
Under Dynamic Loading (Part – III)

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Hello friends, welcome to the core soil dynamics. So, today we will continue our discussion on analysis of pile foundation under dynamic loading. So, today is the third part on this topic.

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So, what is happening when a single pile or you can take it as an embedded pile is subjected to sliding vibration? The sliding vibration of an embedded pile was analysed by Novak in

1974 and Novak and El-Sharnouby in 1983. So, they have proposed a solution for this type of problem.

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A Single Pile Subjected to Sliding Vibration

- Novak (1974) and Novak and El-Sharnouby (1983) proposed the stiffness of a single pile as:
$$k_x = \frac{E_p I_p}{R^3} f_{x1} \quad \dots (1)$$
- The damping constant is proposed as:
$$c_x = \frac{E_p I_p}{R^2 v_s} f_{x2} \quad \dots (2)$$

where,
 E_p = modulus of elasticity of the pile material
 I_p = moment of inertia of the pile cross section
 v_s = shear wave velocity in soil
 R = radius of the pile

First case which we will study is a single pile subjected to sliding vibration that means, horizontal vibration. So, Novak in 1974 and Novak and El-Sharnouby together in 1983 proposed the stiffness equation for a single pile, which you can see here stiffness when pile is subjected to sliding vibration can be represented by k_x . So, k_x is equal to E_p times I_p divided by R cube multiplying with a factor f_{x1} .

We have also proposed the equation to calculate the damping constant c_x , which you can see in equation 2. So, here what are the meaning of different symbols, E_p is the material modulus of elasticity of the biomaterial. Likewise, R is the radius of the pile, I_p is the moment of inertia of the pile cross section, v_s is the velocity of the shear wave and f_{x2} I have already told f_{x1} and f_{x2} these two are non-dimensional parameters.

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Table 53.1 Stiffness and damping parameters for sliding vibration ($L/R > 25$)

Poisson's ratio (μ)	E_p/G	f_{x1}	f_{x2}
0.25	10000	0.0042	0.0107
	2500	0.0119	0.0297
	1000	0.0236	0.0579
	500	0.0395	0.0953
	250	0.0659	0.1559
0.40	10000	0.0047	0.0119
	2500	0.0132	0.0329
	1000	0.0261	0.0641
	500	0.0436	0.1054
	250	0.0726	0.1717

decreasing

So, now question how we can find out f_{x1} and f_{x2} ? So, in this table you can see the values for f_{x1} and f_{x2} given which depends upon the ratio E_p by G , where E_p is the modulus of elasticity for pile material and G is the shear modulus of the soil. And Poisons ratio, so for different Poisons ratio you can see even if the value of E_p by G remain same for an example, when it is 250, these two cases depending upon the poisons ratio, the values of f_{x1} and f_{x2} can change. So, for higher portion ratio, you can see for higher portions ratio, the value of f_{x1} is high this is f_{x1} .

So, these value for μ is equal to 0.25 whereas, this one for μ is equal to 0.40. So, 0.40 when μ is equal to 0.40 that and we are getting higher effects one value, same trends you can note for a f_{x2} as well. Also, what we can see here with the increase in E_p by G ratio the values of f_{x1} and f_{x2} decreases. So, here the values decreasing that we can see from this table, the same trends you can see for other new values also.

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- Piles are generally constructed in a group.
- The stiffness of the pile group is:

$$k_{x,group} = \frac{\sum_1^n k_x}{\sum_1^n \alpha_l} \quad \dots (3)$$
- The damping of the pile group is:

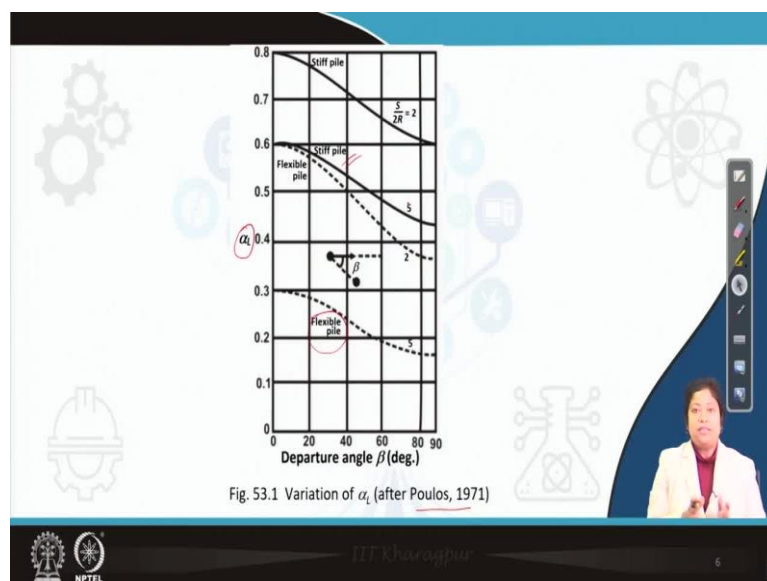
$$c_{x,group} = \frac{\sum_1^n c_x}{\sum_1^n \alpha_l} \quad \dots (4)$$

$k_{x,group}$ = spring constant for the pile group
 $c_{x,group}$ = dashpot constant for the pile group
 n = number of piles in the group
 α_l = the interaction factor (Poulos, 1971) which can be calculated from the Fig. 53.1

Next thing we need to now know what will be happening when piles are constructed in a group, because this is the common scenario. So, for piles in a group, we can calculate the stiffness using this equation, where alpha l is an interaction factor and n is the total number of piles present in the group. Similarly, we can find out the damping of the pile group by using equation 4. So, here you can see $k_{x,group}$ represents the stiffness of the pile group.

Similarly, $c_{x,group}$ represents the damping constant for pile group and k_x and c_x are the stiffness and damping constant for individual pile and alpha l as I said already represents the interaction factor, which we can calculate from the next figure.

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So, these figures actually proposed by Poulos in 1971. Here, if we know the departure angle theta, if we know whether the pile is flexible or it is steep, depending upon the condition and also depending upon the s divided by 2R these ratio we can find out alpha l. So, here, s is the spacing between two piles. So, you can see depending upon this ratio alpha l changes and for higher value of s by 2R the value of alpha l decreases that means, interaction factor decreases when the spacing between two piles is more.

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Generally, piles are constructed in a group.

Thus, stiffness of the pile cap is:

$$k_{x,cap} = G_s D_f \bar{S}_{x1} \quad \dots (5)$$

The damping coefficient if the pile cap is:

$$c_{x,cap} = D_f r_0 \bar{S}_{x2} \sqrt{G_s \rho_s} \quad \dots (6)$$

Therefore, stiffness of the pile group is:

$$k_{x,tot} = G_s D_f \bar{S}_{x1} + \frac{\sum_1^n k_x}{\sum_1^n \alpha_l} \quad \dots (7)$$

The damping coefficient is:

$$c_{x,tot} = D_f r_0 \bar{S}_{x2} \sqrt{G_s \rho_s} + \frac{\sum_1^n c_x}{\sum_1^n \alpha_l} \quad \dots (8)$$

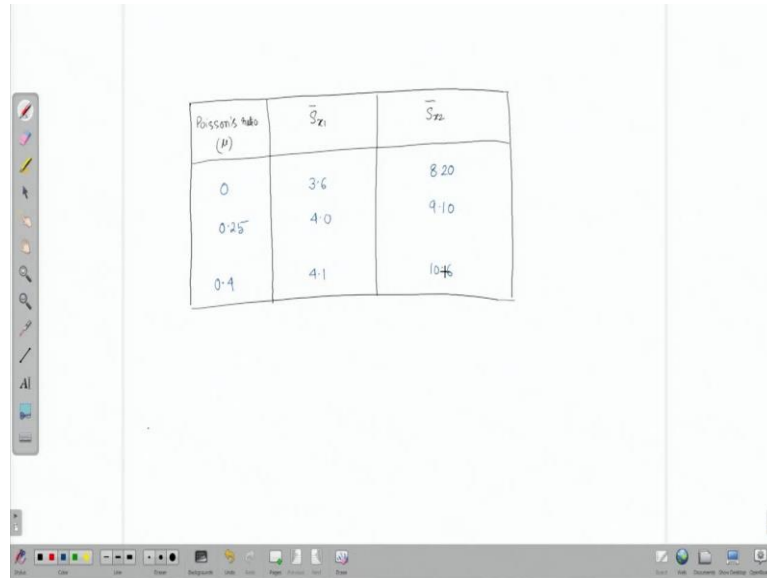
Now, we already know piles constructed in a group, now, the thing is that, what about pile cap because pile at the top of the pile group we need to put up we need to provide a pile cap. So, for pile cap, we can calculate the stiffness using this expression which is shown in equation 5. So, here what is Gs, what is Df and what is S x1 that we need to know S bar x1. Likewise, we can calculate damping coefficient of the pile group this is off of the pile cap is by using this expression 6.

So, here after finding out the stiffness of the pile cap and the damping coefficient of the pile cap, we can find out the total stiffness of the pile cap and the pile group together. Likewise, we can also find out the total damping factor for the pile cap and the pile group together. So, in this expression Gs is the shear modulus of the backfill soil, Df is the depth embedment depth of the pie cap and S x1 and S x2 are the 2 factors.

So, now, we need to know two things; one is what is S bar x1 which is used in equation 7, and what is S bar x2 which is used in equation 8, because the values of these two parameters are required to use in these two equations. So, actually the value of S x1 bar or you can call it S bar x1 and S bar x2 or S x2 bar these two parameters depend upon the Poison's ratio of the

soil. So, if we know that Poisson's ratio, then we can find out the values of S_{x1} bar or S_{x1} bar $x1$ and is $x2$ bar or we can call it S_{x2} bar. So, I am writing the values for these two parameters for different values of Poisson's ratio.

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Poisson's ratio (μ)	\bar{S}_{x1}	\bar{S}_{x2}
0	3.6	8.20
0.25	4.0	9.10
0.4	4.1	10.6

So, that means I can draw a table like this. So, in that table there are three columns so, first column is say, the value for Poisson's ratio of soil μ , second column say the value of S_{x1} bar, and the third column S_{x2} bar. So, when Poisson's ratio is 0, that time the value of S_{x1} bar is 3.6 and the value of S_{x2} bar is 8.20. When the value of Poisson's ratio is 0.25 that time the value of S_{x1} bar is 4 and the value of S_{x2} bar is 9.10. The third case is Poisson's ratio is equal to 0.4 and that time the value of S_{x1} bar is 4.1 and S_{x2} bar is 10.6. So, if we know the values of S_{x1} Poisson's ratio from that knowledge we can calculate we can use the value of S_{x1} bar and S_{x2} bar from this table.

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▪ Damping ratio:

$$D_x = \frac{c_{x,tot}}{2\sqrt{k_{x,tot}m}} \quad \dots (9)$$

▪ Undamped Natural frequency:

$$f_n = \frac{1}{2\pi} \sqrt{\frac{k_{x,tot}}{m}} \quad \dots (10)$$

▪ Resonance frequency: (for constant force type excitation): $f_m = f_n \sqrt{1 - 2D_x^2}$... (11a)

▪ Resonance frequency: (for rotating mass type excitation): $f_m = \frac{f_n}{\sqrt{1 - 2D_x^2}}$... (11b)

▪ Amplitude of Vibration at Resonance (for constant force type excitation):

$$A_{x(resonance)} = \left(\frac{Q_0}{k_{x,tot}} \right) \left(\frac{1}{2D_x \sqrt{1 - D_x^2}} \right) \quad \dots (12a)$$

▪ Amplitude of Vibration at Resonance (for rotating mass type excitation):

$$A_{x(resonance)} = \left(\frac{m_1 e}{m} \right) \left(\frac{1}{2D_x \sqrt{1 - D_x^2}} \right) \quad \dots (12b)$$


Now, after knowing stiffness and damping factor for a total stiffness and damping factor for the pile cap and the pile group together, next what we need to do we need to find out the natural frequency. So, for undamped system, we can find out natural frequency by using this equation 10, then we can also find out the damping ratio using equation 9. After knowing damping ratio and the natural frequency for the undamped system, we can find out the natural frequency for that damped system, also we can find out the resonance frequency.

So, for resonance frequency, we can use equation 11 a, we are aware that the vibration is produced by constant force type excitation, for rotating mass type excitation, we should use equation 11 b to calculate the resonance frequency. Next is to find out the amplitude of vibration at resonance. So, if it is for constant force type excitation, then we will use equation 12 a that means, this equation if it is rotate for rotating mass type excitation, then we will use equation 12 b. So, here Q_0 for in equation 12 a, Q_0 is the amplitude of the dynamic force, vertical vibration.

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A Single Pile Subjected to Rocking Vibration

- Novak (1974) and Novak and El-Sharnouby (1983) proposed the stiffness of a single pile as:
$$k_{\theta} = \frac{E_p I_p}{R} f_{\theta 1} \quad \dots (13)$$
- The damping constant is proposed as:
$$c_{\theta} = \frac{E_p I_p}{v_s} f_{\theta 2} \quad \dots (14)$$




Next thing is a single pile subjected to rocking vibration. So, for that also Novak 1974 and Novak and El-Sharnouby 1983 proposed the solution using which we can find out the stiffness of a single pile. As you can see here in equation 13 also, we can find out the damping factor as shown in equation 14 this one. So, here E_p we already know that is the modulus of elasticity for the pile material I_p is the moment of inertia of the pile cross section, v_s is the velocity of the shear wave, R is the radius of the pile, $f_{\theta 1}$ and $f_{\theta 2}$ can be calculated using that table in the next slide.

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Table 53.2 Stiffness and damping parameters for rocking vibration ($L/R > 25$)

Poisson's ratio (μ)	E_p/G	$f_{\theta 1}$	$f_{\theta 2}$
0.25	10000	0.2135	0.1577
	2500	0.2998	0.2152
	1000	0.3741	0.2598
	500	0.4411	0.2953
	250	0.5186	0.3299
0.40	10000	0.2207	0.1634
	2500	0.3097	0.2224
	1000	0.3860	0.2677
	500	0.4547	0.3034
	250	0.5336	0.3377



So, here if L/R ratio is greater than 25, then we can use table 53.2 to find out $f_{\theta 1}$ and $f_{\theta 2}$. Already I have discussed the trends of $f_{\theta 1}$ and $f_{\theta 2}$ so, that is the reason I am not

discussing it elaborately you can see the trends for $f_{x\theta 1}$ and $f_{x\theta 2}$ are more or less same to that for f_{x1} and f_{x2} .

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A Single Pile Subjected to Coupled Sliding and Rocking Vibrations

- Novak (1974) and Novak and El-Sharnouby (1983) proposed the cross stiffness of a single pile as:

$$k_{x\theta} = \frac{E_p I_p}{R^2} f_{x\theta 1} \quad \dots (15)$$
- The damping constant is proposed as:

$$c_{x\theta} = \frac{E_p I_p}{R V_s} f_{x\theta 2} \quad \dots (16)$$
- The values of $f_{x\theta 1}$ and $f_{x\theta 2}$ are given in Table 53.3

Table 53.3 Stiffness and damping parameters for rocking vibration ($L/R > 25$)

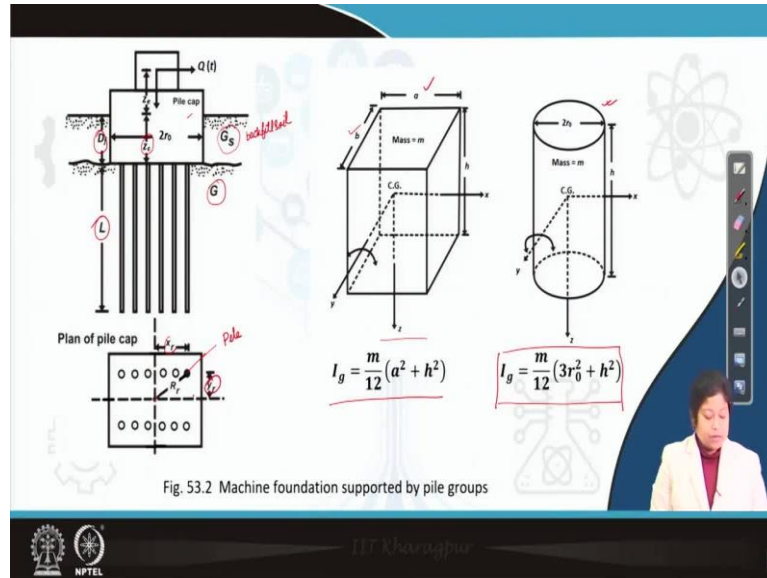
Poisson's ratio (μ)	E_p/G	$f_{x\theta 1}$	$f_{x\theta 2}$
0.25	10000	-0.0217	-0.0333
	2500	-0.0429	-0.0646
	1000	-0.0668	-0.0985
	500	-0.0929	-0.1337
	250	-0.1281	-0.1789
0.40	10000	-0.0232	-0.0358
	2500	-0.0459	-0.0692
	1000	-0.0714	-0.1052
	500	-0.0991	-0.1425
	250	-0.1365	-0.1896

Next case, what will be happening when a single pile is subjected to coupled sliding and rocking vibrations? So, for that, we can use equation 15 to find out the stiffness for coupled sliding and rocking vibration. So, here you can see the expression which we can use for finding out $k_{x\theta}$ which is the stiffness for the single pile subjected to coupled rocking and sliding vibrations. Here $f_{x\theta 1}$ is a non-dimensional parameter.

Similarly, we can find out $c_{x\theta}$ using equation 16. Here $f_{x\theta 2}$ is another non dimensional parameter which depends upon the ratio E_p divided by g and the poisons ratio. So, here you can see that values for $f_{x\theta 1}$ and $f_{x\theta 2}$ for different values of E_p divided

by g and for different values of portions ratio generally these 2 are required. Now, from these table if required, you can interpolate also the values of f_x theta 1 and f_x theta 2.

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Pile Group and Pile Cap

- For group piles the stiffness is:

$$k_{\theta, group} = \sum_1^n [k_{\theta} + k_x x_c^2 + k_y z_c^2 - 2z_c k_{x\theta}] \quad \dots (17)$$
- For group piles the damping coefficient is:

$$c_{\theta, group} = \sum_1^n [c_{\theta} + c_x x_c^2 + c_y z_c^2 - 2z_c c_{x\theta}] \quad \dots (18)$$
- For pile cap the stiffness:

$$k_{\theta, cap} = G_s r_0^2 D_f \bar{S}_{\theta 1} + G_s r_0^2 D_f \left[\frac{\delta^2}{3} + \left(\frac{z_c}{r_0} \right)^2 - \delta \left(\frac{z_c}{r_0} \right) \right] \bar{S}_{x1} \quad \dots (19)$$
- For pile cap the damping constant:

$$c_{\theta, cap} = \delta r_0^4 \sqrt{G_s \rho_s} \left(\bar{S}_{\theta 2} + \left[\frac{\delta^2}{3} + \left(\frac{z_c}{r_0} \right)^2 - \delta \left(\frac{z_c}{r_0} \right) \right] \bar{S}_{x2} \right) \quad \dots (20)$$

where, r_0 = equivalent radius of the pile cap.

- For total stiffness $k_{\theta, total}$ and $c_{\theta, total}$ damping:

$$k_{\theta, total} = k_{\theta, group} + k_{\theta, cap} \quad \dots (21)$$
- $$c_{\theta, total} = c_{\theta, group} + c_{\theta, cap} \quad \dots (22)$$

Another thing here what we can see in this figure, you can see a machine foundation. So, this is the machine so, you can see the machine foundation which is supported by pile groups. So, there are 6 piles in one row and there are 2 rows. So, total you can see here 12 piles are used and the length of each pile is L . What about the pile cap? If the pile cap is rectangular, then its width or length is $2r_0$ or whatever will be given like this case you can see its dimension along the x direction is a and along y direction is b or it may be circular it may be of circular cross sectional area which you can see here.

So, $2r_0$ is the diameter of the circular cross section. So, this is pile cap, this 12 are the piles of length L , G is the shear modulus of the soil in which piles are embedded, G_s is the shear modulus of the backfill soil, so this soil is called backfill soil. Here you can see D_f is the embedment depth of the pile cap. And for rectangular cross sectional area, we can find out I_g using this expression, whereas for, so in this case, it is rocking about Y axis that we need to remember and if it is if it is cylindrical, if the pile cap that then we can find out I_g using the expression shown here.

So, after knowing all these parameters related to the geometry of the pile cap, what we need to do, we need to find out the stiffness damping factor for pile group which you can see here. So, what is z_c ? So, here you can see z_c is this depth that means, the deep below the which is embedded basically. Now, z_e already shown what is x_r , you can see suppose I am interested to analyse this pile, so its distance along the x direction from the C G that is x_r likewise, its distance along y direction from the C G is y_r , as you can see here.

So, for x sorry, so, for k_θ for pile group that means, k_θ group, we can use equation 17 for group piles, that damping coefficient can be calculated using equation 18. Already we know how to calculate c_θ , k_θ , also we know how to calculate the c_z , k_z , last to last class that we have determined today, we also have learned how to calculate k_x and c_x and k_x and c_x .

So, using those expression finally, we can get k_θ for pile group and c_θ for pile group then, we need to find out stiffness and damping constant for pile cap. So, for pile cap stiffness can be calculated using equation 19. Similarly, for pile cap the damping constant can be calculated using this expression. So, now, if we will add expression, so this is given in equation 17 and this is given in equation 19. So, if we will act the right hand side of equation 17 and equation 19, then we will get k_θ total. Likewise, if we will add equation 18 and equation 2,0 then we will get c_θ total.

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- Damping ratio:
$$D_{\theta} = \frac{c_{\theta,tot}}{2\sqrt{k_{\theta,tot}I_g}} \quad \dots (23)$$
- Undamped Natural frequency:
$$f_n = \frac{1}{2\pi} \sqrt{\frac{k_{\theta,tot}}{I_g}} \quad \dots (24)$$
- Resonance frequency: (for constant force type excitation): $f_m = f_n \sqrt{1 - 2D_{\theta}^2} \quad \dots (25a)$
- Resonance frequency: (for rotating mass type excitation): $f_m = \frac{f_n}{\sqrt{1 - 2D_{\theta}^2}} \quad \dots (25b)$
- I_g = mass moment of inertia for the pile cap and the machinery about the centroid of the block.

After finding out k_{θ} and c_{θ} , k_{θ} and c_{θ} here the stiffness and damping factor respectively for the pile cap and group together when it is subjected to coupled rocking and sliding vibrations that time the stiffness and the damping constant. So, if we know that then from that we our next target should be to find out the damping ratio which is d_{θ} using equation 23. Already we know how to find out I_g which is the mass moment of inertia for the pile cap and machinery about the centroid of the foundation block.

So, d_{θ} we will calculate also we will calculate f_n which is the natural frequency of the undamped system, after finding out d_{θ} and f_n we can find out the resonance frequency either by using equation 25 a or equation 25 b. What is the criteria to check here what is the source of excitation, if source of excitation is constant force type does not depend upon the frequency operating frequency then we will use equation 25 a, if it is because of the rotating mass type excitation then we will use equation 25 b. So, in this way we can find out the different components like k_{θ} , c_{θ} amplitude at resonance frequency then the resonance frequency itself etcetera.

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SUMMARY

In this lecture we discussed the followings:

- ✓ A single pile subjected to sliding vibration
- ✓ Calculation of stiffness, damping, natural frequency of single pile under sliding vibration
- ✓ A single pile subjected to rocking vibration
- ✓ A single pile under coupled sliding and rocking vibrations
- ✓ Pile groups with a pile cap under coupled sliding and rocking vibrations

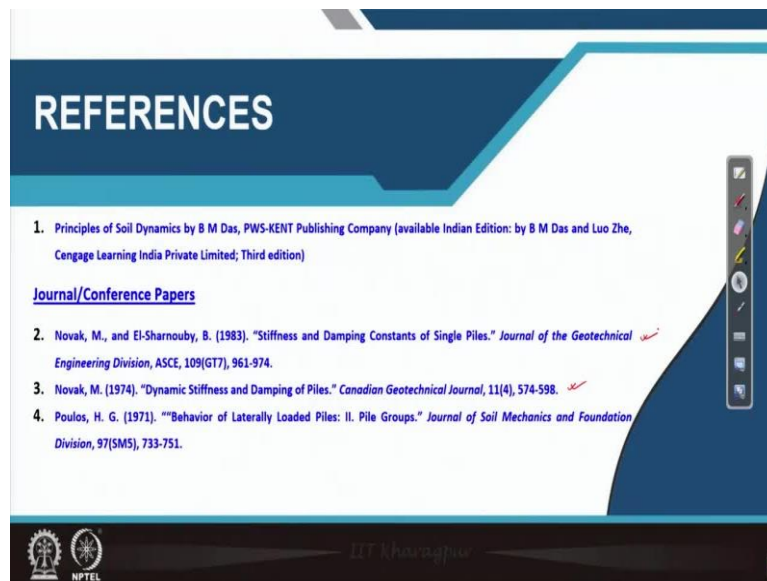
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Now, come to the summary of today's class. So, in this lecture first we have studied how to calculate stiffness and damping constant which equation will be used to find out stiffness and to find out the damping constant for a single pile subjected to sliding vibration. Then, we have studied how to calculate stiffness, damping, natural frequency of single pile under sliding vibration.

Then what we have done, we have studied which equations will be used to find out the stiffness and damping constant for a pile subjected to rocking vibration. Then we studied a single pile under sliding and the rocking vibrations together what will be the expression for stiffness and damping constant.

Then what is that expression of stiffness and damping constant for pile cap, then we have studied that for total stiffness and total damping constant, we need to add the stiffness for piles in a group and the stiffness of the pile cap. So, in this way, we have discussed different components related to the calculation of stiffness and damping constant of pile group subjected to coupled rocking and horizontal sorry sliding vibrations subjected to coupled rocking and sliding vibrations.

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So, these are the references mainly here these two references are used to discuss how to calculate the stiffness and damping constant for different conditions. Then thank you. We will meet the next class to discuss the pile foundation subjected to torsional vibration. Thank you.