

**Soil Dynamics**  
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**Lecture No. 42**  
**Analysis of Machine Foundations**  
**(For Reciprocating Machines – Part 2)**

Welcome to the class Soil Dynamics. Today, we will discuss we will continue our discussion on analysis of machine foundations for reciprocating machines.

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**Design of Reciprocating Machine Foundation Subjected to Simultaneous Vibration, Sliding & Rocking**

Let's consider a foundation block for reciprocating machine subjected to simultaneous vibration, sliding and rocking motions:

Governing equations of motions:

x-direction:

$$m\ddot{x} + C_r A x_0 = Q_{0x} \sin \omega t \quad \dots (1)$$

z-direction:

$$m\ddot{z} + C_u A z = Q_{0z} \sin \omega t \quad \dots (2)$$

In the rotational mode:

$$M_m \ddot{\phi} + C_\phi \phi I - WL\phi - C_r A(x - L\phi)L = M_{0y} \sin \omega t \quad \dots (3)$$

$$M_m \ddot{\phi} - C_r A L x + (C_\phi I - WL + C_r A L^2) \phi = M_{0y} \sin \omega t \quad \dots (3)$$

$$m\ddot{x} + K_x x_0 = Q_{0x} \sin \omega t$$

$$K_x = C_r A$$

Fig. 42.1 Block foundation subjected to simultaneous vertical, sliding and rocking vibrations

So, till now, we have studied how to find out the natural frequency and the amplitude of vibration when the machine foundation block for the reciprocating machine is subjected to vertical vibration, pure sliding and pure rocking machine motion. Now, today, if you see the finger 42.1 what we can see that foundation block for the reciprocating machine is subjected to vertical vibration where  $Q_{0z} \sin \omega t$  is the vertical force. It is subjected to sliding and rocking simultaneously. So, the amplitude of sliding vibration is  $Q_{0x}$  and its direction is in half cycle, it is in positive x direction and in next half cycle, in the negative x direction.

Whereas, the rocking vibration is represented by the moment about y-y axis, which is  $M_{0y} \sin \omega t$ . In this case,  $\omega$  is the operating frequency for the machine. So, under that stated condition, this machine foundation is subjected to simultaneous vibrations sliding and rocking motions. So, the governing equations of motion for the 3 cases are when we are considering the x direction that time it is m that is mass of the machine and foundation together. So,  $m \ddot{x} + C_r A x_0 = Q_{0x} \sin \omega t$ .

Now, in this equation 1, what is  $M$ ? I have already I think told that  $M$  is the total mass of the machine and the foundation together. What is  $C \tau$  here? Here,  $C \tau$  is the coefficient of elastic uniform shear and how it comes in equation 1? We already know that for single degree of freedom system when any object is subjected to vibration, then what we can write? We can write the equation of motion in this format; mass times acceleration plus coefficient of stiffness for horizontal vibration times  $x$ ,  $x$  is the displacement in horizontal direction that, so, this sum of these two is equal to the external force in this case it is  $Q_0 x \sin \omega t$ .

Now, in the problem which is stated by figure 42.1 if you see here, in place of  $x$ , we need to write  $x_0$  which is the displacement for the base of the foundation. So, at the base if you see that displacement is  $x_0$ . Now, in place of  $Kx$  what we can write? We can express  $Kx$  is equal to  $C \tau$  times  $A$ , where  $C \tau$  is the coefficient of elastic uniform shear and  $A$  is the base contact area for the foundation block. Now, similarly, we can find out the equation of motion for  $z$  direction, which is represented by equation 2 here.

So, in this case, what we have seen is that mass times acceleration in  $z$  direction which is called also as inertia force in  $z$  direction plus  $C \tau$  times  $A$  times  $z$ , where  $z$  is the displacement in  $z$  direction and  $C_u$  is the coefficient of elastic uniform compression. So, this is nothing but the stiffness force, where stiffness represents the soil. So, these two forces are equal to the external force applied to the foundation. The third equation of motion is for the rotational mode and you can see the third equation of motion here.

So, in this equation, what we can note is that the first component is for the inertia whereas, the second component is due to the reaction of the soil. However, in this case, during rotation, what is happen? Non uniform stress distribution we can see at the base of the foundation or at the interface of the base and the soil. So, that resistant force basically causing moment. So, that moment you can represent it by  $C \phi$  times  $\phi$  times  $I$ . So, what is  $\phi$  here? If you see the figure,  $\phi$  is the angle of twist, here you can see this is the  $\phi$  and what is the  $W$  here in the next term?

So, in the third term,  $W$  causing the trying to rotate the foundation block that you can see here. So,  $W$  which is acting always downward direction, which is the total mass of the machine and the foundation together causes rotation and therefore, that causing moment for  $W$  is equal to  $W$  times  $L$  times  $5$ . So, what is  $A$  here than?  $A$  is the height of the CG of the foundation from the base of the foundation itself. And if you refer the figure, what we can

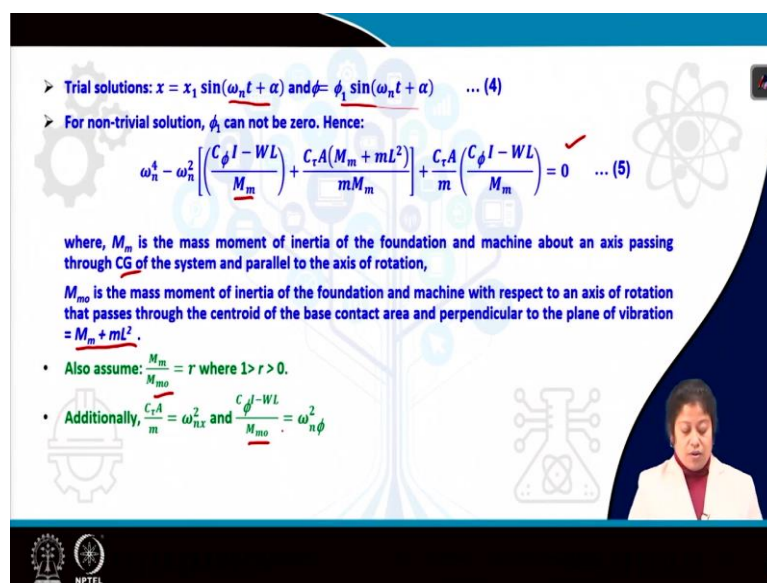
see? Because of the rotation, originally CG was at O, but because of the rotation of the foundation CG is shifted from O to O dashed.

So, because of that, now, what is the horizontal distance between O and O dashed these distances, A times phi. So, the moment causing by the W at O is equal to W times L times phi. Now, see the fourth component, which is C tau times A times x minus L phi times L. So, here C tau times A, it is what is C tau? C tau is coefficient of elastic uniform shear. So, basically because of the sliding, what is happening? We can see the uniform shear stress distribution at the base of this foundation.

But, because of the rotation there is or because of the rocking, there is some displacement already which is L times phi. So, then how much is the actual displacement in x direction because of this sliding that is x minus L phi. So, this resistance force is how much then C tau times A times x minus L phi and that causes moment. In this case, it is opposing. So, we are using negative sign here and how much is the moment that about the CG that is, O that C tau times A times x minus L phi these things give the force times the distance which is L here.

So, in this way we can get the left-hand side and right-hand side is the external moment, which is imposed to the foundation from the machine and that is expressed by M<sub>0y</sub> times sine omega t, whereas, M<sub>0y</sub> is the amplitude. So, now, if we will take the coefficient of phi in one place and coefficient of x in other place, then we can represent this equation of motion for rotational mode by equation 3.

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> Trial solutions:  $x = x_1 \sin(\omega_n t + \alpha)$  and  $\phi = \phi_1 \sin(\omega_n t + \alpha)$  ... (4)

> For non-trivial solution,  $\phi_1$  can not be zero. Hence:

$$\omega_n^4 - \omega_n^2 \left[ \frac{C_\tau I - WL}{M_m} + \frac{C_\tau A (M_m + mL^2)}{m M_m} \right] + \frac{C_\tau A (C_\tau I - WL)}{m M_m} = 0 \quad \dots (5)$$

where,  $M_m$  is the mass moment of inertia of the foundation and machine about an axis passing through CG of the system and parallel to the axis of rotation,

$M_{m0}$  is the mass moment of inertia of the foundation and machine with respect to an axis of rotation that passes through the centroid of the base contact area and perpendicular to the plane of vibration =  $M_m + mL^2$ .

- Also assume:  $\frac{M_m}{M_{m0}} = r$  where  $1 > r > 0$ .
- Additionally,  $\frac{C_\tau I}{m} = \omega_{nx}^2$  and  $\frac{C_\tau I - WL}{M_{m0}} = \omega_{n\phi}^2$

Now, we need to do what is the solution for these three equations? So, for that, we consider trial solutions you can see, we have chosen trial solution  $x$  is equal to  $x_1$  times sine  $\omega_n t$  plus  $\alpha$ ,  $\alpha$  is the phase angle and  $\phi$  is equal to  $\phi_1$  times sine of  $\omega_n t$  plus  $\alpha$ . Now, for the non-trivial solution  $\phi_1$  cannot be 0, so, we can get this equation which can be numbered by 4. So, now, if we solve the equation 5, then we can get  $\omega_n$  which is the natural frequency of the system. Now, when we have written equation 5, we have used a few new terms.

So, what are the meanings of those terms here? So, you can see  $Mm$  is one new term, what is  $Mm$  here?  $Mm$  is the mass moment of inertia of the foundation and machine about the  $y$  axis passing through CG of the system and parallel to the axis of rotation. So, it  $Mm$  is the mass moment of inertia, but it is at the position of CG. So, how we can get  $Mm_o$ , which is the mass moment of inertia of the foundation and the machine with respect to an axis of rotation that passes through the centroid of the base area. For that, we need to use the plane axis theory and we can get  $Mm_o$ ,  $Mm$  plus small  $m$  times  $L$  square, whereas, small  $m$  is the mass of the foundation block and capital  $L$  is the distance between the CG and the foundation base.

Now, if we consider that the  $Mm$  divided by  $Mm_o$  by ratio  $r$ , where  $r$  lies between 0 and 1 and if we write in place of  $C\tau A$  divided by  $A$  as  $\omega_{nx}^2$  and in place of  $C\phi I - WL$  divided by  $Mm_o$  is equal to  $\omega_n \phi^2$  then what we can get?

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➤ Hence, the natural frequency under coupled vibrations (rocking and sliding) can be determined by following equation:

$$\omega_n^4 - \left( \frac{\omega_{nx}^2 + \omega_{n\phi}^2}{r} \right) \omega_n^2 + \frac{\omega_{nx}^2 \omega_{n\phi}^2}{r} = 0 \quad \dots (6)$$

where,  $\omega_{nx} = \sqrt{\frac{k_x}{m}} = \sqrt{\frac{C_r A}{m}}$  and  $\omega_{n\phi} = \sqrt{\frac{C\phi I - WL}{Mm_o}}$

- The roots of the aforesaid equation are:

$$\omega_{n1,2}^2 = \frac{1}{2} \left[ \frac{(\omega_{nx}^2 + \omega_{n\phi}^2)}{r} \pm \sqrt{\left( \frac{(\omega_{nx}^2 + \omega_{n\phi}^2)}{r} \right)^2 - \frac{4\omega_{nx}^2 \omega_{n\phi}^2}{r}} \right]$$

Then, we can get this equation where as I already explained what is  $r$ , what is  $\omega_{nx}$  and what is  $\omega_{n\phi}$ ? So, in equation 6 if you see, we know what is the value for  $\omega_{nx}$ , we know what is the value for  $\omega_{n\phi}$ , we know what is  $r$ ? So, there is only one

unknown which is  $\omega_n$ , which represents the natural frequency. So, how many roots we can get from this equation 6? We can get 4 roots actually. So, we will get 2 negative and 2 positive roots. So, we are only interested for the positive roots. So, here you can see, I have just written it as  $\omega_{n1}$  or  $\omega_{n2}$  square and that can be represented by this form.

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• From the properties of a quadratic equation:

$$\omega_{n1}^2 + \omega_{n2}^2 = \frac{\omega_{nx}^2 + \omega_n^2 \phi}{r} \quad \dots (8a)$$

$$\omega_{n1}^2 \times \omega_{n2}^2 = \frac{\omega_{nx}^2 \omega_n^2 \phi}{r} \quad \dots (8b)$$

$$\omega_{n1}^2 - \omega_{n2}^2 = \sqrt{\left(\frac{\omega_{nx}^2 + \omega_n^2 \phi}{r}\right)^2 - \frac{4\omega_{nx}^2 \omega_n^2 \phi}{r}}$$

Now, using the properties of quadratic equation, what we can say? We can say that  $\omega_{n1}$  square plus  $\omega_{n2}$  square, which are the 2 roots of the equation, which is equation 6. So, the sum of these two is equal to  $\omega_{nx}$  squared plus  $\omega_n$  phi squared divided by  $r$ . What else we can say? We can say  $\omega_{n1}$  squared times  $\omega_{n2}$  squared is equal to  $\omega_{nx}$  squared times  $\omega_n$  phi squared divided by  $r$ . I hope these relationships are already known to all of us, I am just going through it, so that if required, you can use it.

And the third property is  $\omega_{n1}$  square minus  $\omega_{n2}$  square is equal to square root of  $\omega_{nx}$  squared plus  $\omega_n$  phi squared divided by  $r$  whole square minus 4 times  $\omega_{nx}$  squared times  $\omega_n$  phi squared divided by  $r$ .

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• Case-I: when both unbalanced force  $Q_x(t)$  and moment  $M_y(t)$  are acting:

$$A_x = \frac{(C_\tau AL^2 + C_\phi I - WL - M_m \omega^2) Q_{0x} + (C_\tau AL) M_{0y}}{\Delta(\omega^2)} \quad \dots (9)$$

$$A_\phi = \frac{(C_\tau A - m\omega^2) M_{0y} + (C_\tau AL) Q_{0x}}{\Delta(\omega^2)} \quad \dots (10)$$

where  $\Delta(\omega^2) = m M_m (\omega_{n1}^2 - \omega^2)(\omega_{n2}^2 - \omega^2)$

➤ The total vertical and horizontal amplitudes are:

$$A_y = A_x + \frac{a}{2} A_\phi \quad \dots (11)$$

$$\text{and } A_h = A_x + h' A_\phi \quad \dots (12)$$

where,  $h'$  is the height of the top of the foundation above the combined centre of gravity.

Now, in this case, there are 3 possibilities. In this case means, first we have assumed that foundation block for the reciprocating machine is subjected simultaneously to vibration, sliding and rocking. Here vibration means vertical vibration, sliding means horizontal vibration and rocking means moment about or rotation about y axis. Now, for all the rocking and sliding case it may be coupled, it may not be coupled, when we will consider coupled case when both unbalanced forces  $Q_x(t)$  and the moment  $M_y(t)$  are acting together to the foundation block.

So, when both unbalanced force and moment are acting together to the foundation block that will be considered as case 1 here. So, that time the amplitude for the horizontal displacement can be represented by the expression shown here in equation 9. So, except delta omega square rest of the terms are now known to us, what is delta omega square? Delta omega square is this one.

So, delta omega squared can be calculated if we know the value of omega n1 squared and omega n2 squared or in other words, if we know that natural frequencies for the solutions of the equation 6, then we can find out delta omega squared. And rest of the things are known with m and  $M_m$  these are related to the geometry or properties of the foundation block.

So, next is equation 10 which is represent the amplitude of the rotation about y axis. So, here you can see that expression also. Now, after knowing  $A_x$  and  $A_\phi$ . Finally, we need to find out what is the total vertical displacement and what is the total horizontal displacement or the maximum horizontal displacement and maximum vertical displacement?

So, for maximum vertical displacement  $A_v$  what we need to do? From the equation of motion in z direction, we can find out  $A_z$  which is the amplitude of displacement in z direction because of the vertical vibration. So, if we know  $A_z$  if we know  $A_\phi$ , which is the amplitude of rotation then because of the rotation how much vertical displacement occurs that we can calculate, which is shown here small a by 2 times  $A_\phi$ . So, the sum of these two will give us the total maximum vertical displacement or we can say amplitude of vertical displacement.

Now, what about the maximum horizontal displacement? That can be calculated by this equation. So, what is  $h$  dashed here? In this case,  $h$  dashed is the height of the top of foundation above the combined CG. Combined CG means combined CG of machine and foundation together. So, this is means  $h$  dashed replaces the height of the top of the foundation from the combined CG.

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Other two cases are:

- Case-II: If only moment  $M_{0y} \sin \omega t$  is acting:
 
$$A_x = \frac{(C_r AL) M_{0y}}{\Delta(\omega^2)} \dots (13)$$

$$A_\phi = \frac{(C_r A - m \omega^2) M_{0y}}{\Delta(\omega^2)} \dots (14)$$
- Case-III: if only horizontal force  $Q_{0x} \sin \omega t$  is acting:
 
$$A_x = \frac{(C_r AL^2 + C_\phi I - WL - M_m \omega^2) Q_{0x}}{\Delta(\omega^2)} \dots (15)$$

$$A_\phi = \frac{(C_r AL) Q_{0x}}{\Delta(\omega^2)} \dots (16)$$

There are two other cases. In second case what is happened, only the moment about y axis is present the sliding vibration is absent. So, that time the magnitude of  $Q_{0y}$  should be 0. So, whatever expression earlier we got for  $A_x$  and  $A_\phi$  in the same expression if we will write in place of  $Q_{0y}$  if we write 0 then we can get the expression for  $A_x$  and  $A_\phi$  in absence sorry  $A_x$  and  $A_\phi$  in absence of the horizontal vibration or the sliding force. So, in this way here we get  $A_x$  and  $A_\phi$ .

The third case is the case when moment about y direction is absent only the horizontal force or we can call it as sliding is present. That means, if we in that solution have  $A_x$  and  $A_\phi$ , which we obtain for the coupled system in that expression, if we write in place of  $M_{0y}$ , if we

write the value is 0, then we can get the expression for  $A_x$ , which you can see in equation 15. And similarly, we can get the expression for  $A_\phi$ , which you can see in equation 16.

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- Let's consider the foundation is subjected to exciting moment  $M_y(t)$  only.
- The ratio of amplitudes  $A_x$  and  $A_\phi$  is represented as:
$$\rho = \frac{A_x}{A_\phi} = \frac{C_\tau AL}{C_\tau A - m\omega^2} = \frac{\omega_{nx}^2}{\omega_{nx}^2 - \omega^2} L \quad \dots (17)$$
- If  $\omega \ll \omega_{nx}$  then,  $\rho \cong L$ . It implies that the foundation rotates about an axis that passes through the centroid of the base of contact area and there is no sliding.
- If  $\omega = \omega_{nx}$  where  $\omega_{nx}$  is the lower limiting natural frequency, then  $\omega_{nx}^2 - \omega^2 > 0$ , which means during vibration at  $\omega_{nx}$ , when the centre of gravity deviates from the equilibrium position, for example, the positive direction of the x-axis, the rotation of the foundation will also be positive, and changes of amplitudes  $A_x$  and  $A_\phi$  will be in phase.

$$\rho = \frac{C_\tau A/m}{C_\tau A - m\omega^2} L$$

Fig. 42.2 Block foundation subjected to rocking and sliding in same phase with each other

Now, let us see what is happened when the foundation block is subjected to only these exciting moment  $M_y(t)$ , here  $M_y(t)$  means  $M_y$  is a function of  $t$  time. We can introduce a new term  $\rho$  which defines the ratio of  $A_x$  to  $A_\phi$ . Now, we know in case of  $Q_y(t=0)$  that time or in absence of the horizontal force, we know that expression for  $A_x$  and also we know the expression for  $A_\phi$ . So, from those 2 expressions, we can find out  $\rho$  which is the ratio of  $A_x$  to  $A_\phi$  and we get this expression  $C_\tau AL$  divided by  $C_\tau A - m\omega^2$  and we get this expression  $C_\tau AL$  divided by  $C_\tau A - m\omega^2$ .

So, here if I divide the numerator and denominator both by  $m$  which is the combined mass of the foundation and the machine, then what we will get? We will get an expression like I am just writing here something like this  $C_\tau A$  divided by  $m$  here we will get  $C_\tau A$  divided by  $m - m\omega^2$  times  $L$ . So,  $C_\tau A$  divided by  $m$  that is nothing but  $\omega_{nx}^2$  squared. So, here you can see, I have written  $\omega_{nx}^2$  squared likewise, denominator also we can write  $\omega_{nx}^2 - \omega^2$  and this whole thing is multiplied with  $L$ .

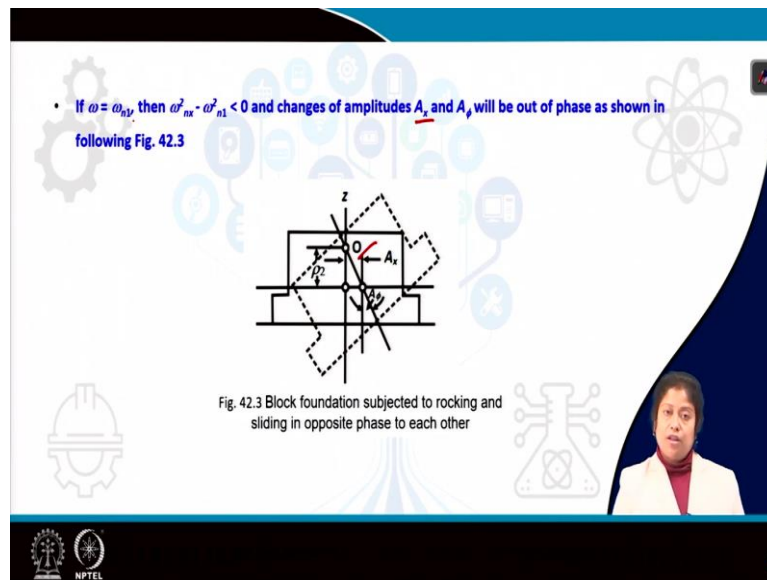
Now, what will be happened if  $\omega$  which is the operating frequency of the machine is very, very less than  $\omega_{nx}$ ? Then you can see here, if  $\omega$  is very, very less than  $\omega_{nx}$ , then equation 17 says that  $\rho$  is almost equal to  $L$ . What is  $L$  here?  $L$  is the height of the CG from the base or the foundation block. The third case when  $\omega$  which is the operating frequency of the machine is equal to  $\omega_{nx}$ , that time, what is  $\omega_{nx}$ .  $\omega_{nx}$  is the



lower limiting natural frequency. So, in that case  $\omega_n^2 - \omega^2 < 0$ . So, in equation 17 in place of  $\omega$  we can now consider  $\omega_n$ .

So, what will be the value of  $\omega_n^2 - \omega^2$ ? That will be greater than 0 what does it indicate? It indicates that, when the machine is operating at  $\omega_n$  frequency the CG deviates from the equilibrium position that means, CG shifted from O to O' dashed that in which direction? In the positive x direction that means, the figure which we have shown and also the rotation of the foundation will be positive that means,  $A_x$  and  $A_\phi$  these two are in same phase. So, here you can see the diagram when  $A_x$  and  $A_\phi$  both are in same phase. So,  $A_x$  and  $A_\phi$  both are positive here.

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Now, another possibility is like this when  $\omega$  is equal to  $\omega_n$ . So, what is  $\omega_n$  here? The higher limiting frequency. So, that time  $\omega_n^2 - \omega^2$  should be, the difference between  $\omega_n^2$  and  $\omega^2$  should be less than 0 and changes of amplitude that means,  $A_x$  and  $A_\phi$  will be out of phase as you can see in the figure. So, one is positive and one is negative, if  $A_x$  is positive that means, along positive x direction, then that  $A_\phi$  it is not in the same face with  $A_x$ . So, when we can observe this kind of situation? When  $\omega$  that means, the operating frequency of the machine is very close to the hand limit of the frequency, natural frequency or equal to  $\omega_n$  itself.

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**SUMMARY**

In this lecture analysis of the foundation block for reciprocating machine subjected to simultaneous vibration, sliding and rocking using linear elastic weightless spring method has been discussed and following parameters are determined:

- Amplitudes of vertical and horizontal displacements
- Amplitude of rotation

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So, let us come to the summary of today's class. Today we have discussed how to analyse the foundation block for the reciprocating machine when it is subjected to simultaneously vertical vibration, sliding and rocking vibrations. And we have seen how to find out amplitudes of vertical and horizontal displacements, how to find out amplitude of rotation. So, here you can see the summary. This is the IS code which can be used for design of reciprocating machine foundation. Thank you.